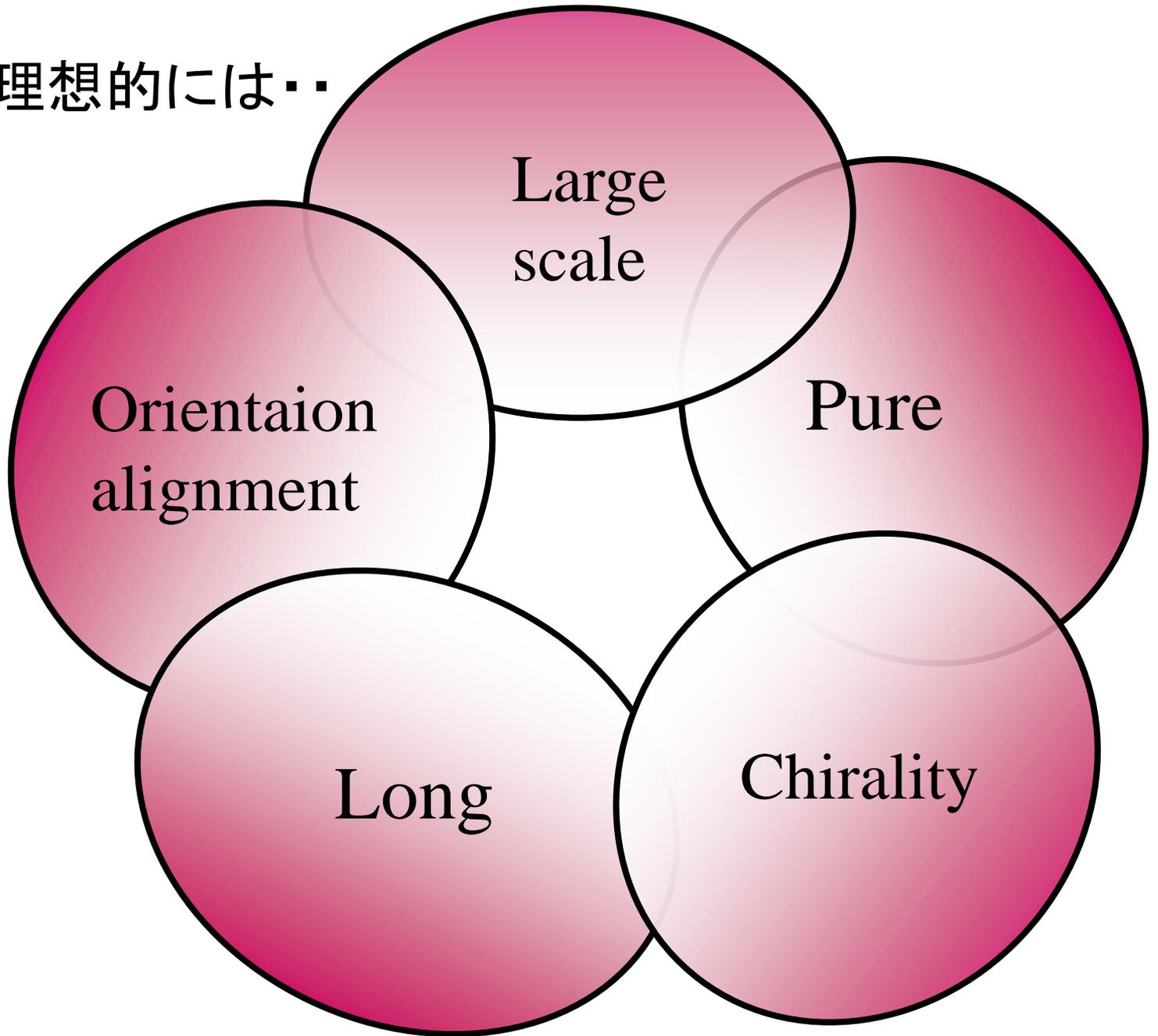
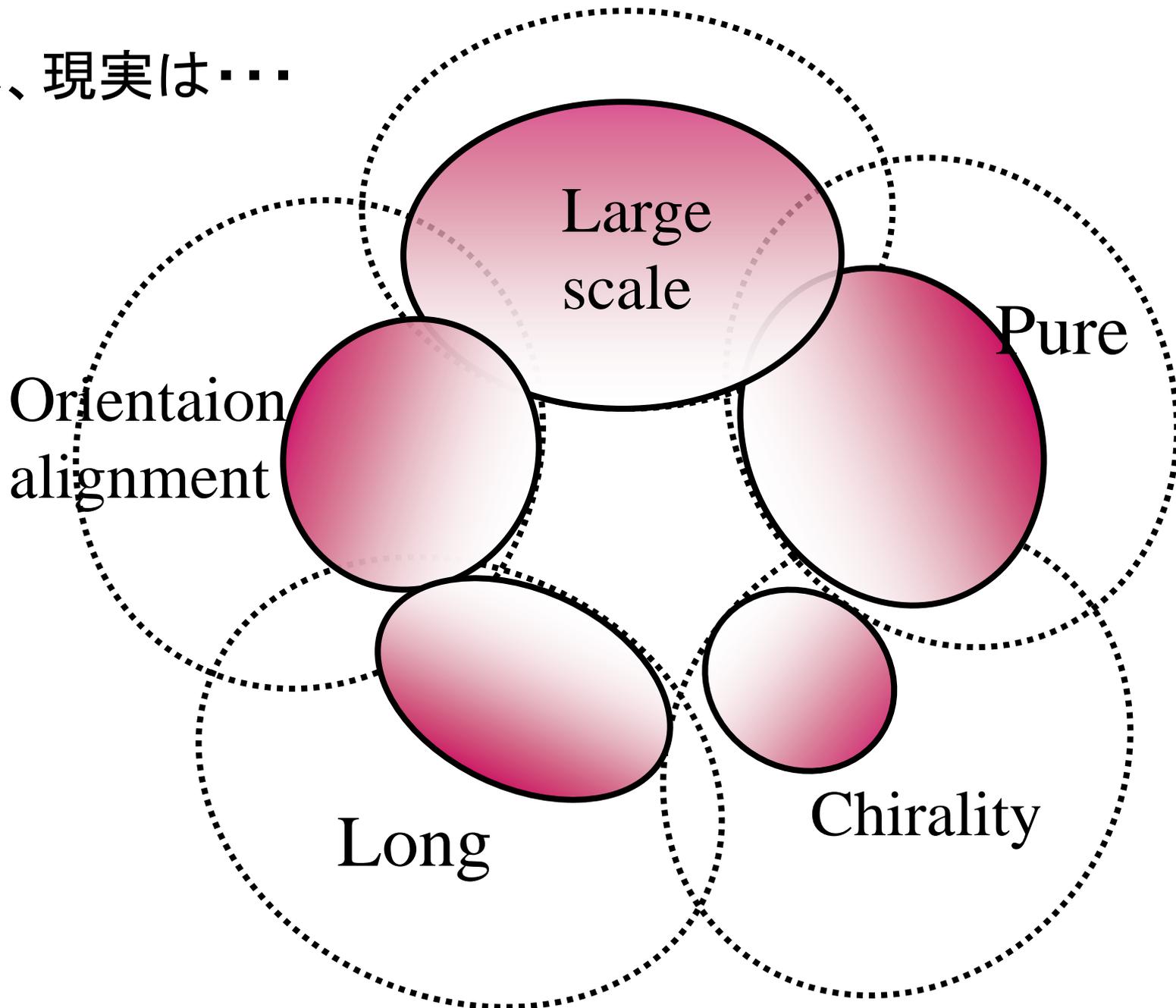


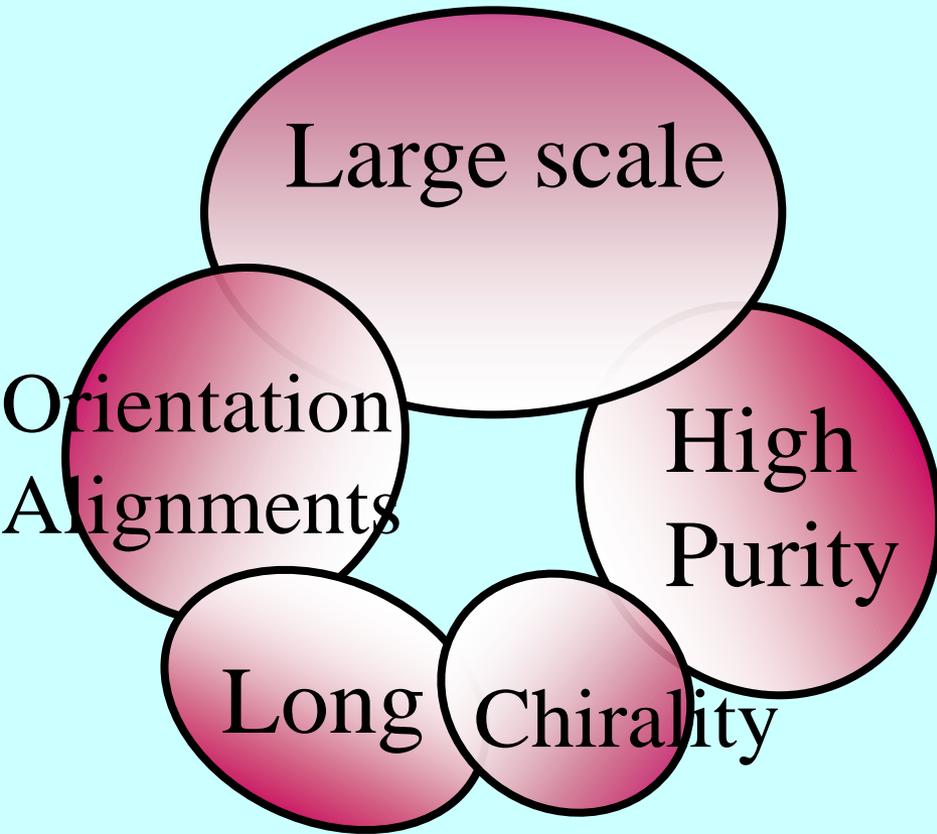
SWNT: 理想的には・・・



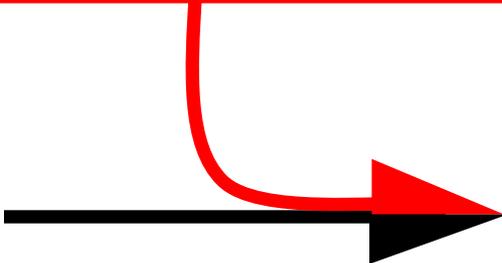
しかし、現実には...



# SWNT Production: Current Status

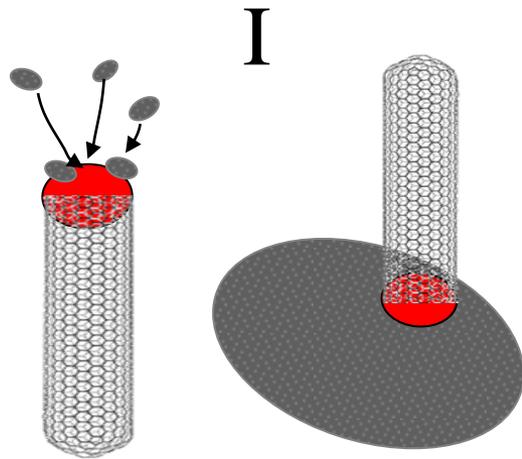


Understanding  
Formation mechanism



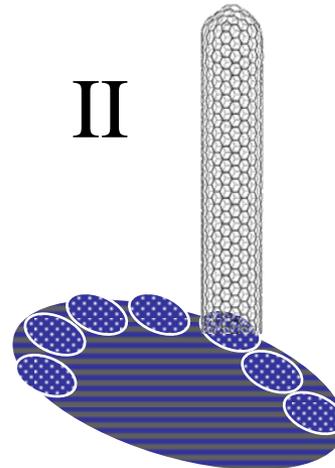
*Ideal  
Status  
of  
Production*

# *Growth Mechanism of SWNTs*



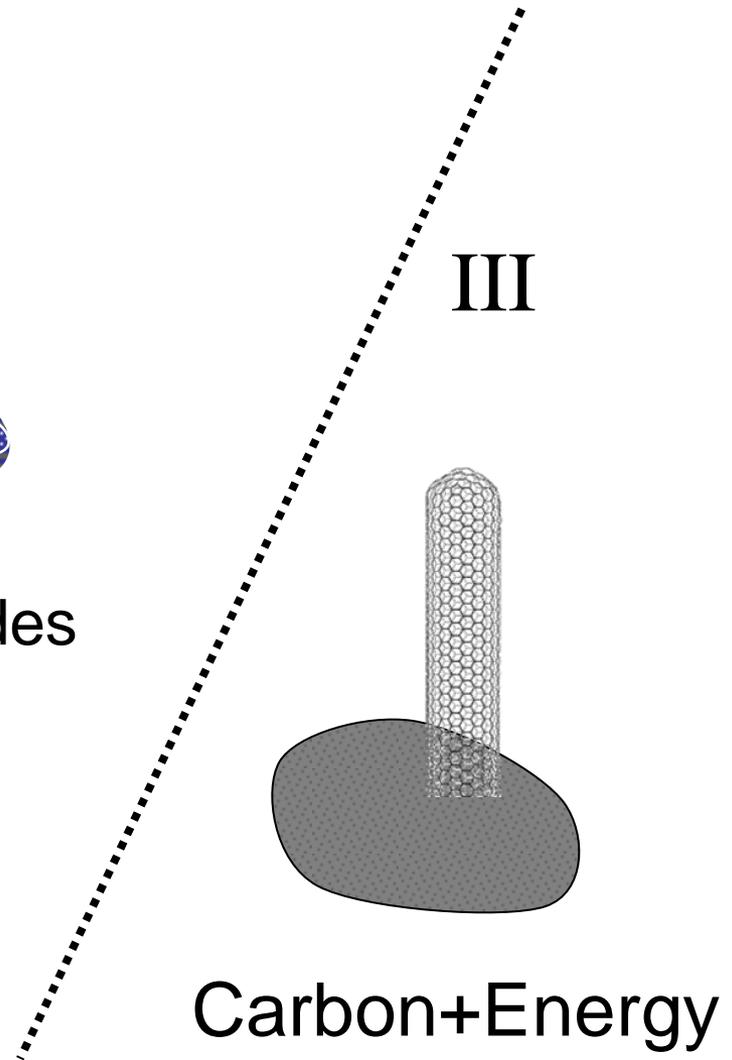
Metals

Catalysts  
(~nm)

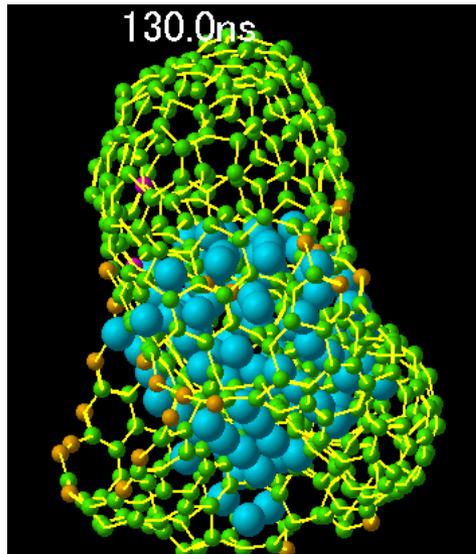
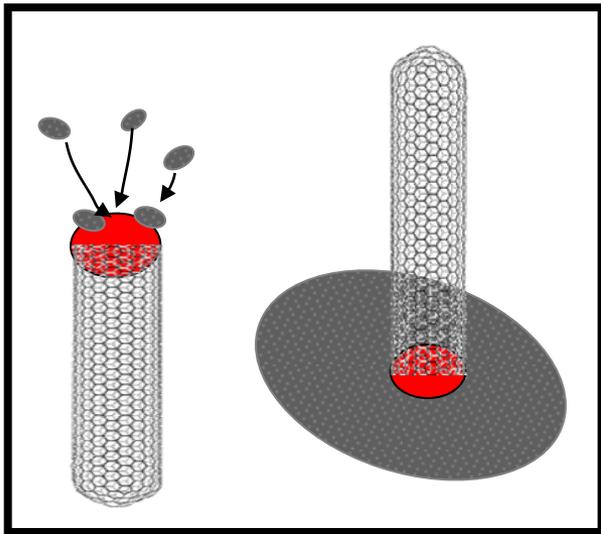


Templates

- Metal Carbides
- Diamond
- Pores

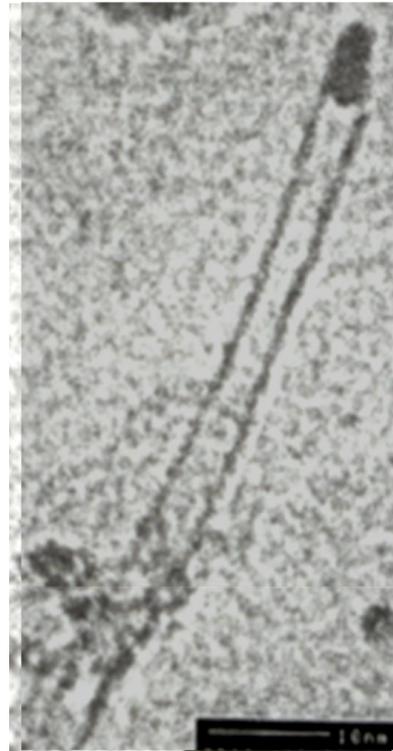


Carbon+Energy

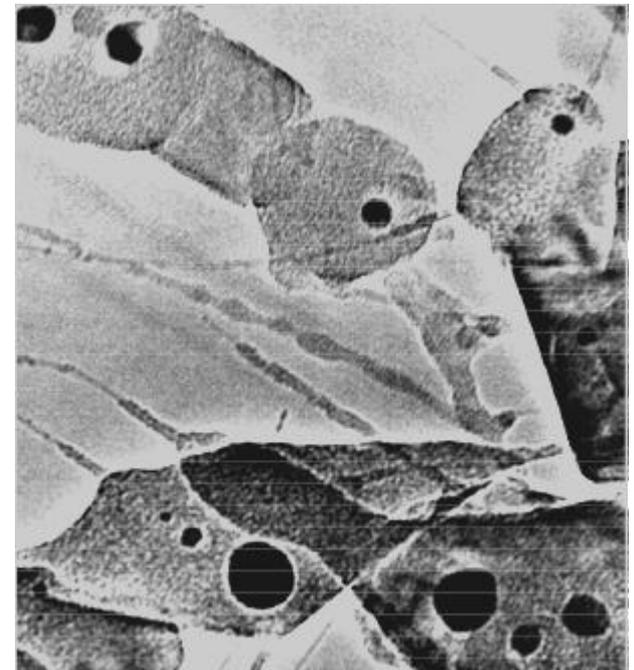


Shibuta, Maruyama (2002)

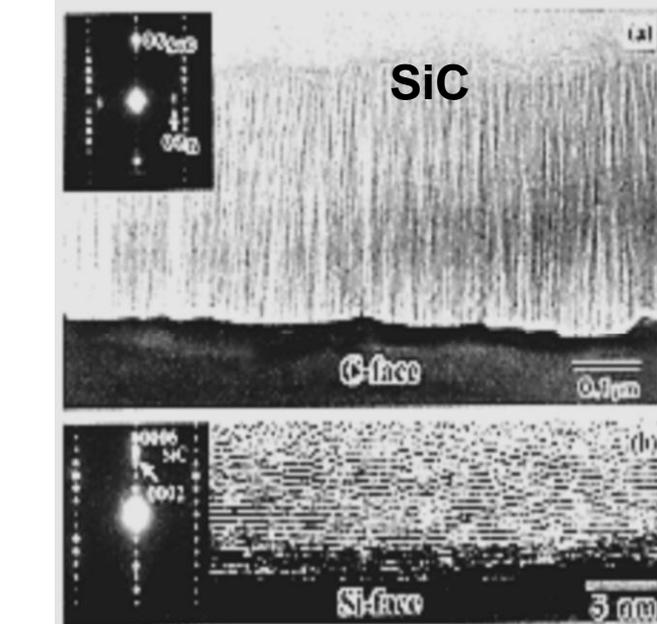
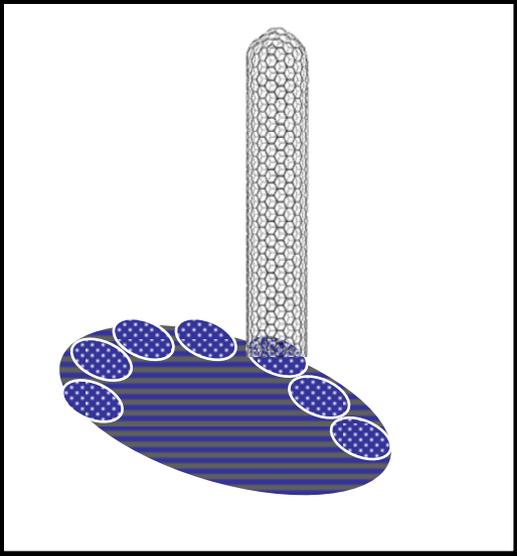
500 Carbon  
&  
 $\text{Ni}_{108}$  : 2500K



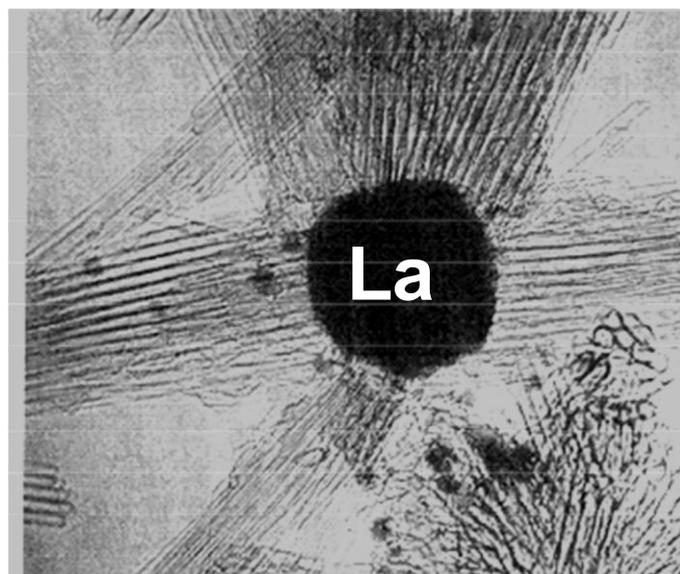
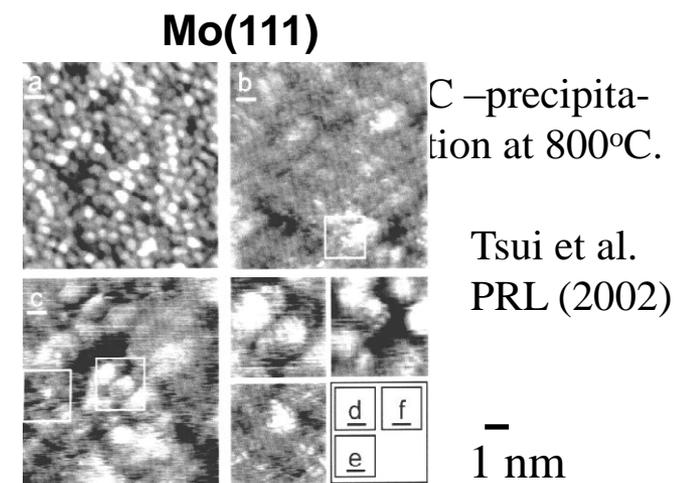
H.Dai et al.  
Chem. Phys. Lett.  
(1996).



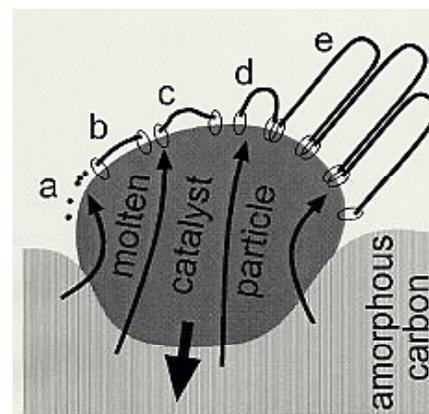
Yudasaka et al.  
JPC B 1998.



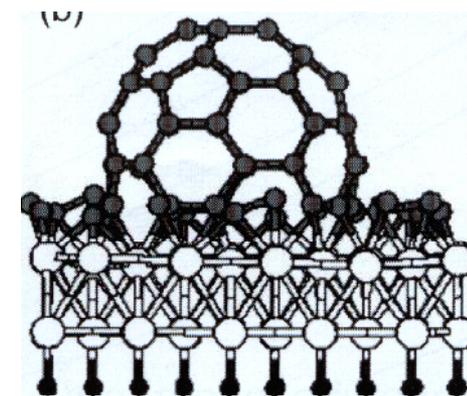
M. Kusunoki et al. Physica B (2002).



Y. Saito et al. Chem. Phys. Lett. (1995).



Gorbunov et al, Carbon (2002).



J. Gavillet et al. Carbon (2002).

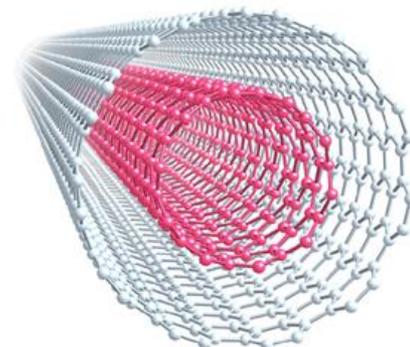
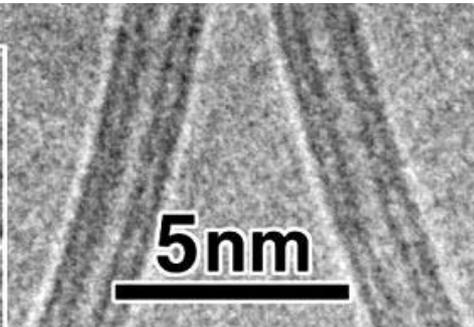
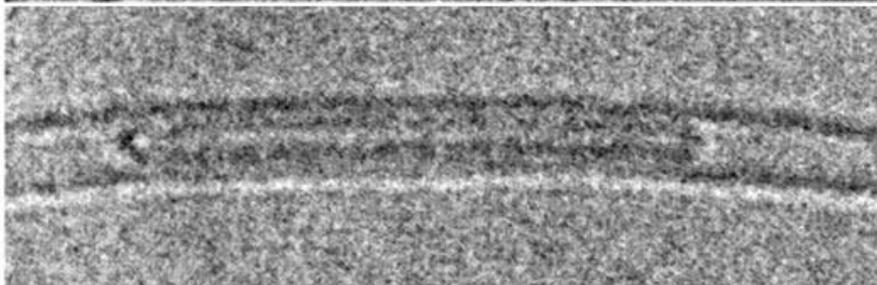
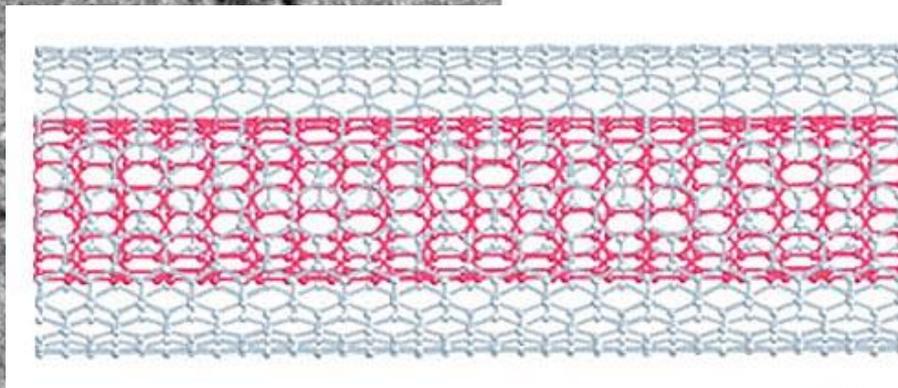
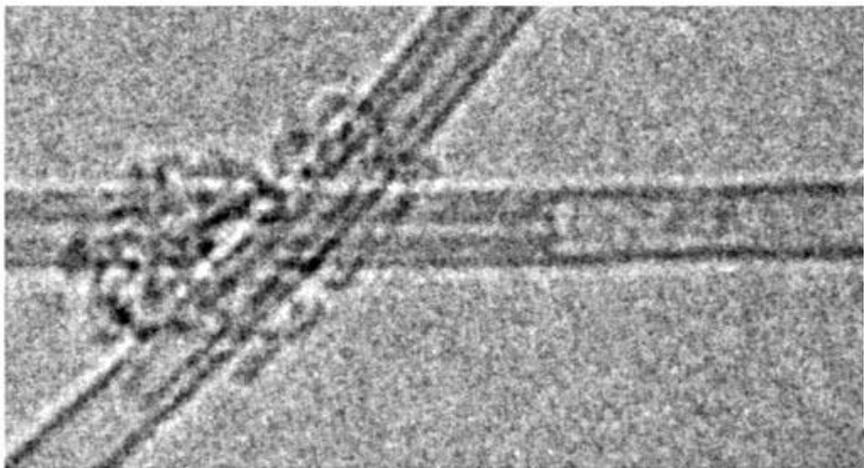
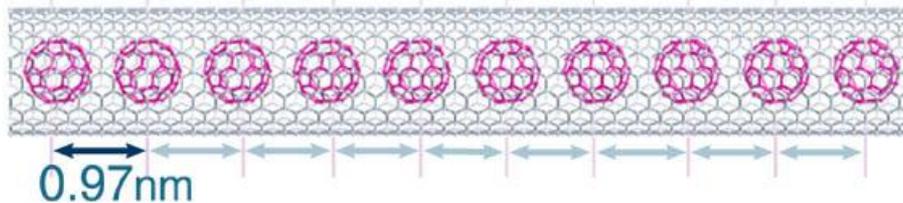
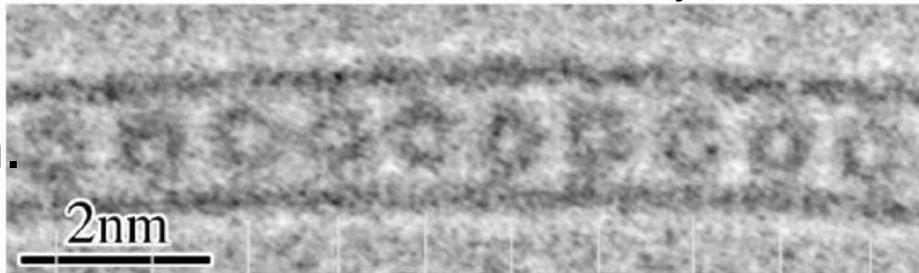
# Growth Mechanism

Bandow et al. Chem. Phys. Lett. 2001

Evidence of Template Growth.

- ◆ *Phase diagram?*
- ◆ *Catalyst?*
- ◆ *New type?*

HT 1200°C

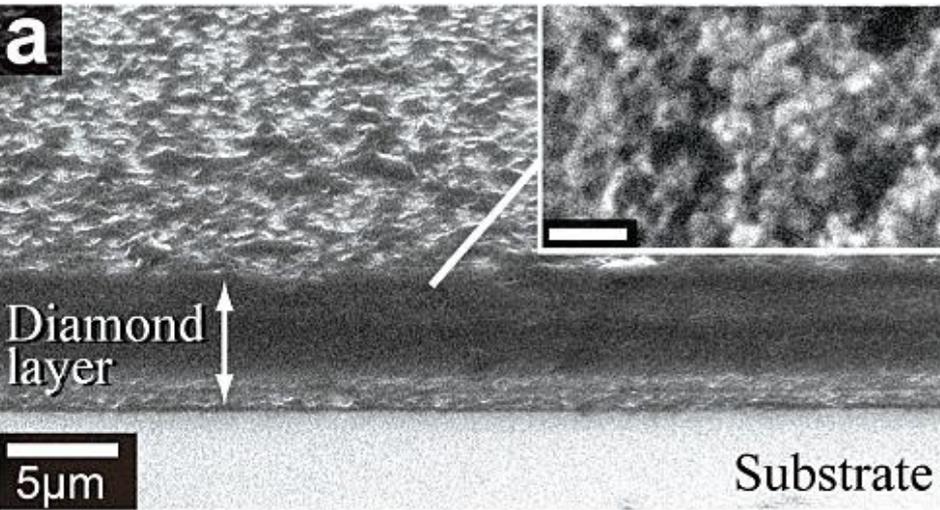


# Carbon Nanotube Growth from Diamond

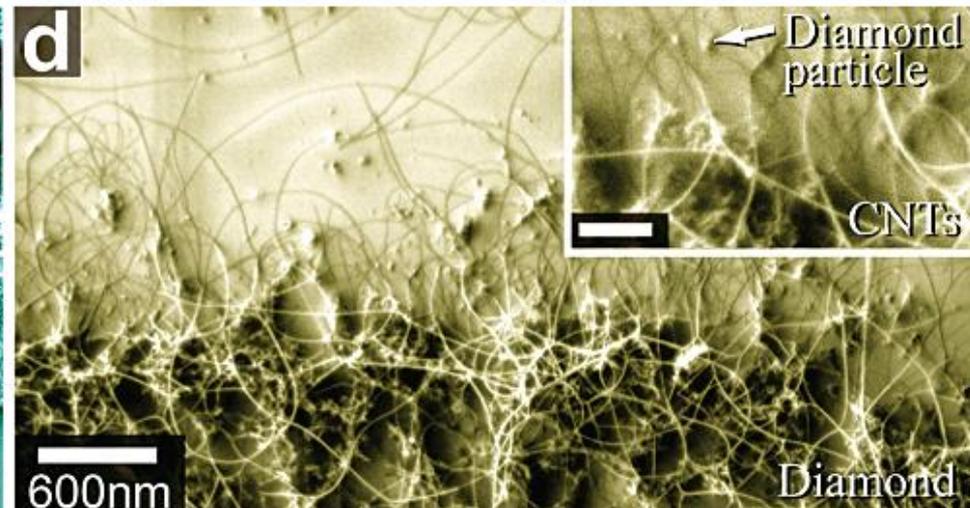
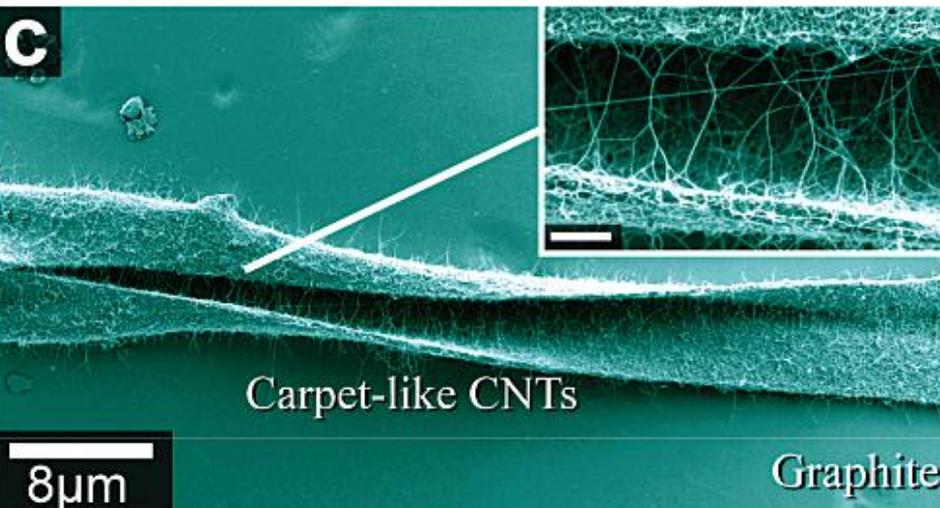
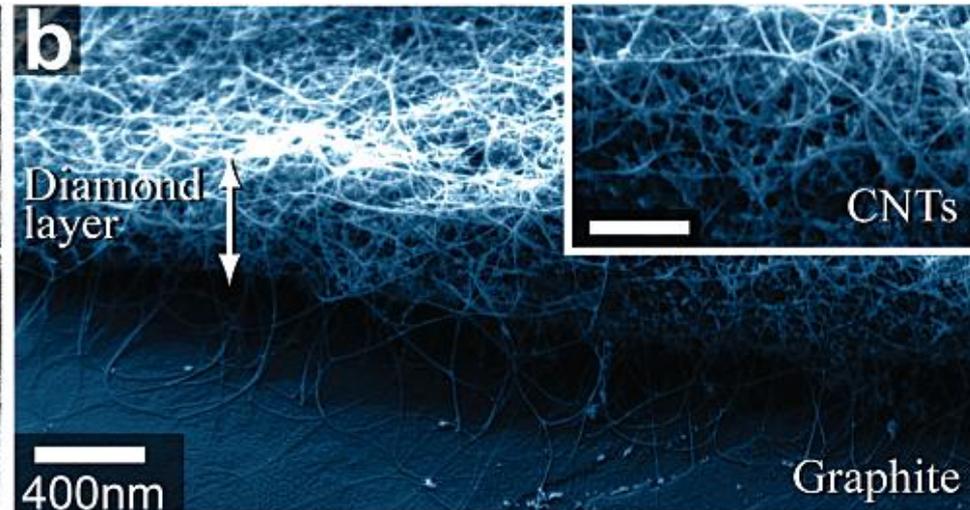
Takagi, Kobayashi, Homma, JACS 2009

EtOH CVD 850°C

Nanodiamond



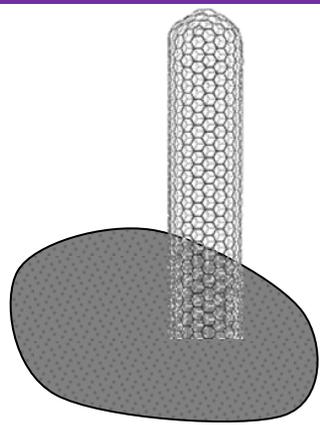
CNT/Nanodiamond/Graphite



CNT/graphite

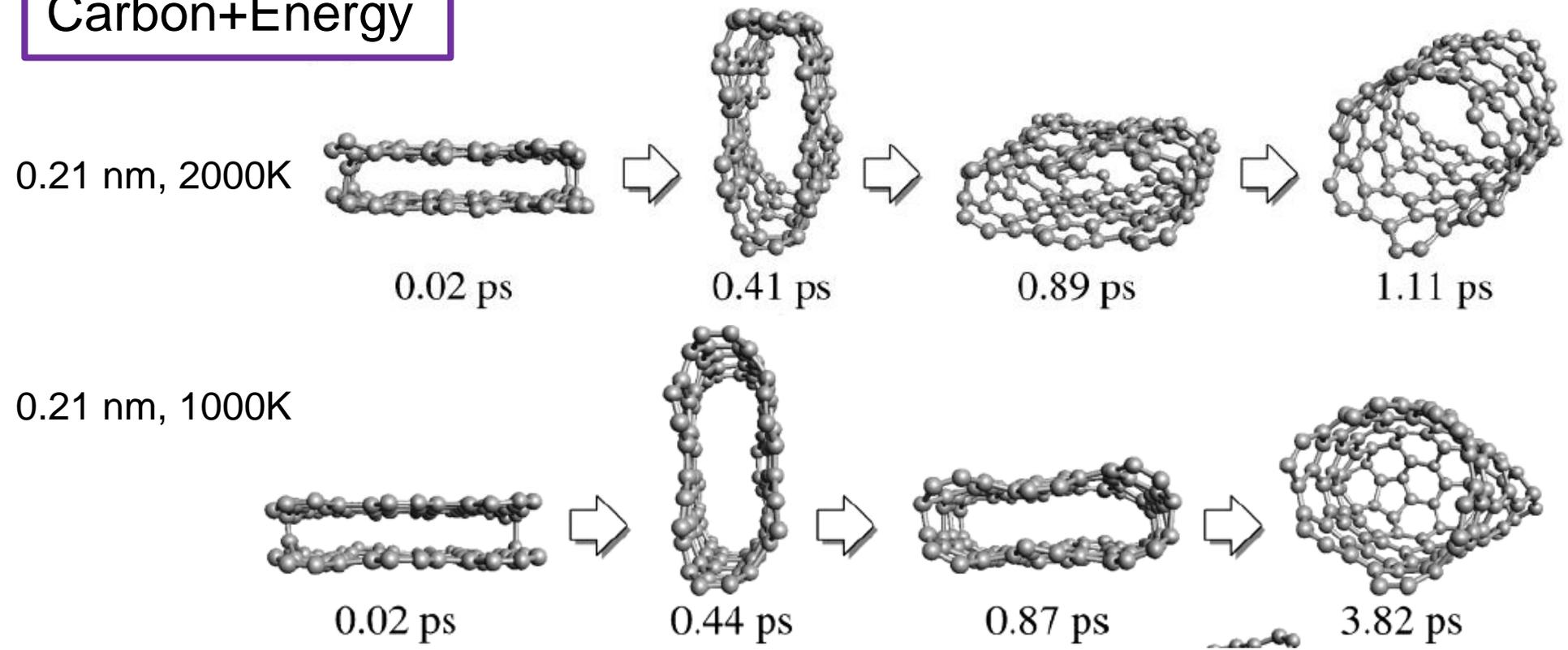
CNT/Nanodiamond/diamond

# Nucleation of SWNT Growth Without Metal Catalysts and Templates.



Carbon+Energy

Kawai et al. *Phys. Rev. B* **66**(2002)033404



Cap formation probability is about 50% at 1000K.

It decreases at higher temperature.

\*Zhang & Crespi *PRB* **45**(1992)12227

# SWNTを製造するためには、

- 炭素(源)を供給する。
  - 反応エネルギーを与える。
- 
- 金属触媒の粒子を作る(溶解・析出)  
SWNT 直径1~2 nm, (MWNT; 直径3~20 nm)
  - 鑄型を使う(表面同士の相互作用)  
Channels in  $\text{AlPO}_4-5$  (Z.K. Tang et al, Nature 408(2000)50  
Appl. Phys. Lett. 73(1998)2287.) *Metal free growth : Pyrolyzing tripropylamine in the channels of the zeolite crystals. 0.4-nm SWNTs are formed which show superconductivity.*  
SiC, SWNT, Diamond, et al

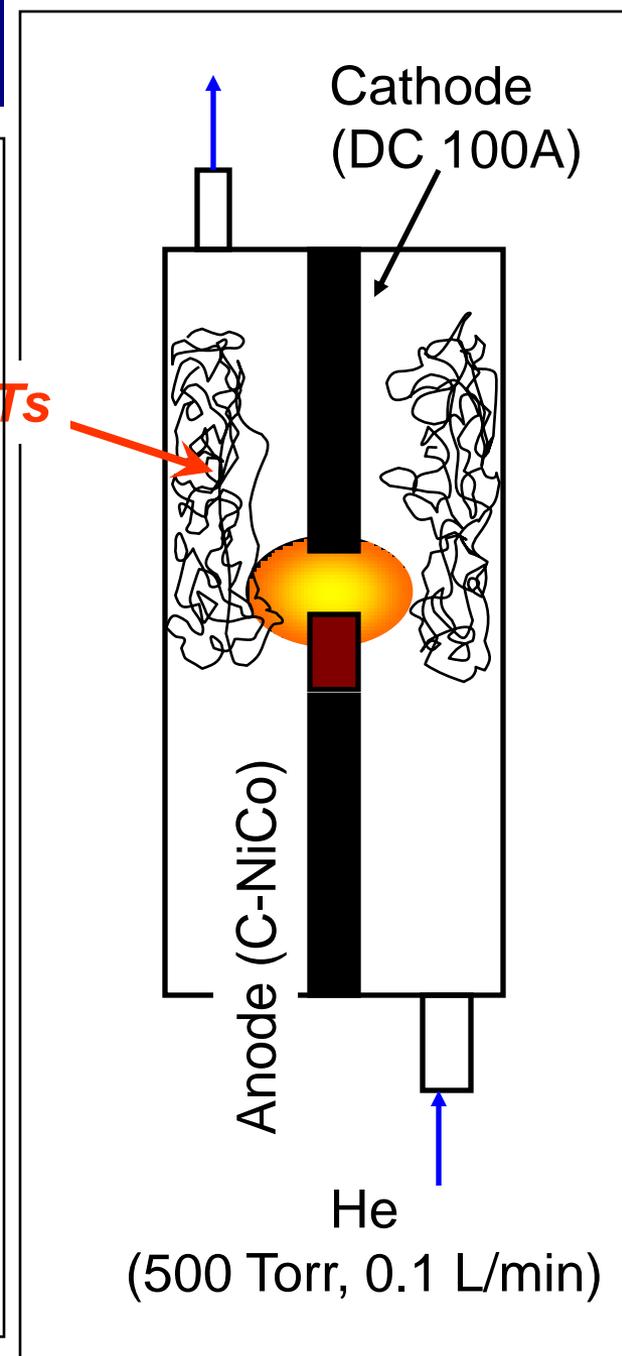
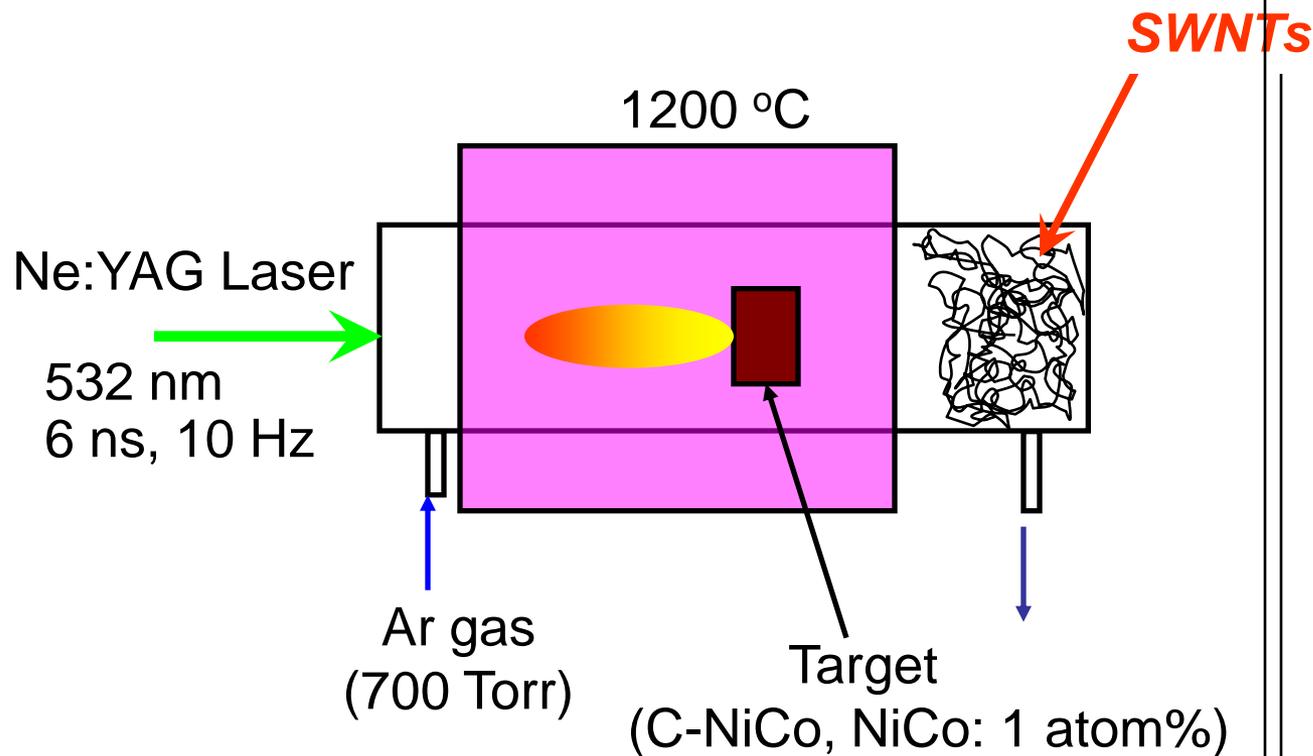
レーザーアブレーション法  
アーク放電法  
CVD法

# Formation of Single-Wall Carbon Nanotubes by Laser Ablation and Arc Discharge

*Production rate: ~50 mg/h*

*Purity : 20~30%*

*Tube diameter : 1.2~1.5 nm*



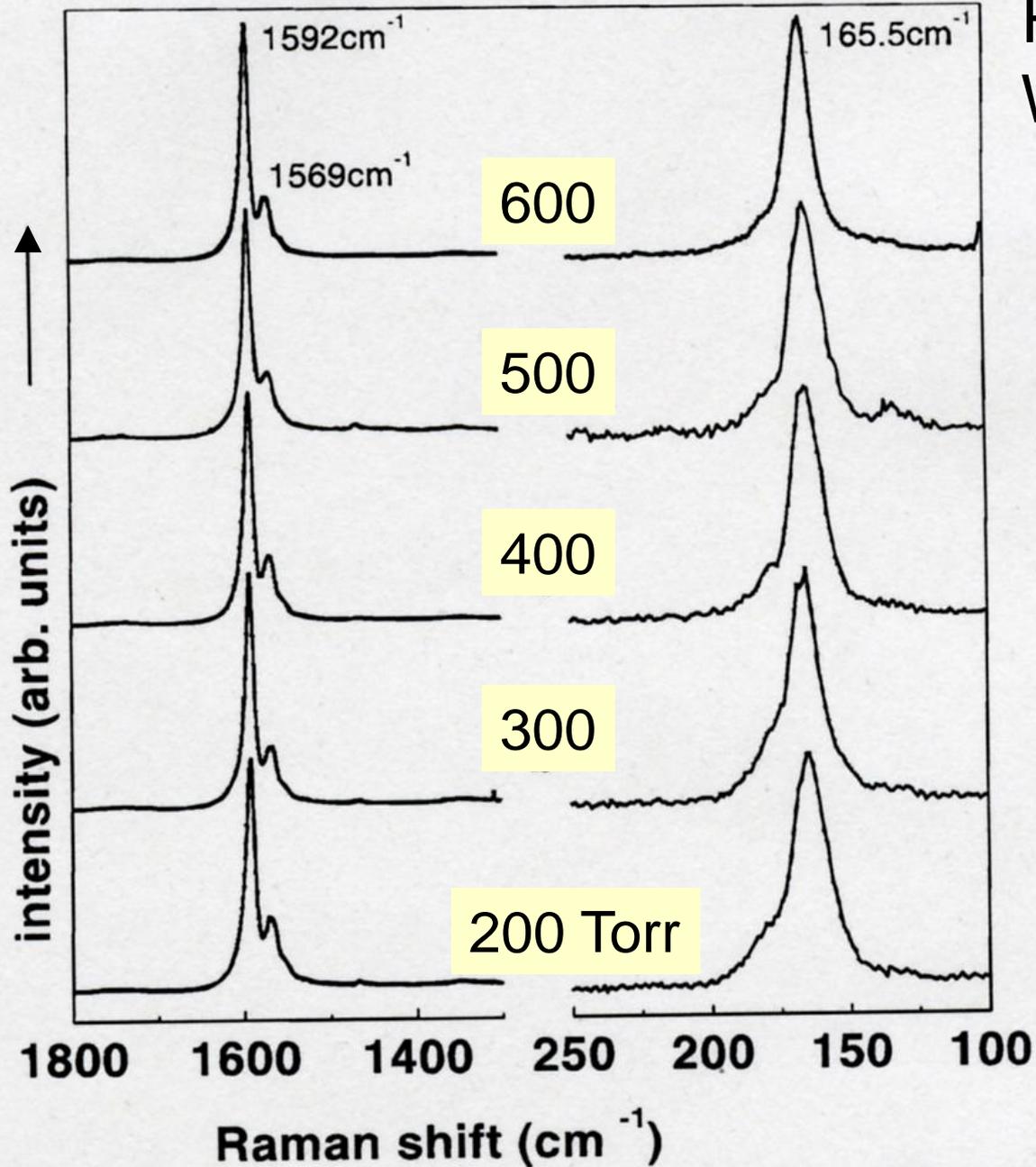
Guo et al. *Chem. Phys. Lett.* 243, 49, **1995**.

Thess et al. *Science* 273, 483, **1996**.



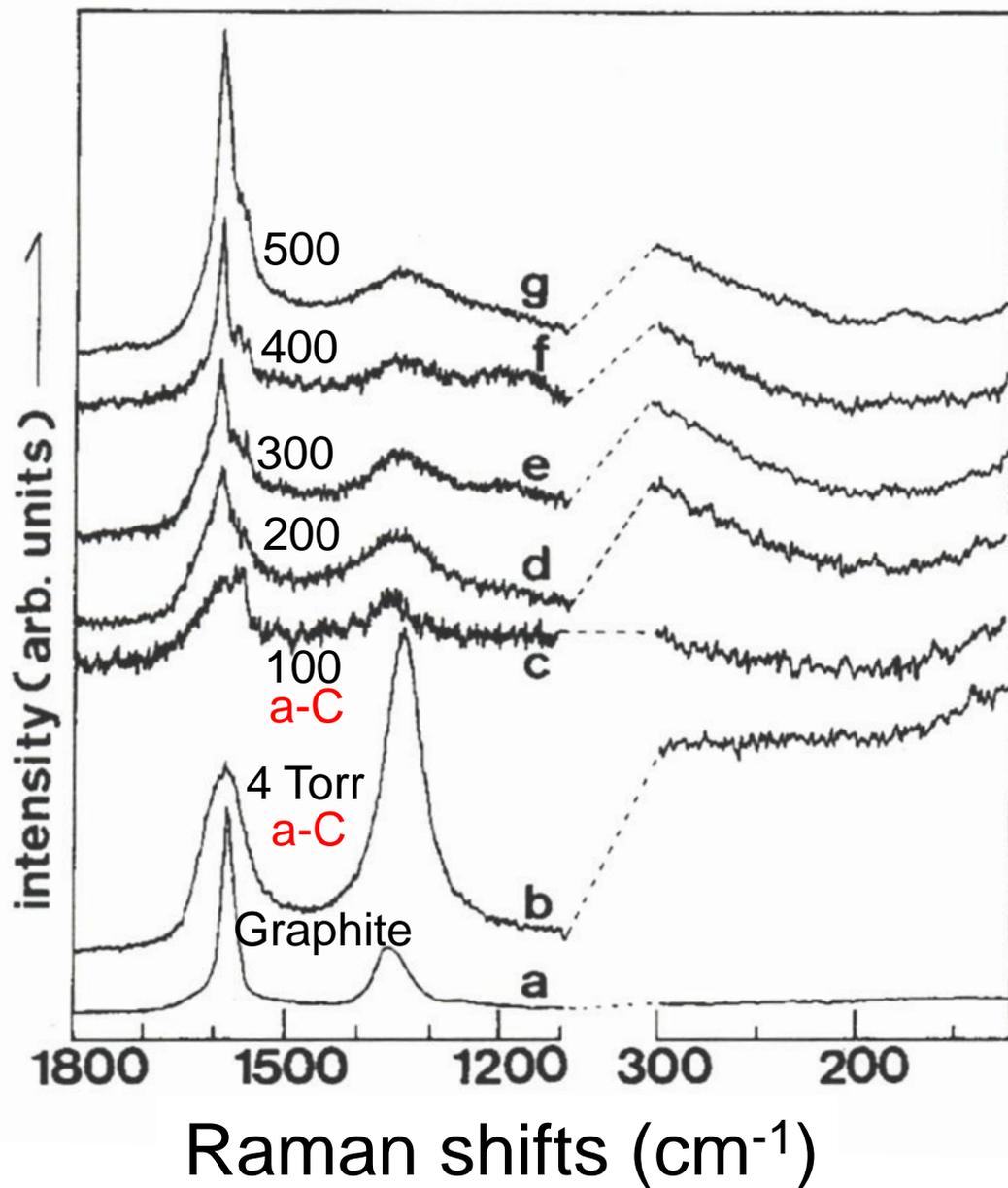
H.Dai et al.  
Chem. Phys. Lett. 260(1996)471.

# Raman spectra of Web-like deposits

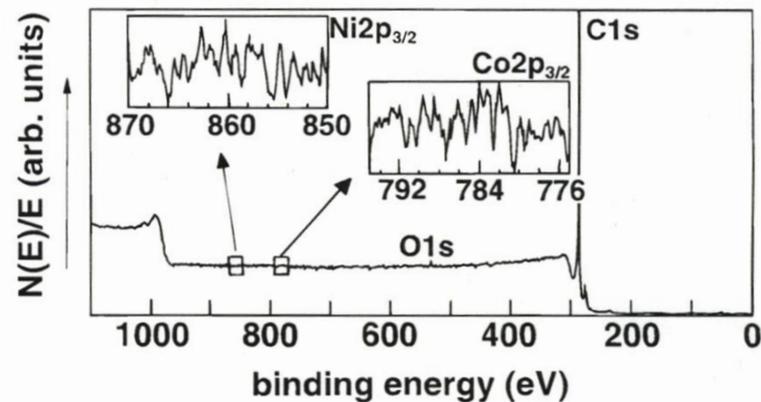


4 and 100 Torr  
No SWNTs.  
?

# Deposits in front of targets

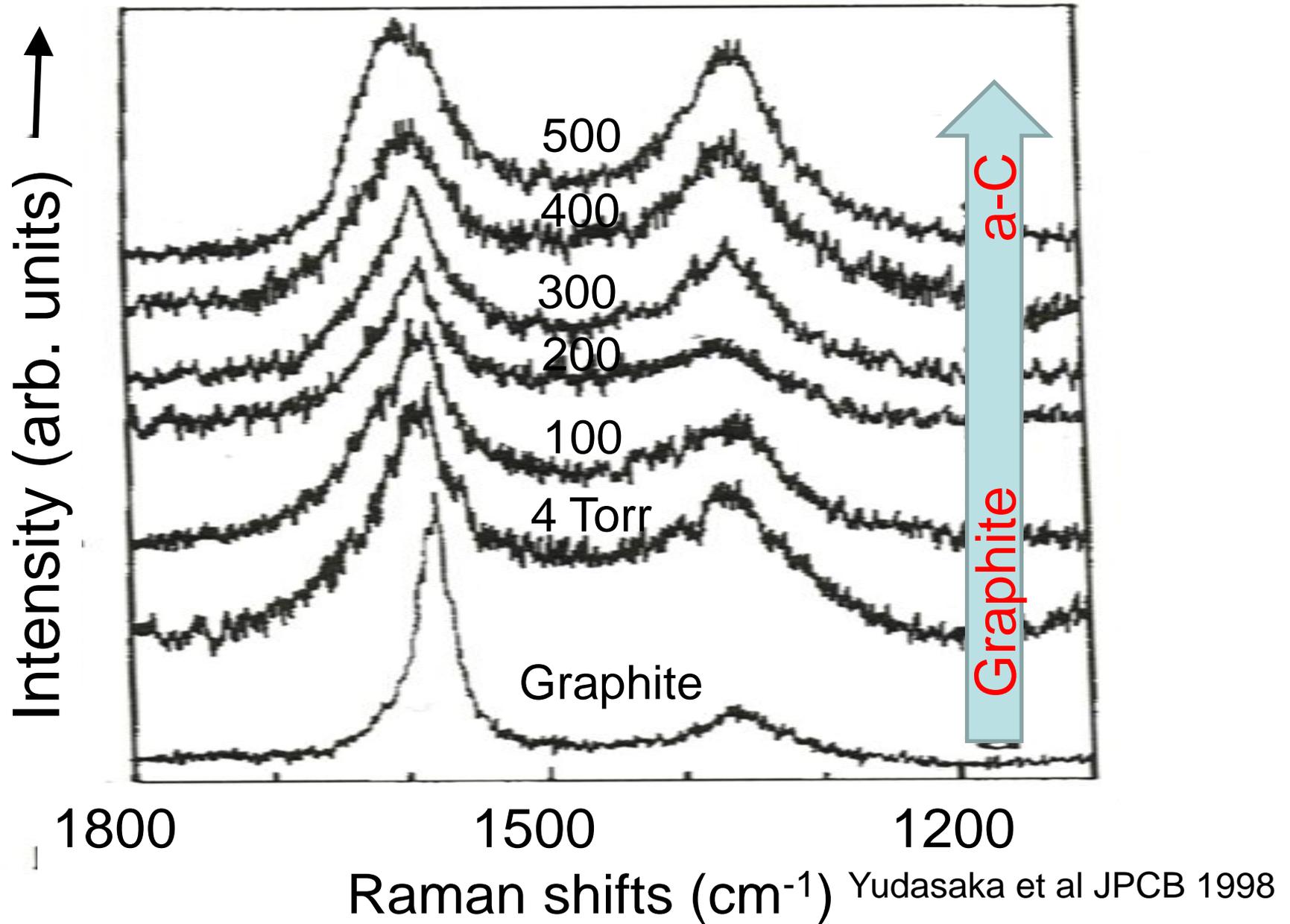


XPS: Ni, Co not exist on  
Deposits at 4 Torr

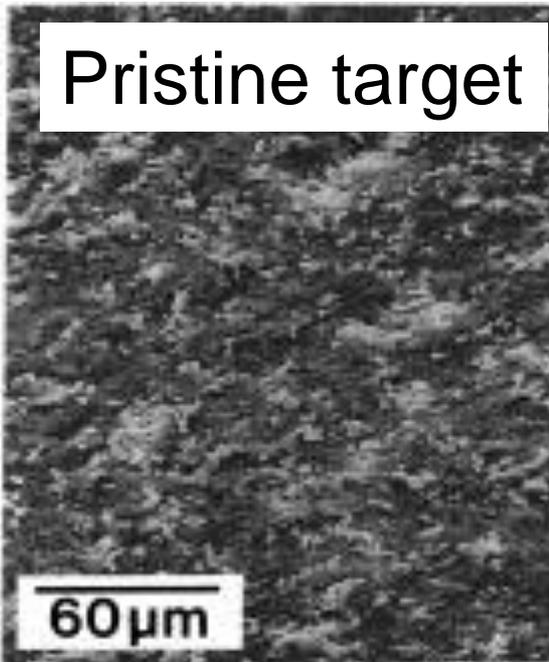


Yudasaka et al JPCB 1998

# Raman spectra of graphite targets



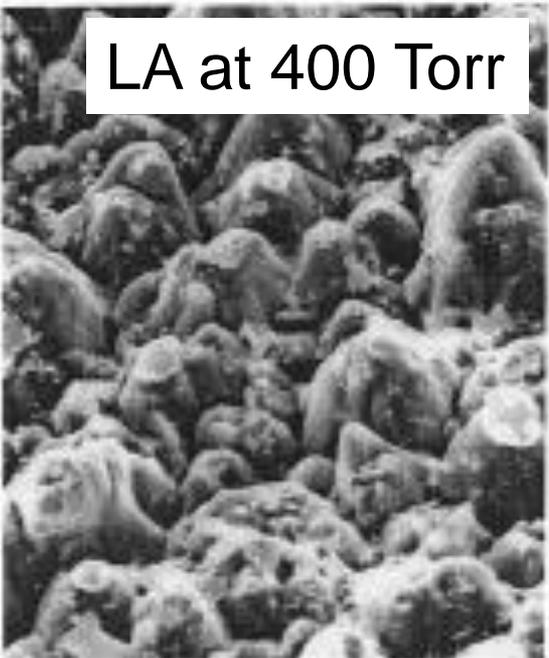
Pristine target



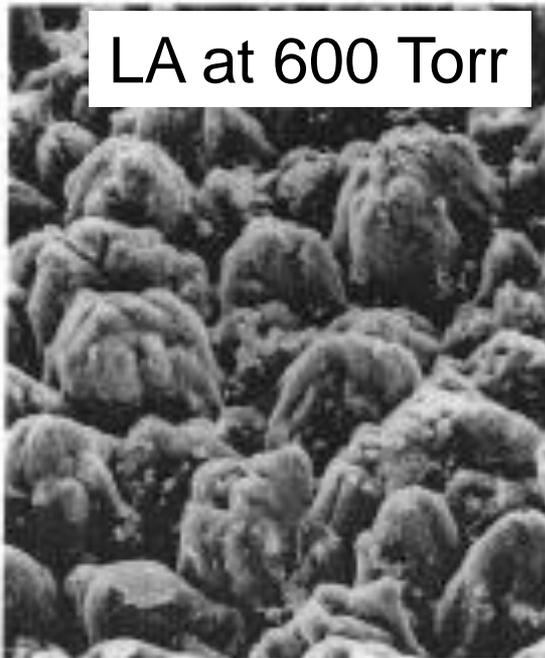
LA at 4 Torr



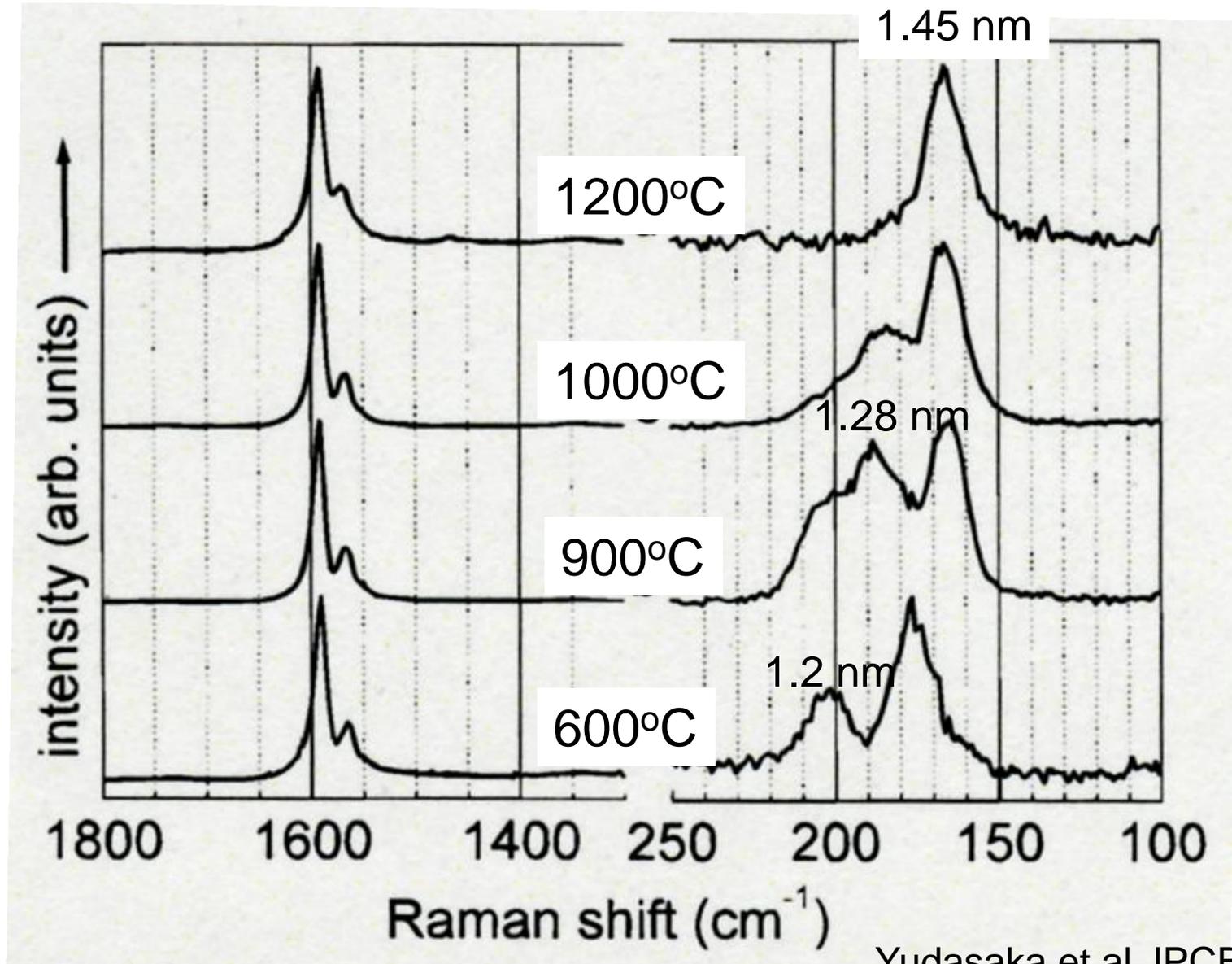
LA at 400 Torr

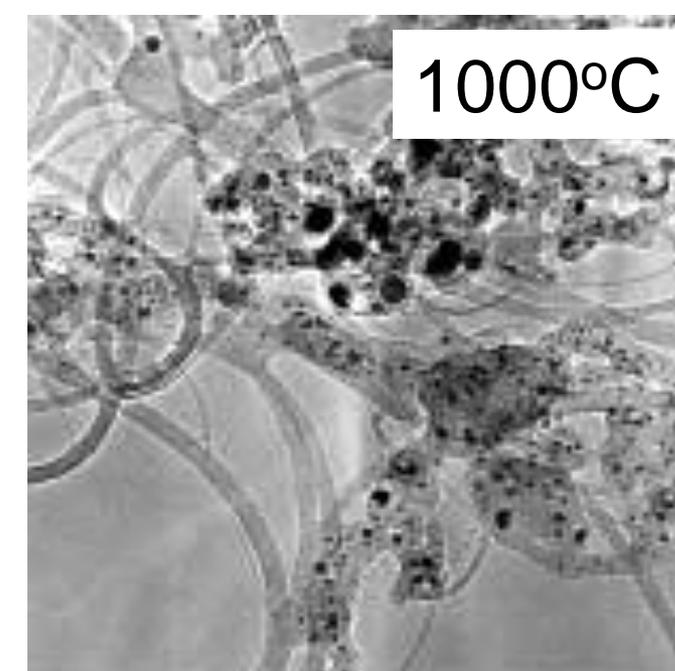
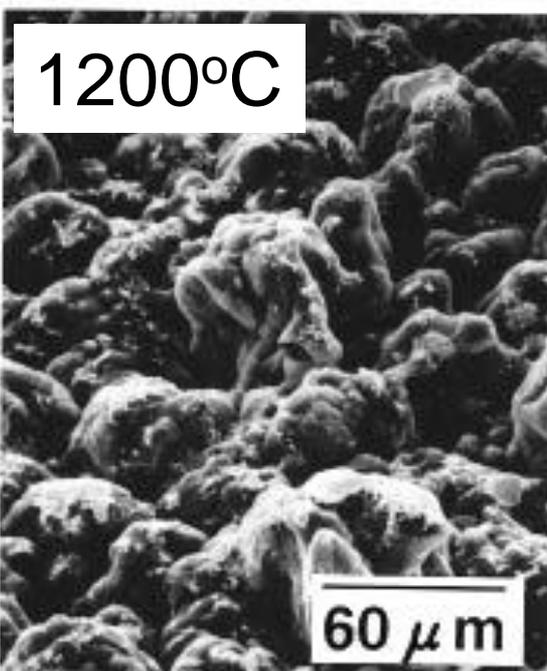
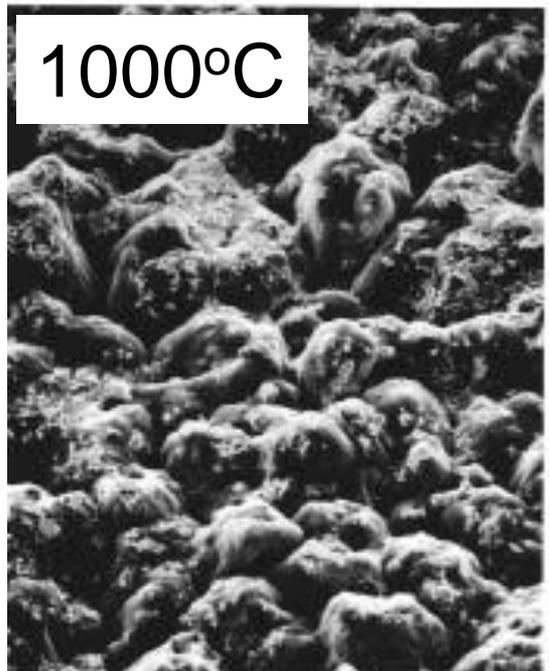
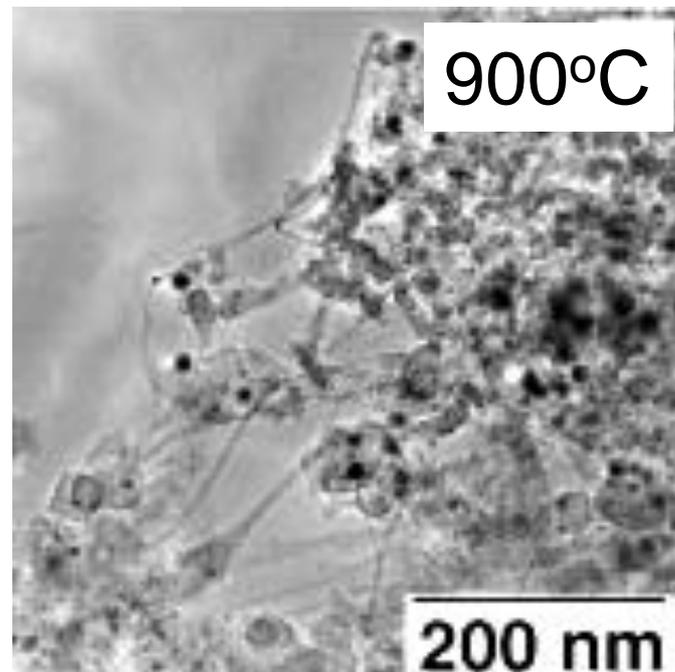
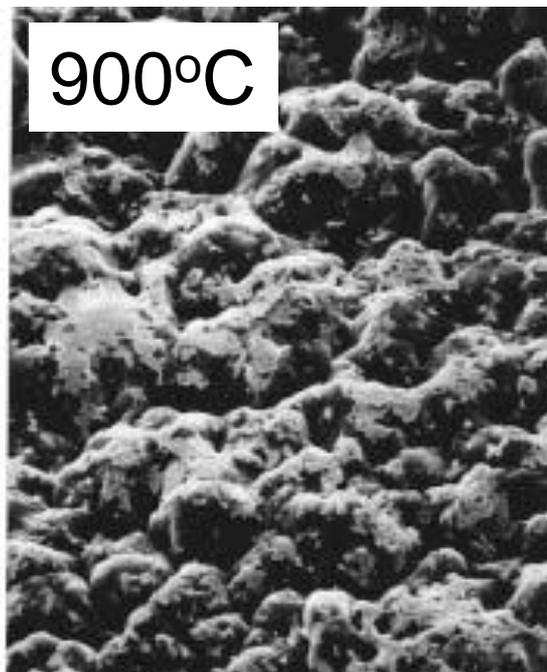
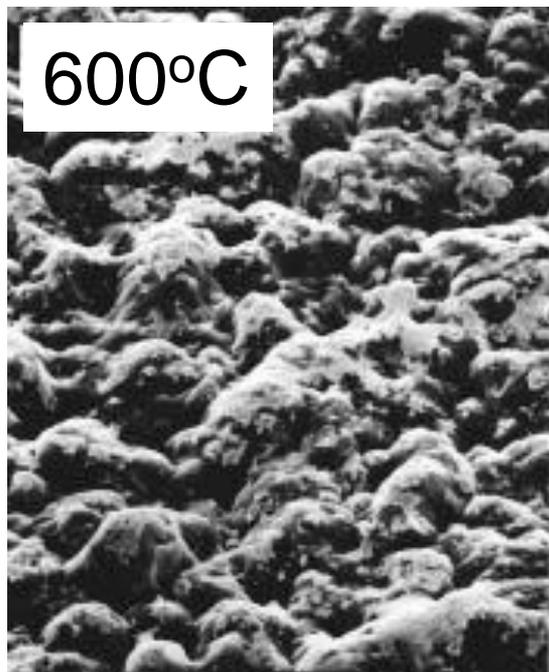


LA at 600 Torr

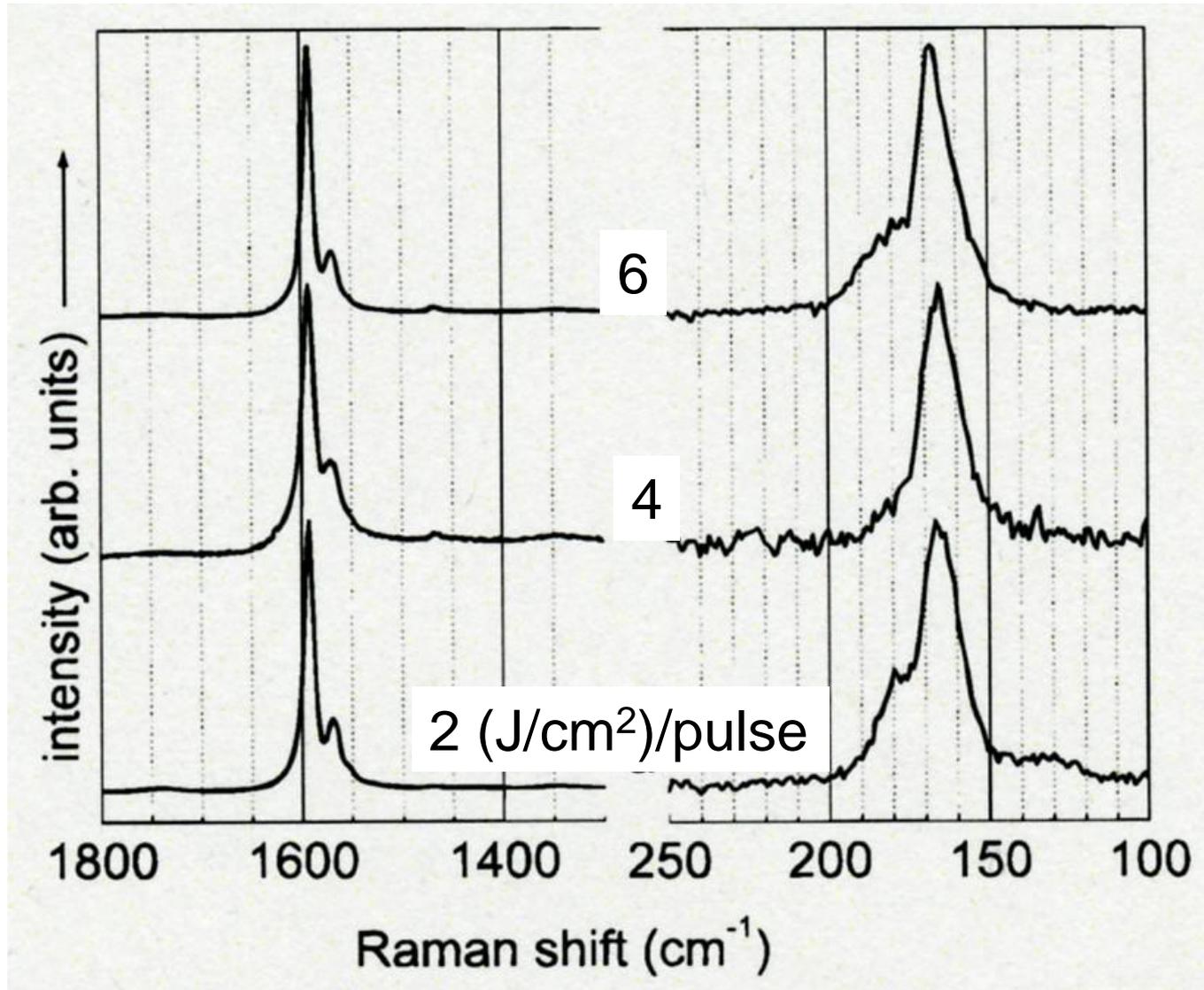


SWNT diameter decreased with ambient temperature.

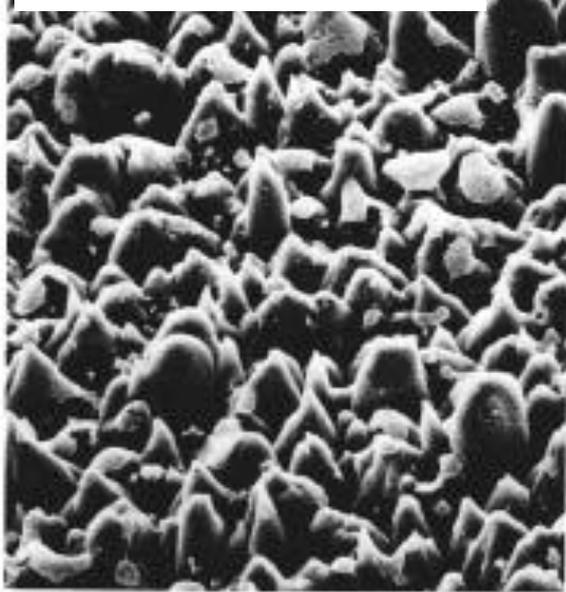




SWNT diameters did not depend  
on laser power intensity



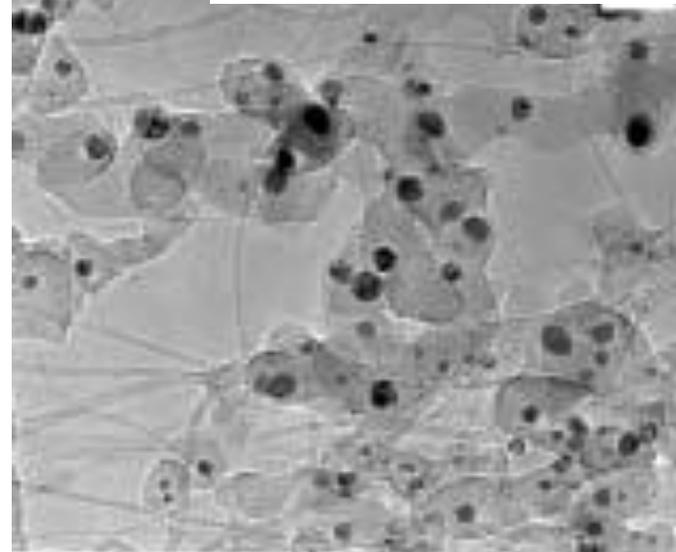
1 (J/cm<sup>2</sup>)/pulse



2 (J/cm<sup>2</sup>)/pulse



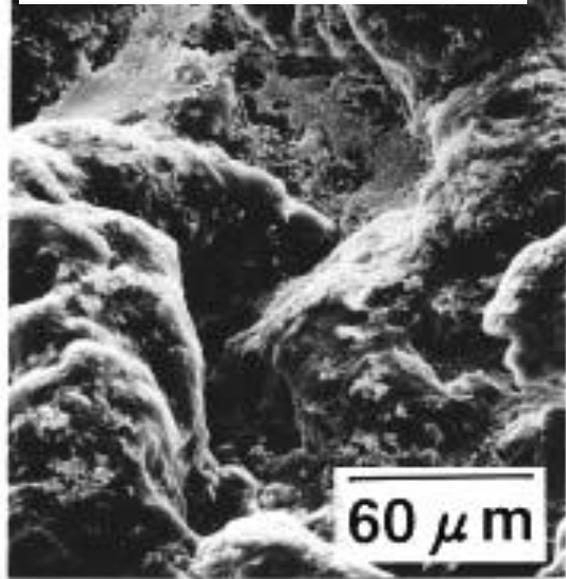
2 (J/cm<sup>2</sup>)/pulse



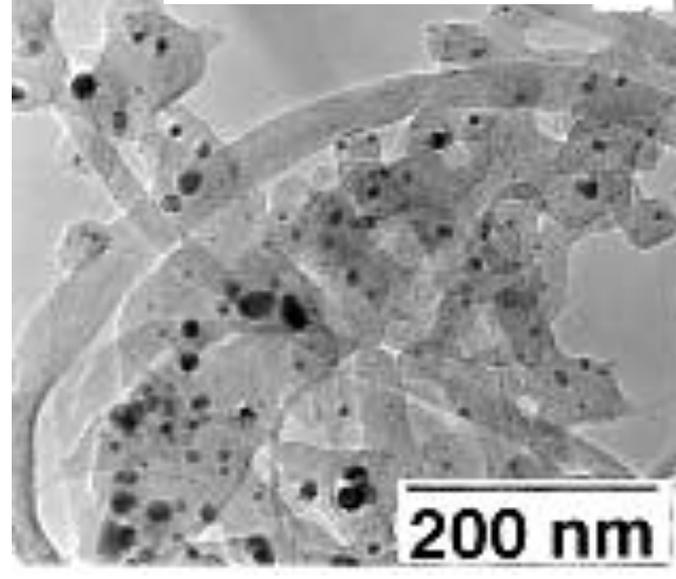
4 (J/cm<sup>2</sup>)/pulse



12 (J/cm<sup>2</sup>)/pulse



10 (J/cm<sup>2</sup>)/pulse

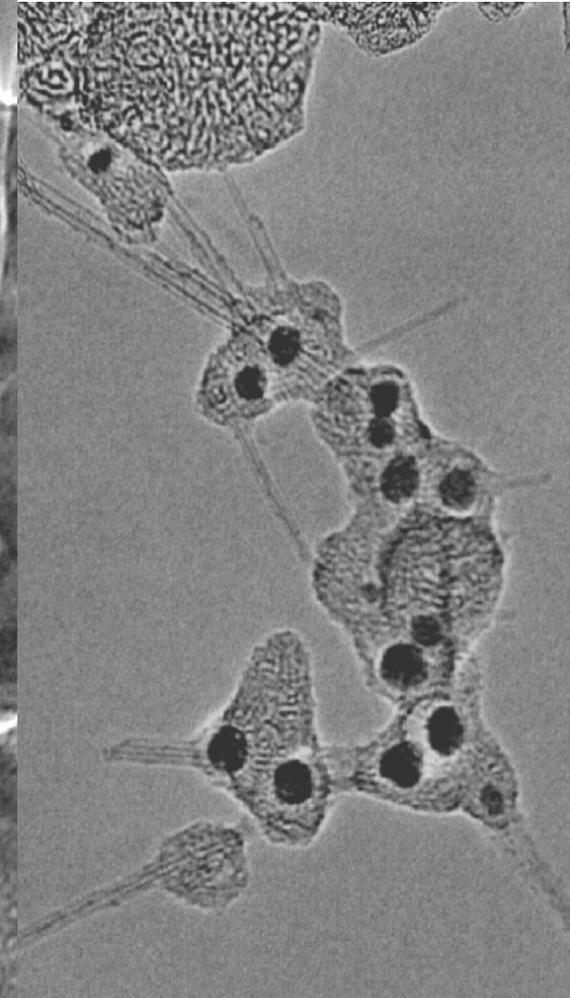
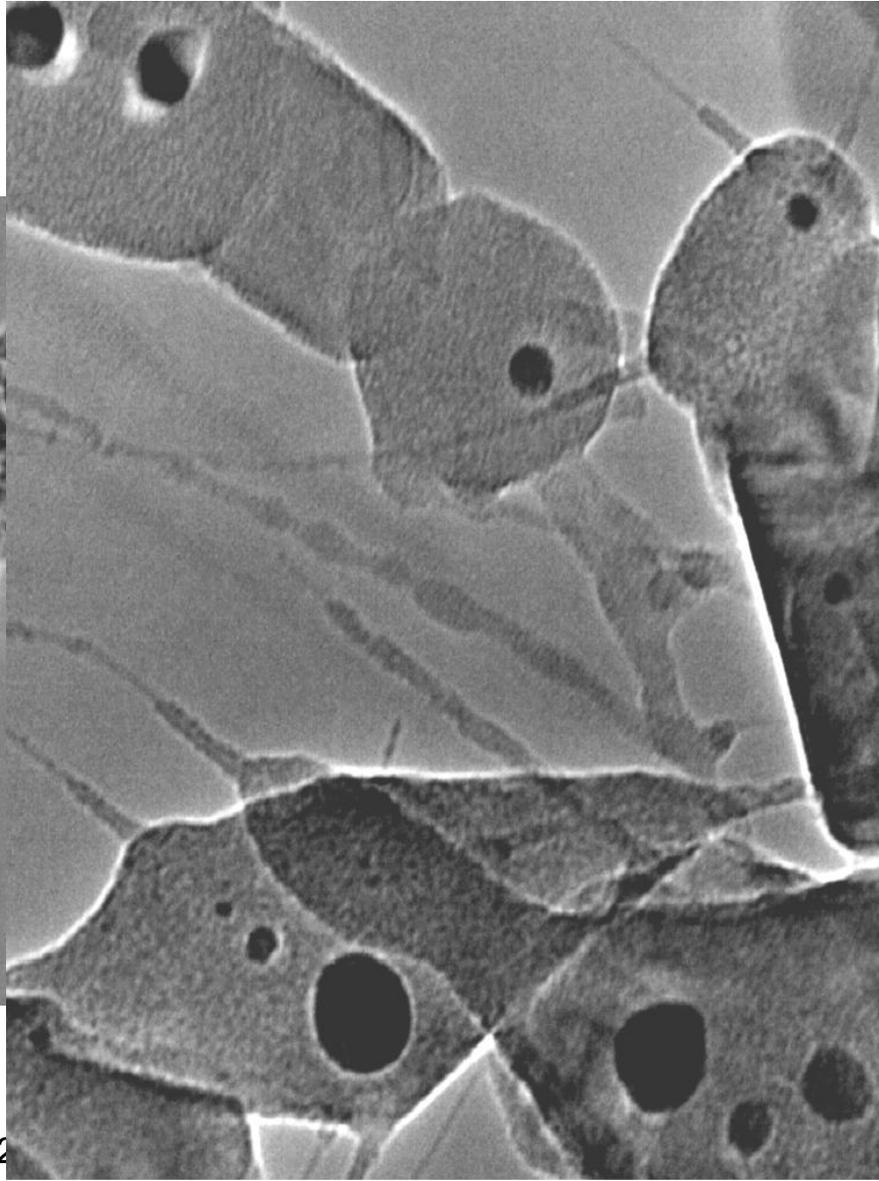
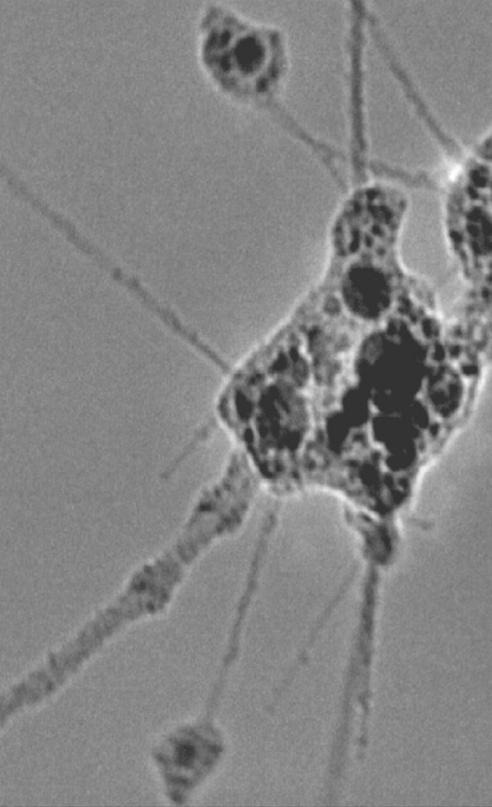


*Nucleation and Growth of SWNTs*  
*Individual SWNTs Start to Grow from a-C Having Metal Particles Inside*

Nd:YAG laser ablation

CO<sub>2</sub> laser ablation

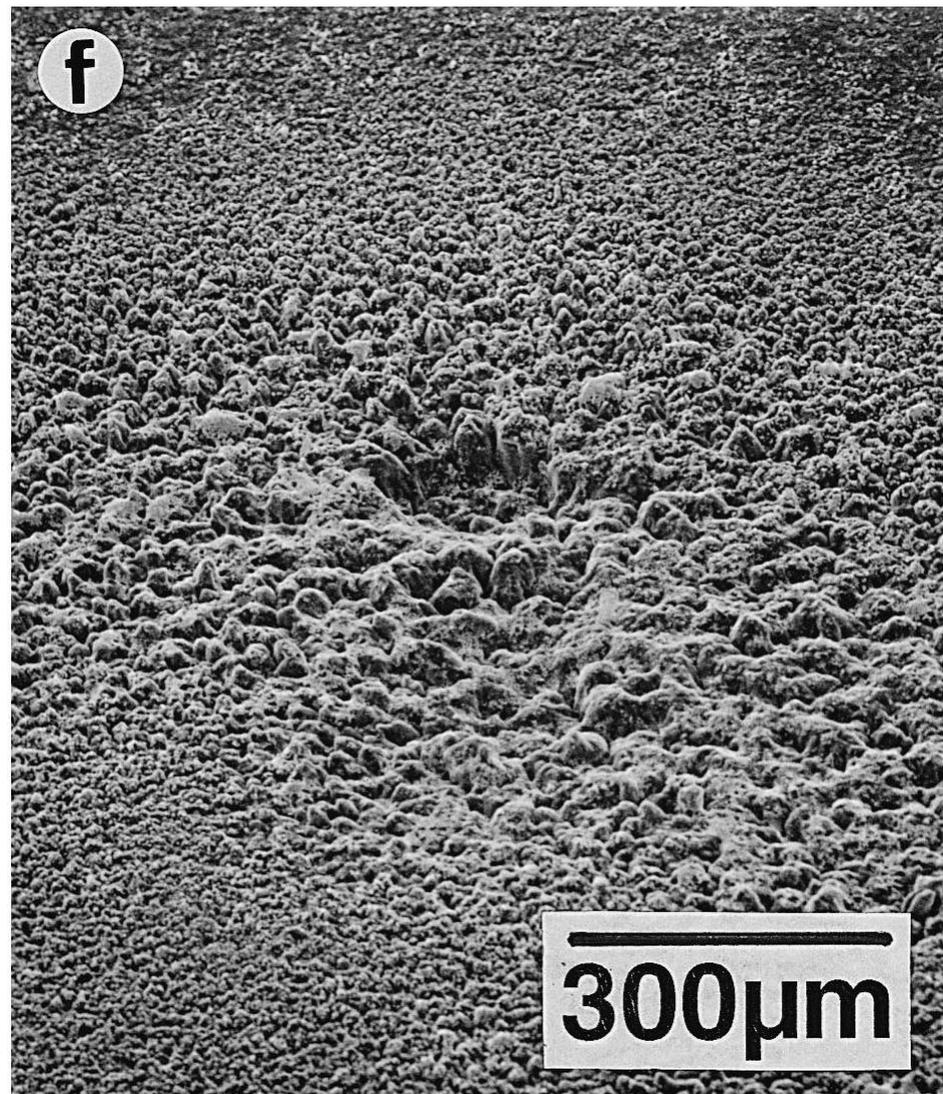
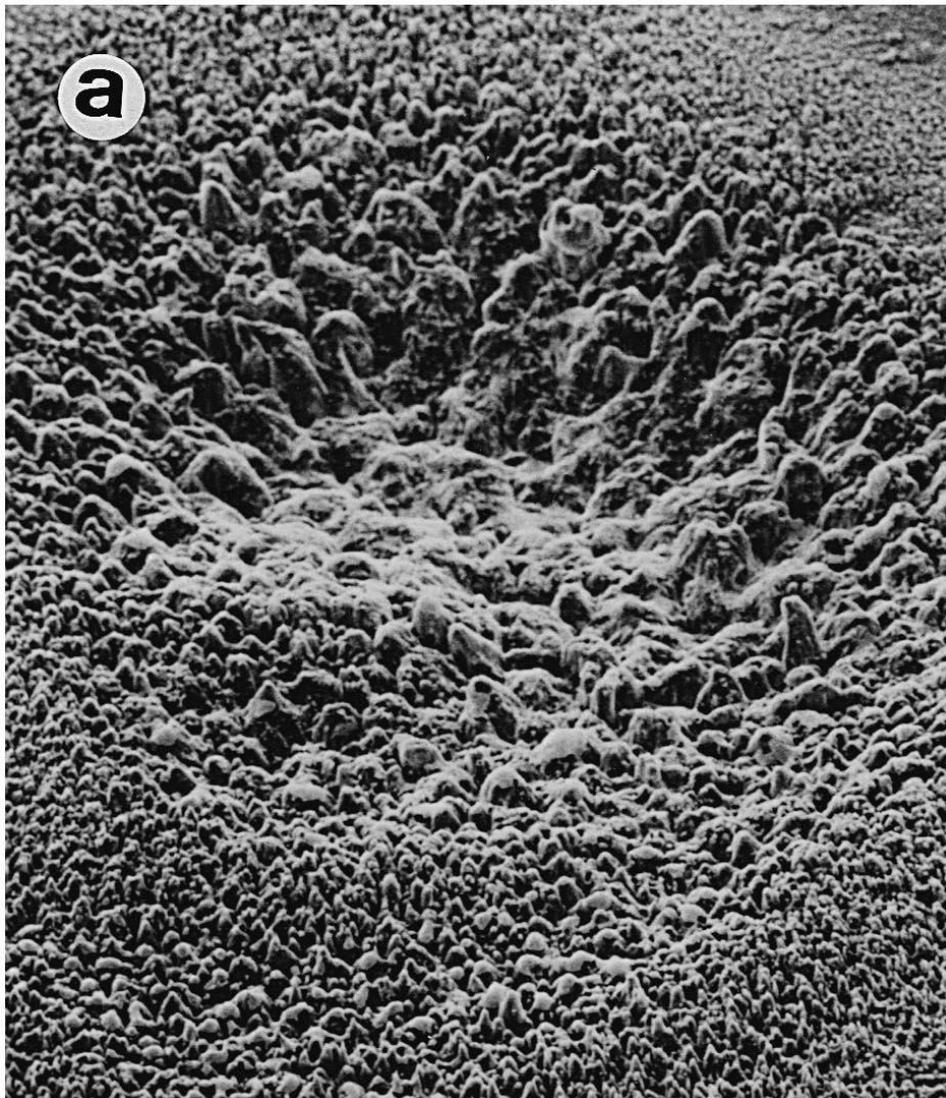
Arc discharge



# Pulse-pulse intervals (Total 150 pulses)

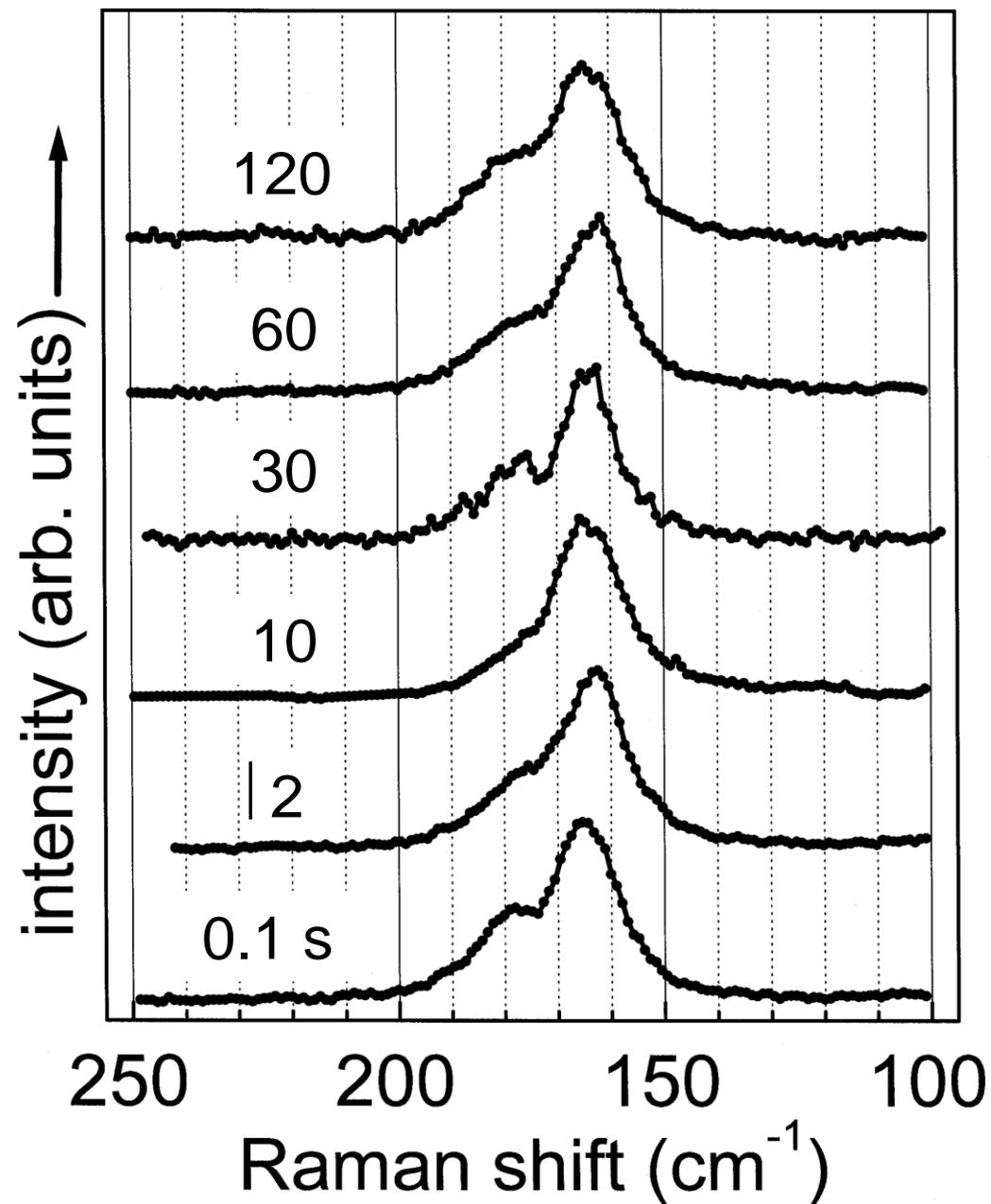
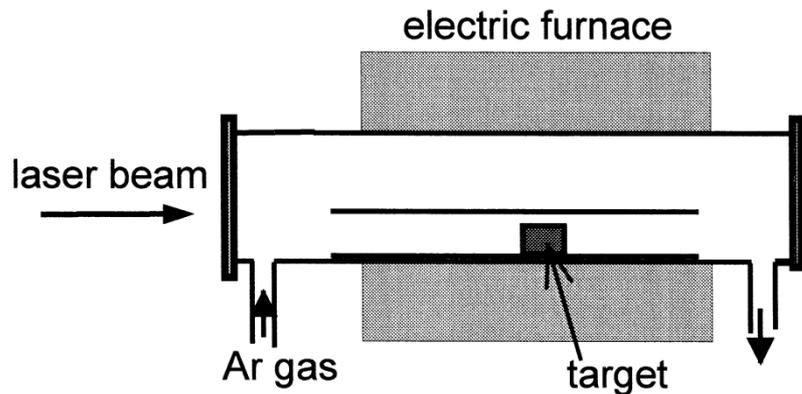
0.1 s

120 s

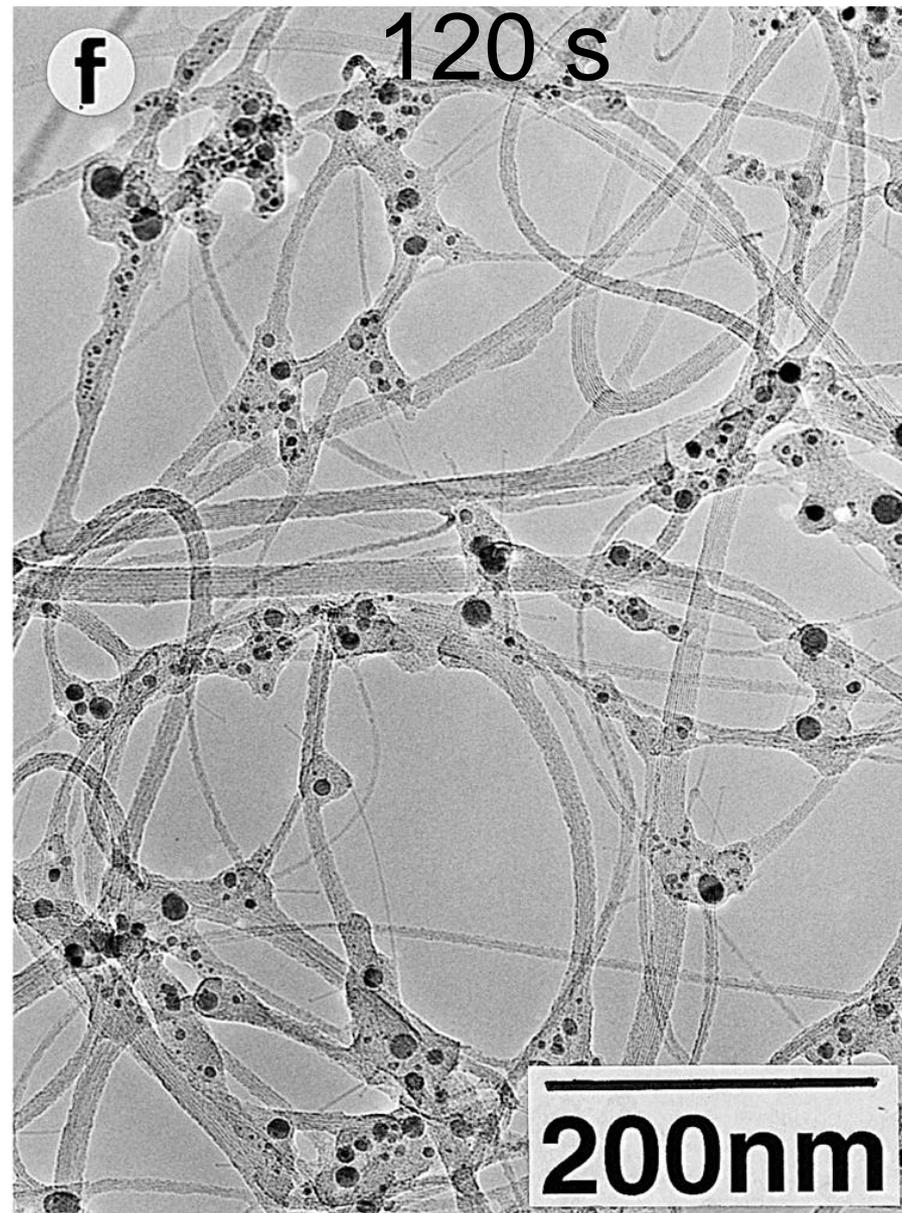
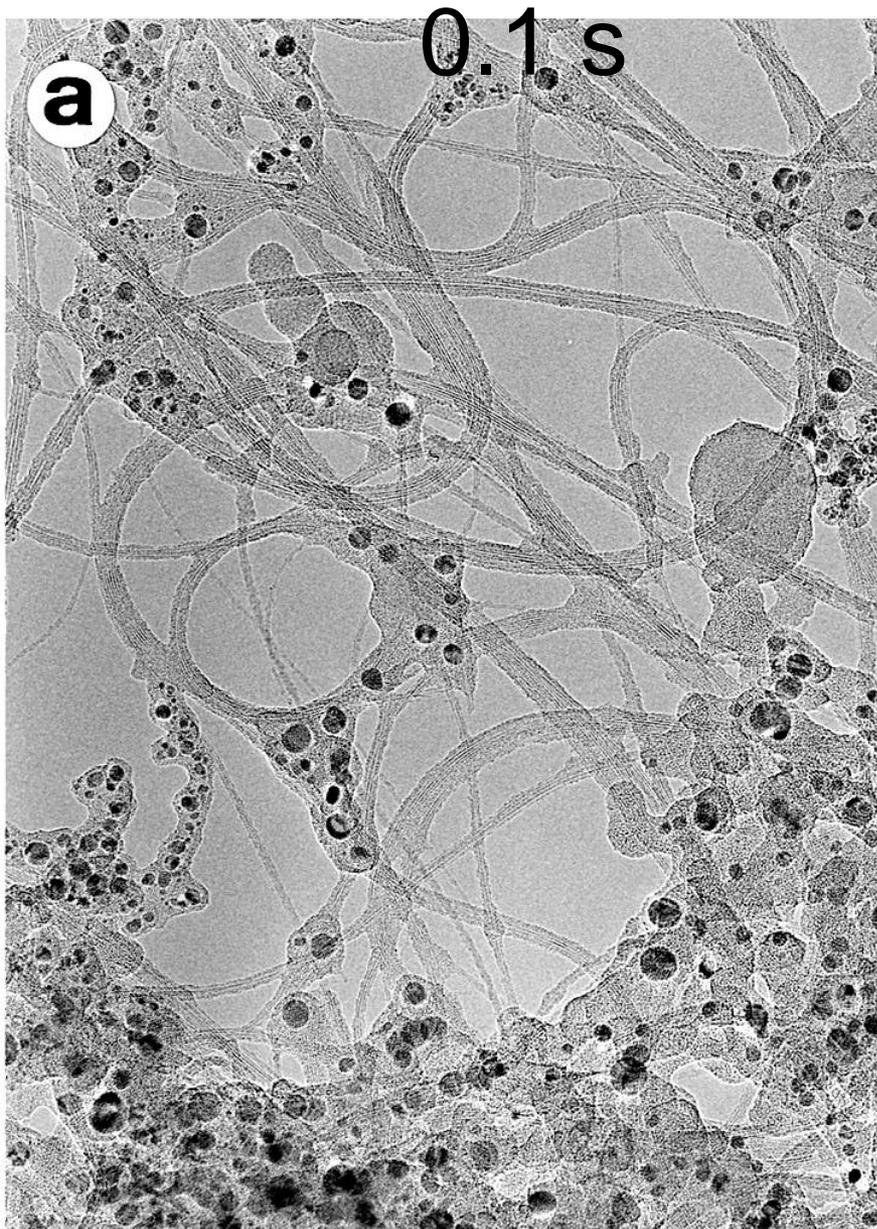


Nd:YAG laser  
Wavelength 532 nm  
Pulse width 6–7 ns  
Frequency 10 Hz

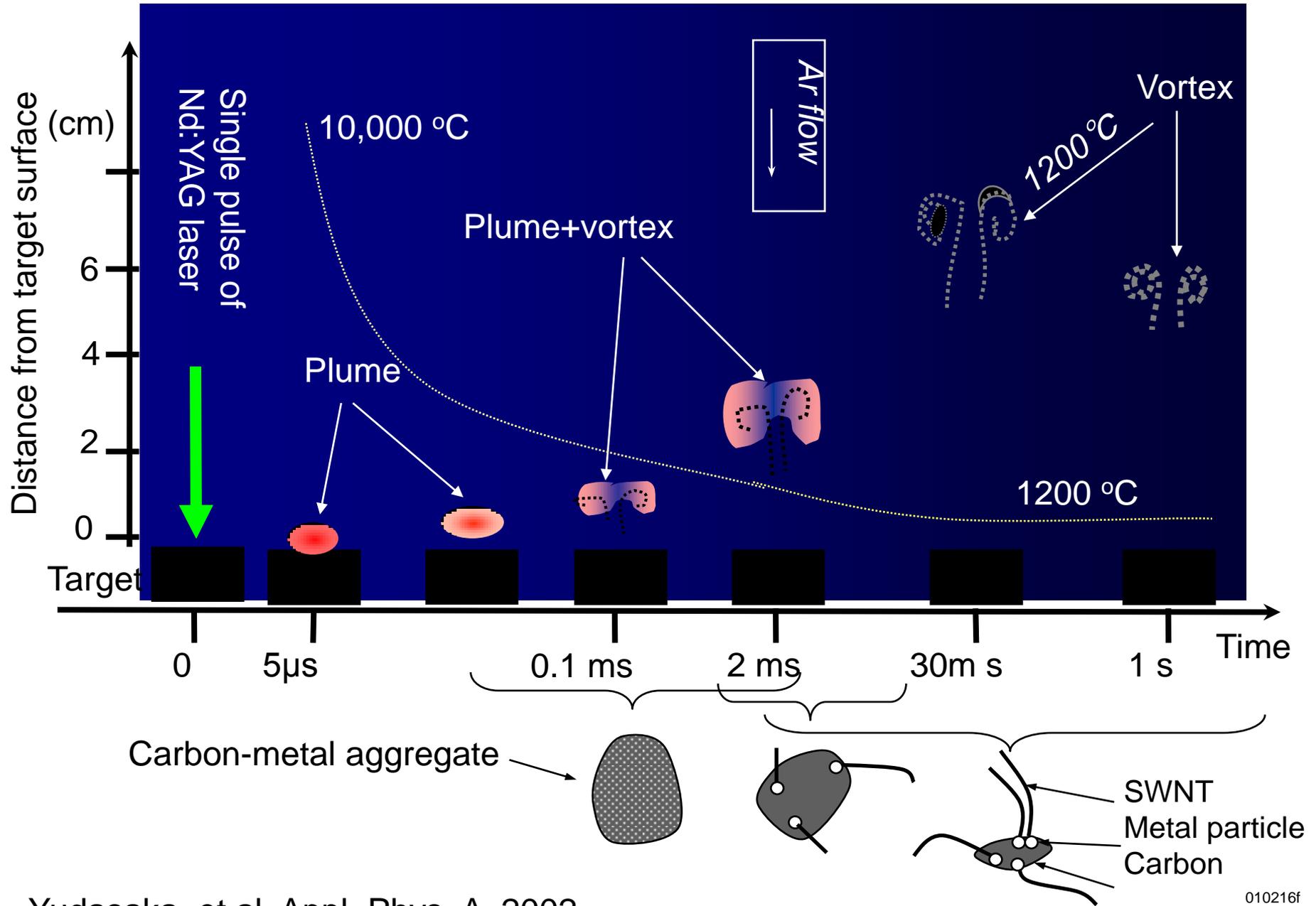
150 pulses  
Pulse–pulse intervals  
0.1, 2, 10, 30,  
60 and 120 s.



# Pulse-pulse intervals (Total 150 pulses)

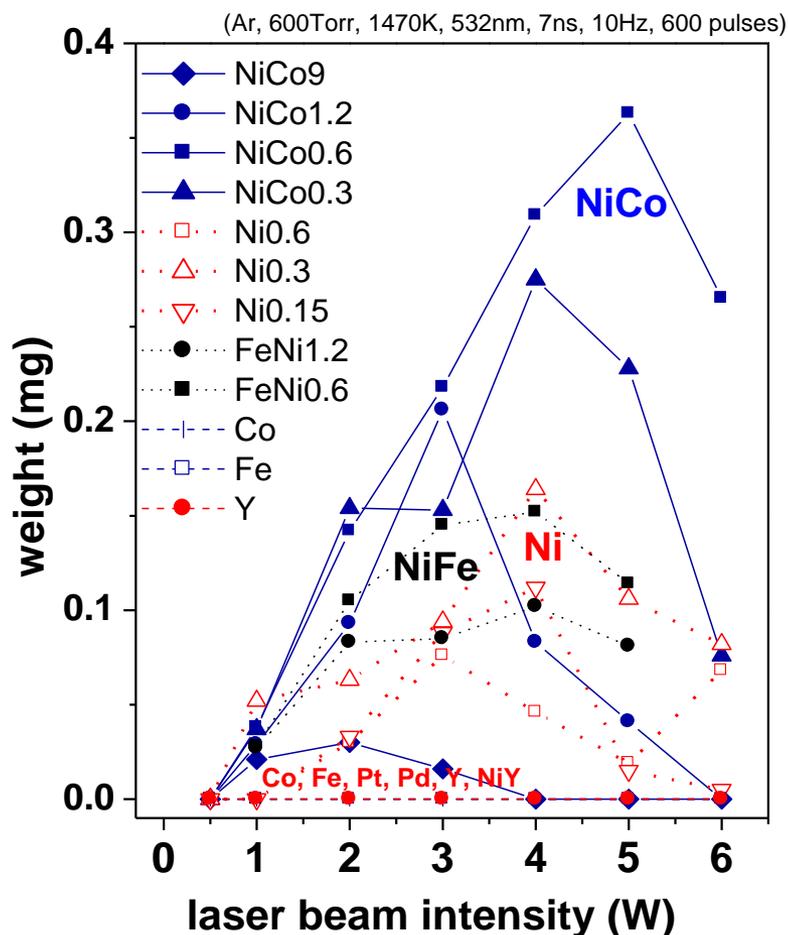


# Nucleation and Growth of SWNTs by Single-Pulse of Nd:YAG Laser

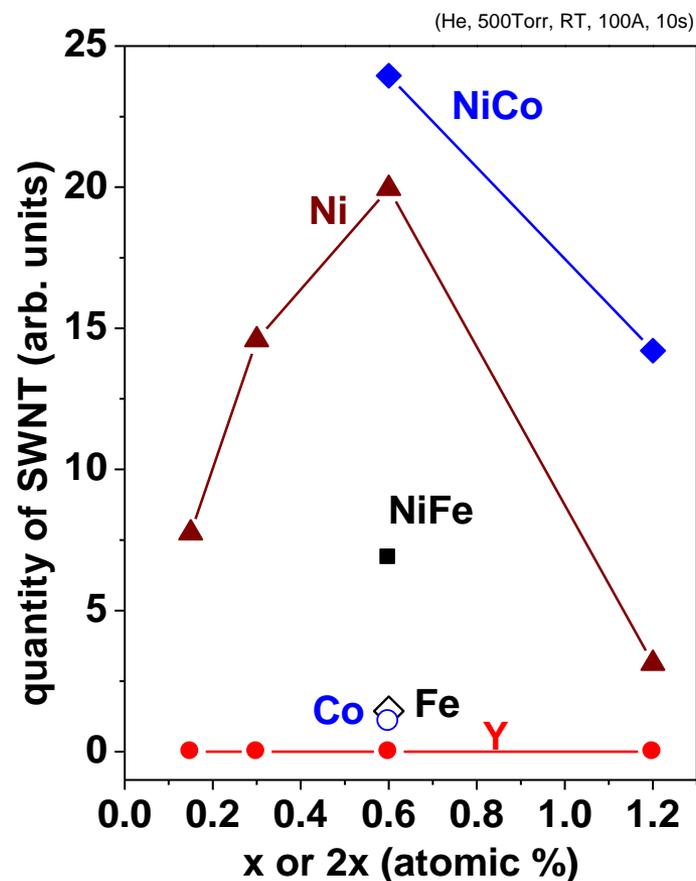


# NiCo, Ni, and NiFe Are Effective Catalysts. Co, Fe, and Pt Are Poor Catalysts.

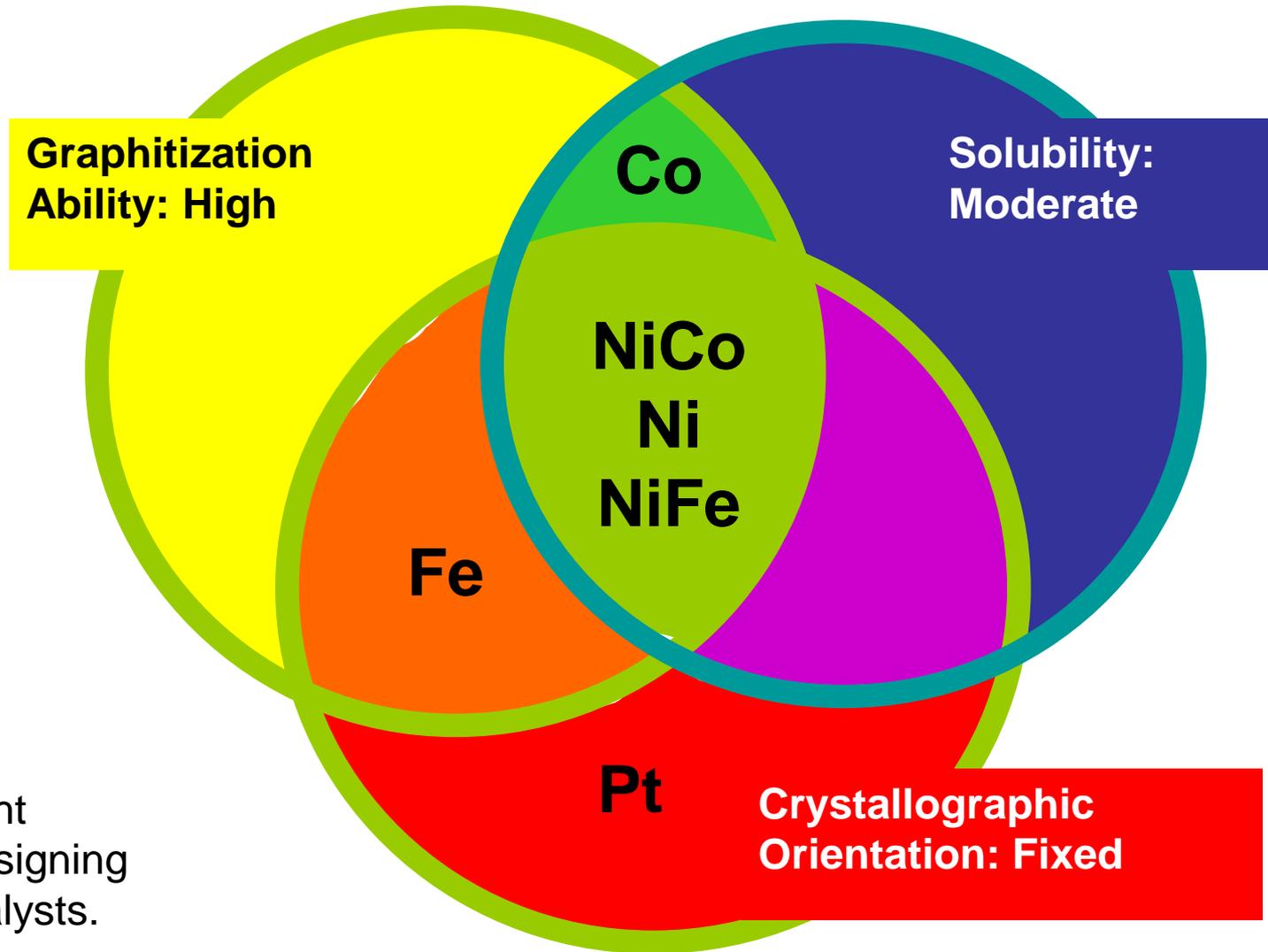
Quantities of web-like deposits formed by **Nd:YAG laser ablation**



Quantities of soot containing SWNTs formed by **DC arc discharge** using



**Yield of SWNTs Are Controlled  
by Three Factors of Metal-Carbon interactions**



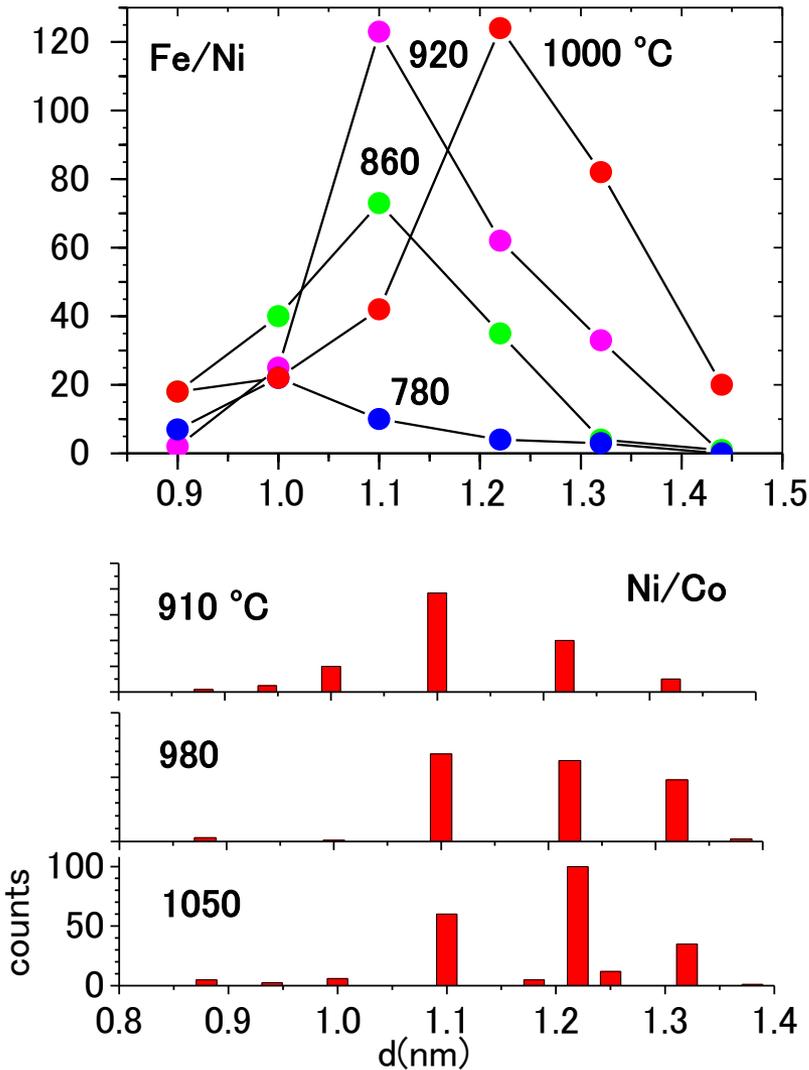
This study might be useful in designing new metal catalysts.

# Diameter Control of SWNTs.

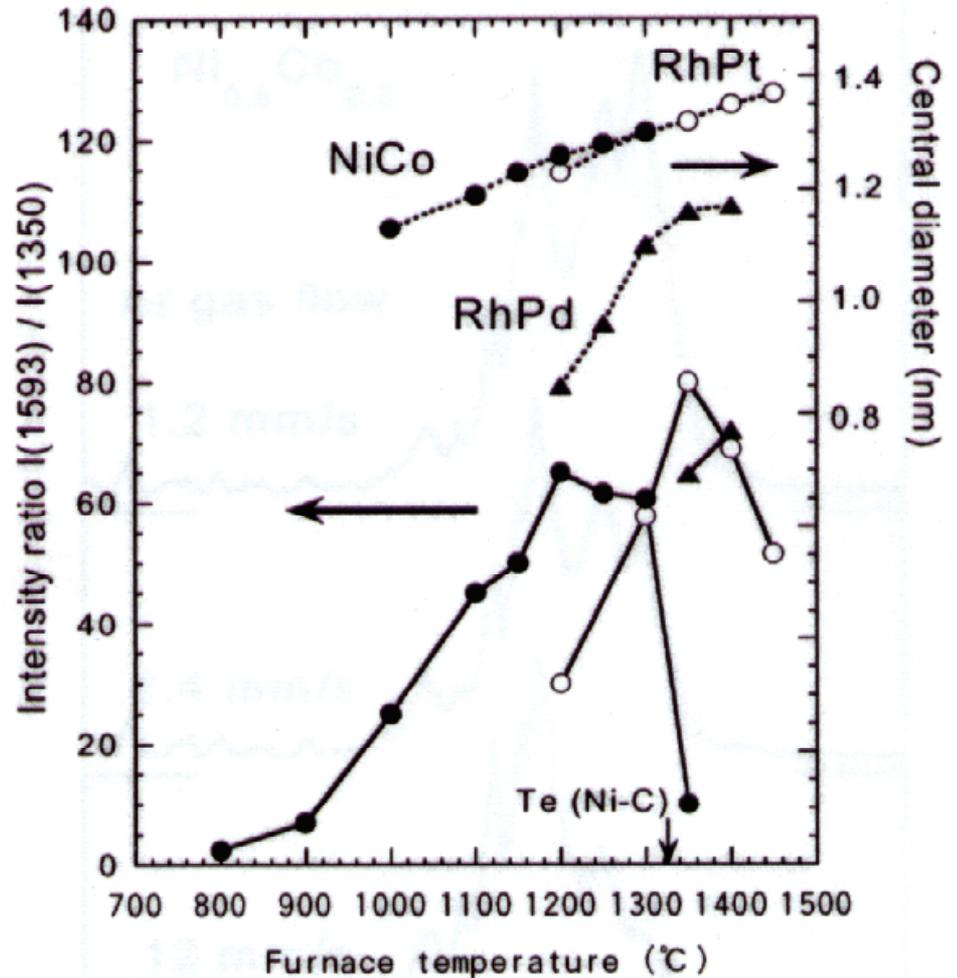
Possible by Choosing Formation Temperature or Metal Catalysts.

*S. Bandow et al.*

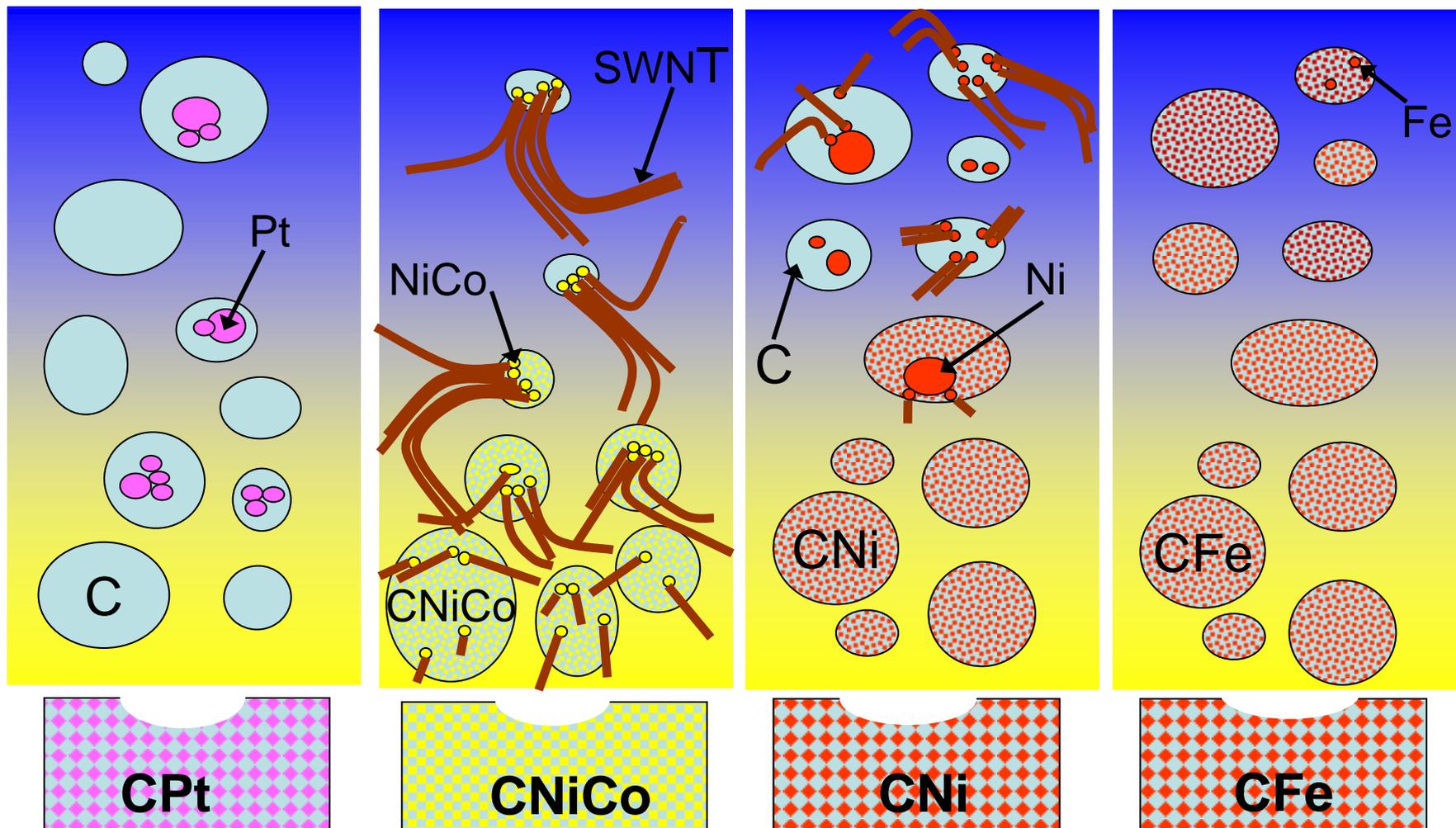
*Phys. Rev. B 59 (1999) 2388.*



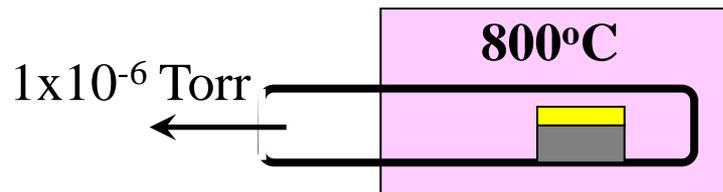
*H. Kataura et al. Carbon 38(2000)1691.*



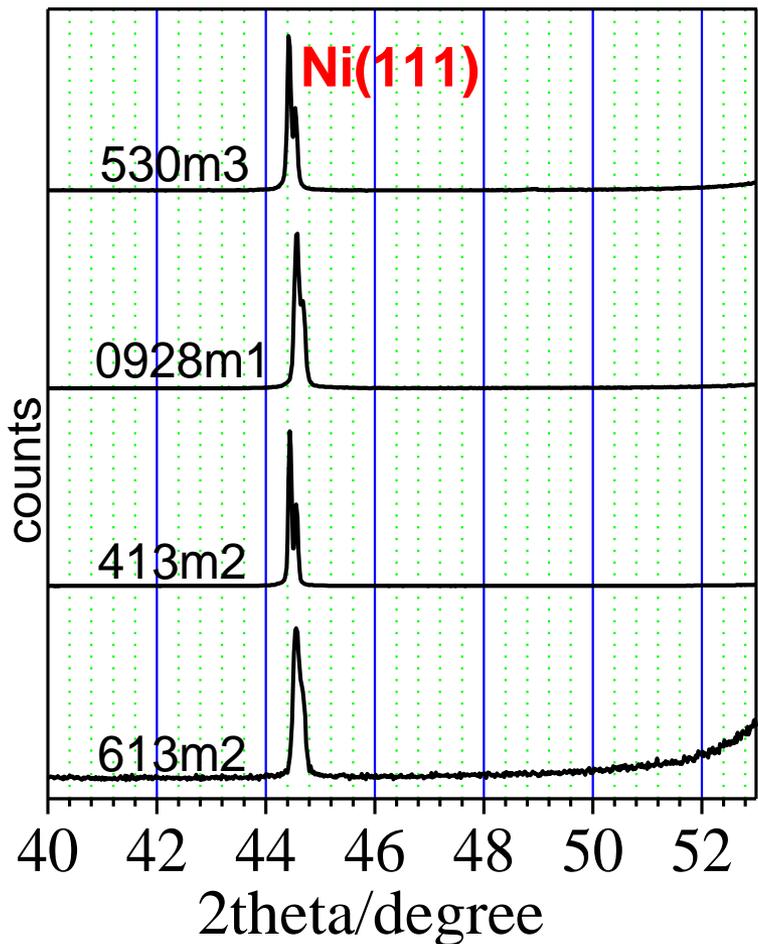
# SWNT Growth Depending on Metal Catalysts



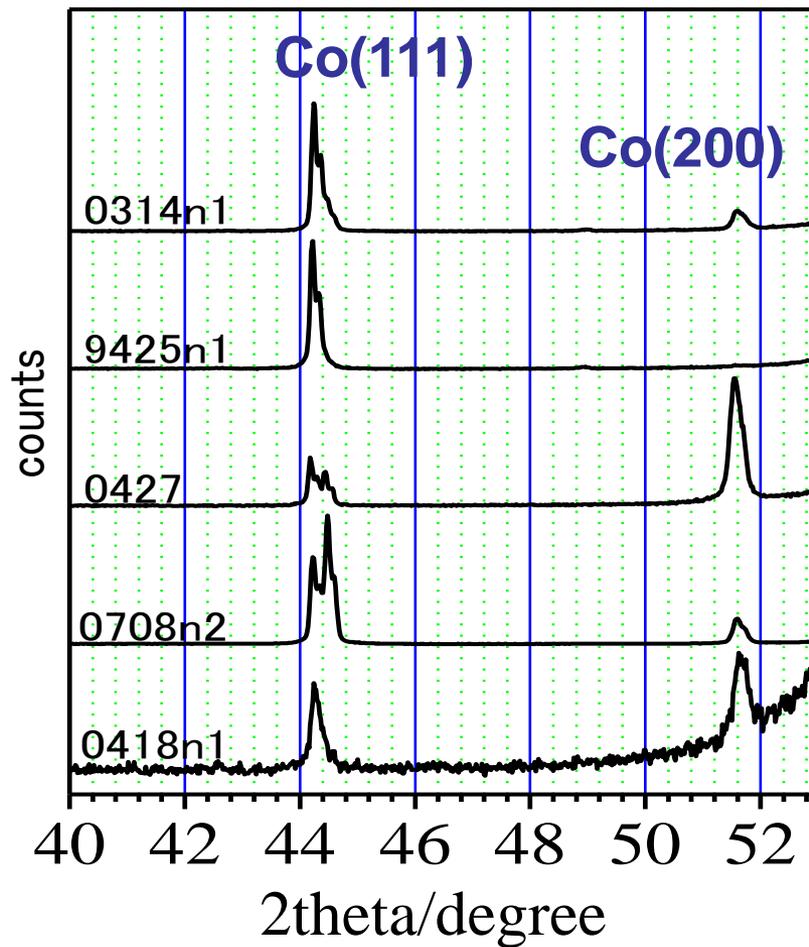
# X-ray diffraction of Ni/Graphite and Co/Graphite



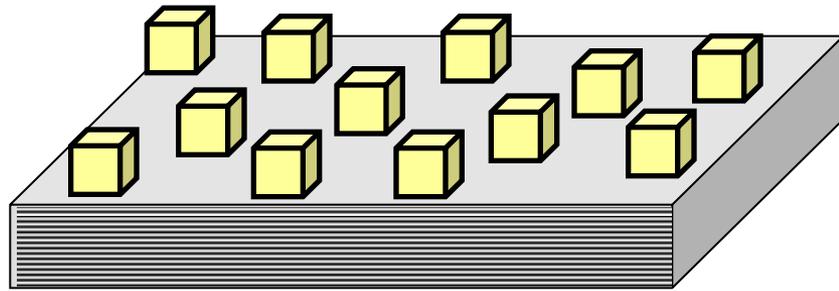
Heat treated at 800°C  
**REPRODUCIBLE**



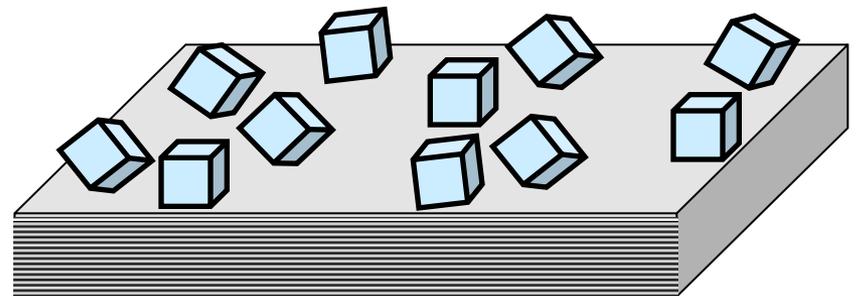
Heat treated at 800°C  
**NOT REPRODUCIBLE**



# Crystallographic Orientations of Ni and Co on Graphite Surfaces.

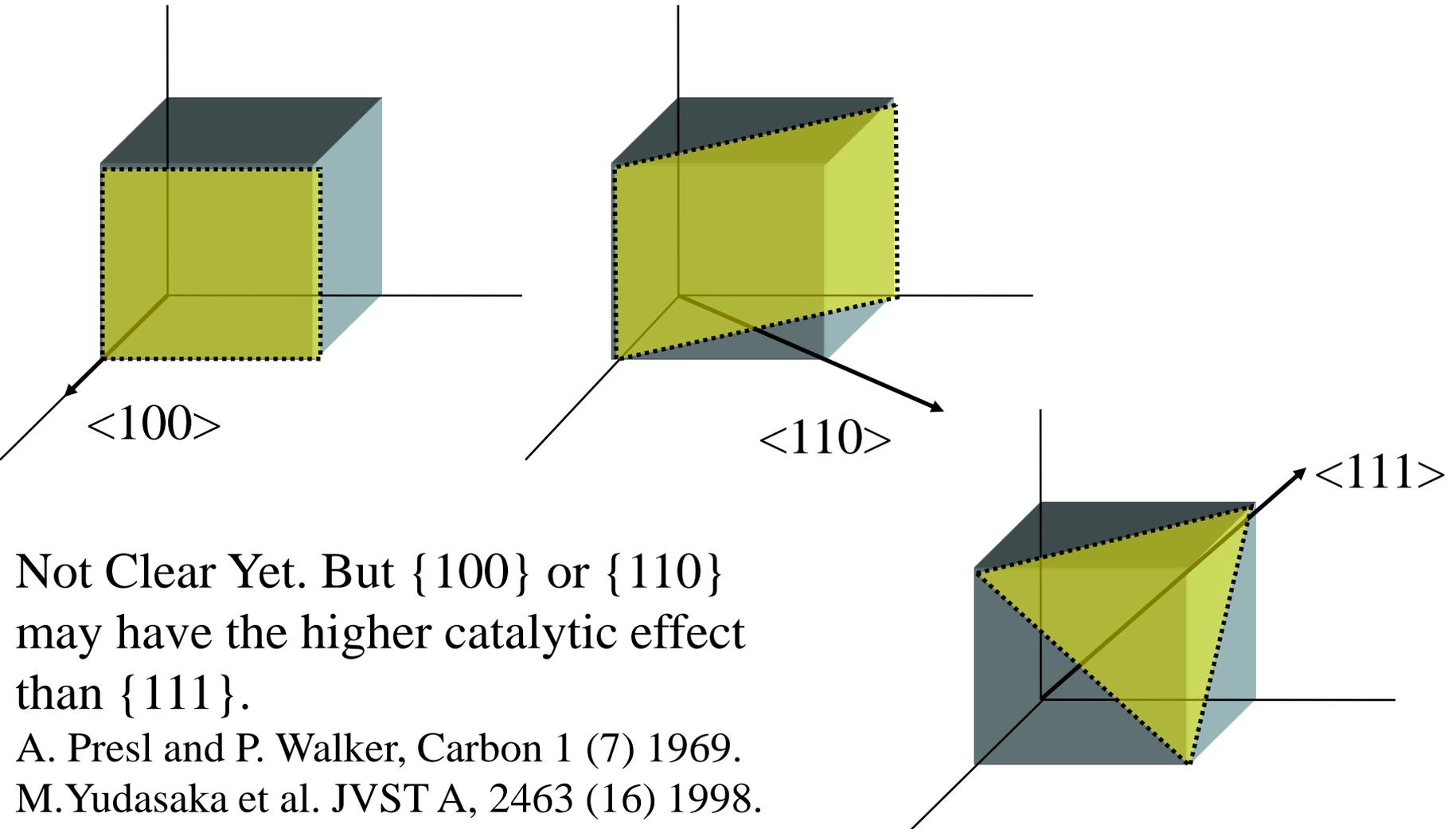


Ni/Graphite



Co/Graphite

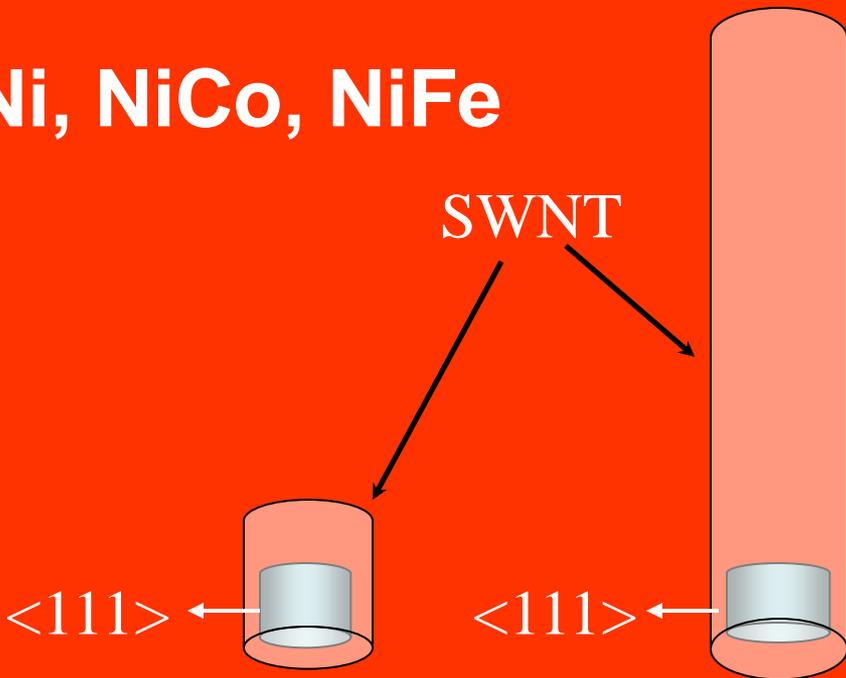
# Graphitization Ability Depends on Crystallographic Faces of Metals



Not Clear Yet. But  $\{100\}$  or  $\{110\}$  may have the higher catalytic effect than  $\{111\}$ .

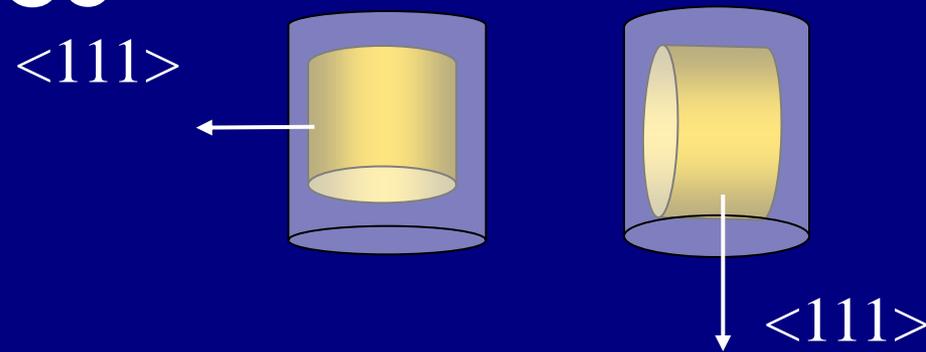
A. Presl and P. Walker, Carbon 1 (7) 1969.  
M. Yudasaka et al. JVST A, 2463 (16) 1998.

**Ni, NiCo, NiFe**



**Orientation Instability of Co on Graphite Hinder SWNT Growth**

**Co**



**Pt**



Poor graphitization-catalyst

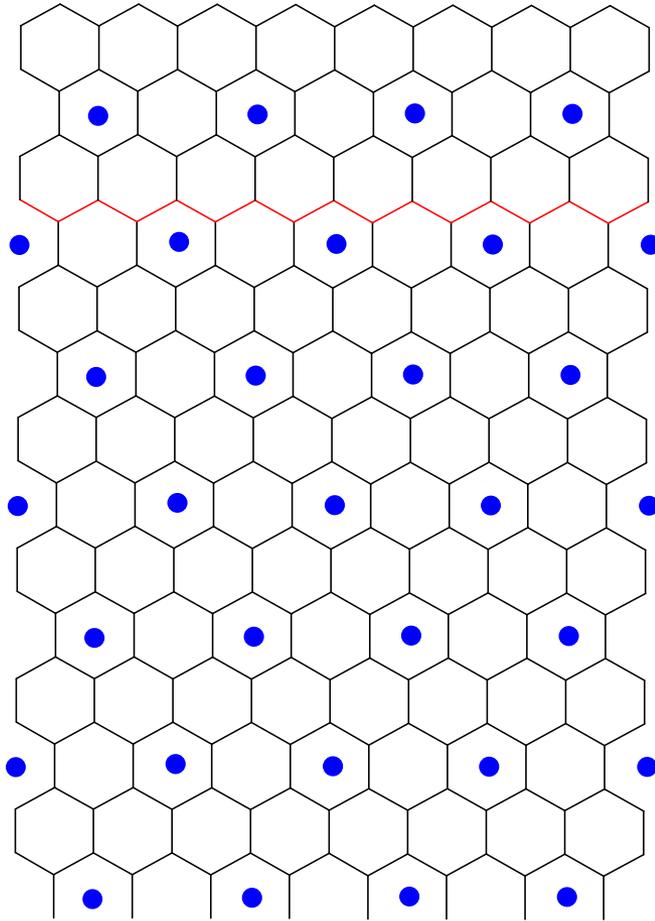
**Fe**



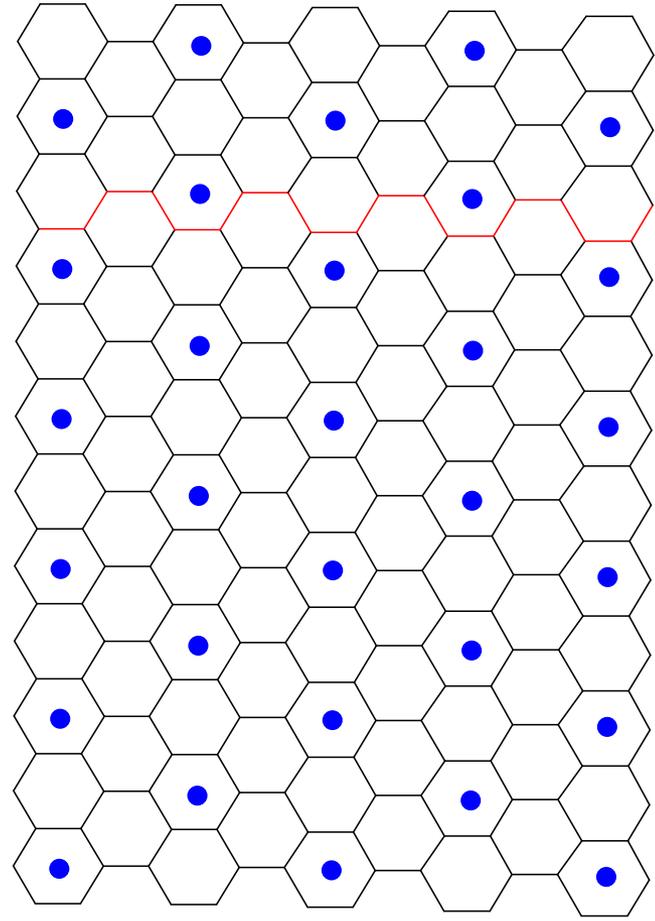
High solubility in carbon

# SWNT Chirality Controlled by Lattice Matching of Graphite and Ni (111)

**ZigZag**



**Arm Chair**



● **Ni (111)**

# *SWNT Formation by CVD*

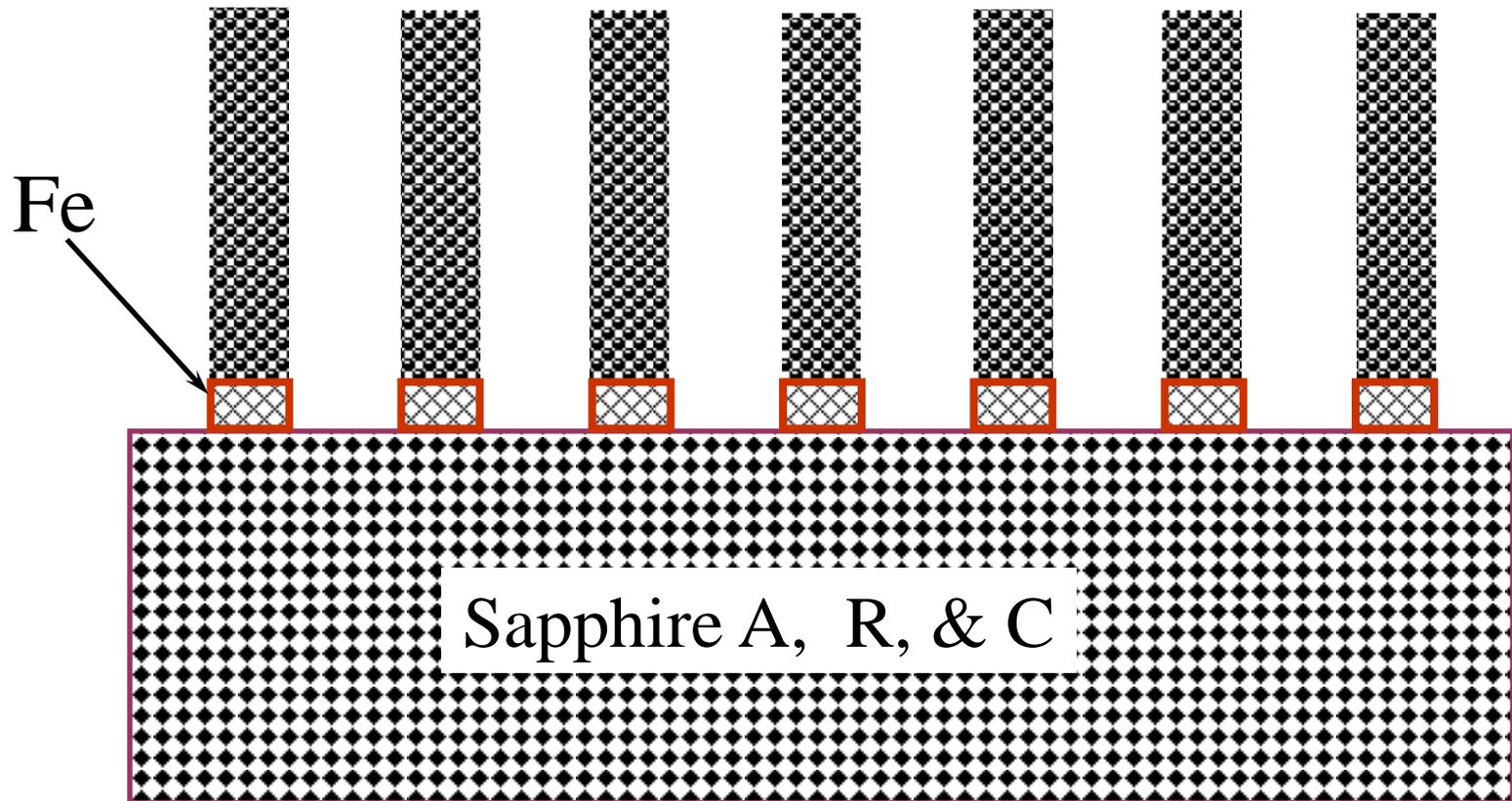
## Catalysts: Fe, FeCo, Co, Mo

### Controlling these metal particle sizes at 1- 2 nm

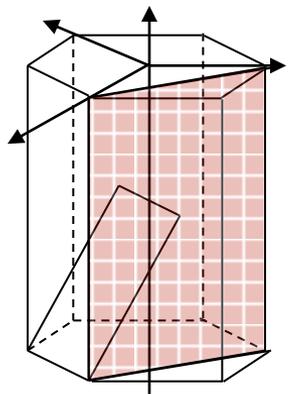
## References of SWNT growth by CVD

1. H. Dai A.G. Rinzler, P. Nikolaev, A. Thess, d.T. Colbert, R.E. Smalley Chem. Phys. Lett., 260(1996)471. **NiCo, Mo**
2. Fonseca, A, K. Hernadi, P. Piedigrosso, L.P. Biro, S. Lazarescu, Ph. Lambin,P.A. Thiry, D. Bernaerts, J.B. Nagy ., *Proc. of Fullerenes : Chemistry of Physics and New Directions IX*; The Electrochemistry Society Inc.: Montreal, 1997.
3. Fonseca A., K.Hernadi, P. Piedigrosso, J.-F. Colomer, K. Mukhopadhyay, R. Doome, S. Lazarescu, L.P. Biro, Ph. Lambin,P.A. Thiry, D. Bernaerts, J.B. Nagy, *Appl.Phys. A*, 67(1998)11. (silica, zeolite) **Co > Cu, Fe**
4. J. Kong, H.T Soh, A.M. Casell, C.F. Quate, H. Dai, *Nature* 395(1998)878. **Fe, Mo (Al)**
5. J. Kong, A.M. Casell, H. Dai, *Chem. Phys. Lett.* 292(1998)567. **Fe<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub>**
6. Z.K. Tang, H.D. Sun, J. Wang, J. Chen, G. Li, *Appl. Phys. Lett.*, 73(1998)2287. (microporous aluminophosphate AlPo4-5 diameter=1.01 nm, tripropylamine, 500-800°C)
7. H.M. Chen, F. Li, G. Su, H.Y. Pan, L. L. He, X. Sun, M.S. Dresselhaus, *Appl. Phys. Lett.*, 72(1998)3282. **Fe (S, H)**
8. H.M. Chen, F. Li, X. Sun, S.D.M. Brown, M.A. Pimenta, A. Marucci, G. Dresselhaus, M.S. Dresselhaus, *Chem. Phys. Lett.* 289(1998)602. **Fe (S, H) 1100-1200°C**
9. J.H. Hafner, M.J. Bronikowski, B.R.azamoian, P. Nikolaev, A.G.Rinzler, D.T. Colbert, K.A.Smith, R.E.Smalley *Chem. Phys. Lett.* 296(1998)195. **FeMo(9:1)**
10. E. Flahout, A. Govindaraj, A. Peigney, Ch. Laurent, A. Rousset, C. N. R. Rao, *Chem. Phys. Lett.*, 3000(1999)236. **FeCo nanoparticles**
11. A. M. Cassell, J. A. Raymakers, J, Kong, H. J. Dai, *J. Phys. Chem. B* 103(1999)483.
12. P. Nikolaev, M. J. Bronikowski, R. K. Bradley, F. Rohmund, D. T. Colbert, K. A. Smith, R.E. Smalley *Chem. Phys. Lett.*, 313(1999)91. **Fe**
13. J.-F. Colomer, C. Stephan, S. Lefrant, G. V. Tendeloo, U. Eiliams, Z. Konya, A. Fonseca, Ch. Laurent, J.B. Nagy *Chem Phys. Lett.*, 317(2000) 83. **CoFe, Fe, Co (MgO)**
14. I. Wilems, Z. Konya, J. -F. Colomer, V. Tendeloo, N. Nagaraju, A. Fonseca, J. B. Nagy, *Chem.Phys. Lett.*, 312(2000)71. **CoMo, CoV**
15. M. Su, B. Zheng, J. Liu, *Chem. Phys. Lett.*, 322(2000)321. (low density in aerogel) **Fe, Mo**

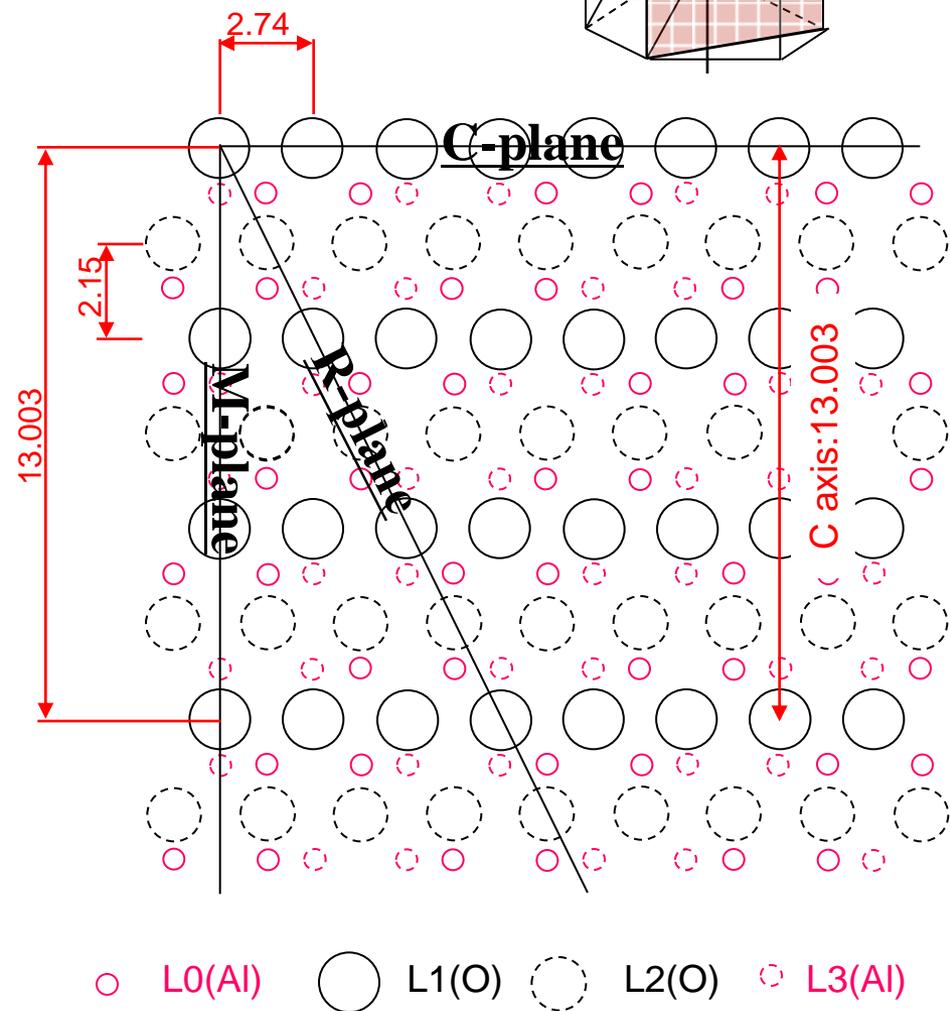
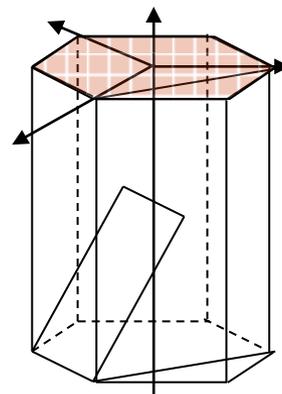
# One Idea of Controlling the Chiralities of SWNTs.



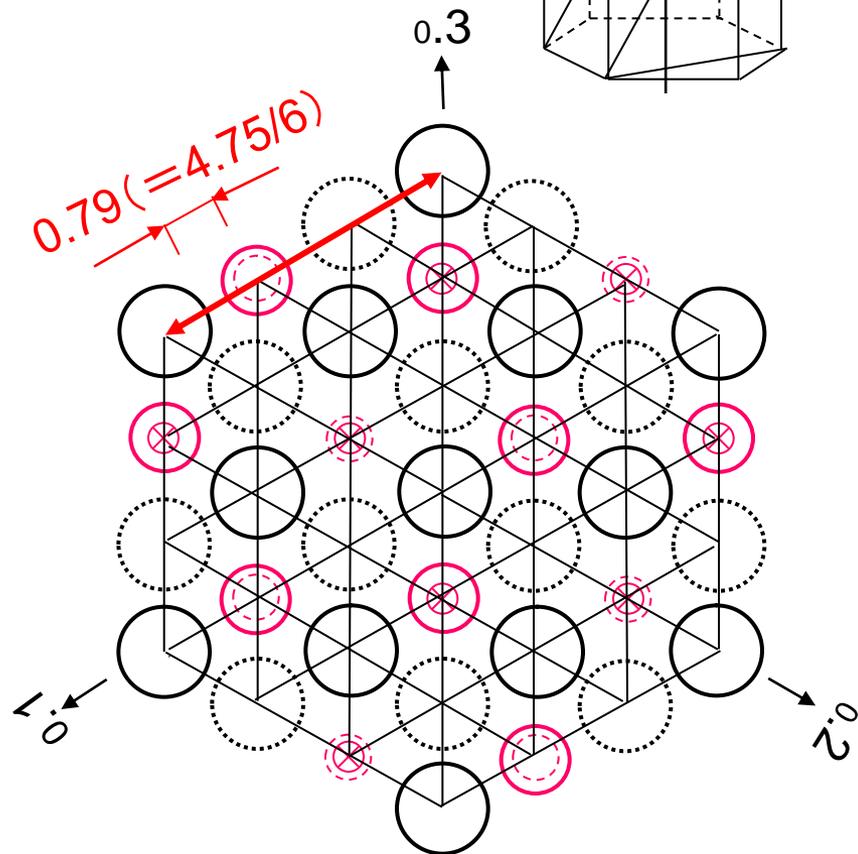
A face ( $11\bar{2}0$ )



C face (0001)



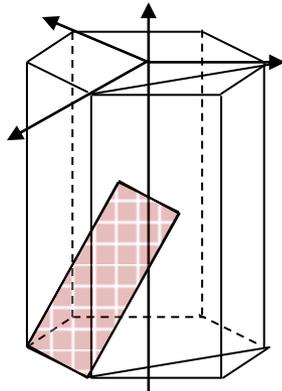
$0.79 (= 4.75/6)$



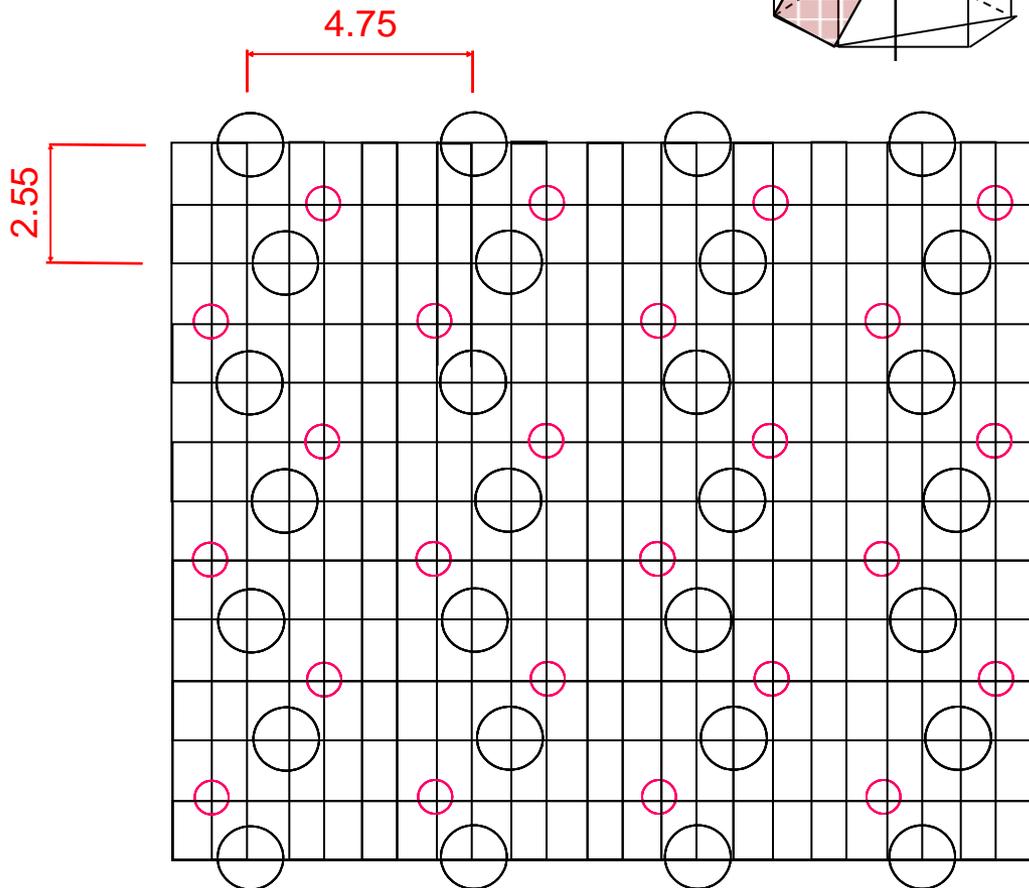
Tetragonal

Hexagonal

R face  $(110\bar{2})$



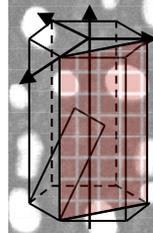
Fe:Cubic



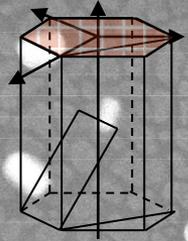
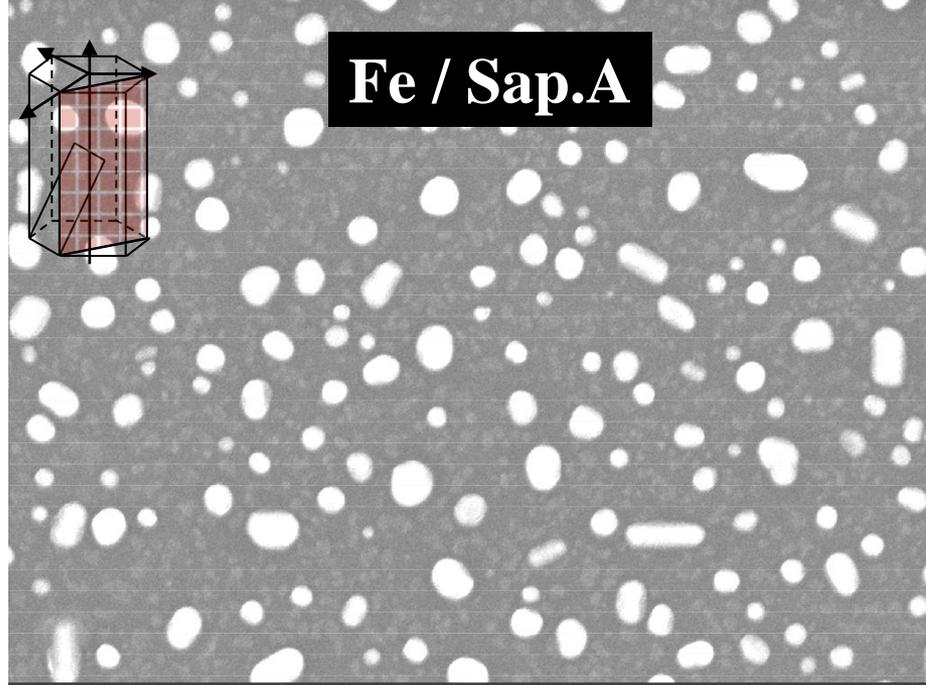
Tetragonal-like

# SEM Images of Fe(5nm)/Sapphires Heat Treated at 600°C. Size and Shape of Crystallites Depend on Sapphire Faces.

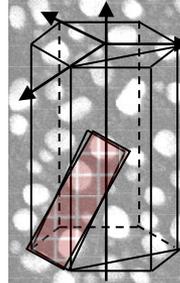
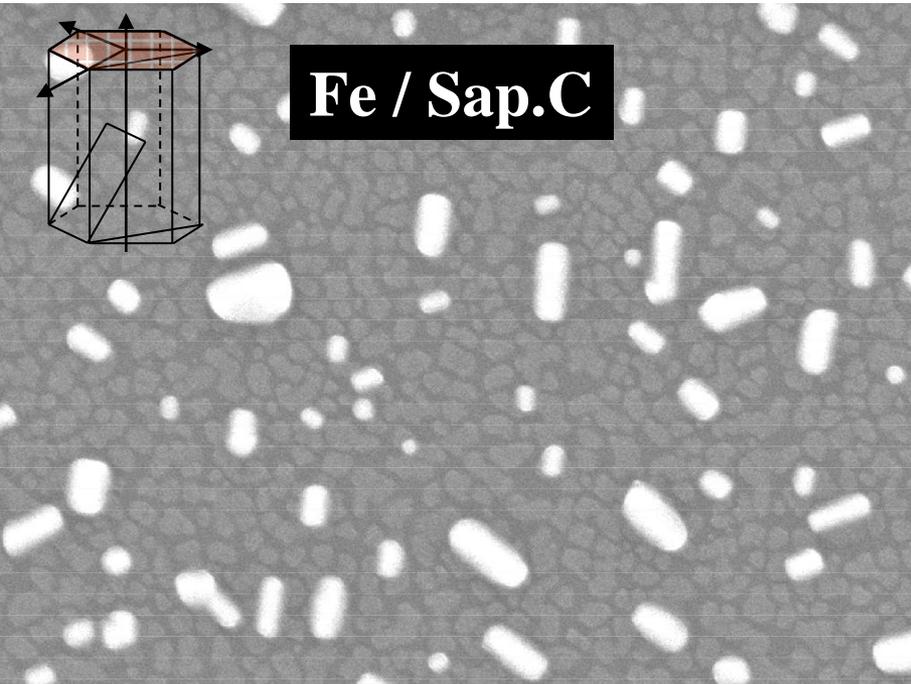
M. Yudasaka, *J. Nanoscience and Nanotechnology*, in press.



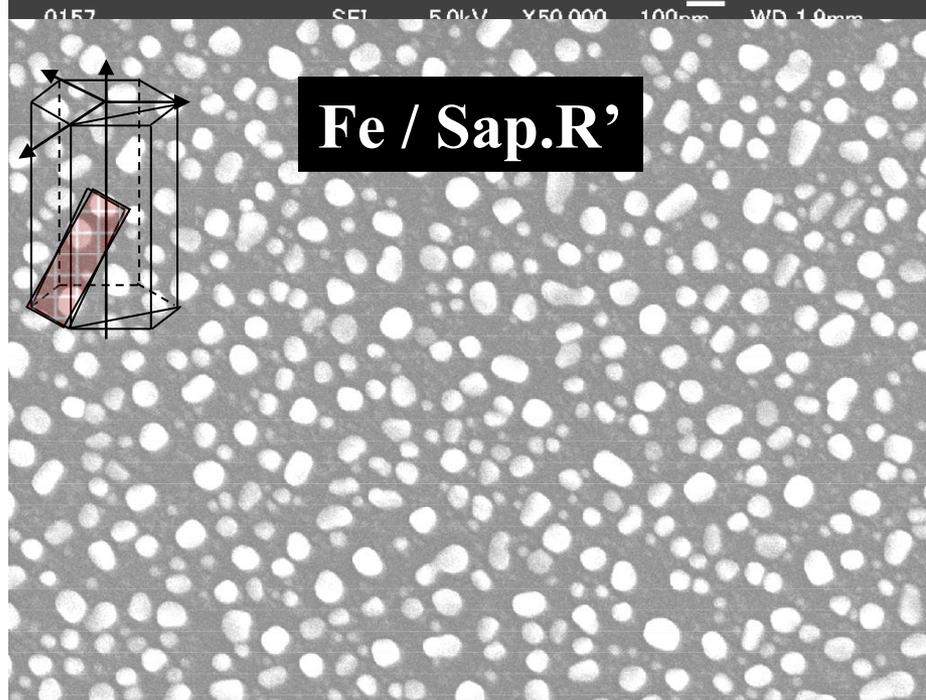
**Fe / Sap.A**



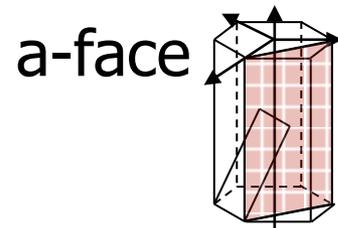
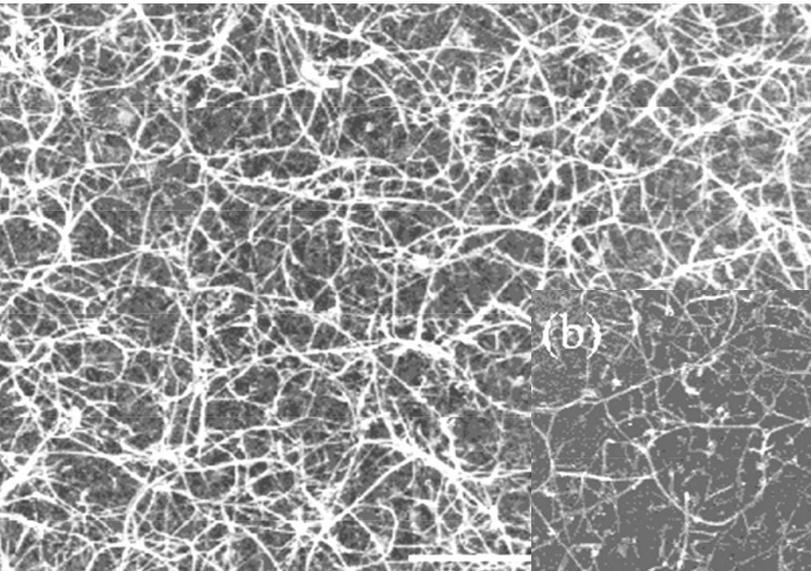
**Fe / Sap.C**



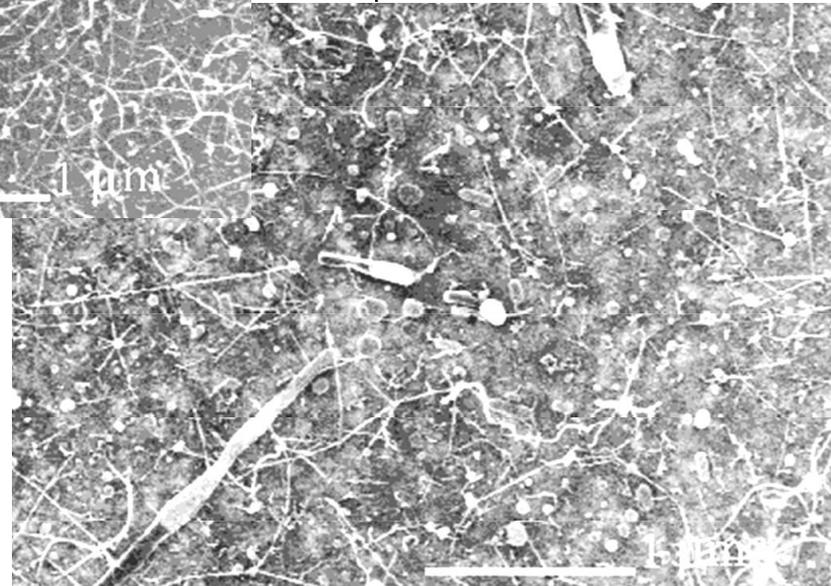
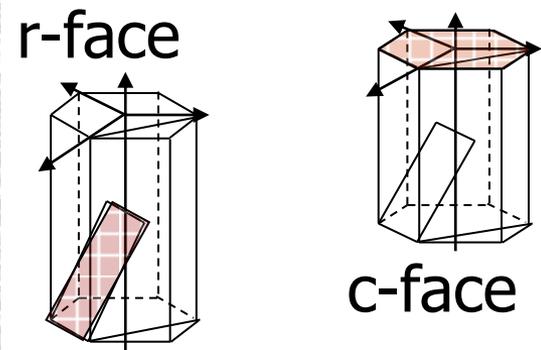
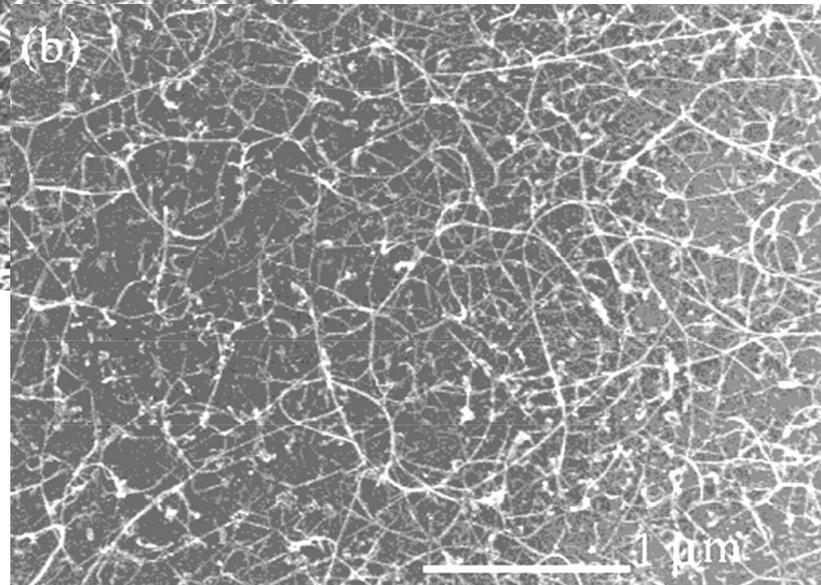
**Fe / Sap.R'**



# SEM Images of Deposits Obtained by CVD : Fe (2 nm) /Sapphire, 800°C, CH<sub>4</sub> (0.6L/min)

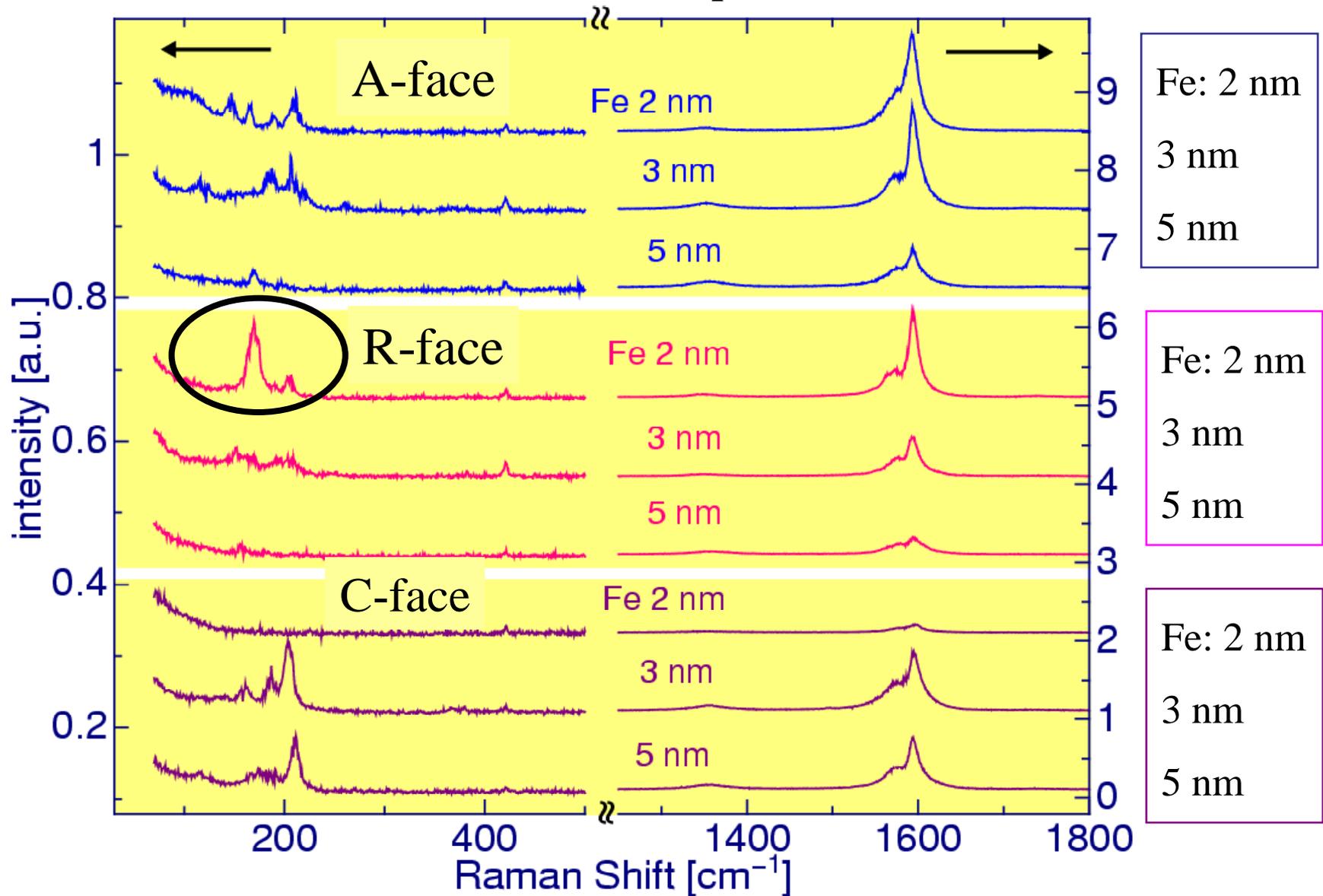


- *Many SWNTs*
- *Bundles*
- *Less MWNTs*



Hongo, Yudasaka, Ichihashi, Nihey, Iijima  
Chem. Phys. Lett. 361(2002)349.

# Raman spectra (488 nm)

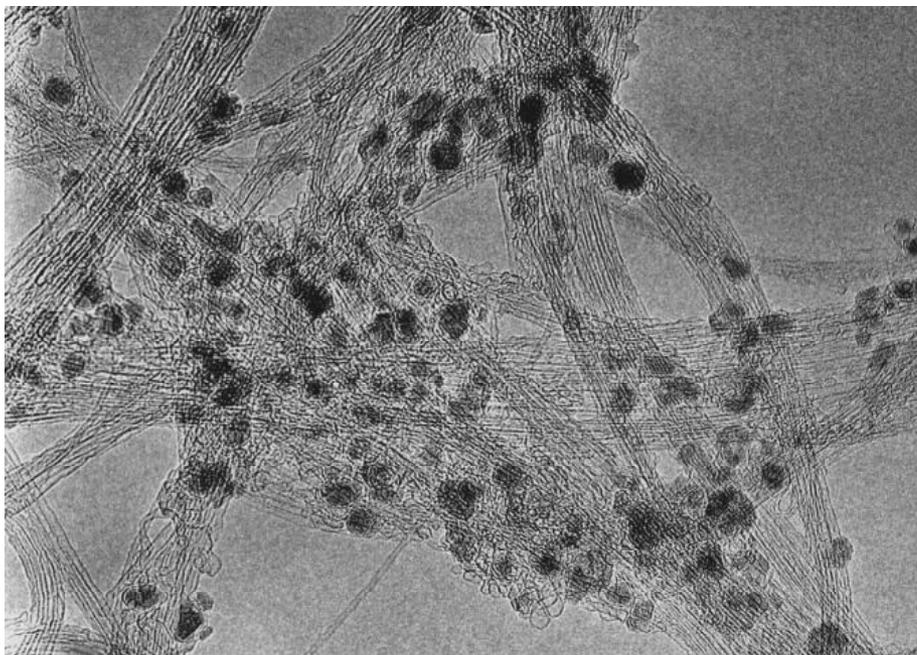
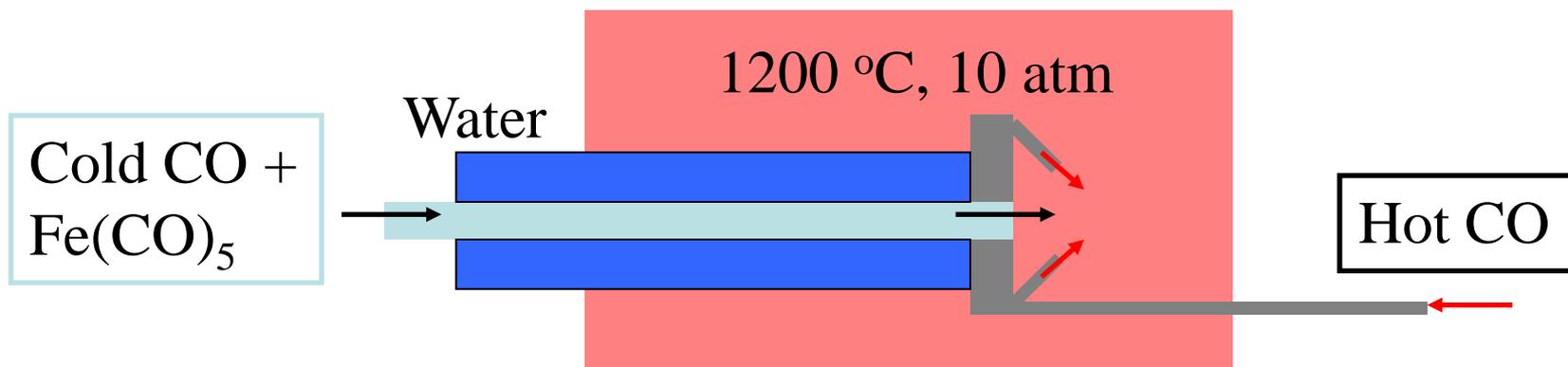


# SWNT growth by CVD

Large scale  
Site selective  
Orientation  
Long

# 1999: Large Scale Production of SWNTs by High Pressure Gas Phase Reaction (Smalley Group)

(P. Nikolaev et al. Chem. Phys. Lett. 313(1999)91.)



*Production rate: ~1 g/h*

*Purity : 70%*

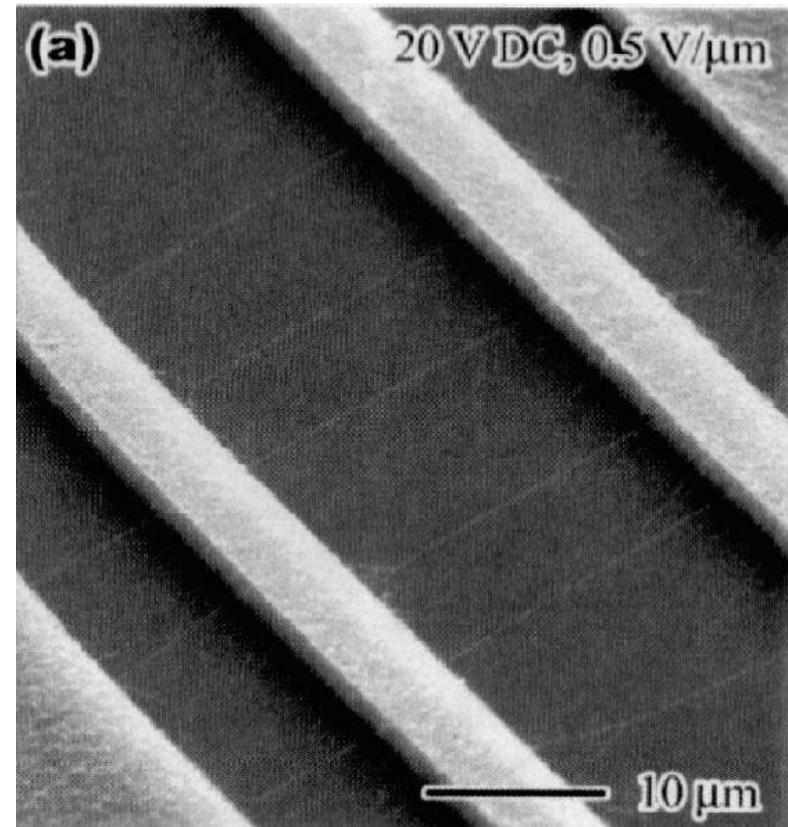
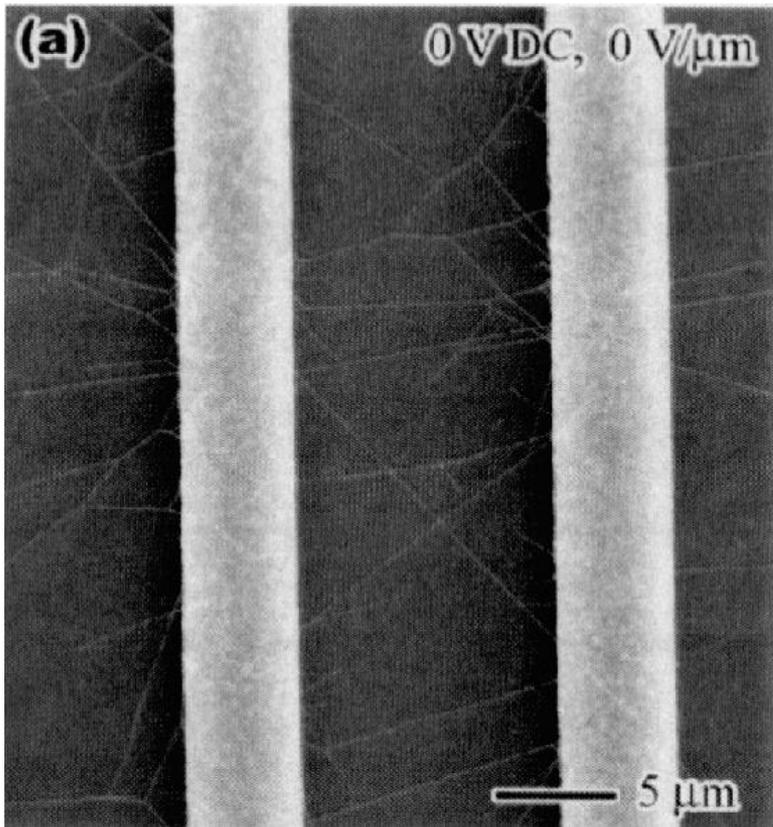
*Tube diameter : 0.7~1.0 nm*

*Price: 500 \$/g (CNI)*

*Complete removal of Fe is difficult.*

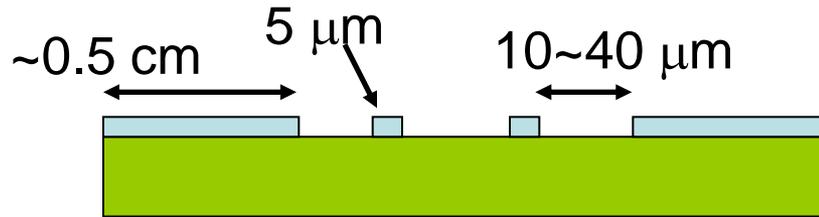
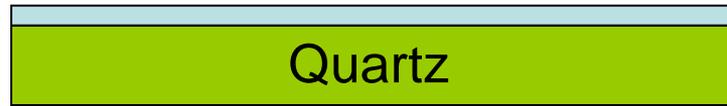
## 図8 電場印加CVDにより配向をそろえて成長したSWCNT

Y. Zhang et al, Appl. Phys. Lett. , 79(3083)2001

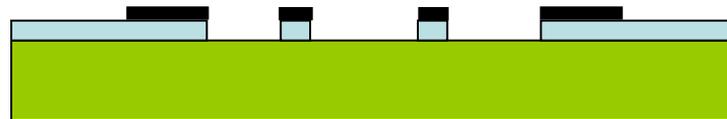


印加電圧ゼロの時にはSWCNTがいろいろな方向に成長している(左)が、0.5 V/μmかけるとSWCNTが向きをそろえて成長する(右)。

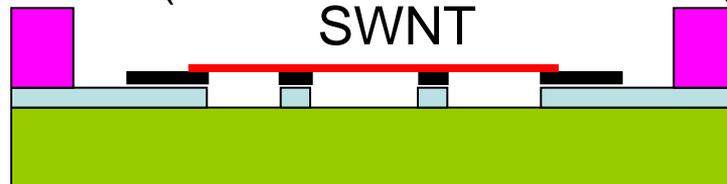
Poly-Si (3  $\mu\text{m}$ )



Catalyst (Fe oxide and Mo oxide nanoparticles supported on mesoporous alumina/silica frames on the elevated poly-Si structures.)



Electrode (dc 0~200 V or ac 30 MHz, 10V)



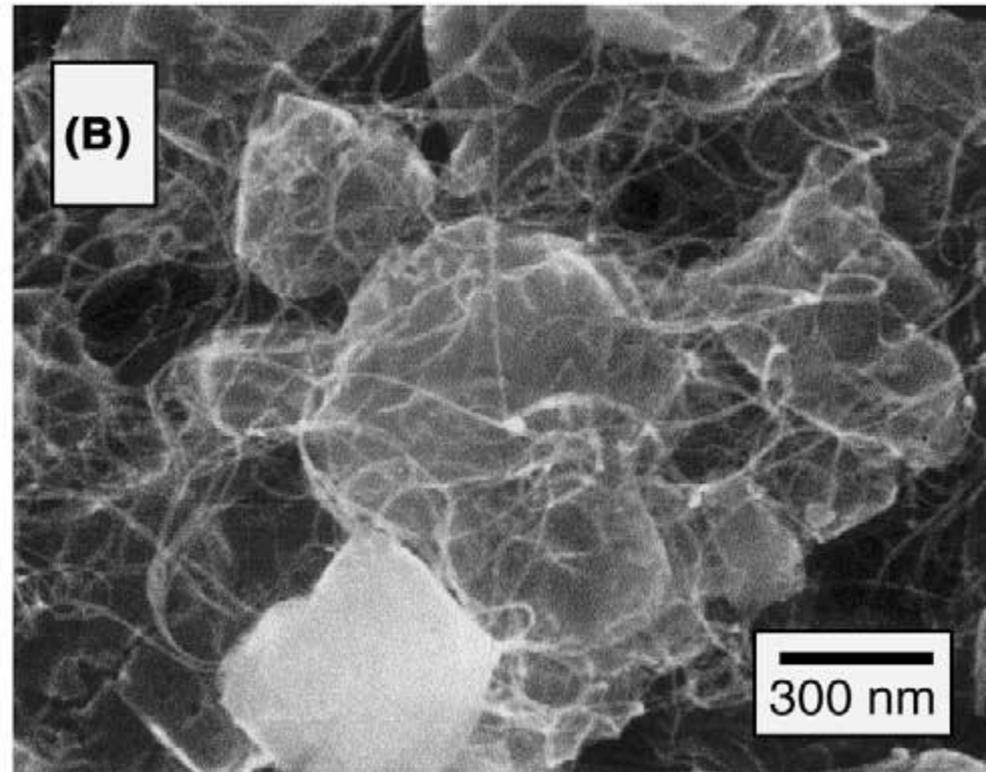
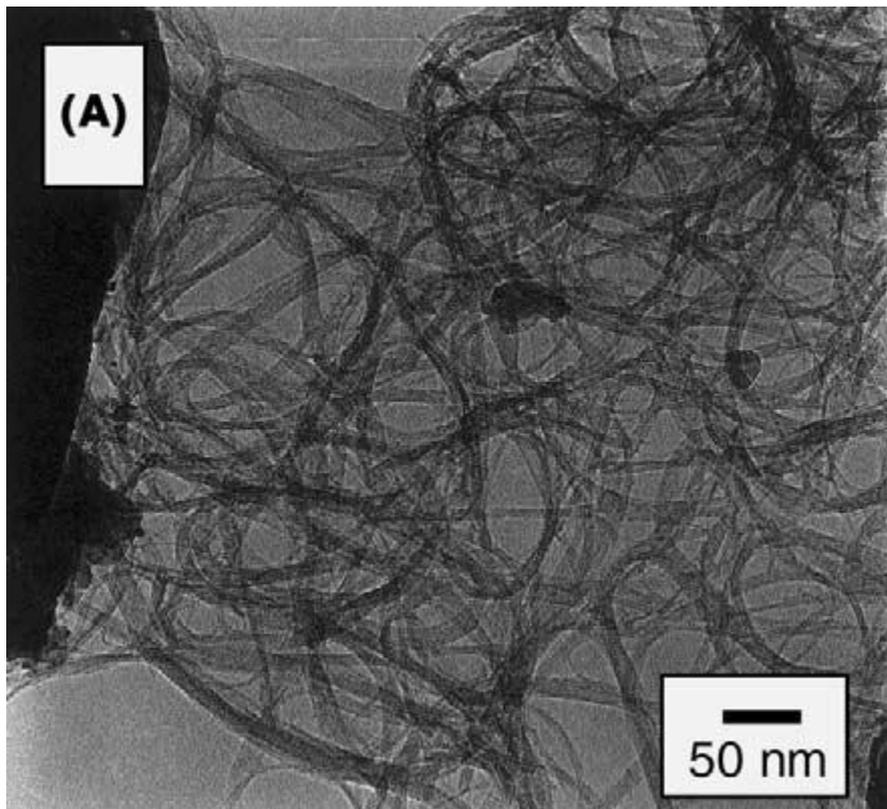
Photolithography and plasma etching.

A liquid phase catalyst precursor film ( $\text{AlCl}_3$ ,  $\text{SiCl}_4$ ,  $\text{FeCl}_3$ ,  $\text{MoO}_2\text{Cl}_2$ , EtOH, MeOH, P103 block copolymer) was transferred onto the top of the poly-Si pattern by contact printing using a poly(dimethylsiloxane) elastomer stamp. Calcination at  $300^\circ\text{C}$  for 12h in air to Remove organic materials.

CVD:  $900^\circ\text{C}$ ,  $\text{CH}_4$ (500 sccm),  $\text{H}_2$ (200sccm), 4 min, 1 in. tube furnace.

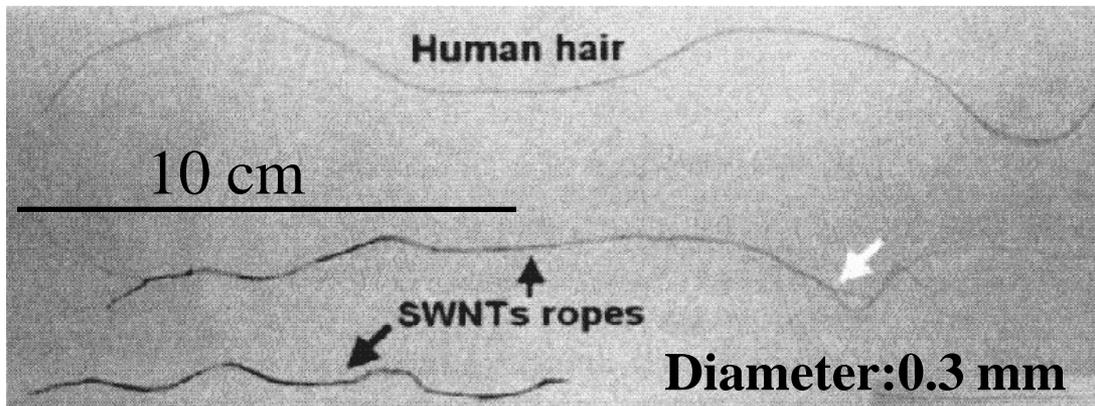
# Alcohol CVD

Maruyama et al 2002



# Long SWNT Strands.

H. W. Zhu et al. Science **296**(2002)884.



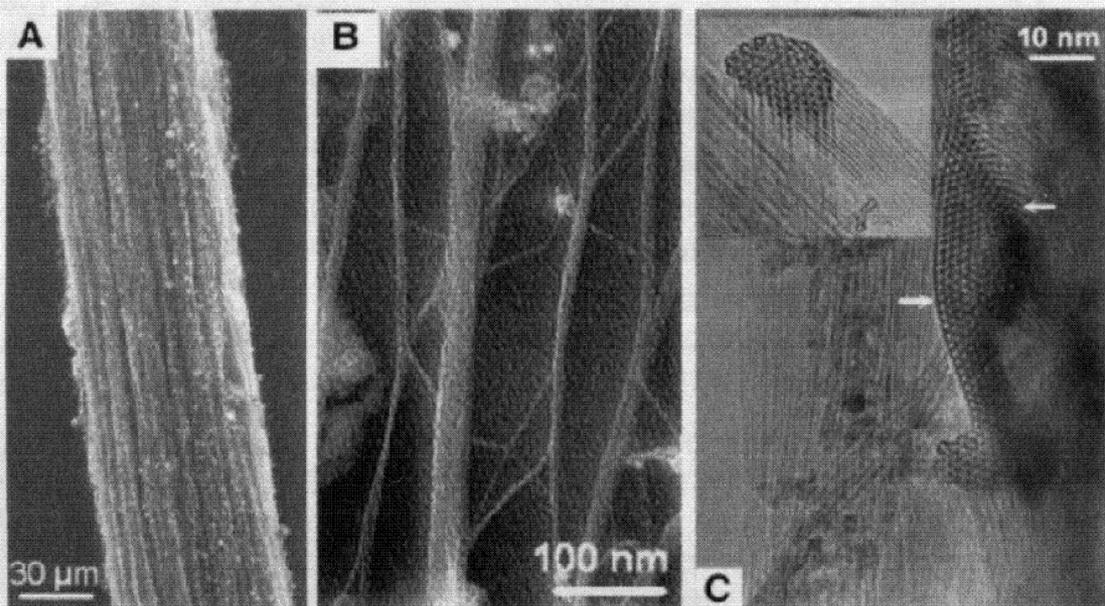
Catalytic CVD (Floating catalyst method) in a vertical furnace.

n-Hexane solution of ferrocene (0.018 g/ml) + Thiohene (Sulfur additive, 0.4 weight %): 0.5 ml/min.

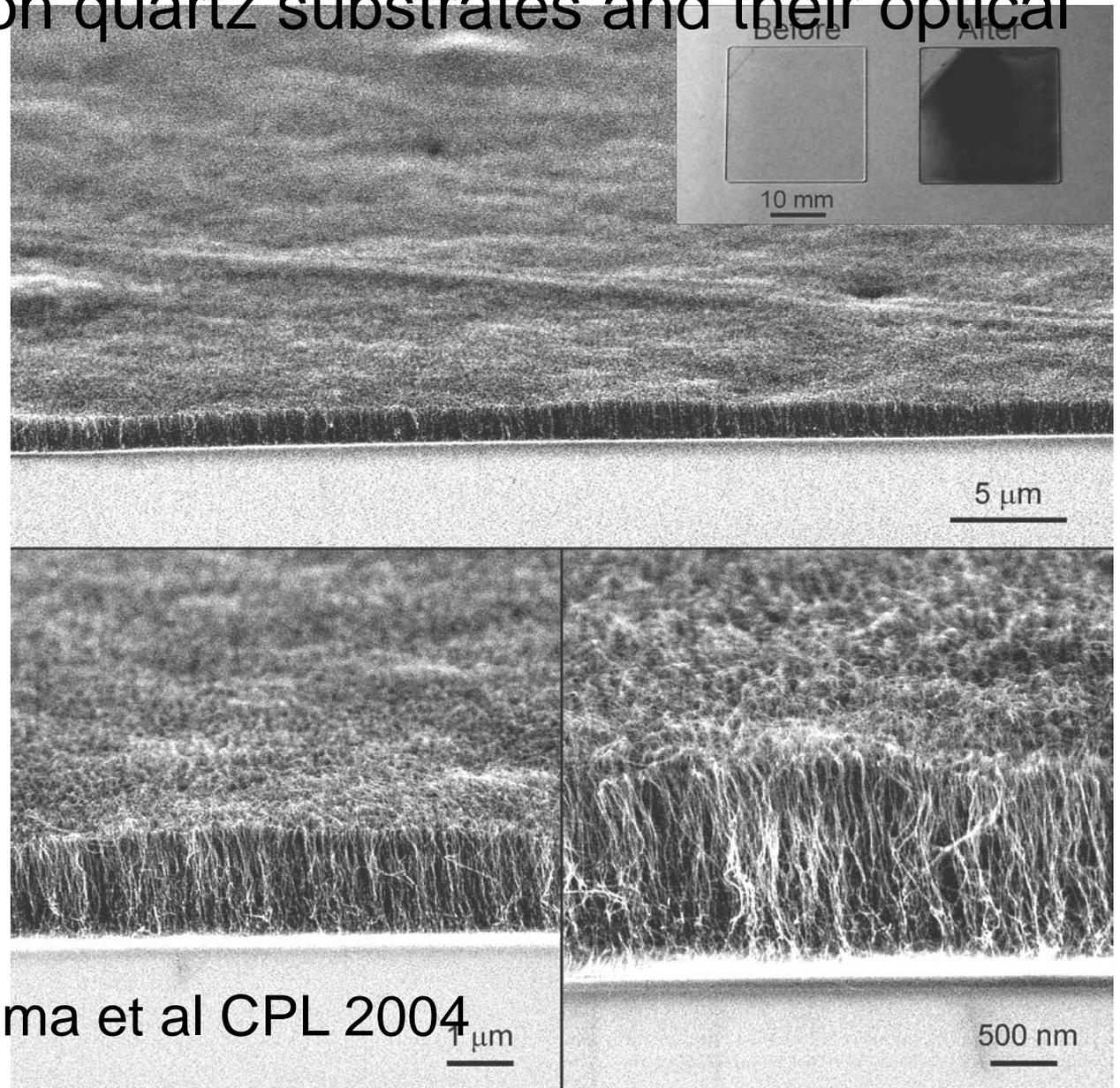
Carrier gas( $H_2$ ): 250 ml/min.  
1200°C

SWNTs: ~0.5 g/h  
Impurity(Fe, a-C): ~5 wt%)

Young's modulus: 77 GPa

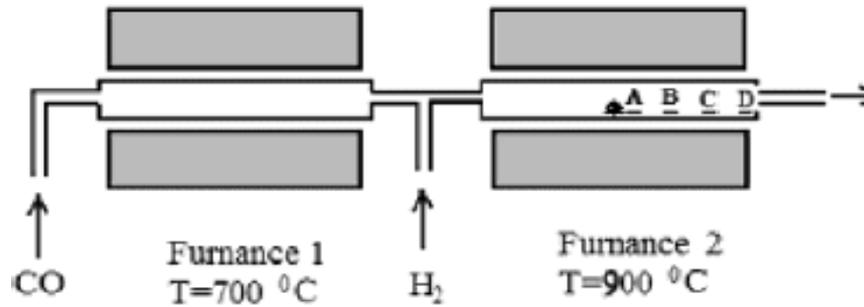


# Growth of vertically aligned single-walled carbon nanotube films on quartz substrates and their optical anisotropy

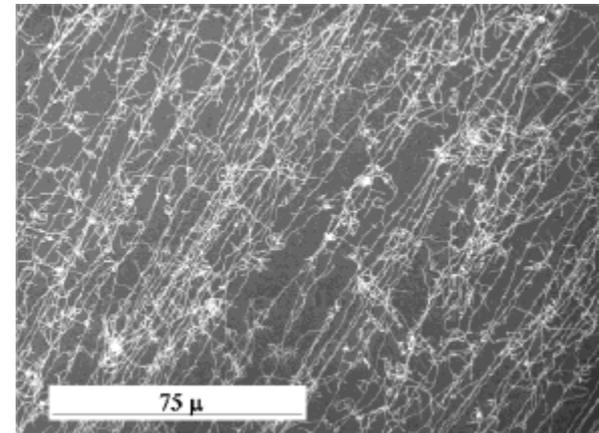
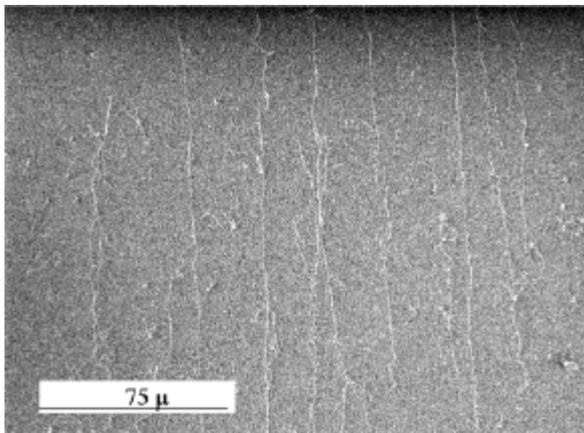
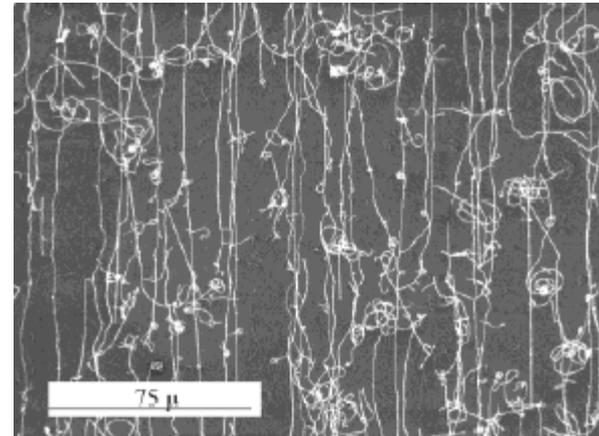
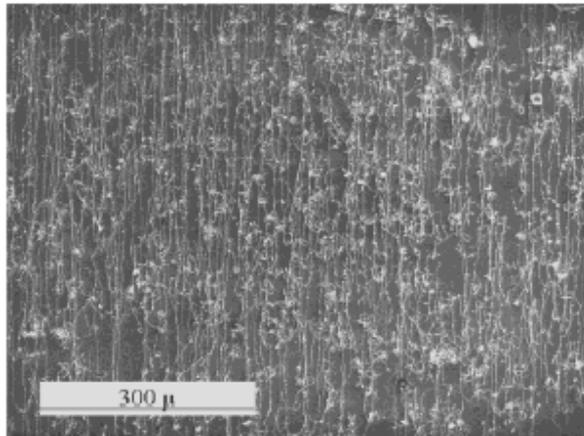


Murakami, Maruyama et al CPL 2004

# Long Ropes of SWNTs for Elevators to Moon.

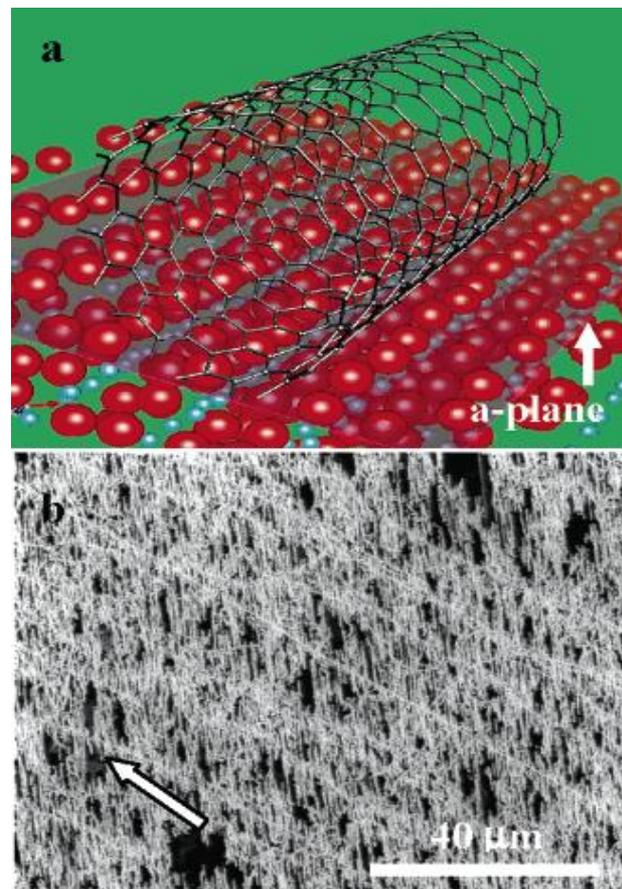
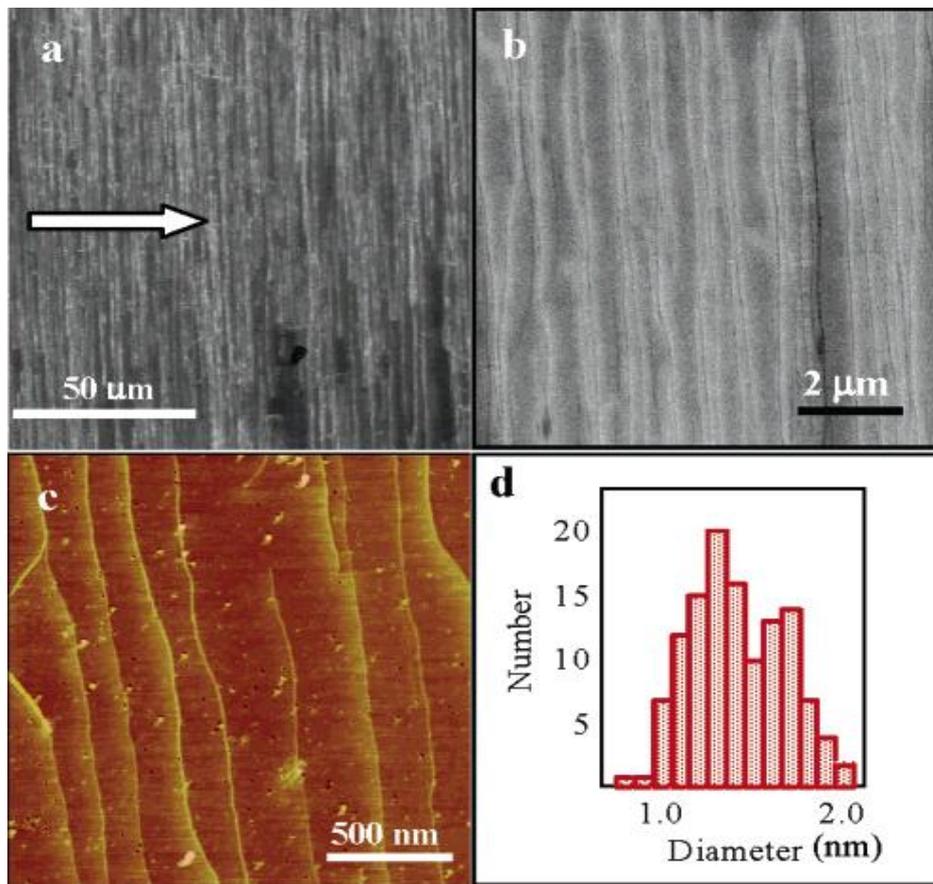


S. Huang et al.  
J. Chem. Phys. B  
jp0364708



# Template-Free Directional Growth of Single-Walled Carbon Nanotubes on a- and r-Plane Sapphire

Song Han, Xiaolei Liu, and Chongwu Zhou



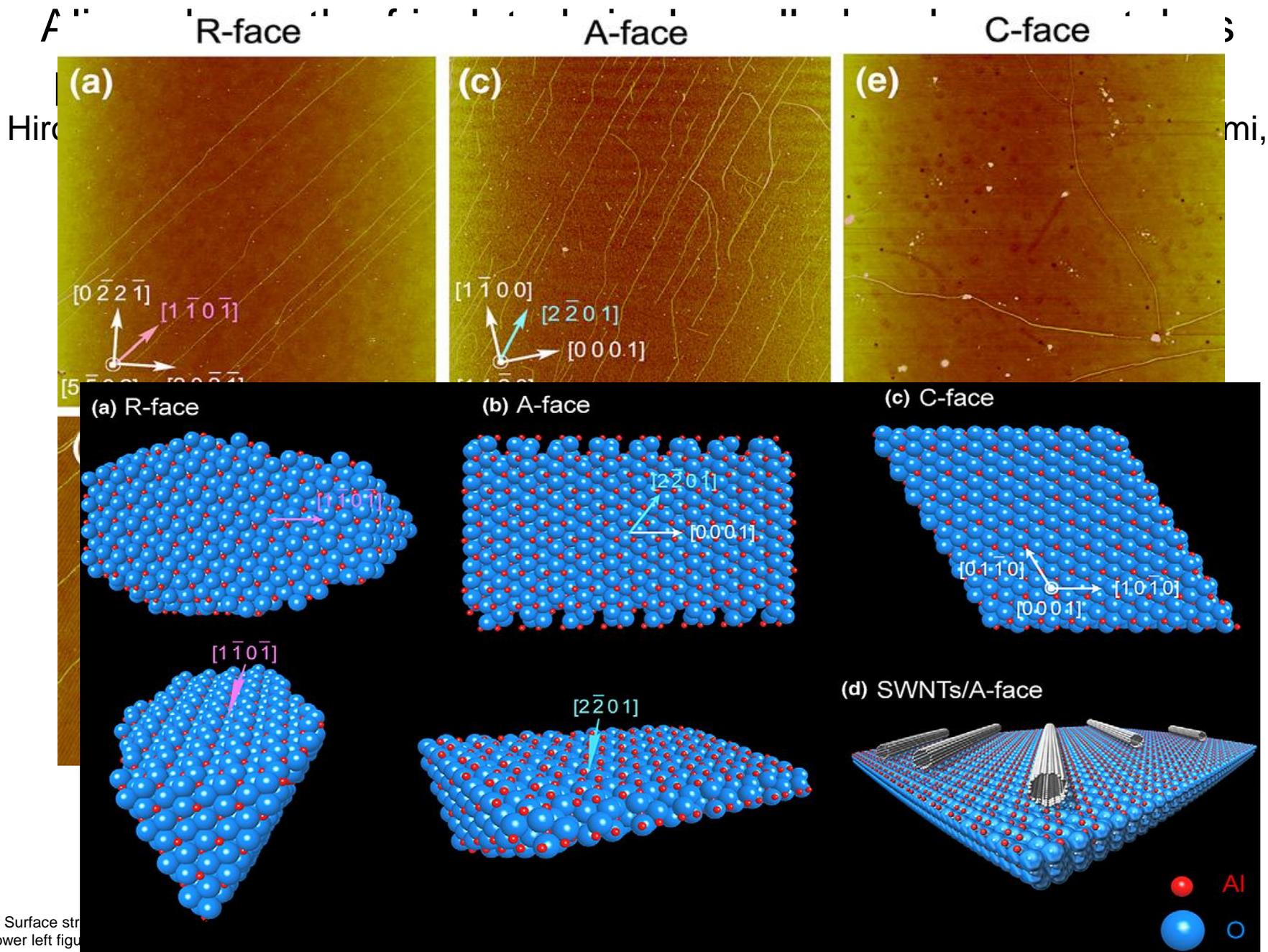


Fig. 5. Surface structure of AlN. (a) HRTEM image of the R-face. (b) HRTEM image of the A-face. (c) HRTEM image of the C-face. (d) Schematic diagram of SWNTs grown on the A-face substrate. Two lower left figures show the crystallographic directions (pink and light blue arrows for the R and A faces, respectively). A schematic diagram of SWNTs grown on the A-face substrate is shown in (d). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

# Water-Assisted Highly Efficient Synthesis of Impurity-Free Single-Walled Carbon Nanotubes

Kenji Hata,\* Don N. Futaba,\* Kohei Mizuno, Tatsunori Namai, Motoo Yumura, Sumio Iijima

