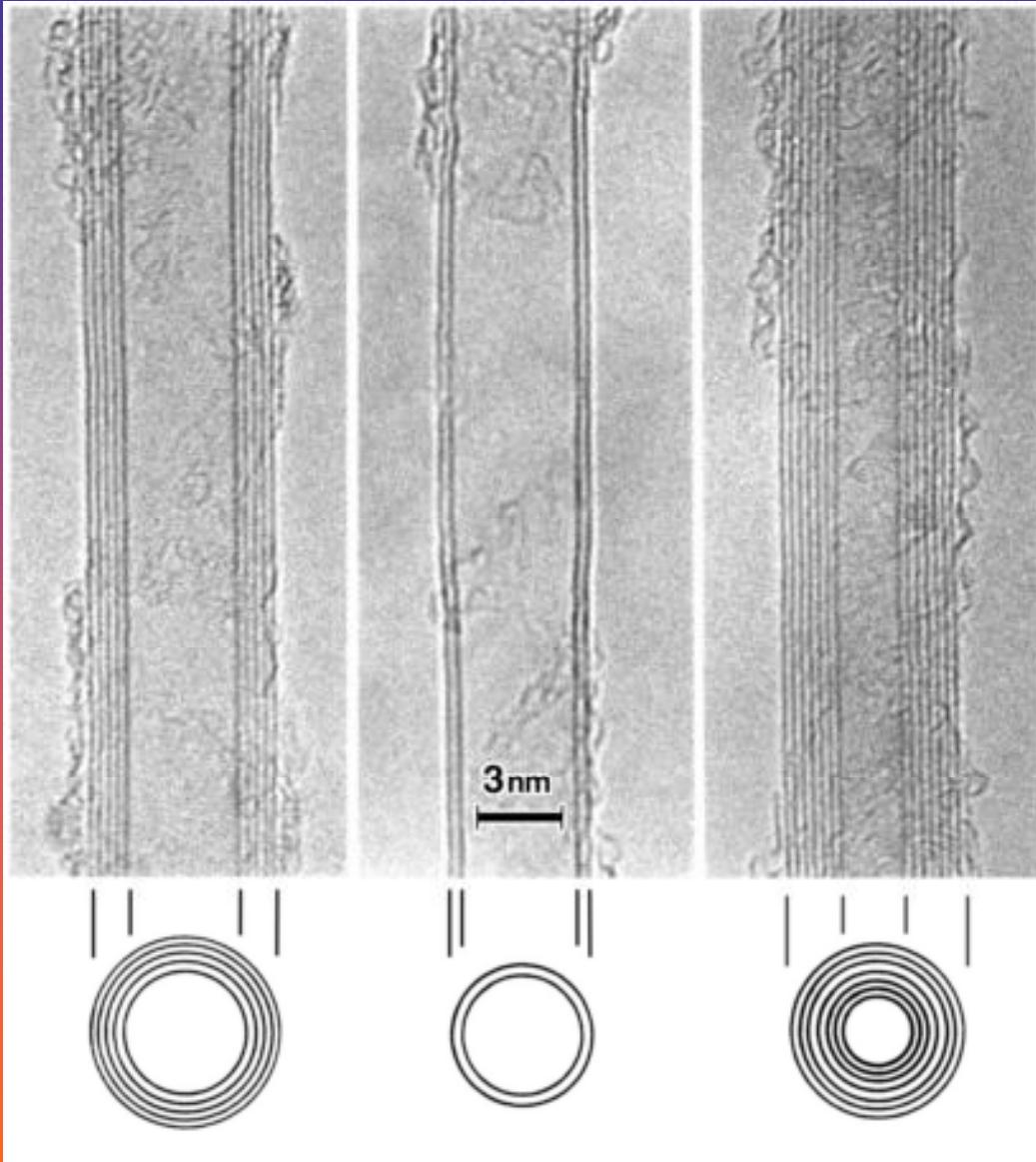
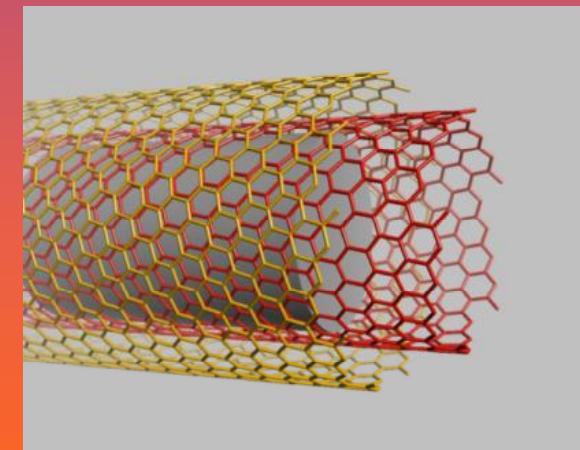


# Dawn of Carbon Nanotechnology in 1991



*Iijima (NEC) found these Carbon nanotubes in soot formed by arc discharge of carbon containing Fe.*



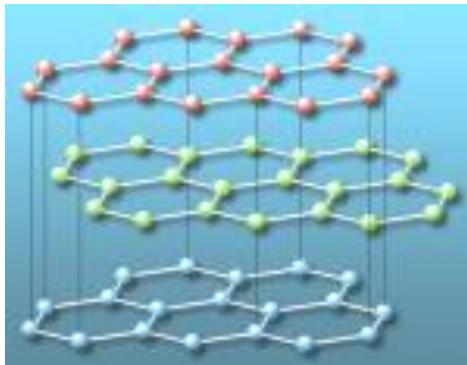
Handa (NEC)

# Potential application of CNTs

- Electronic Devices
- Large area FET
- Transparent electrically conducting films
- Coating
- Mechanical engineering fields
- Medical fields

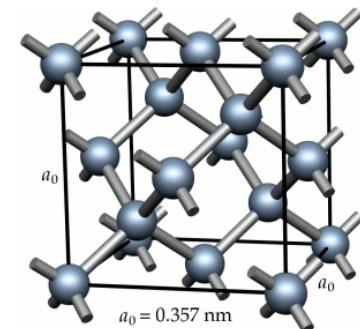
(Robot)

# Carbonaceous materials



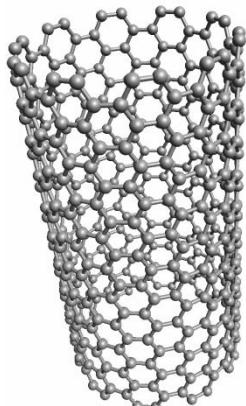
Lanzara Research Group

Diamond  
Graphite



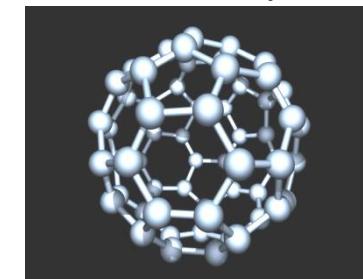
John Baez's Stuff

Carbon nanotube



Single-walled, Multi-walled, Nanohorn)

Fullerene



ICSD for WWW

Others

Amorphous carbon



Yanagi et al. Diamond Related Mater. 2008

T. Kawauchi, TUT

# How to make?

- Structure (C-C bonds)
- Shape
- Size
- Quality
- Quantity

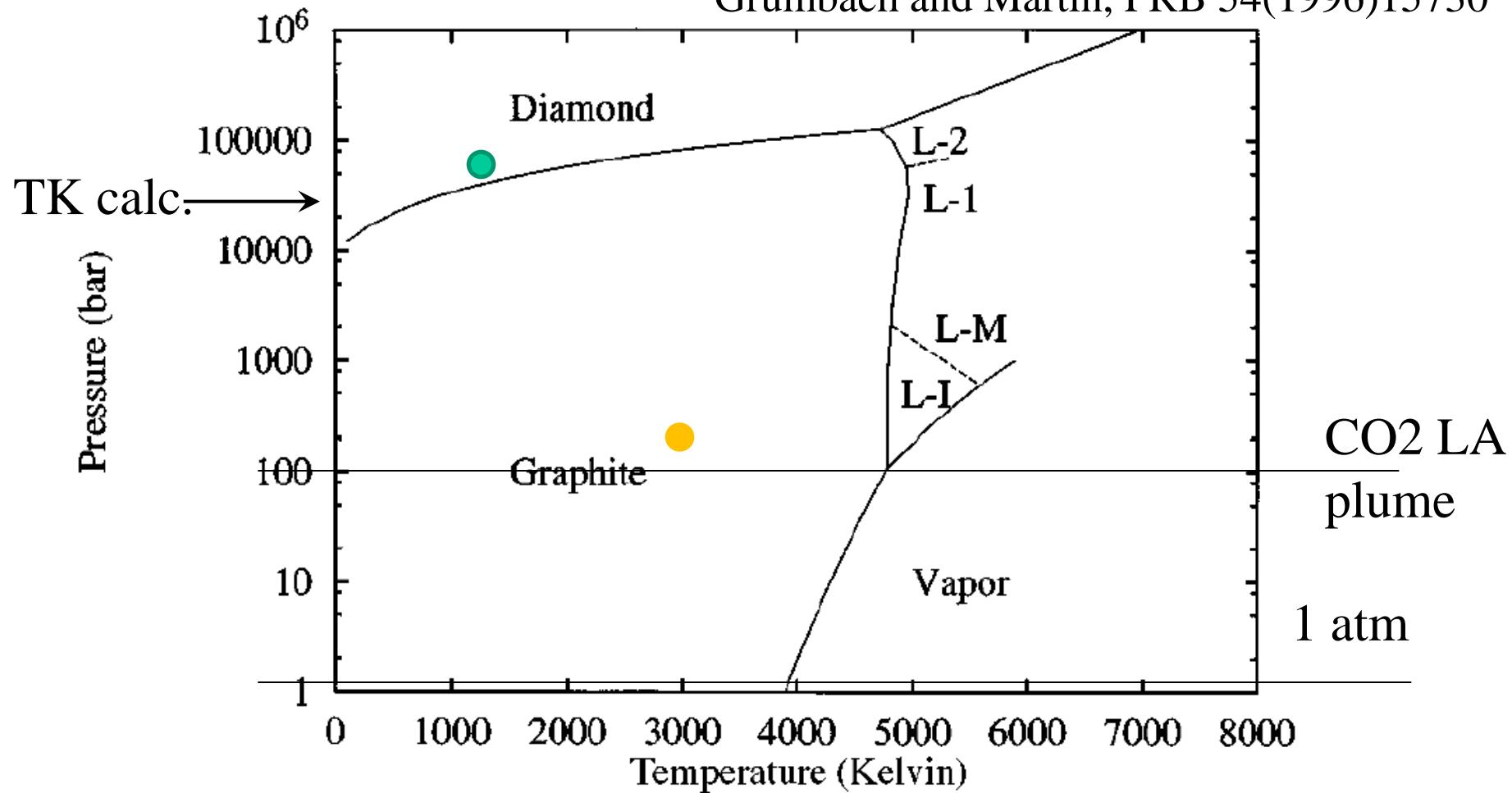
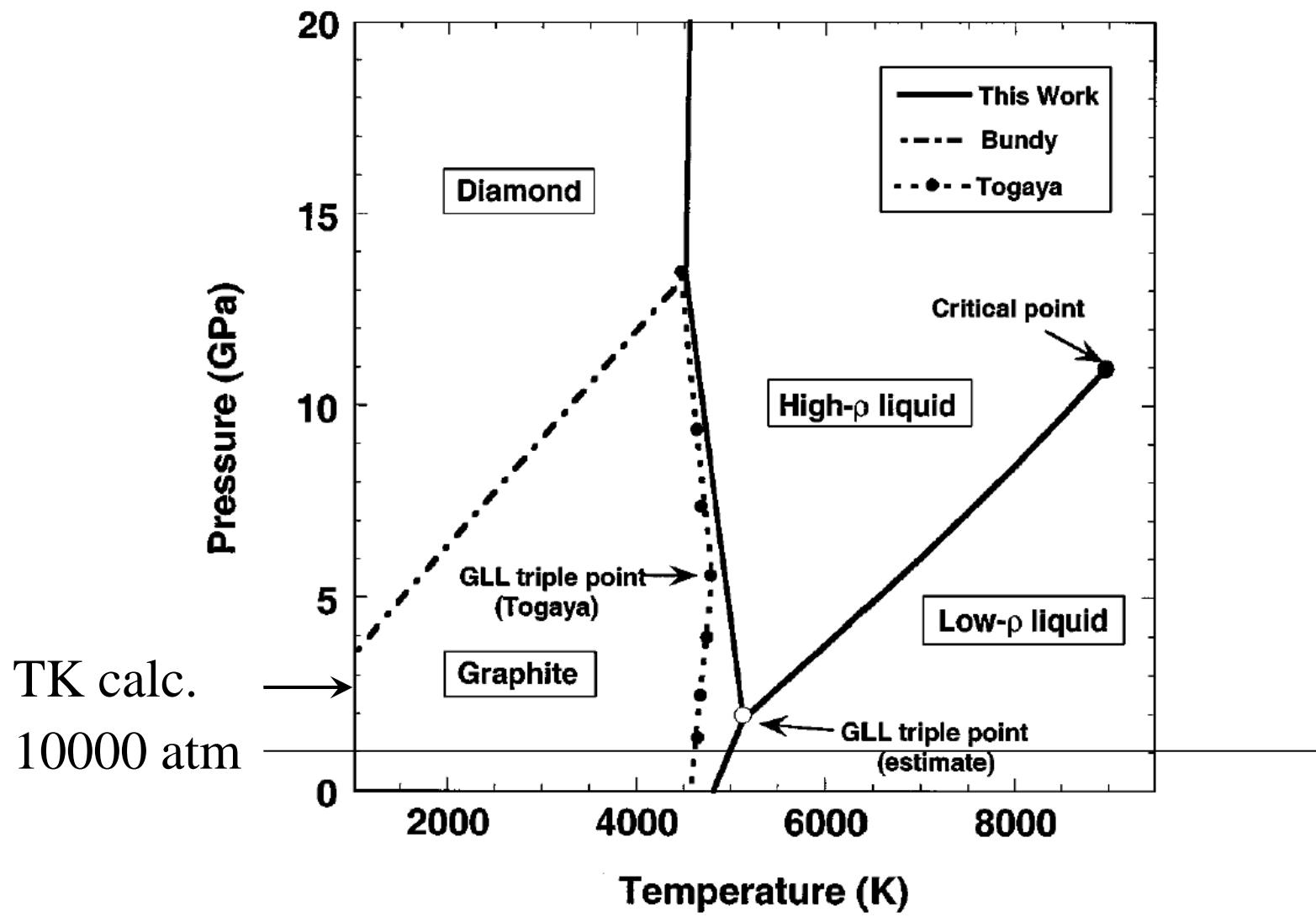
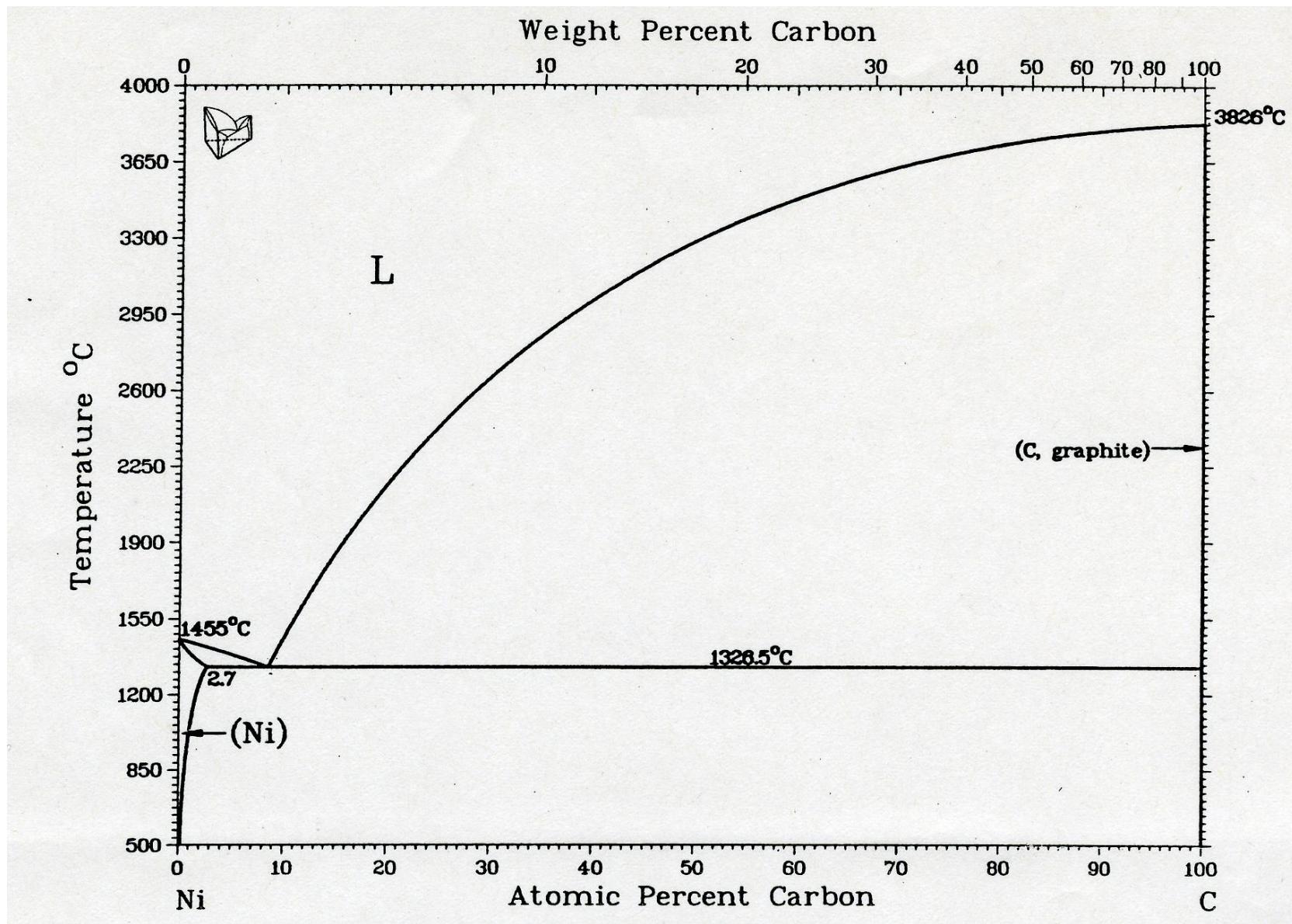


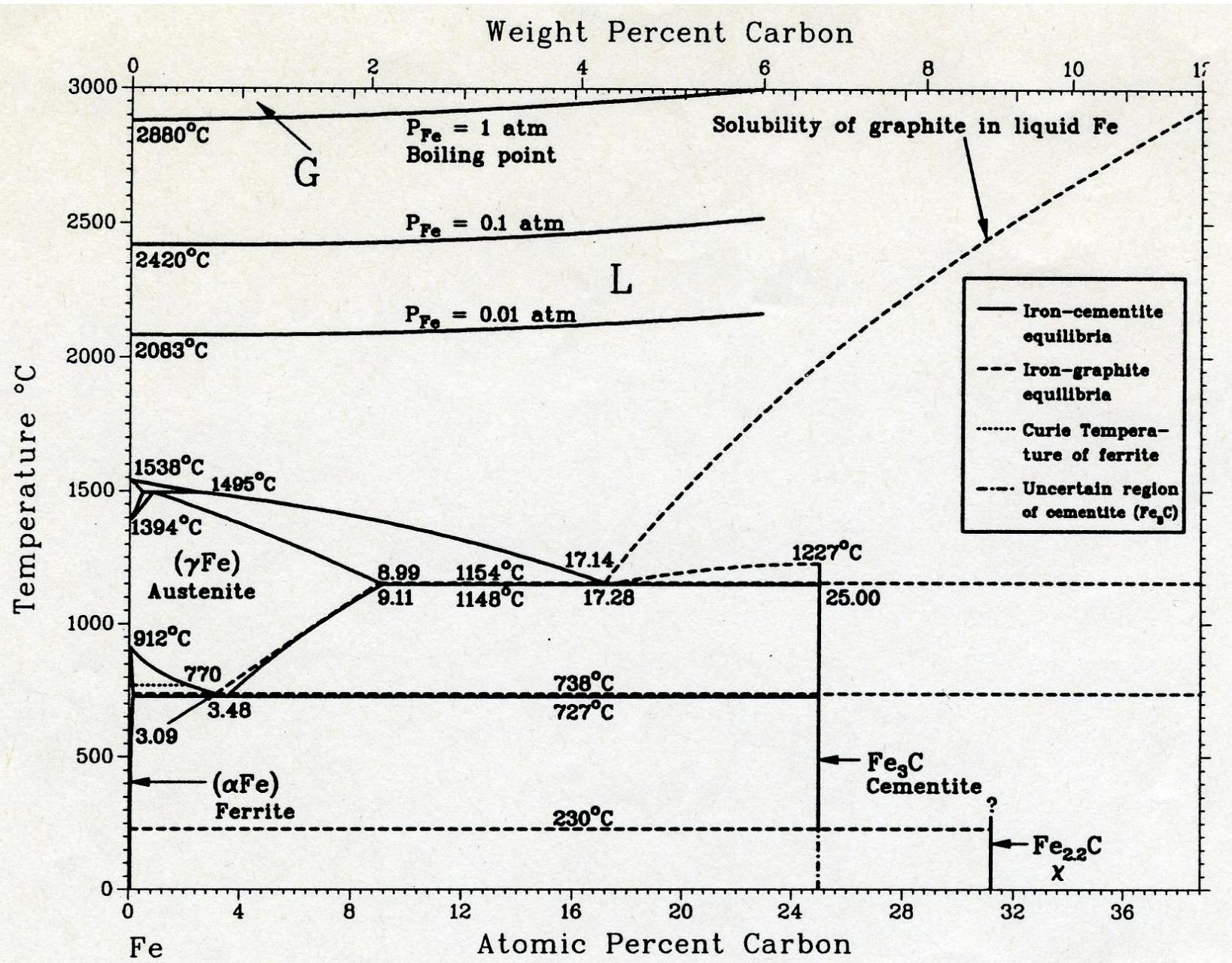
FIG. 1. Phase diagram of carbon at low pressures. Solid lines indicate phase boundaries for which some experimental evidence exists (Ref. 14). Dashed lines indicate theoretically proposed phase boundaries: liquid insulator ( $L-I$ ) to liquid metal ( $L-M$ ) (Ref. 15) and graphitelike liquid ( $L-1$ ) to diamondlike liquid ( $L-2$ ) (Ref. 16).



Glosli and Ree, *PRL* **82**(1999)4659.

# Metal-Carbon Phase Diagram

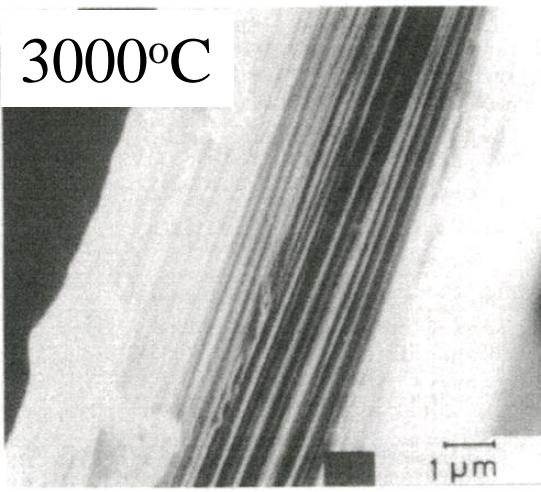
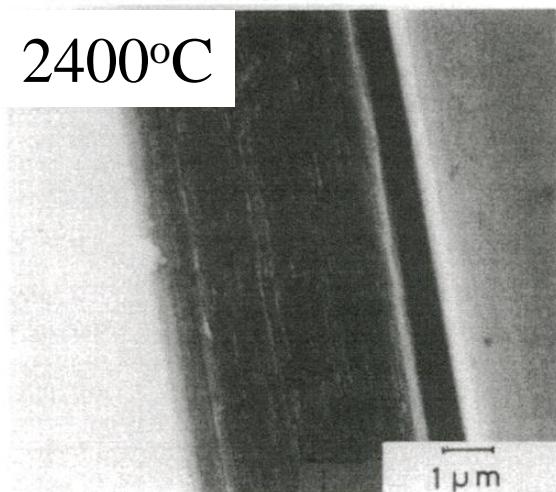
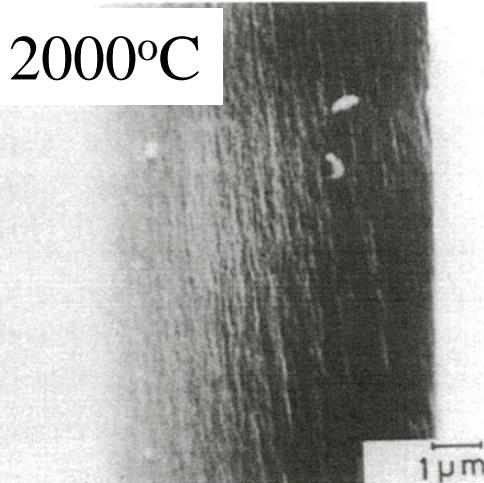
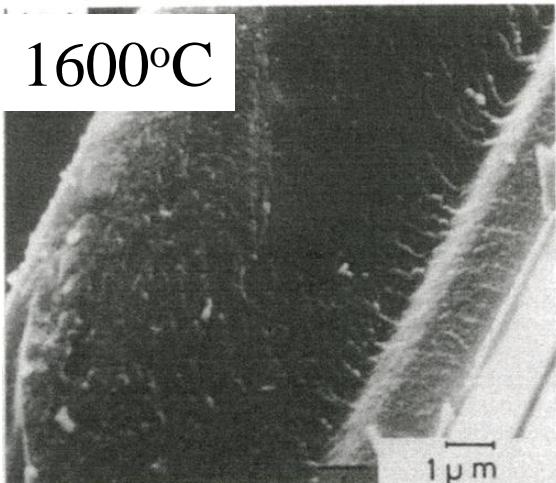




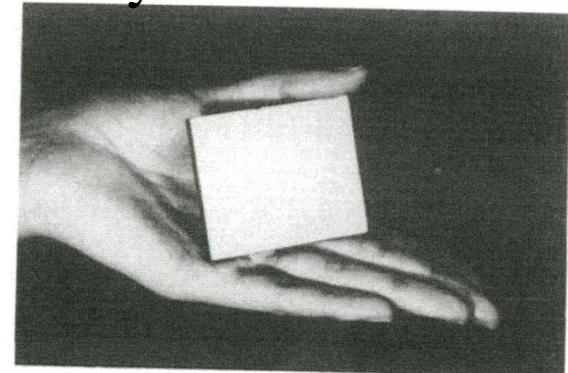
合成法には2種類あり、高圧(約5万気圧. 5070 bar)と高温(約1500°C)で作る高温高圧合成法と、低圧(約0.1気圧)のメタンと水素から成る原料ガスをプラズマ中で反応させ、約1000°Cの基板上に堆積させる気相合成法がある。

# Graphite synthesis from polyimide films

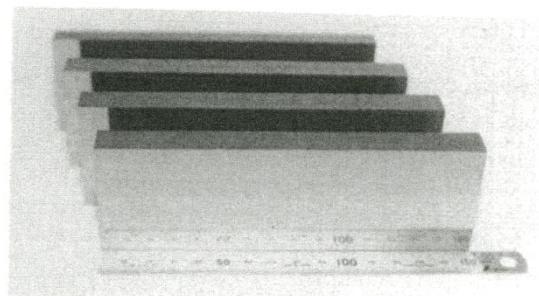
3000°C and 300 kg/cm<sup>2</sup> (294 bar, 290 atm, 30 MPa)



X-ray monochrometer



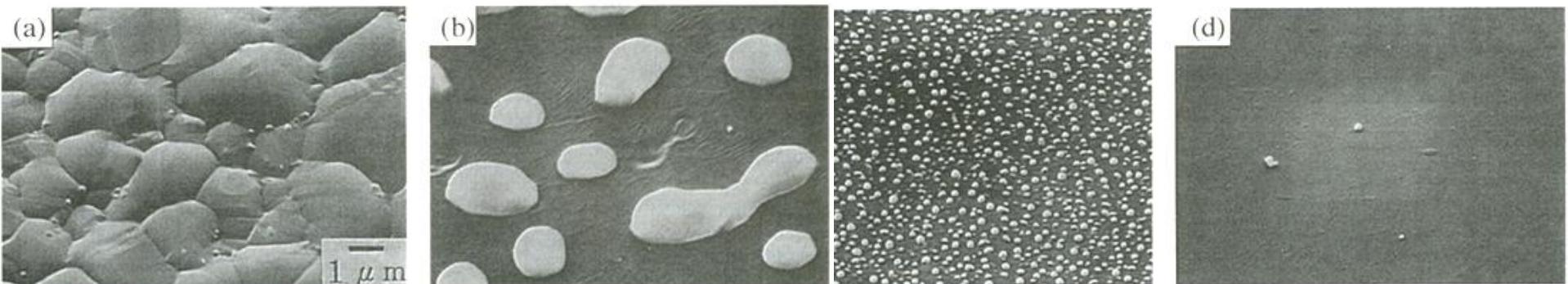
(a)



Neutron filter

Fig. 1. Cross-sectional views of heat-treated polyimide (Kapton®) by SEM observation; (a): 1600°C, (b): 2000°C, (c): 2400°C, (d): 3000°C.

# Graphite Thin Film Formation by CVD on Ni/Quartz glass



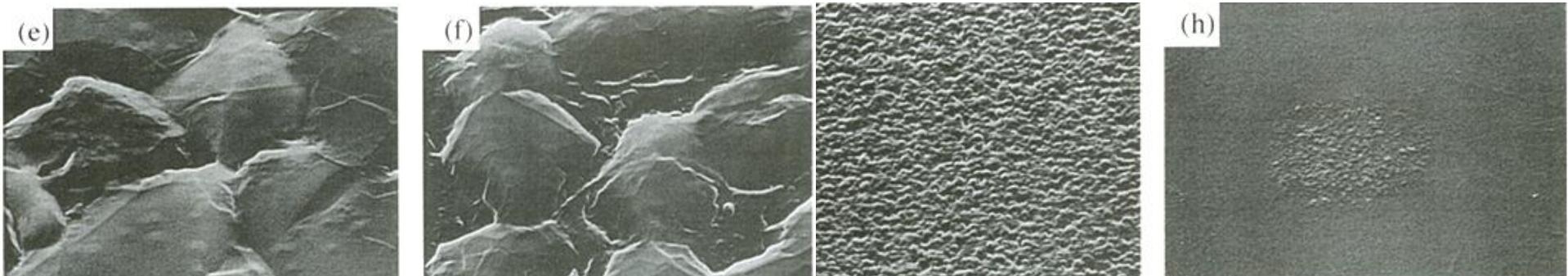
Ni (500 nm)

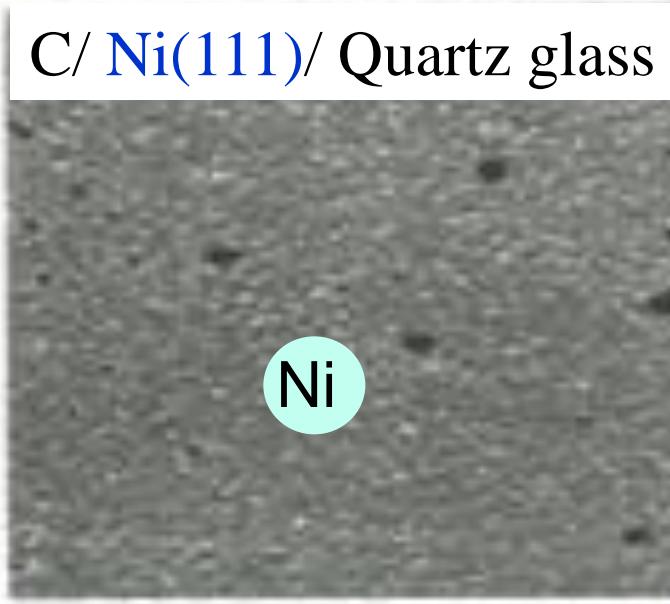
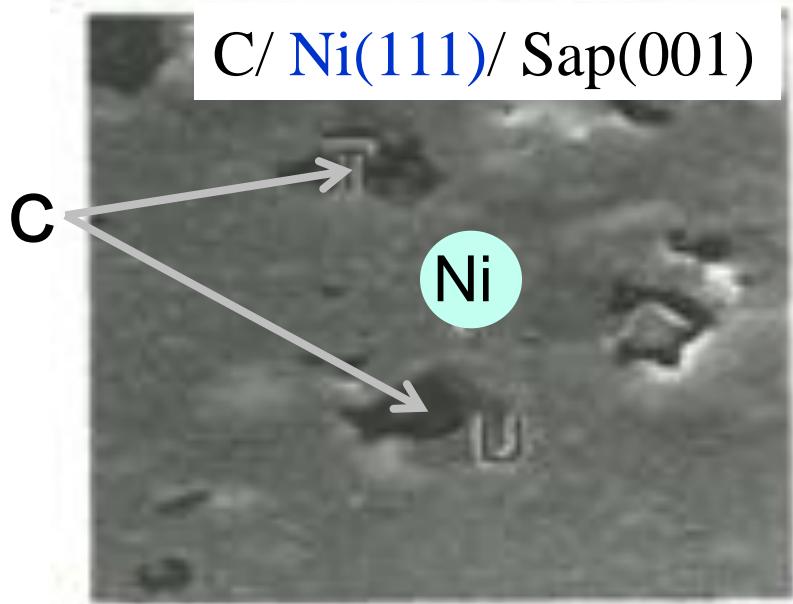
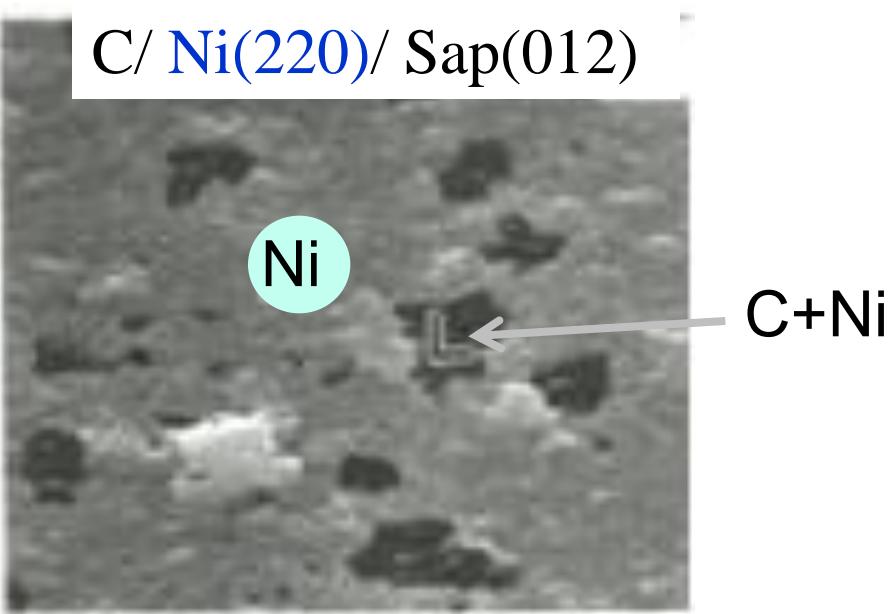
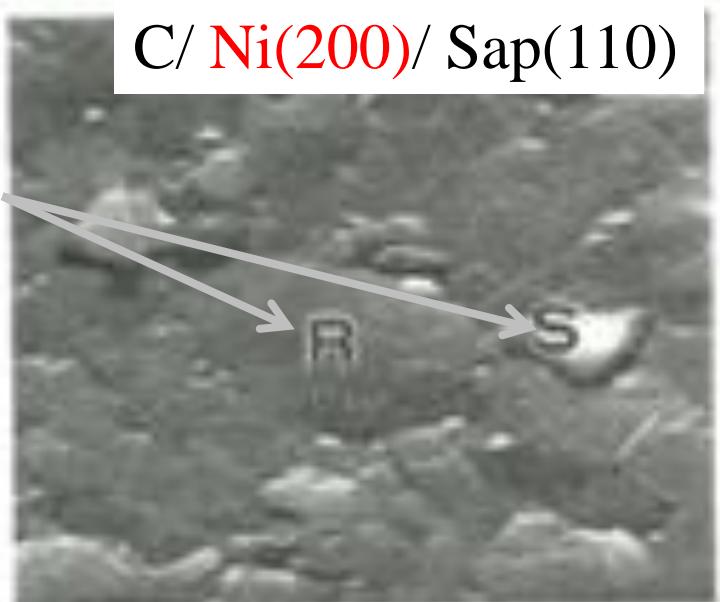
Ni (100 nm)

Ni (50 nm)

Ni (1 nm)

↓  
CVD (1000°C)



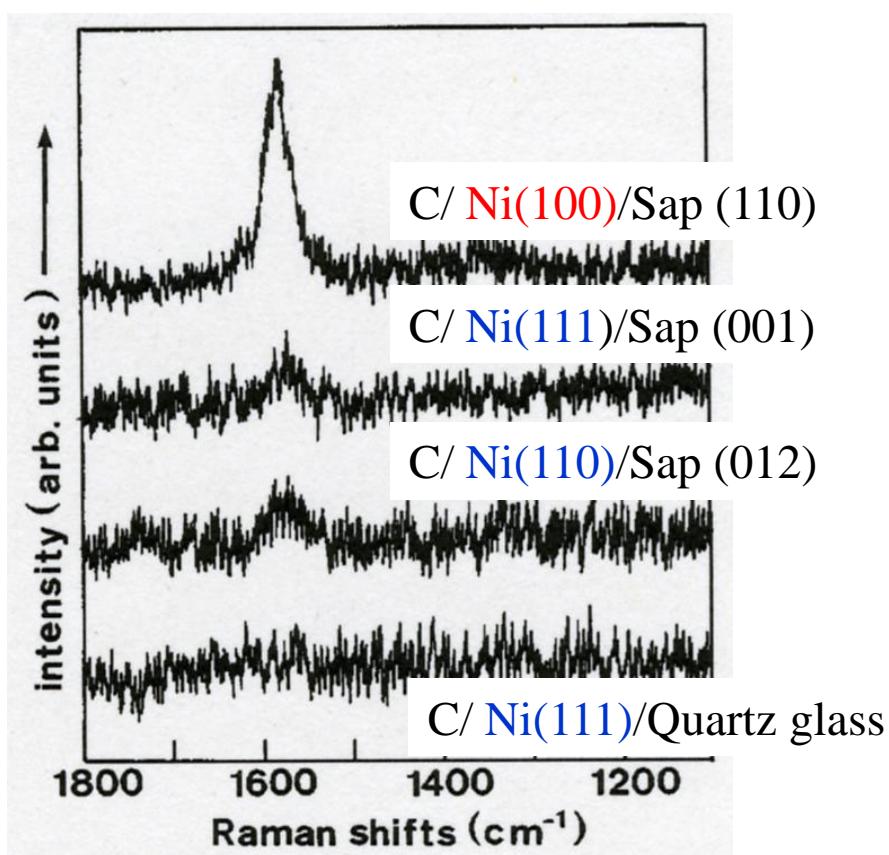


3 $\mu$ m

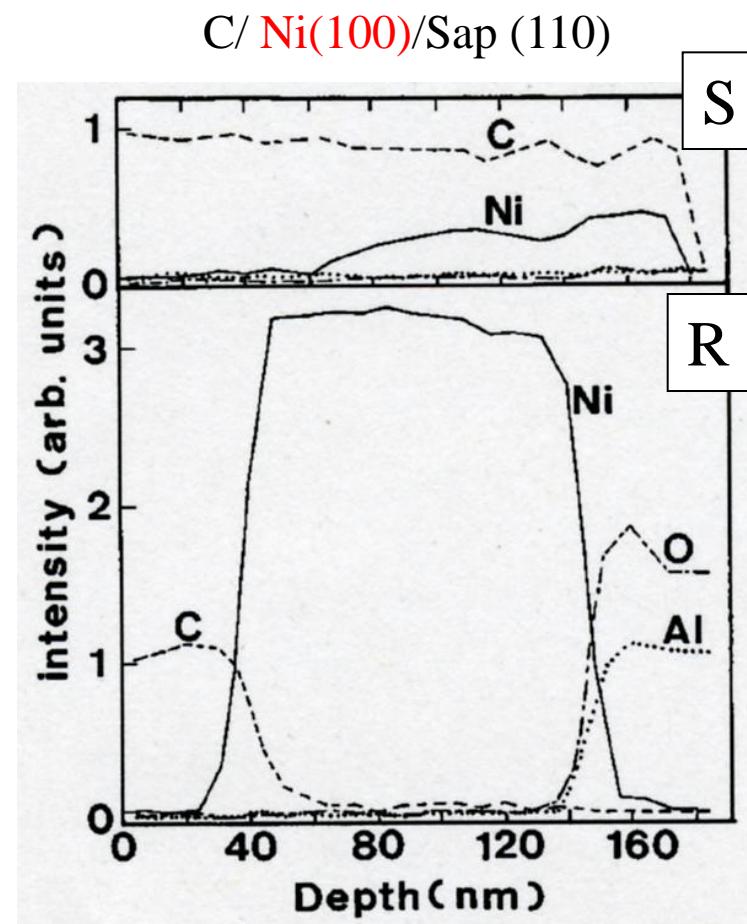
CVD 700°C, 2h. 2-methyl-1,2'-naphthyl ketone  
Ni film thickness: 50 nm

Yudasaka et al. J. Vac. Sci. Technol. A 1998.

# Graphite formation on Ni(100) >> Ni(111), Ni(110)



Raman scattering spectra

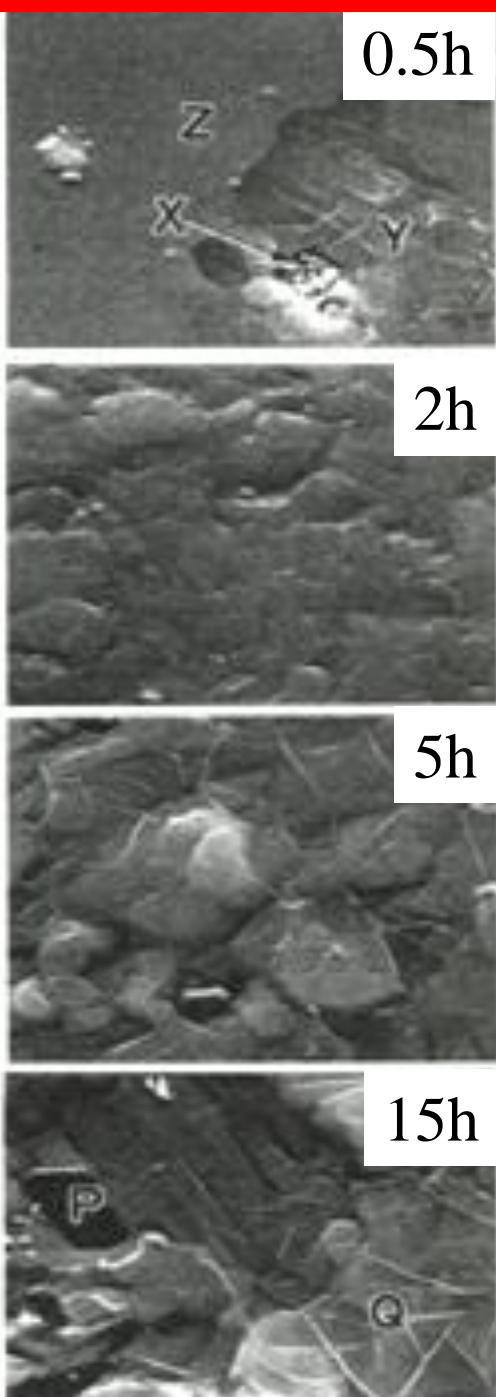


Selected Area Auger analysis  
(Depth profiles of elements)

Material name		Nickel						
Composition		Ni						
Chemical formula weight		58.69						
System		Cubic						
Temperature (°C)		25						
$a$ (Å), $b$ (Å), $c$ (Å)		3.5238(3)		3.5238(3)		3.5238(3)		
$\alpha$ (deg), $\beta$ (deg), $\gamma$ (deg)		90		90		90		
Unit cell volume (Å³)		43.76						
Calculated density (g/cm³)		8.91						
$Z$		4						
Space group		$Fm\bar{3}m$ (No.225)						
atom	site	$g$	$x/a$	$y/b$	$z/c$	$B$ (Å²)		
Ni	$4a$	1	0	0	0	-		

Reference: F.W. von Batchelder and R.F. Raeuchle, Acta Crystallographica, 7, 464 (1954).

C/Ni(100)/Sap.



*Yudasaka et al. J. Vac.  
Sci. Technol. A 1998.*

0.5h

2h

5h

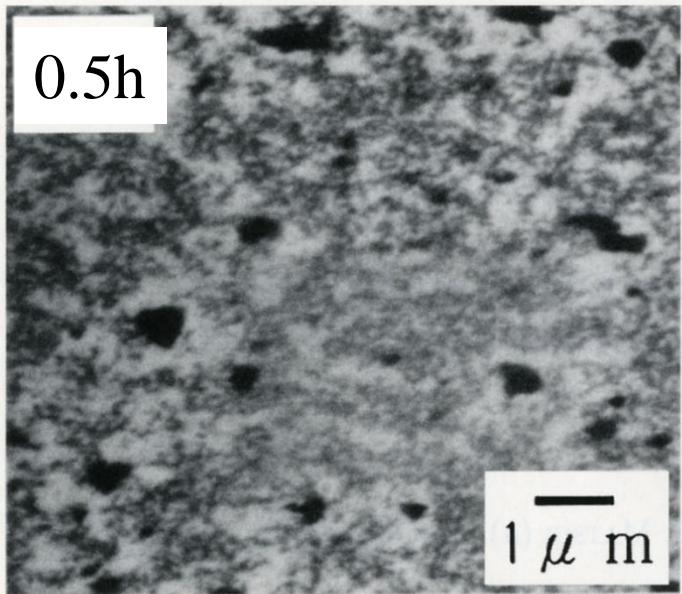
15h

3 μm

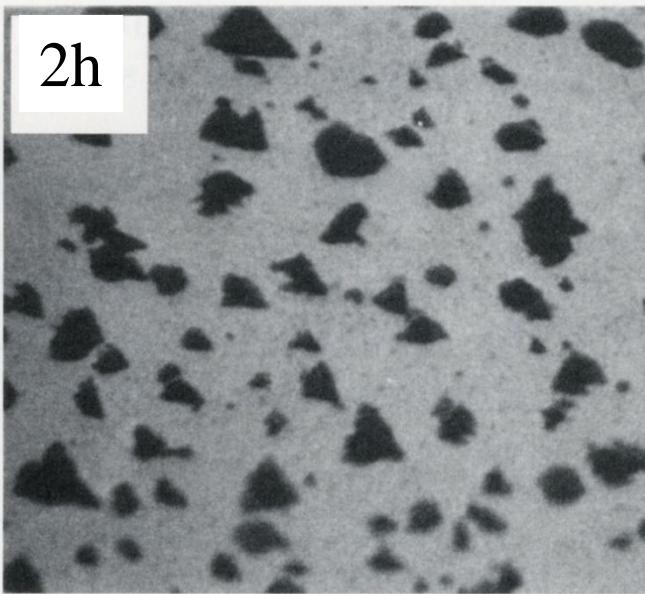
C/Ni(111)/Sap.

# Graphite/Pt(111)/Sapphire(110)

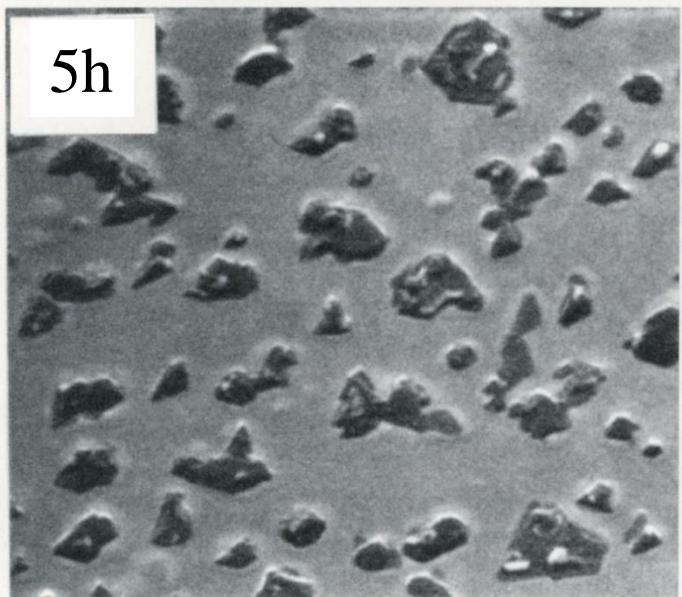
0.5h



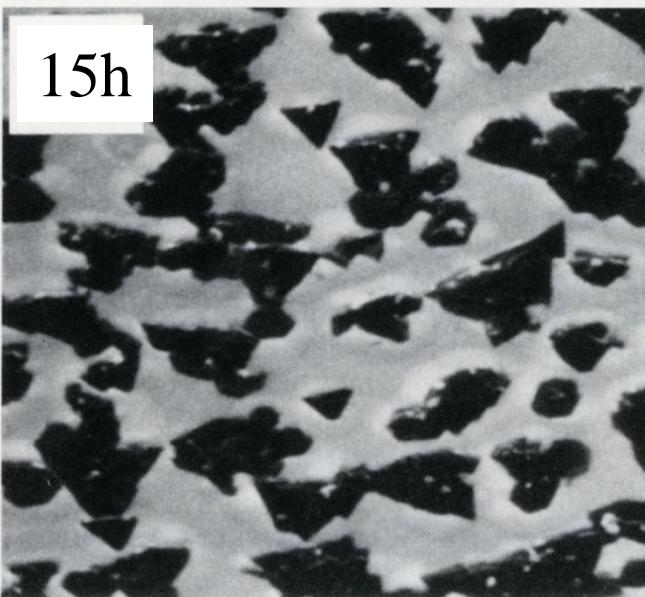
2h



5h



15h



CVD 900°C,  
Pt 50 nm  
Pt(111)  
Sapphire (110)

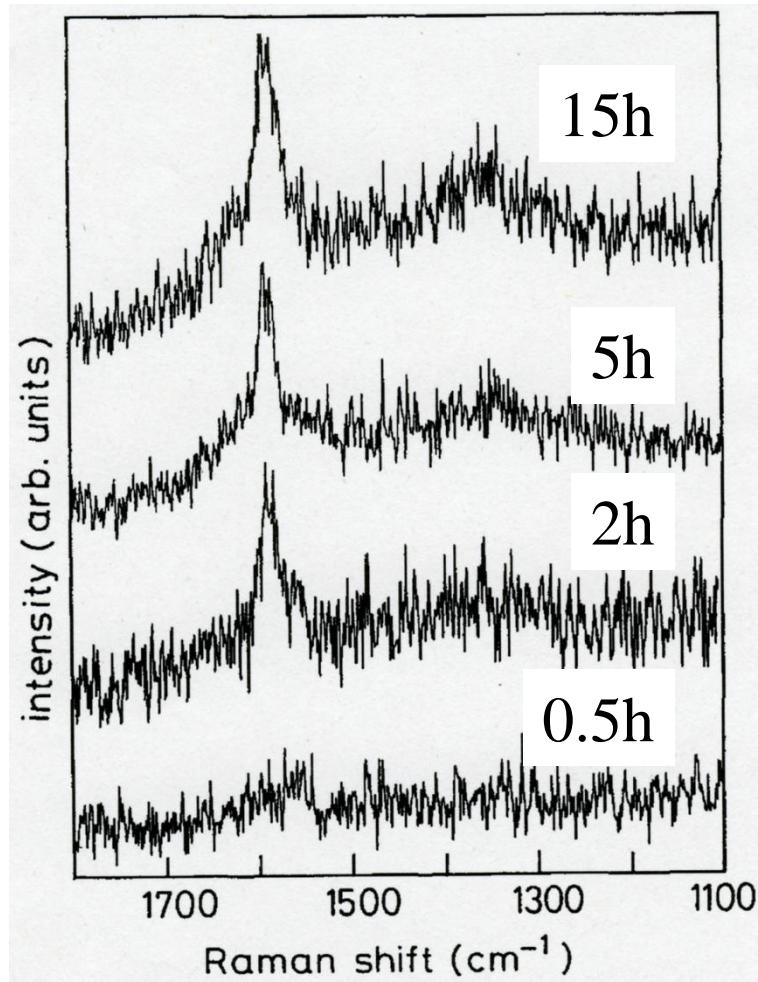
time (h)	0.5	2	5	15	2 + 18
$d_{002}$ ( nm)	(0.3373)	0.3356	0.3352	0.3353	0.3358
$\Delta_{002}$ (°)		0.37	0.26	0.18	0.25
$\delta_{222}$ (°)	(0.33)	0.27	0.25	0.28	0.18
$d_{222}$ ( nm)	0.1130	0.1129	0.1127	0.1127	0.1131
$\Delta_{222}$ (°)	0.20	0.20	0.22	0.19	0.22
$\delta_{222}$ (°)	0.11	0.14	0.12	0.13	0.14

Pt film:  $d_{222}=0.1129$  nm  $\rightarrow$  0.3387 nm

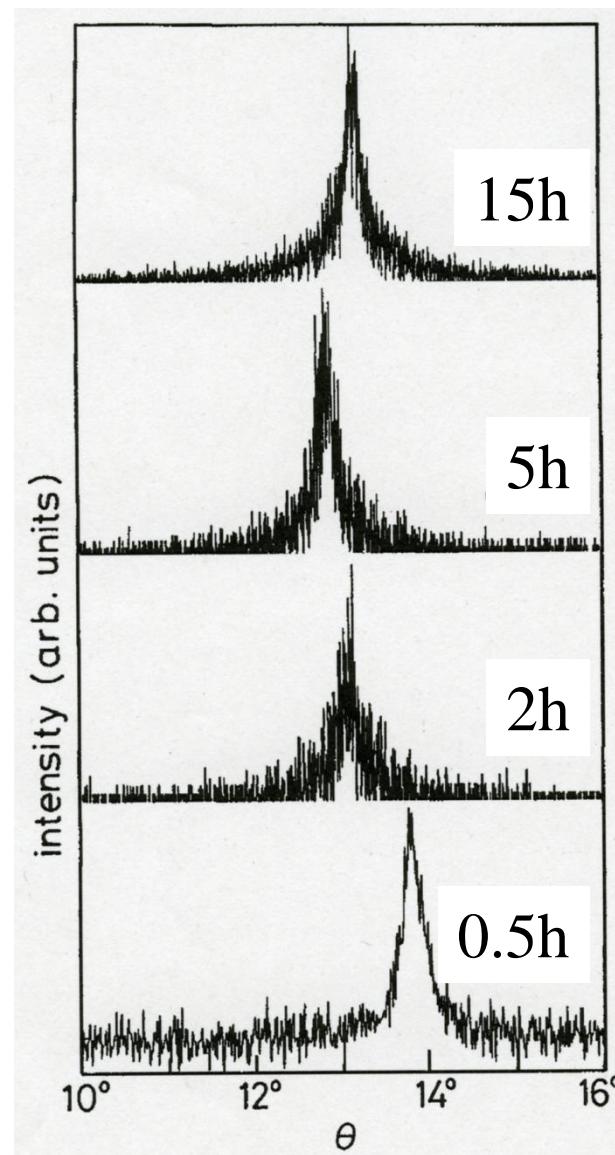
Pt crystal:  $d_{222}=0.11325$  nm  $\rightarrow$  0.33975 nm

Material name		Platinum				
Composition		Pt				
Chemical formula weight		195.08				
System		Cubic				
Temperature (°C)		18				
$a$ (Å), $b$ (Å), $c$ (Å)		3.924	3.924	3.924		
$\alpha$ (deg), $\beta$ (deg), $\gamma$ (deg)		90	90	90		
Unit cell volume (Å³)		60.4				
Calculated density (g/cm³)		21.5				
Z		4				
Space group		$Fm\bar{3}m$ (No.225)				
atom	site	g	$x/a$	$y/b$	$z/c$	$B$ (Å²)
Pt	4a	1	0	0	0	-

# Graphite/Pt(111)/Sapphire(110)

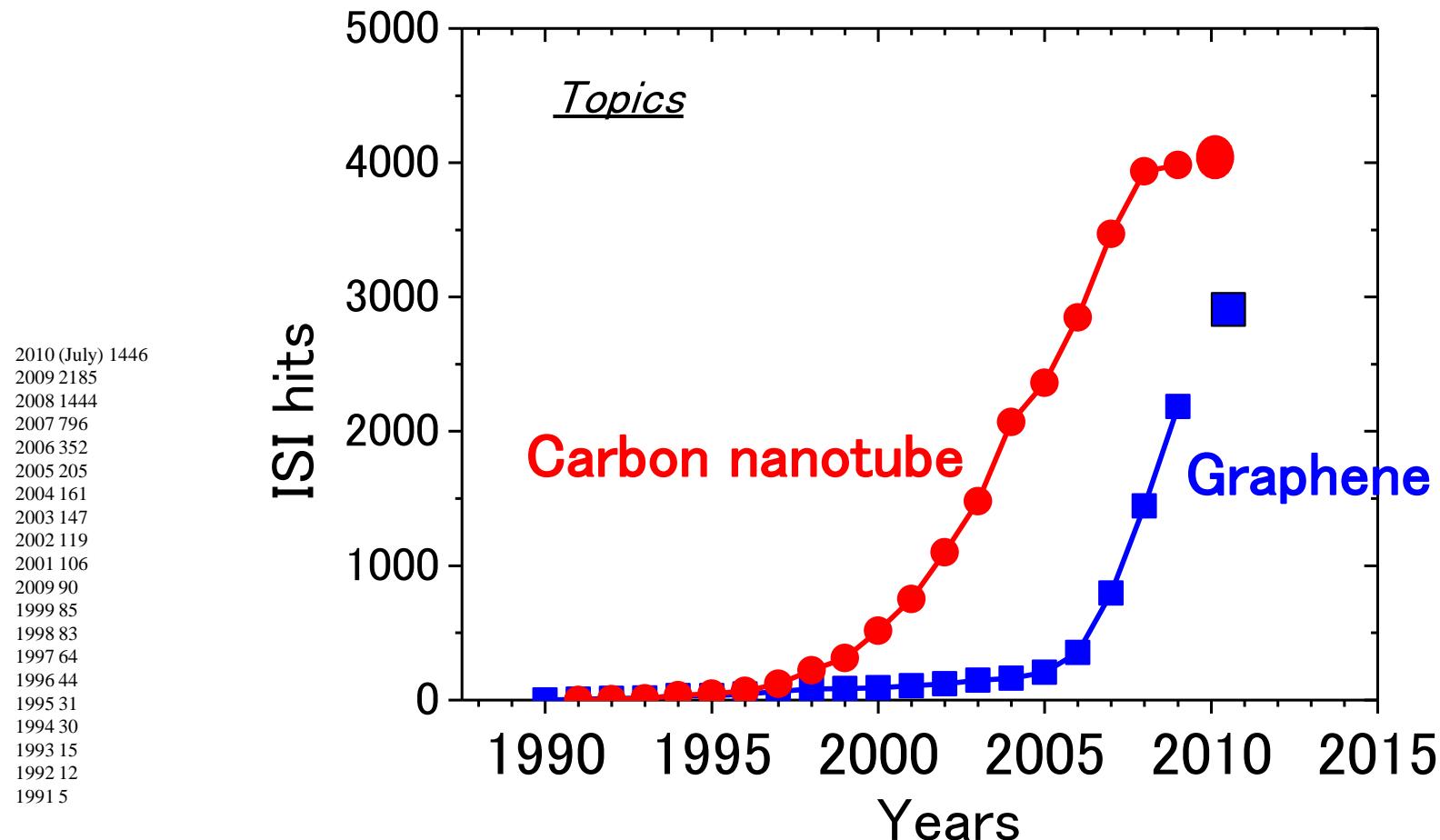


Raman spectra



X-ray diffraction rocking curves

# Studies on Graphene: Quickly Increasing

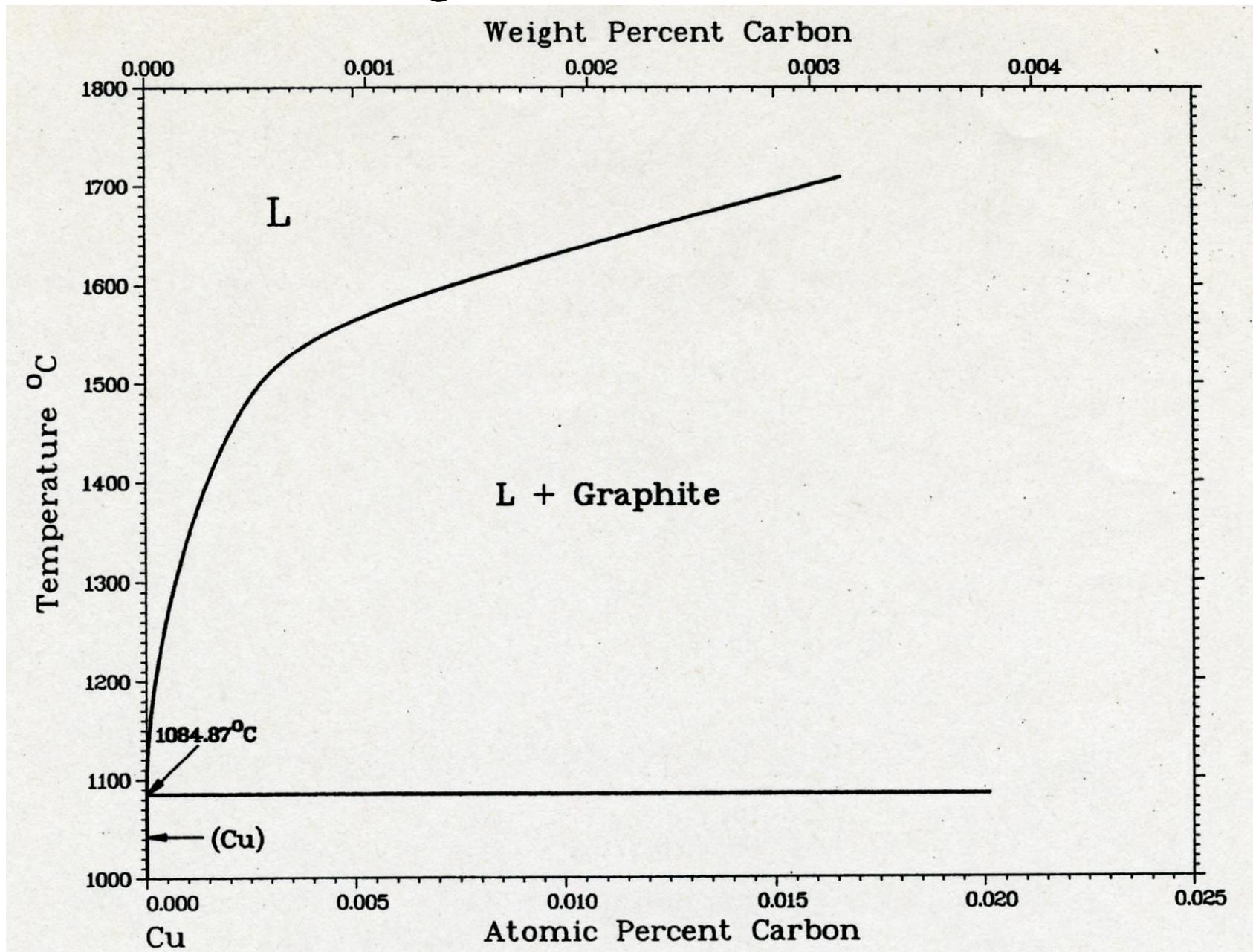


# How to obtain graphenes?

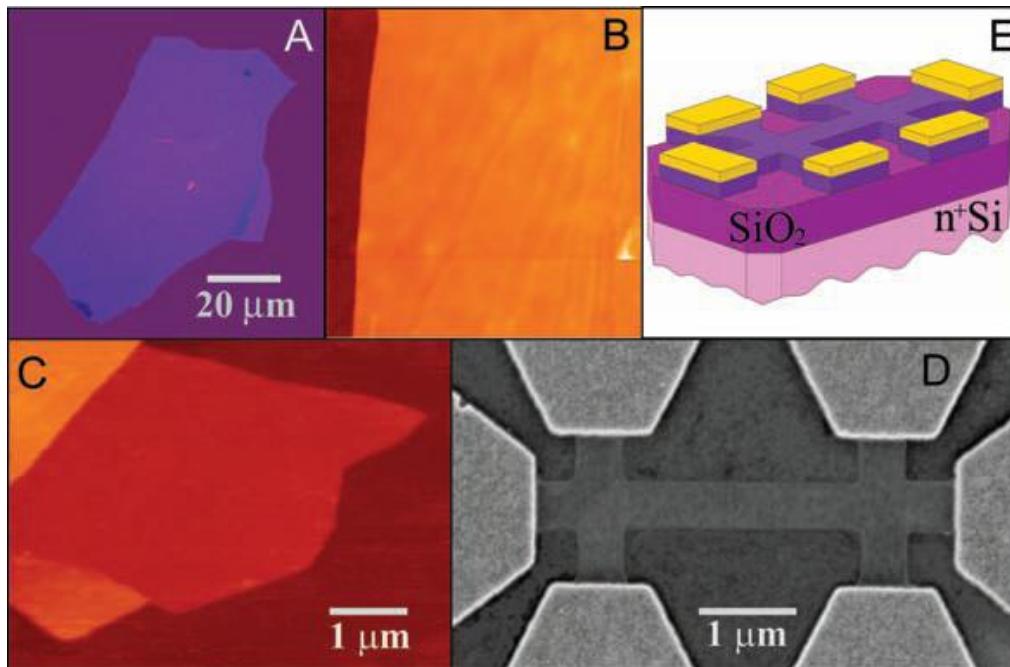
~2005 Peeling

Recently CVD on Cu

# Cu-C Phase diagram



- Electric field effect in atomically thin carbon films  
Novoselov, Geim, et al. Science 2004
- Two-dimensional gas of massless Dirac fermions in graphene.  
Novoselov, Geim, et al. Nature 2005

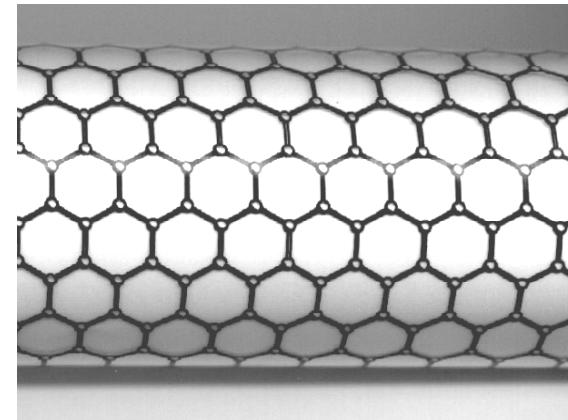
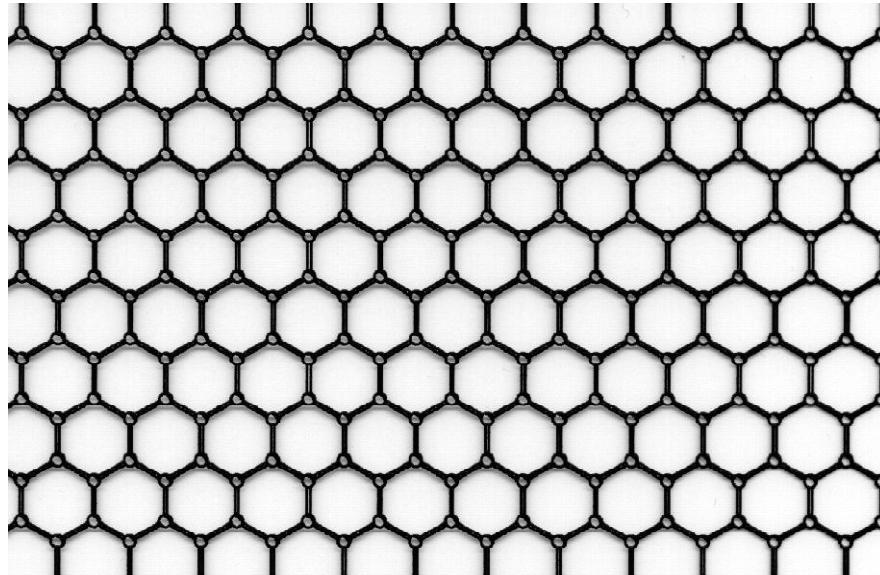


(Novoselov et al Scince 2004)

Room temperature mobility:  $10,000 \text{ cm}^2/\text{Vs}$ . Carrier concentration (electron, hole):  $10^{13} \text{ cm}^{-2}$  graphite . (GaAs-based HEMT (2D-gas):  $10^{12} \text{ cm}^{-2}$ ,  $6000 \text{ cm}^2/\text{Vs}$ )

Graphene films: Repeated **peeling** of highly oriented pyrolytic graphite.

## グラファイトシート 1枚からなる円筒が構造単位

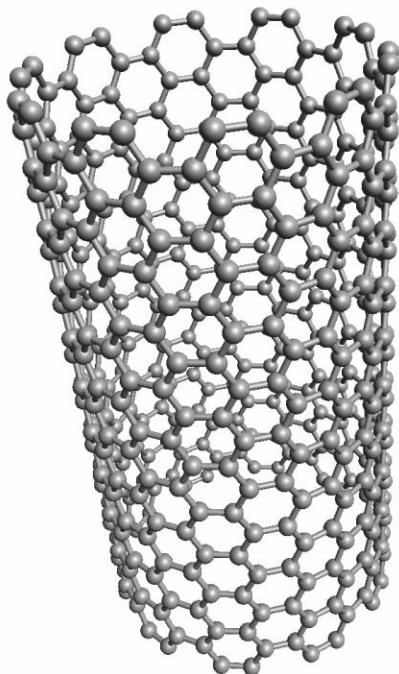


单層炭素ナノチューブ (Single-wall carbon nanotube, SWNT)

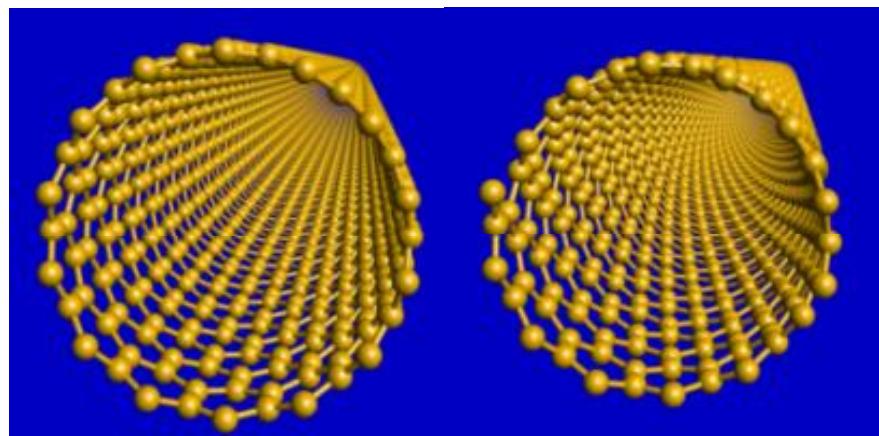
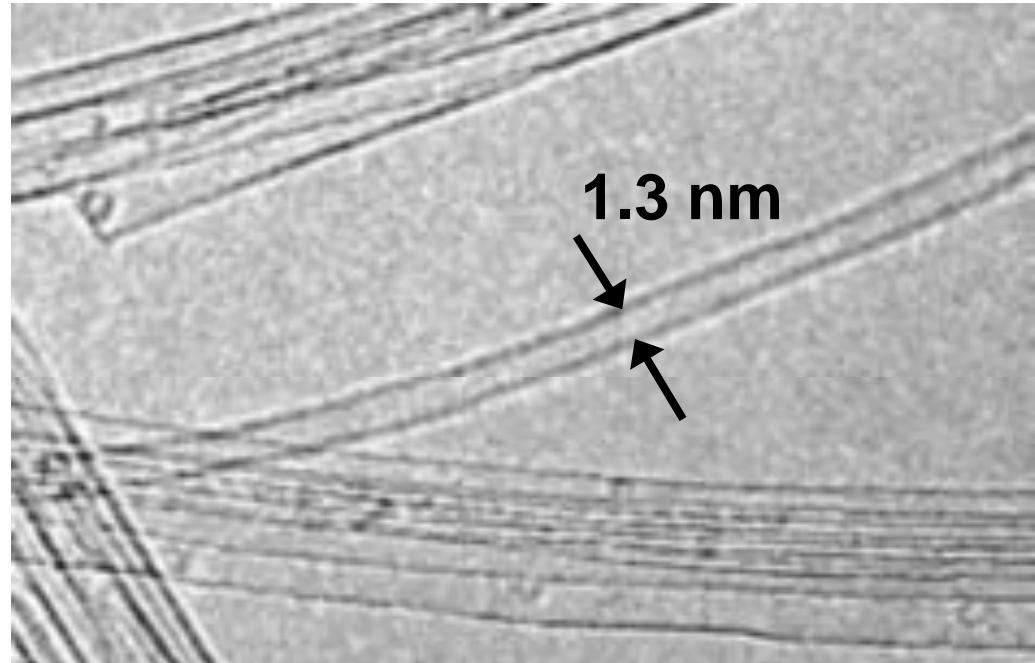
多層炭素ナノチューブ (Multi-wall carbon nanotube, MWNT)

单層カーボンナノホーン (Single-Wall carbon Nanohorn, SWNH)

# 1993 : Single-Wall Carbon Nanotubes Were Found.



S. Iijima, T. Ichihashi, *Nature* 363, 603, 1993.  
D. S. Bethune et al. *Nature* 363, 605, 1993.



$\Phi 1.3 \text{ nm}$ : 40 C-atoms at edge

A graphene sheet forms a cylinder.  
Diameter : 1.3 nm (0.4 ~ 2 nm)  
Length : Micrometer order

# Carbon Nanotube Research

- 1960 Multi-Wall Carbon Tubes with Micrometer-Order Diameters (R. Bacon)
- 1985 C<sub>60</sub> (Kroto, Smalley et al.)
- 1991 Multi-Wall Carbon Nanotubes Structure: Seamless Cylinder of Graphene Sheets (Iijima)
- 1992 Theoretical Prediction of Electronic Structure of SWNT (Hamada et al.)
- 1993 Synthesis and Confirmation of SWNTs (Iijima et al.), (Bethune)
- 1995 Large Scale Synthesis of SWNTs (Smalley et al.)
- 1997 Electric Properties Measurement of Individual SWNT (Dekker et al.)
- 1998 FED (Y. Saito)
- 1998 Research on CNT Field Effect Transistors (Dekker et al.), (Avouris et al.)
- 1999 SWNHs
- 2001 Logic Circuit (Dekker et al.)

CVD growth of SWNT

Research on the bio applications

# 多層カーボンナノチューブ (MWNT)

製法を大別すると‥

金属触媒を用いる： 気相流動法、CVD法、アーク法

金属触媒を用いない： アーク法、HFプラズマ法

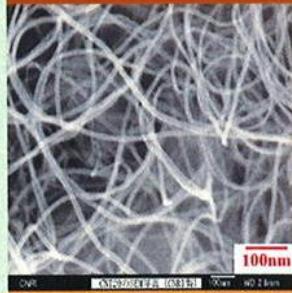
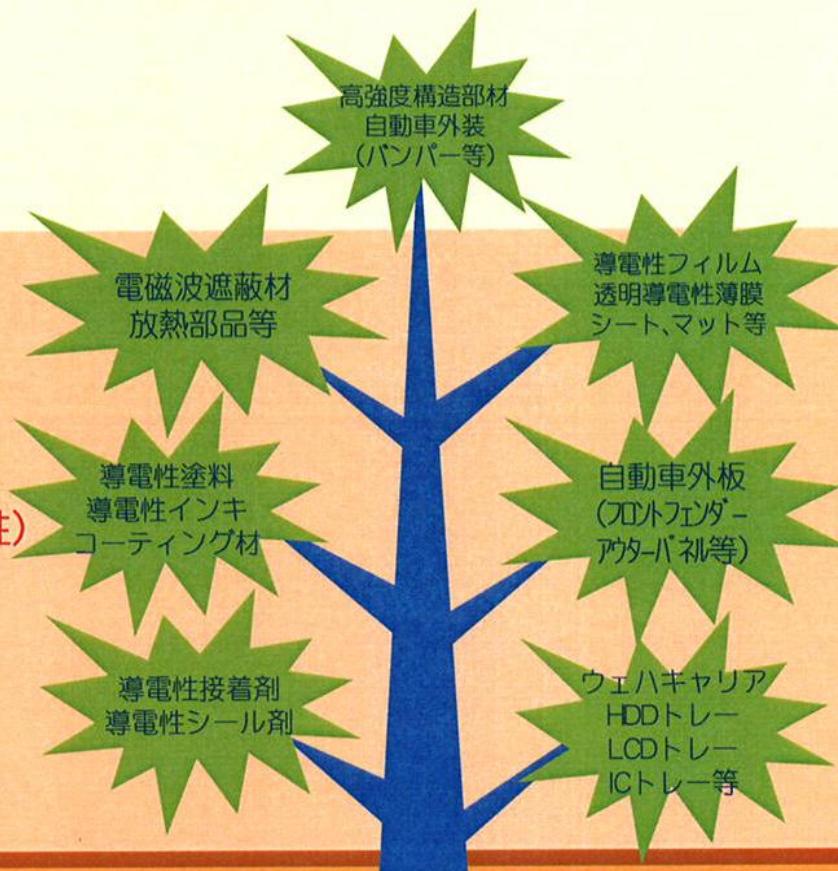
# 多層カーボンナノチューブのターゲット用途 (樹脂複合材料分野)

機械的応用分野  
(強度、弾性率等)

長期

機能的応用分野  
(電気伝導性・熱伝導性)

短・中期

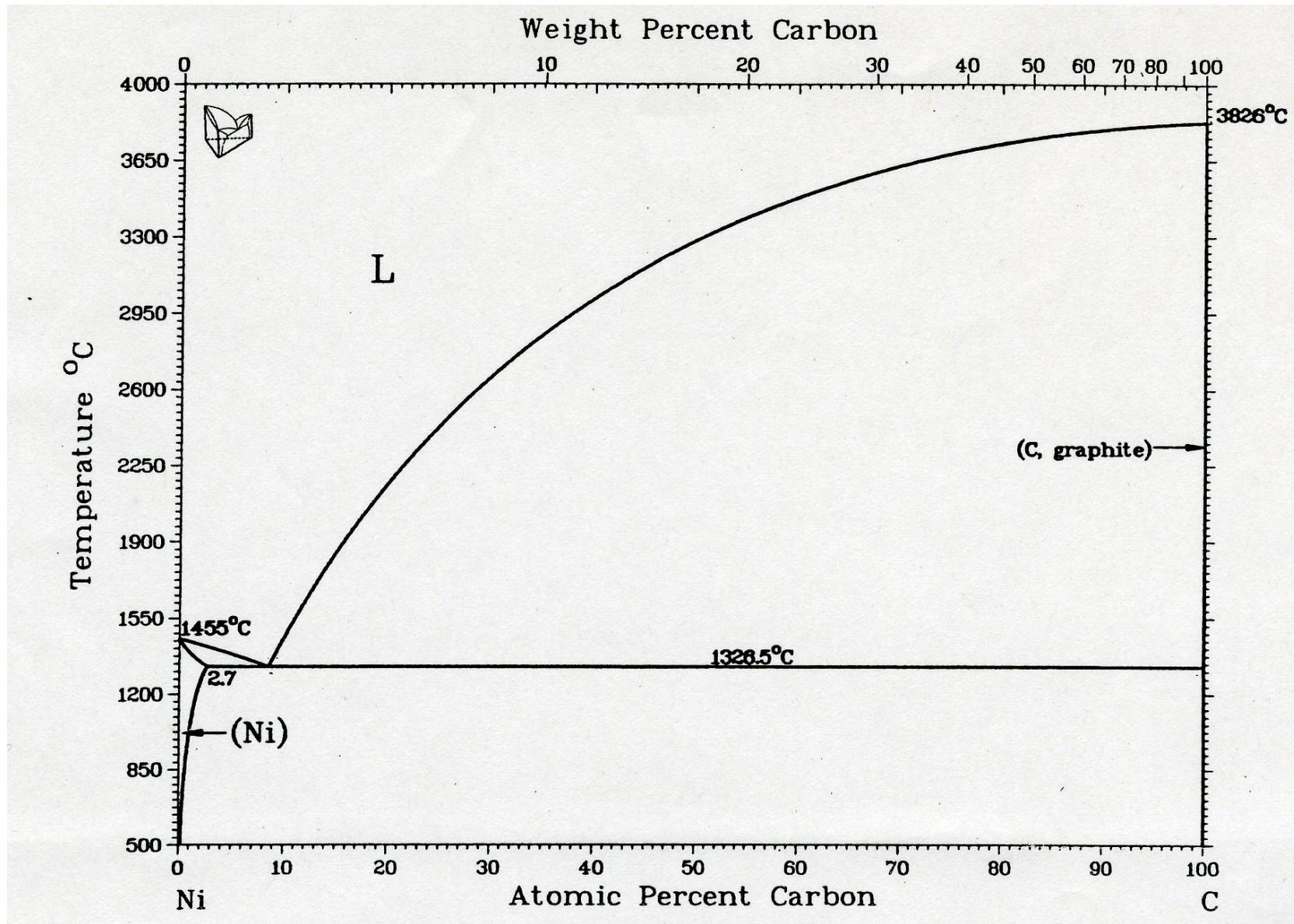


マスタバッチ/コンパウンド  
多層カーボンナノチューブ粉末



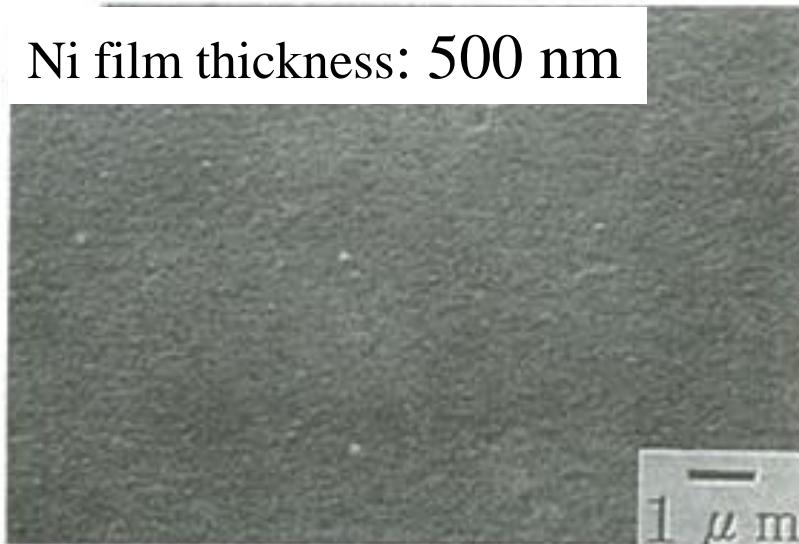
三井物産

# Metal-Carbon Phase Diagram

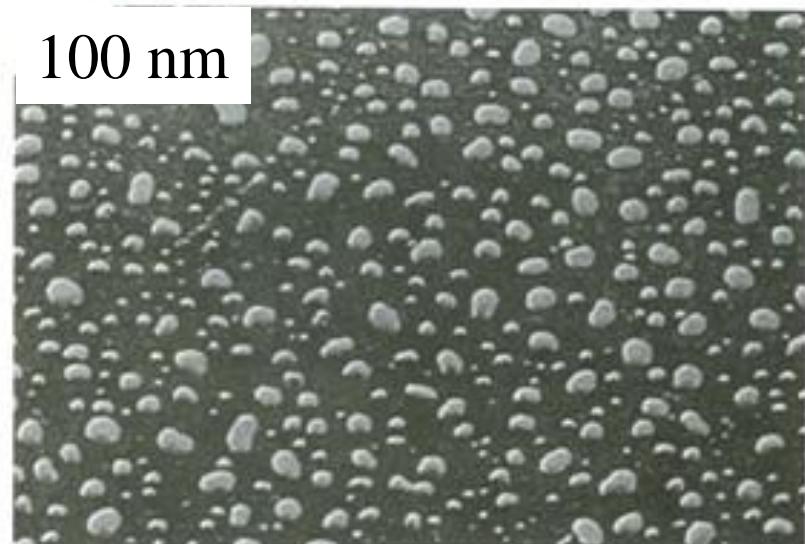


# After heat treatment at 700°C

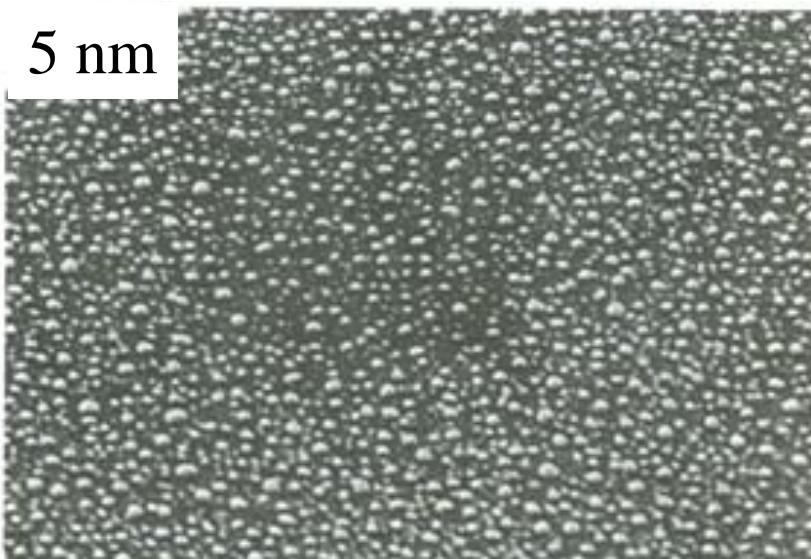
Ni film thickness: 500 nm



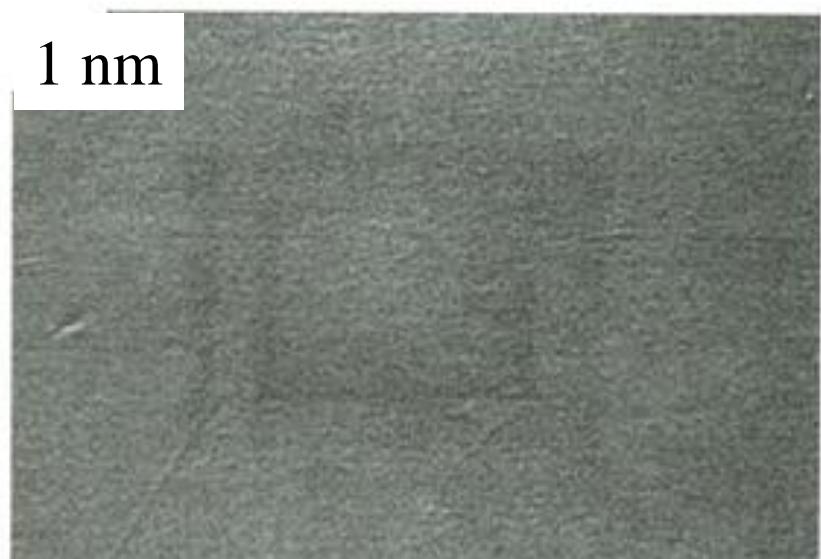
100 nm



5 nm

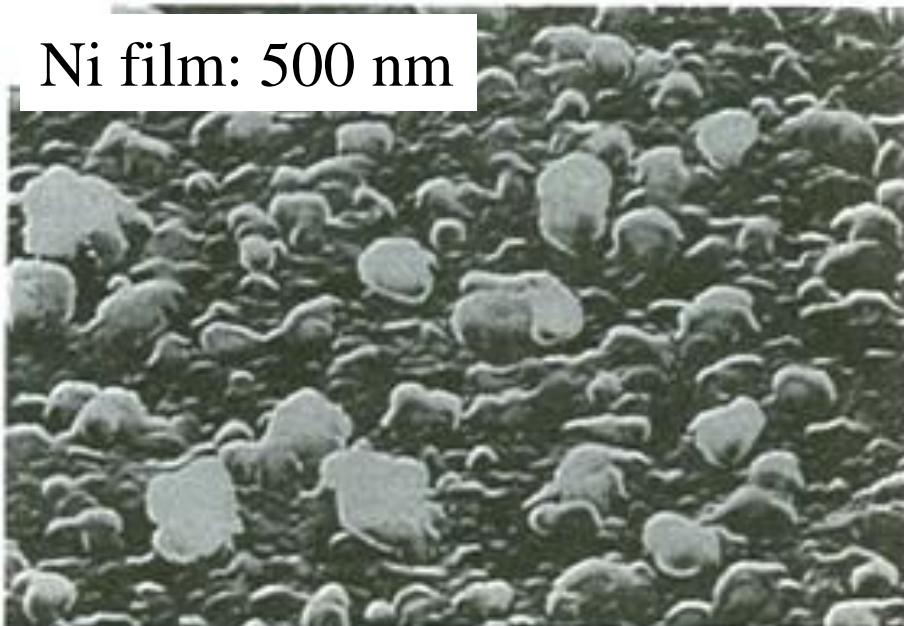


1 nm

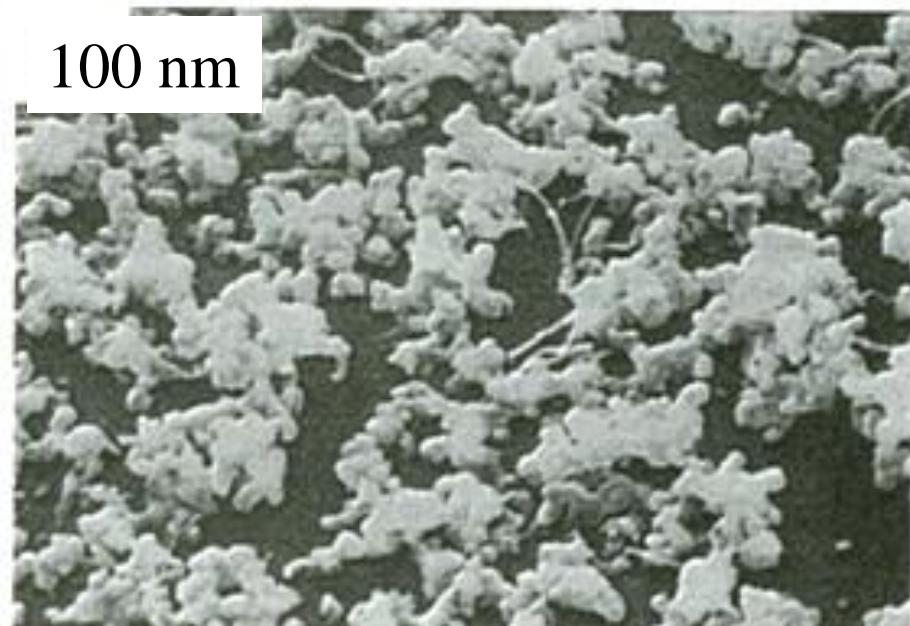


After CVD at 700°C

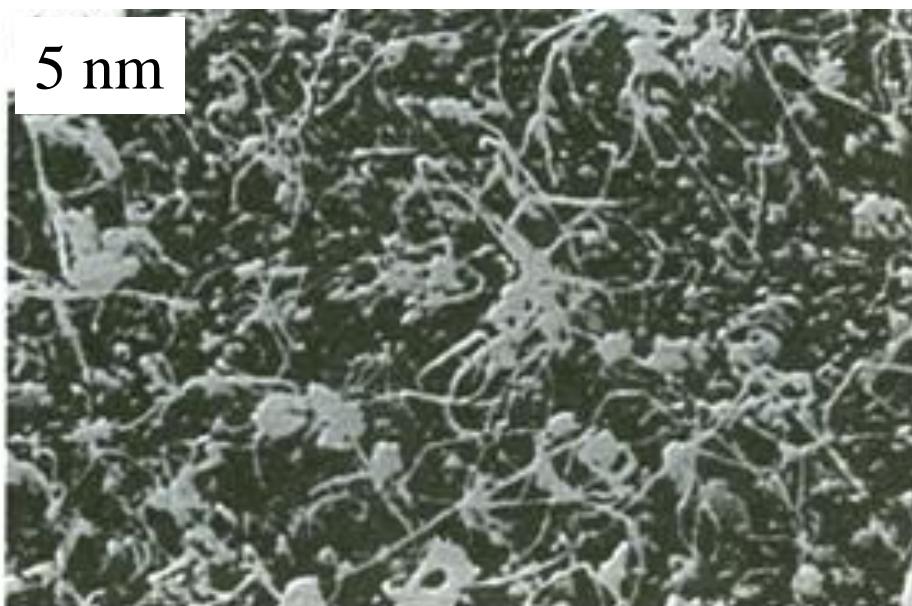
Ni film: 500 nm



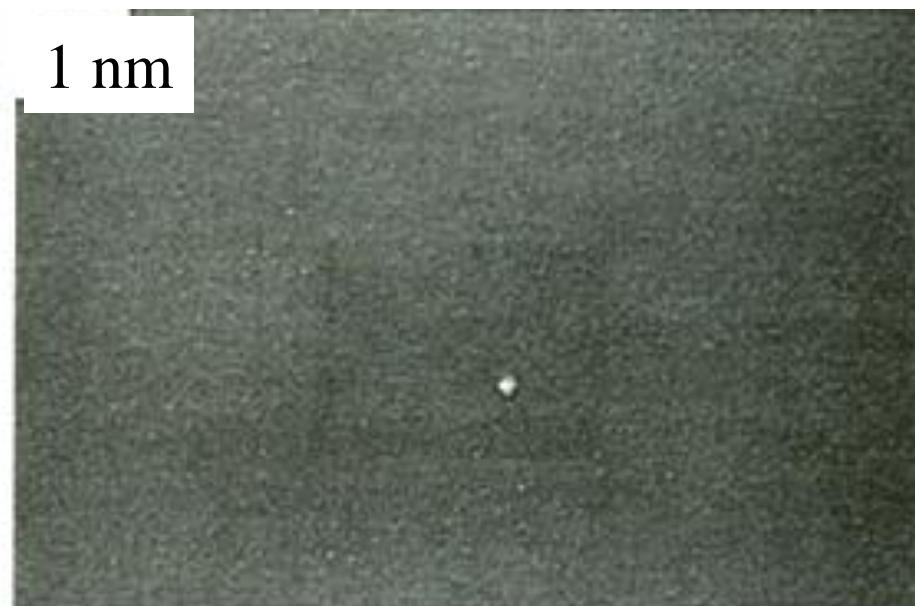
100 nm

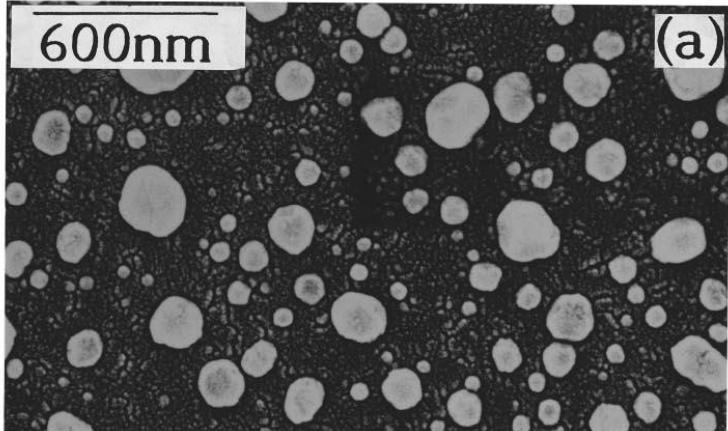


5 nm

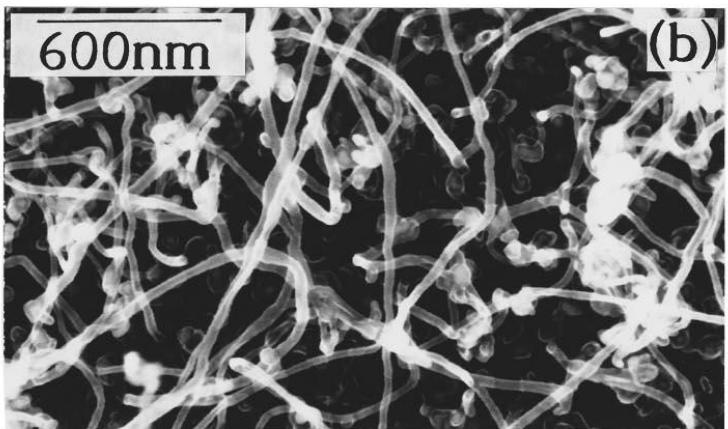


1 nm

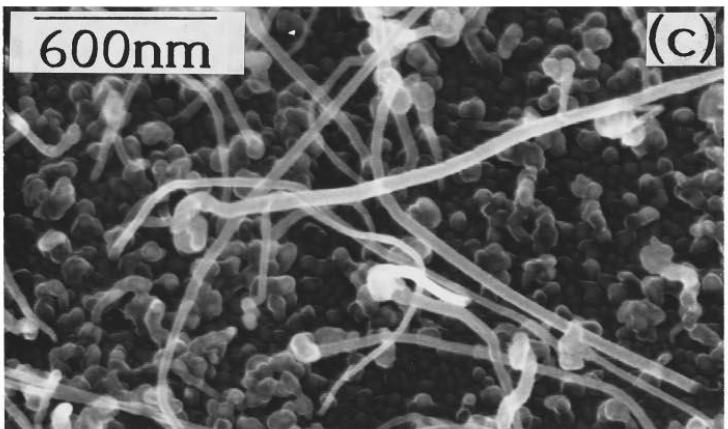




Thermal annealing  
Ni films/glass → Ni particles



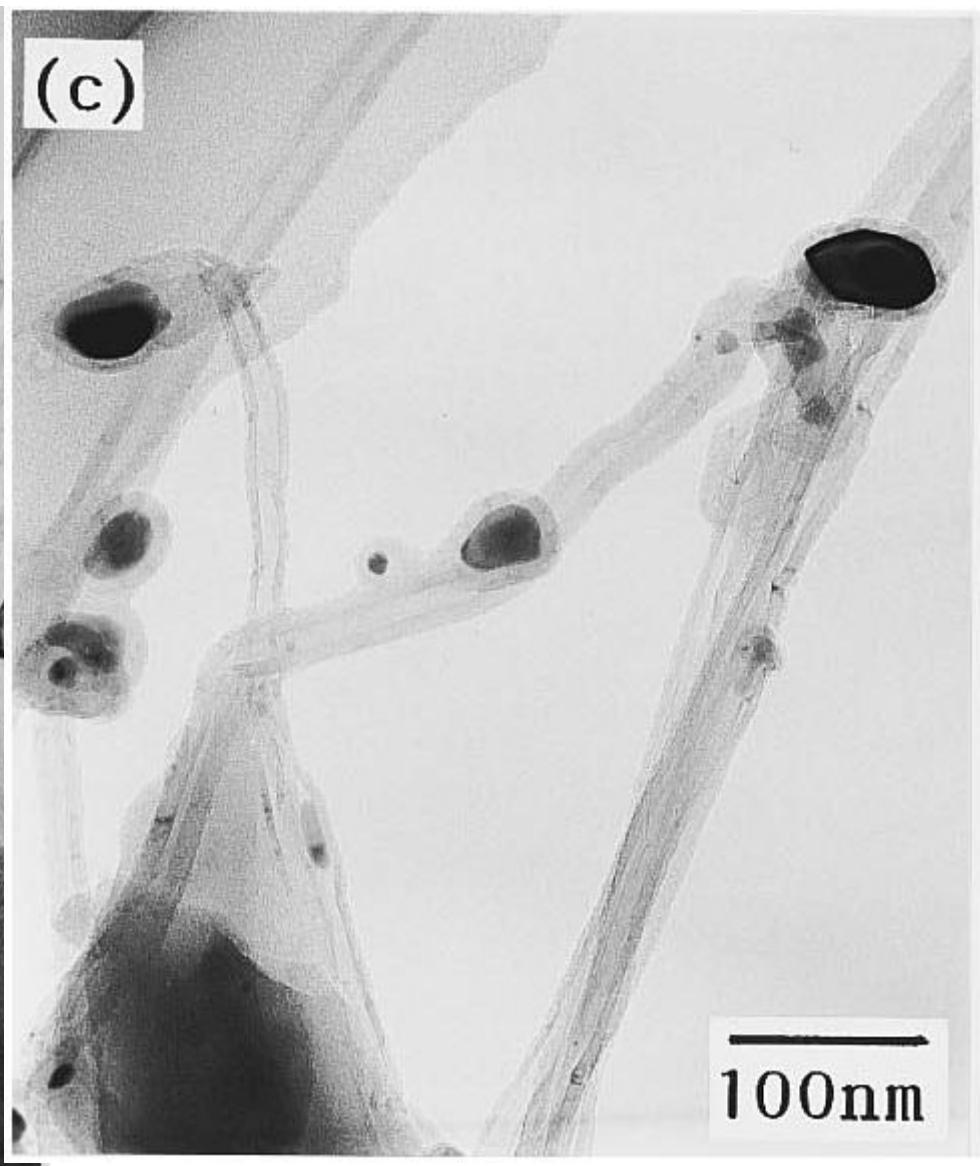
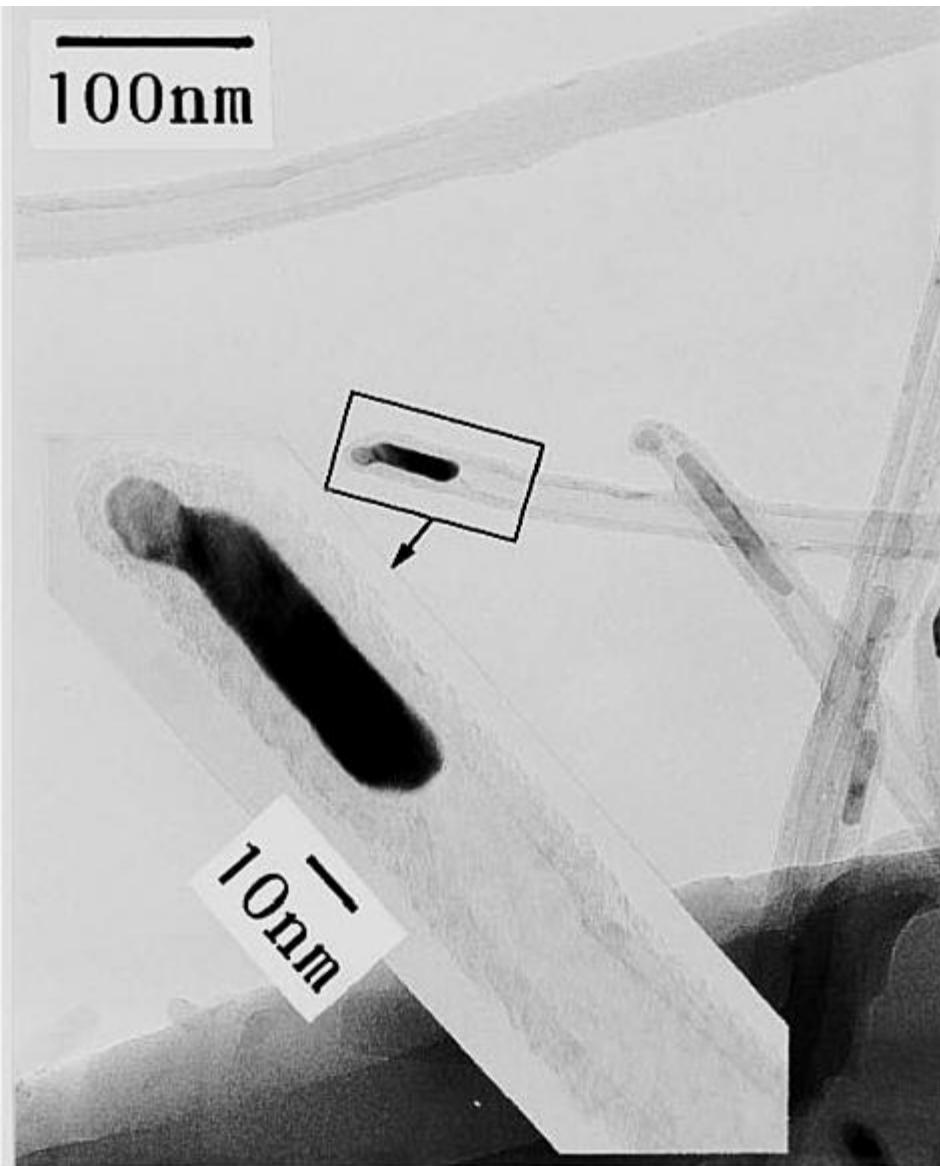
Chemical vapor deposition at 700°C  
for 5 hours. Carbon source: Organic  
molecules (2-methyl-1, 2'-naphthyl ketone)



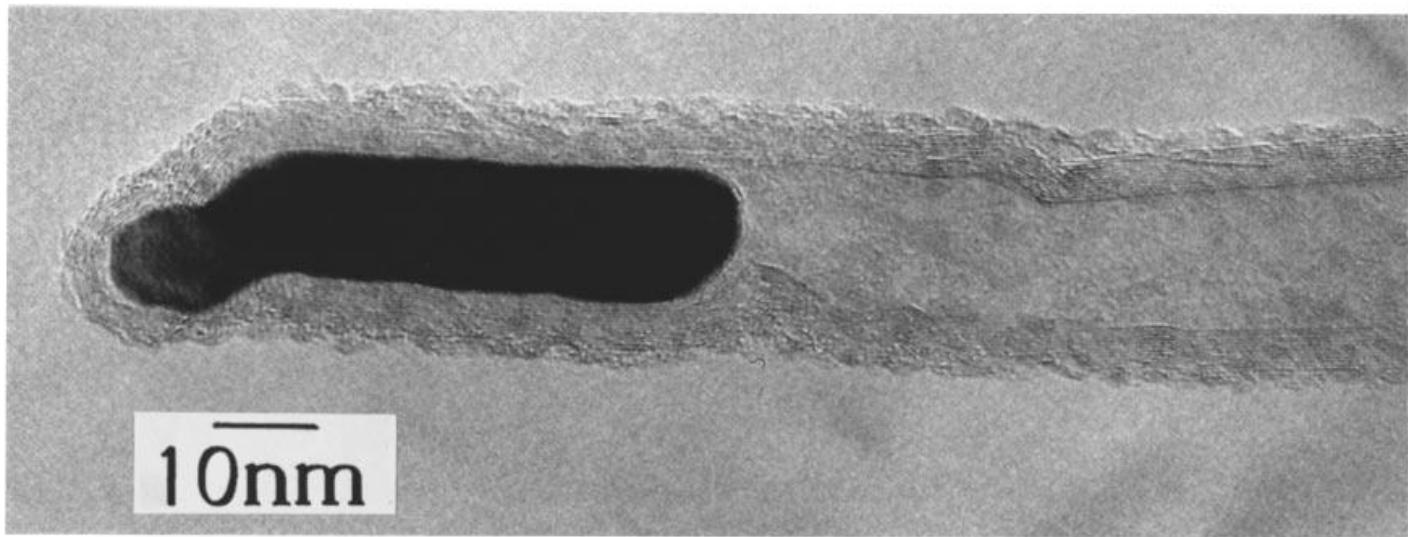
Chemical vapor deposition at 600°C  
for 5 hours

Yudasaka et al, APL 1997

# MWNT growth nucleation depending on Ni particle sizes

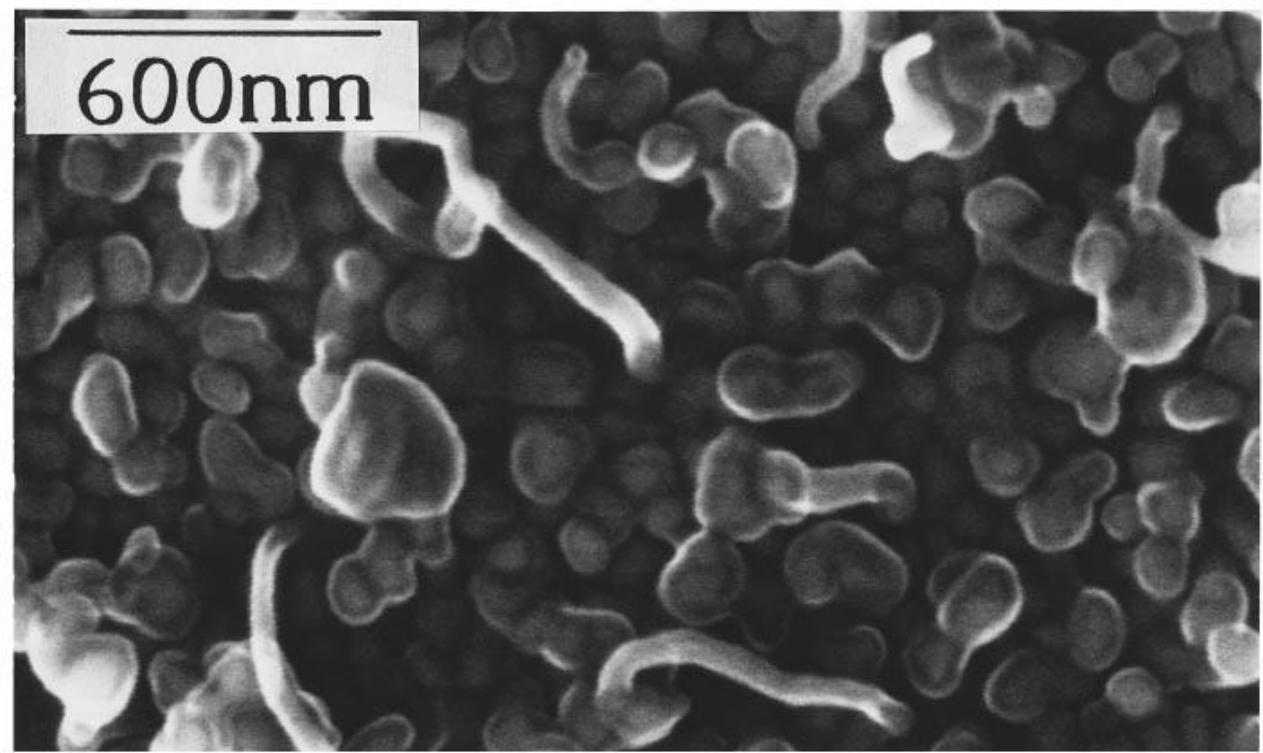


How to explain?



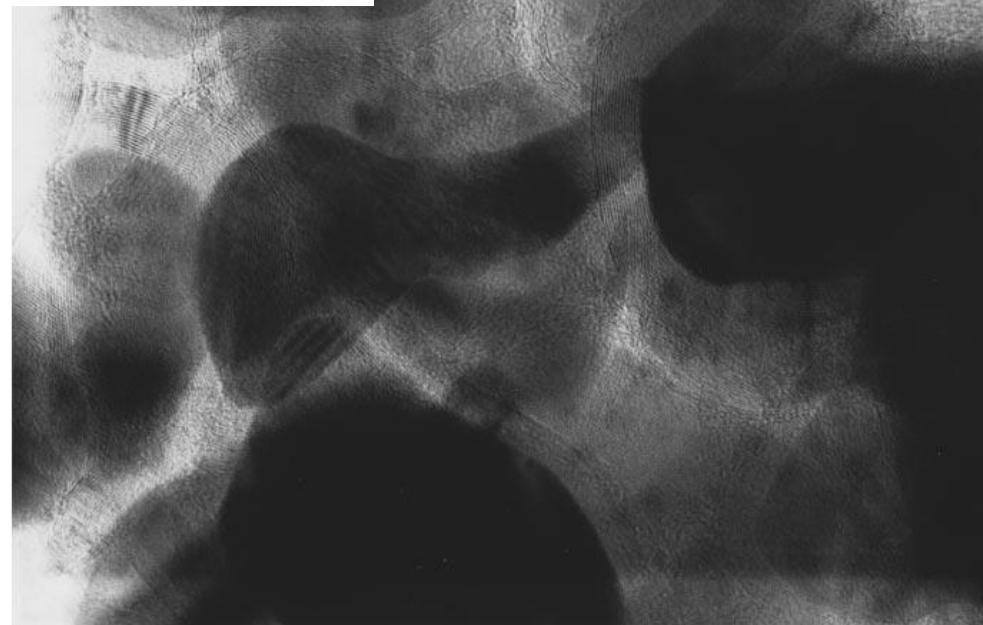
CVD at 700°C for 5 hours

600nm



TEM

20nm



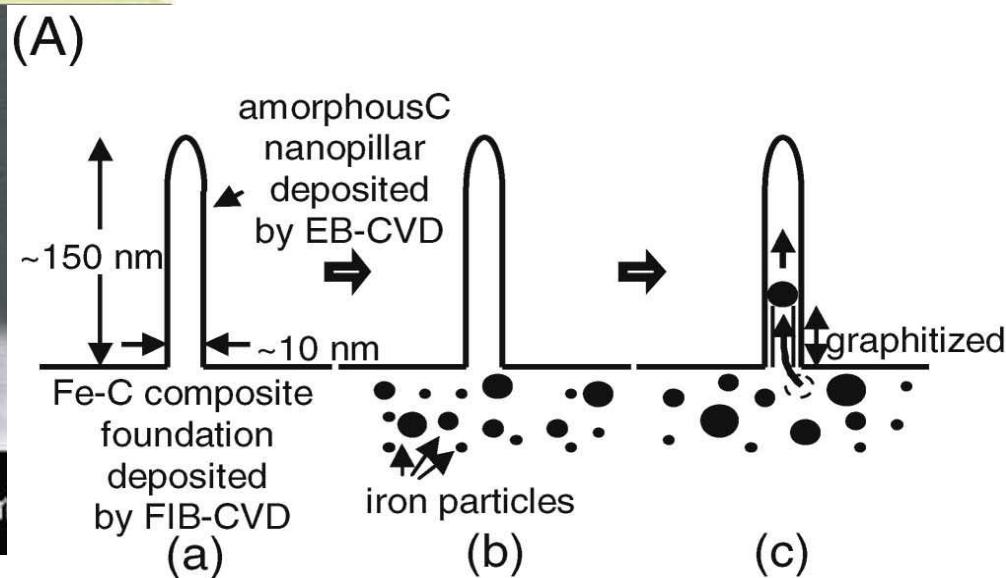
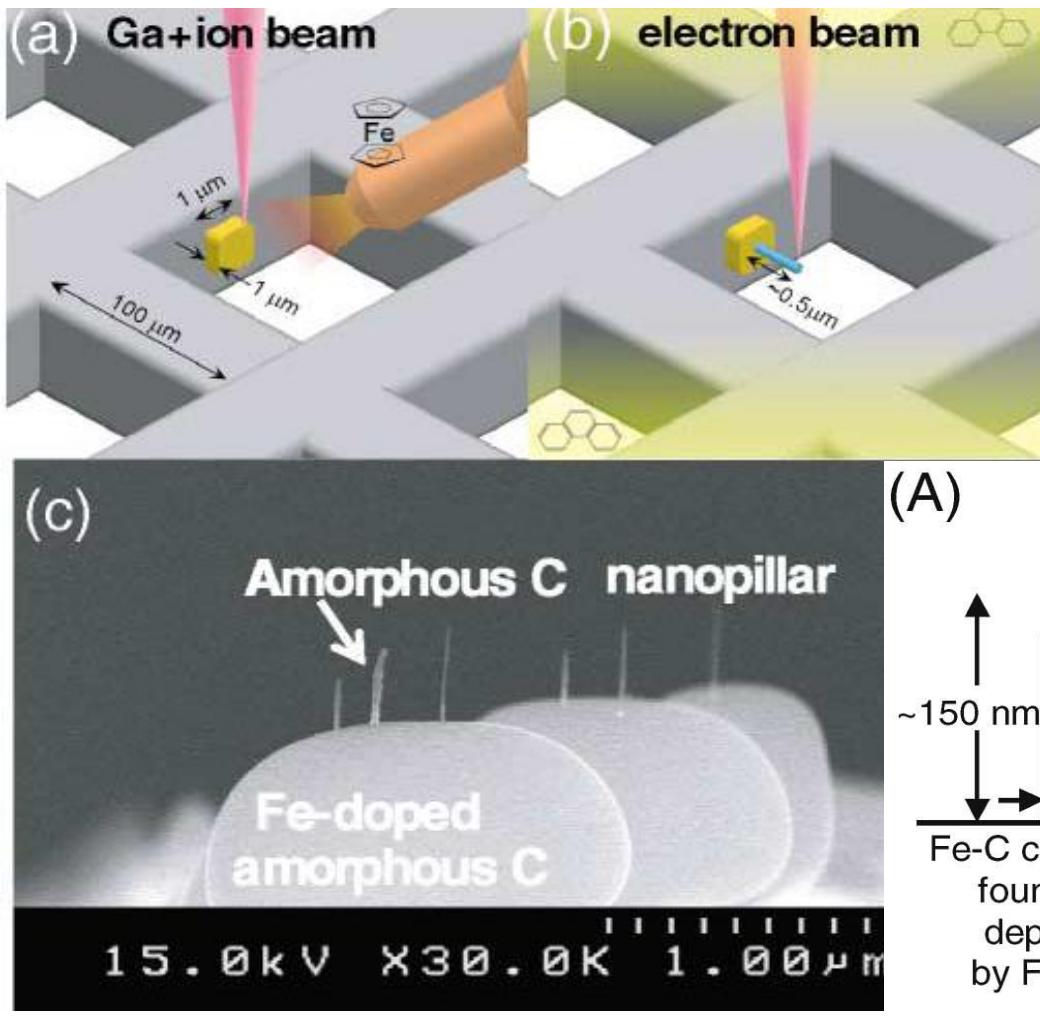
SEM

CVD for **30** minutes

Yudasaka et al, APL 1997

# *In situ* Observation of Carbon-Nanopillar Tubulization Caused by Liquidlike Iron Particles

Ichihashi, Fujita, Ishida, and Ochiai PRL 92(2004)

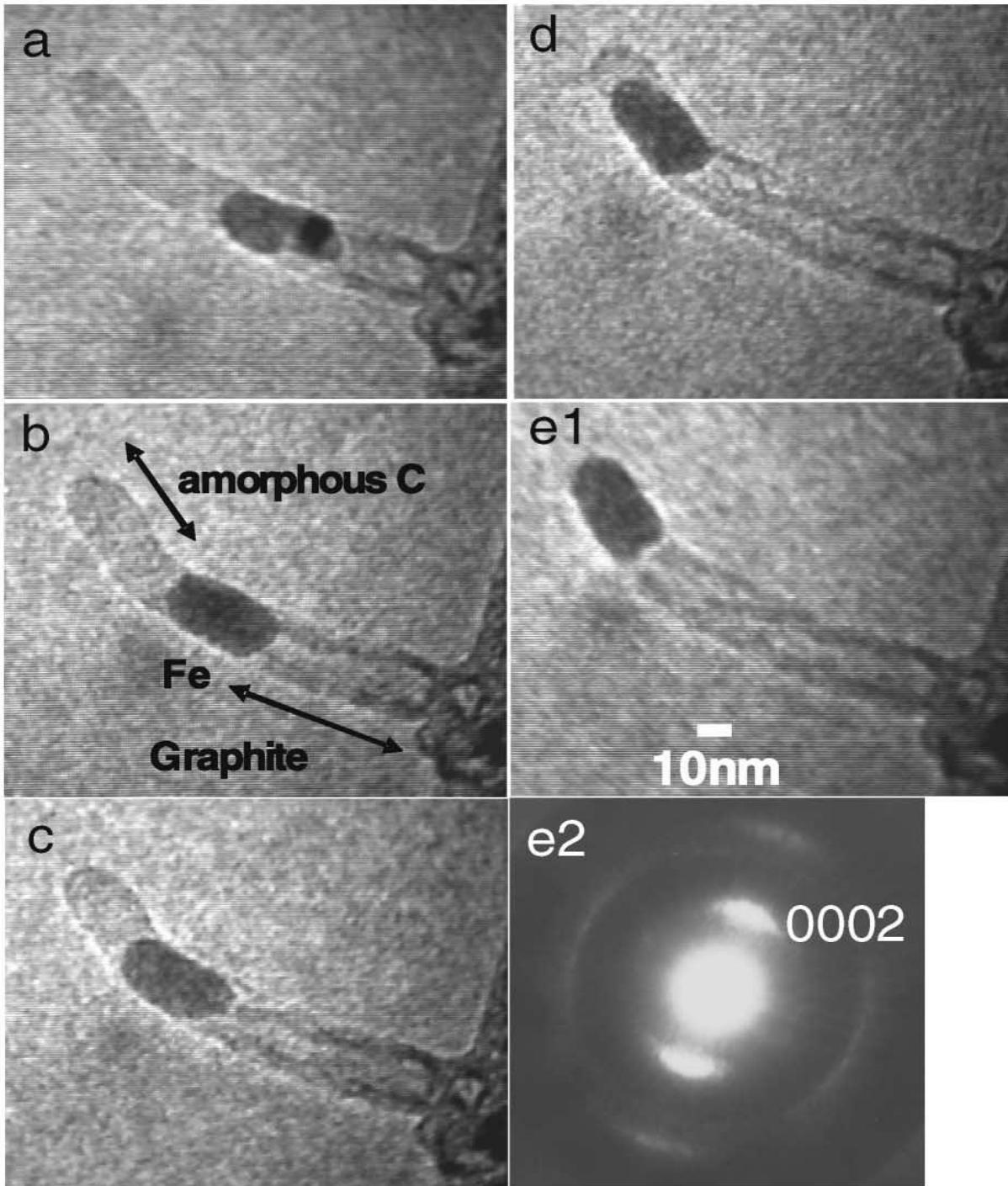


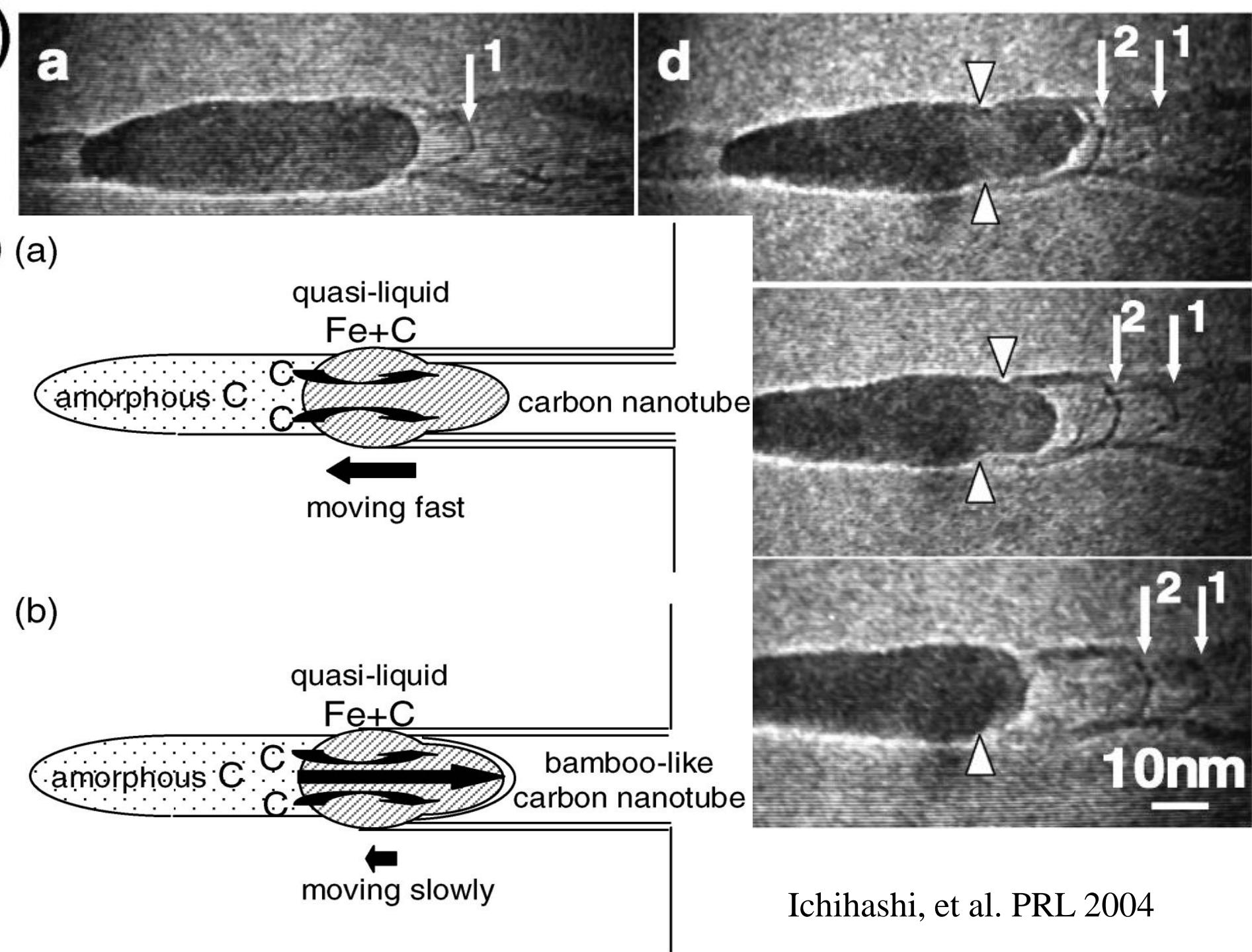
(B)

Ichihashi, et al. PRL 2004

加熱  $650^{\circ}\text{C}$

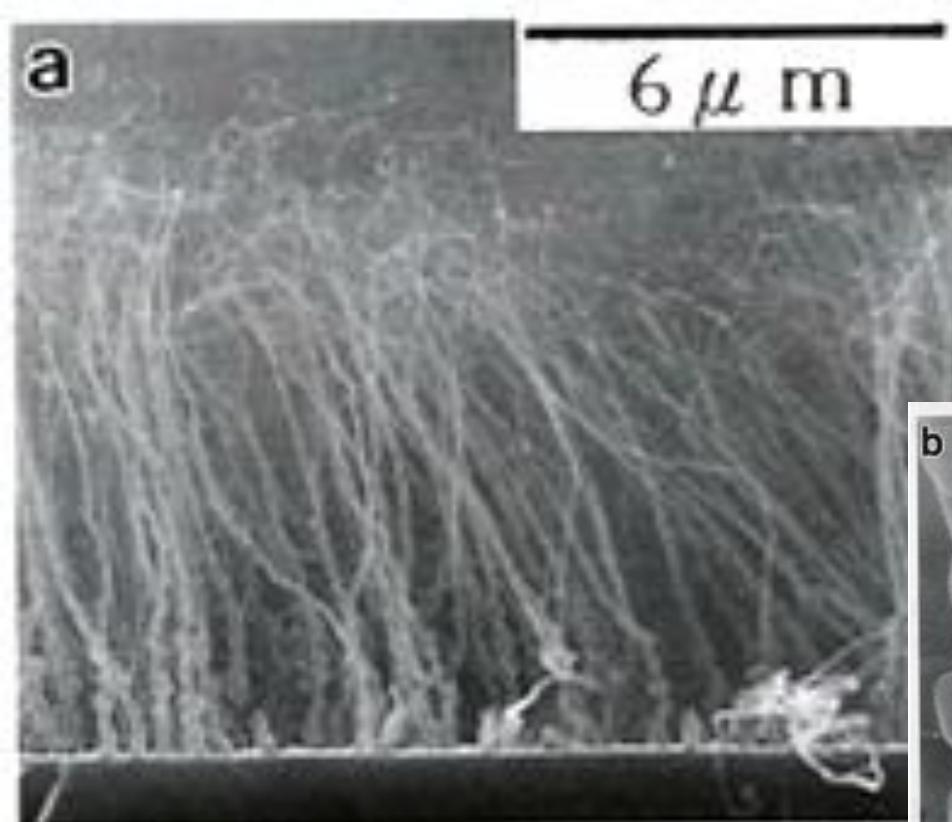
2秒ごとに撮影



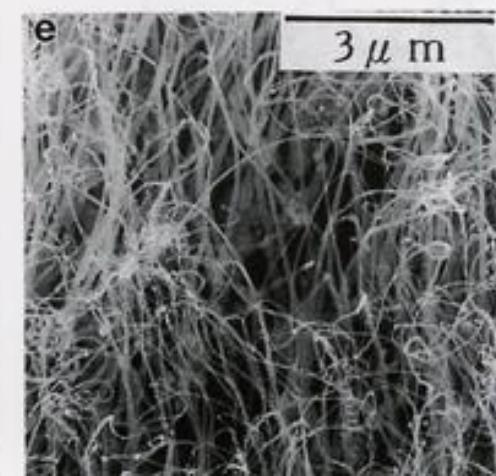
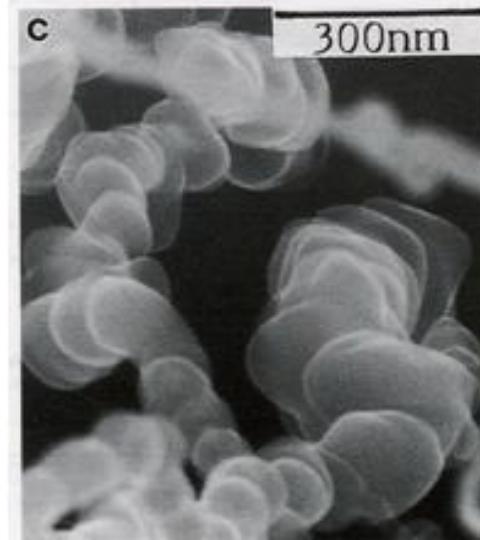
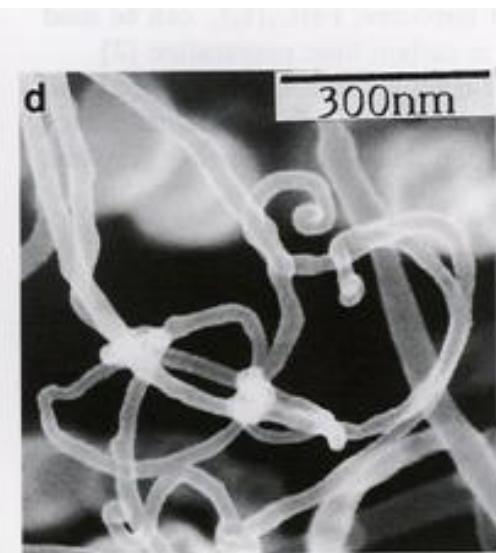
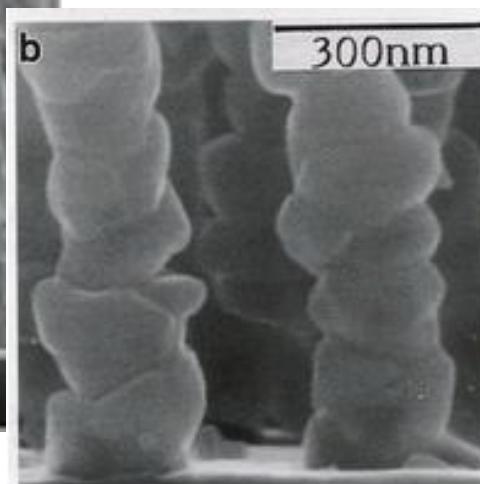


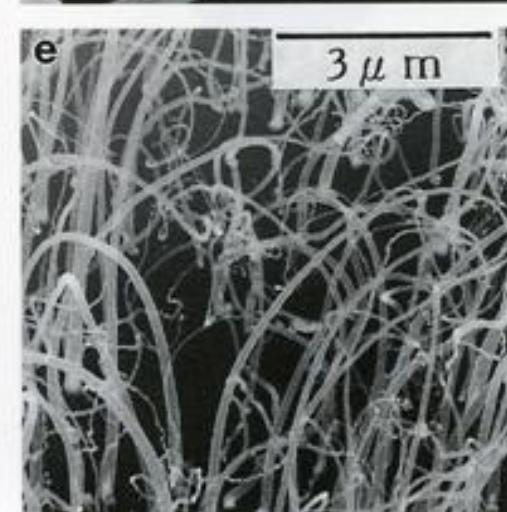
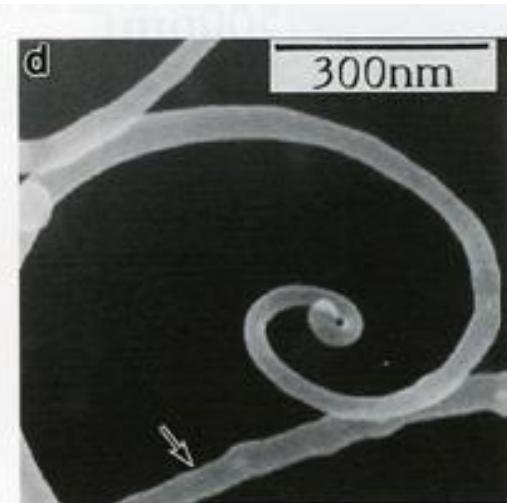
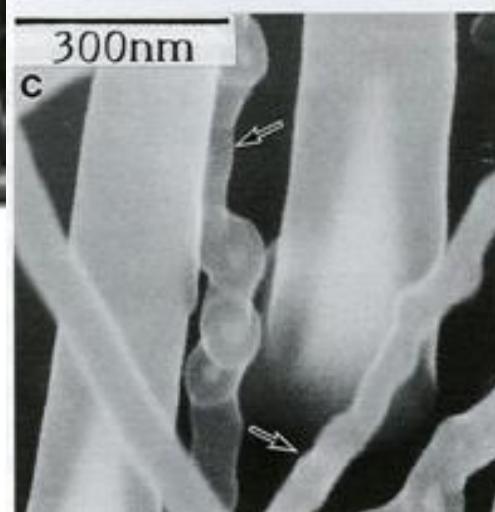
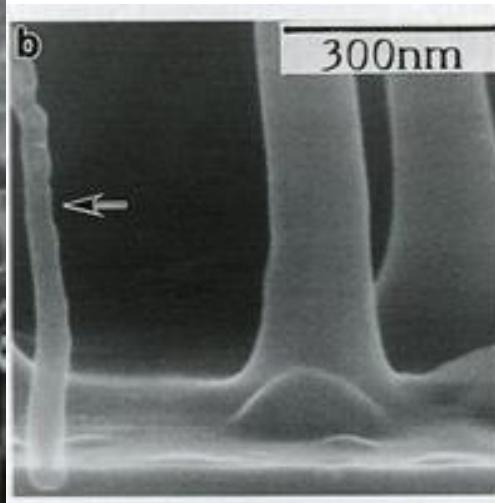
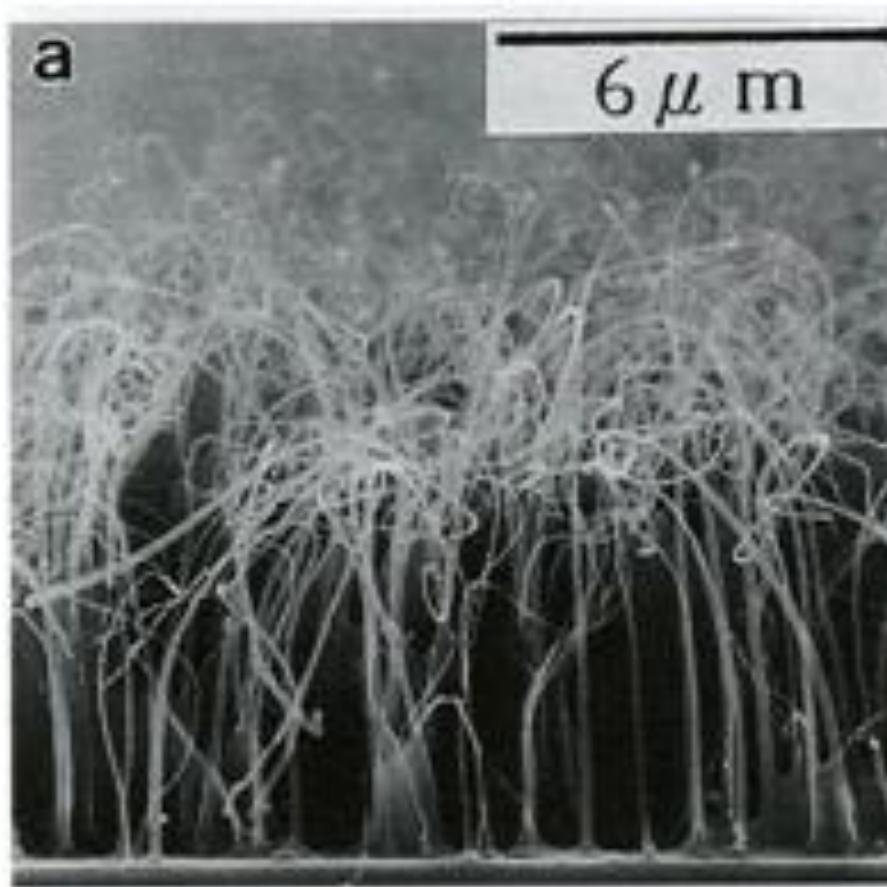
# Growth model of MWNT

- Large Ni particle ( $50\text{ nm} <$ )
- Medium (20-30 nm)
- Small ( $<10\text{ nm}$ )

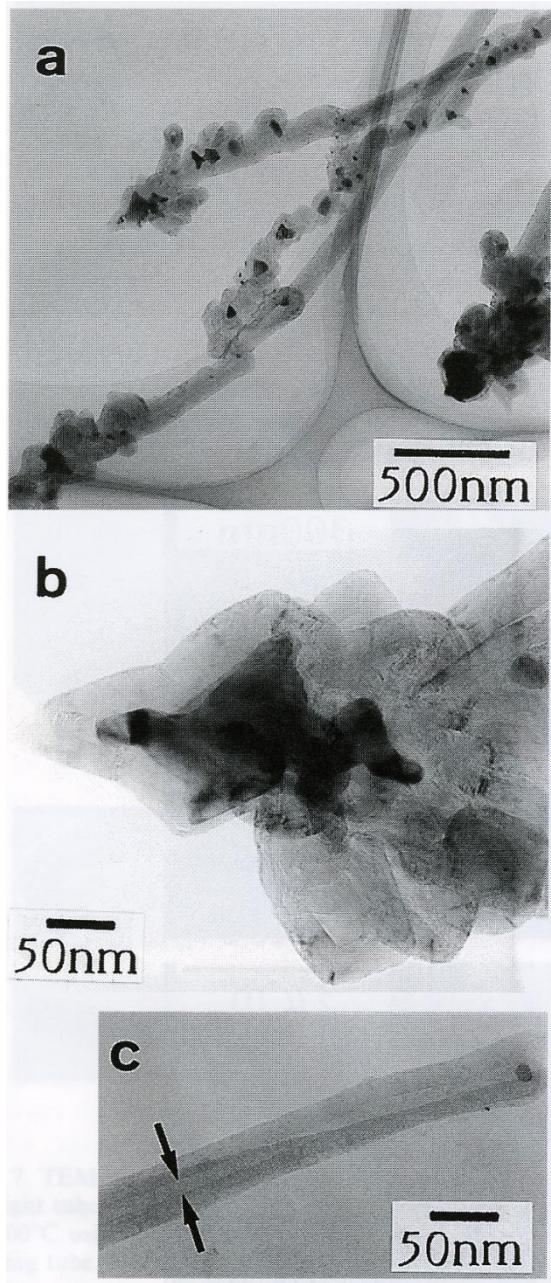


CVD 800°C  
Starting material: NiPc

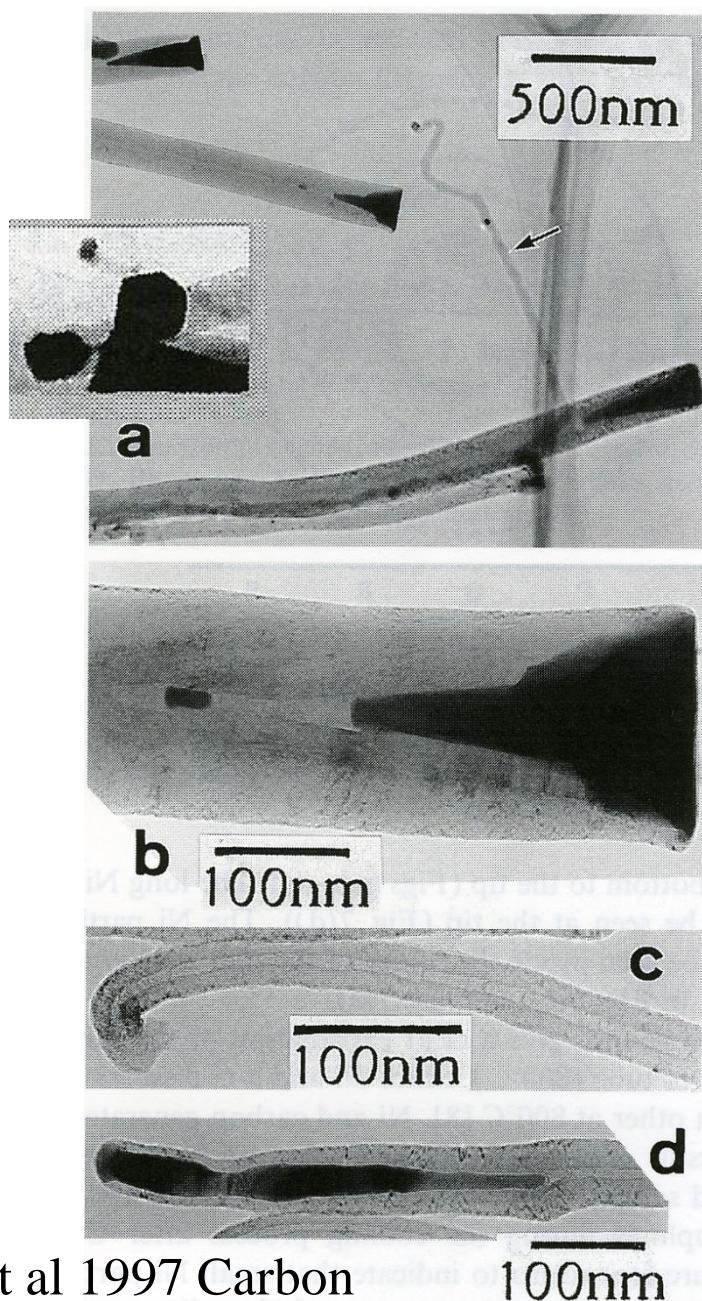




NiPc-CVD 800°C

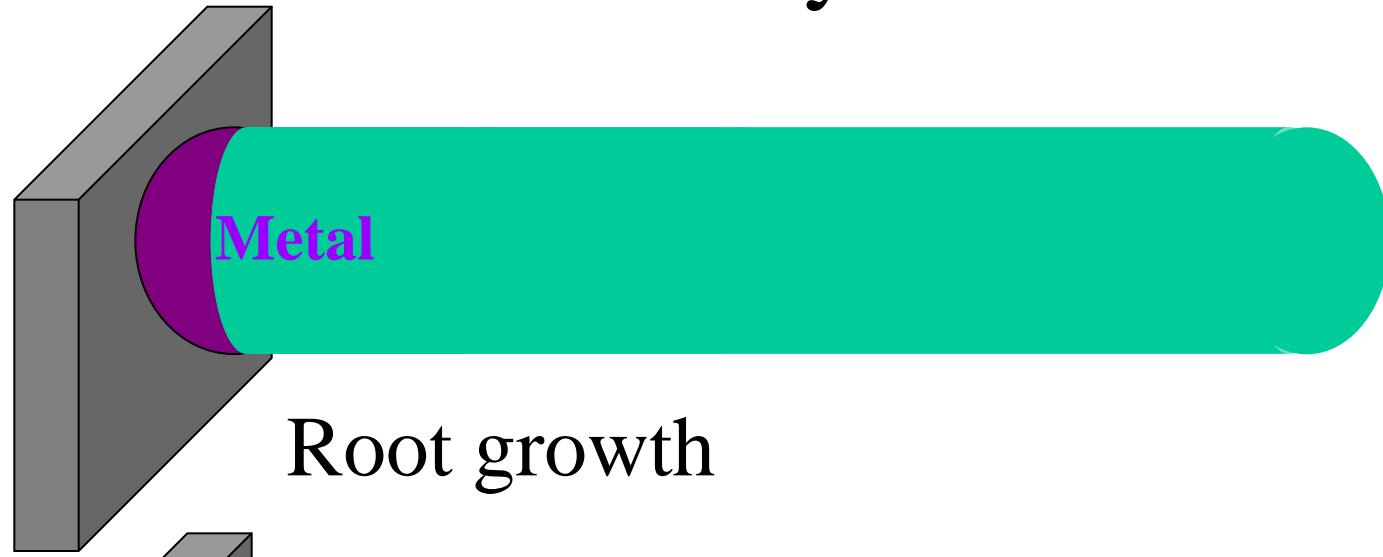


NiPc-CVD 700°C



# MWNT growth mechanism.

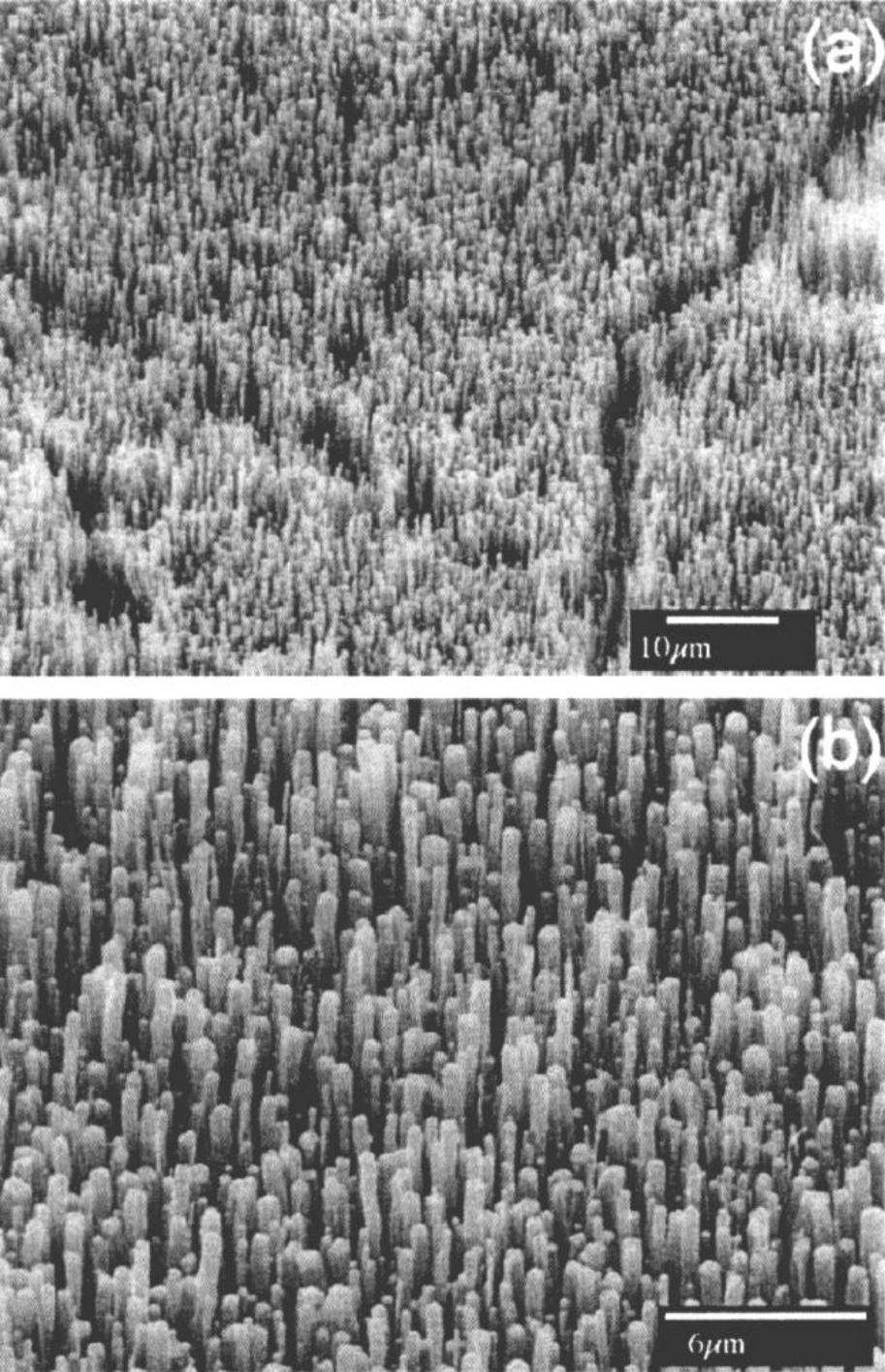
Metal catalyzed.



Root growth



Tip growth



## Plasma-enhanced hot filament CVD

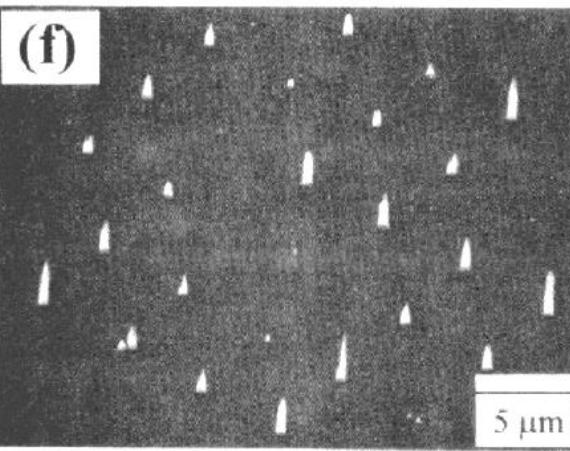
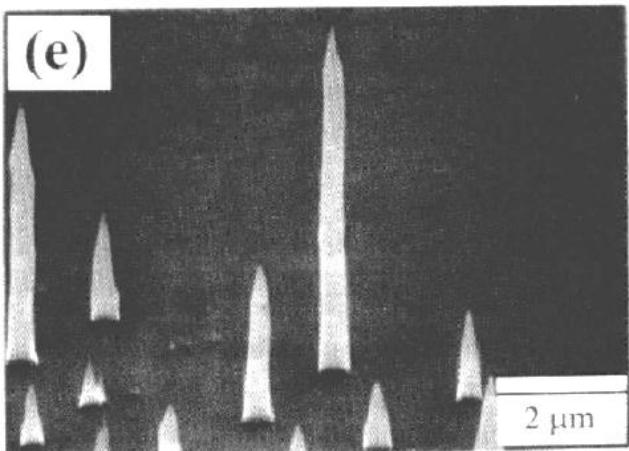
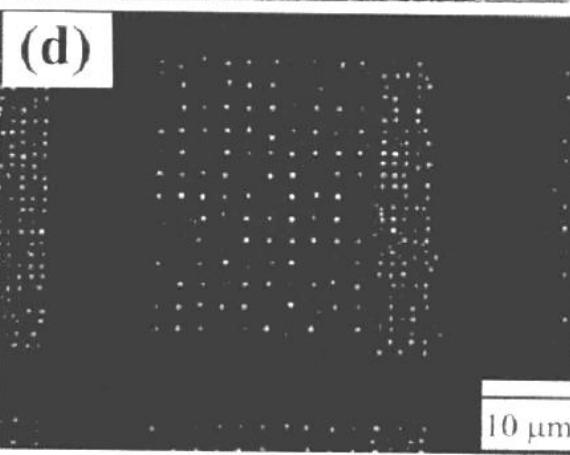
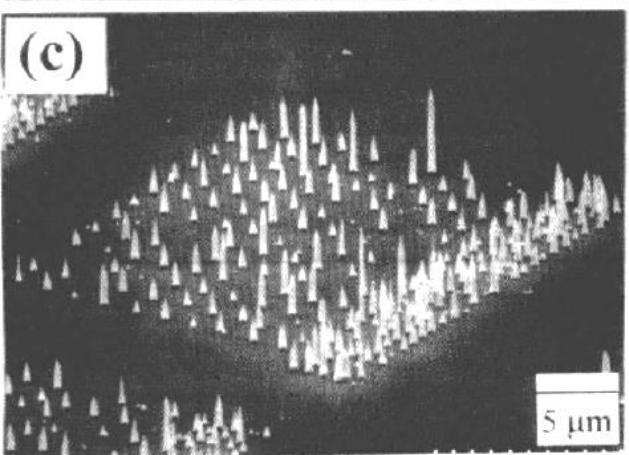
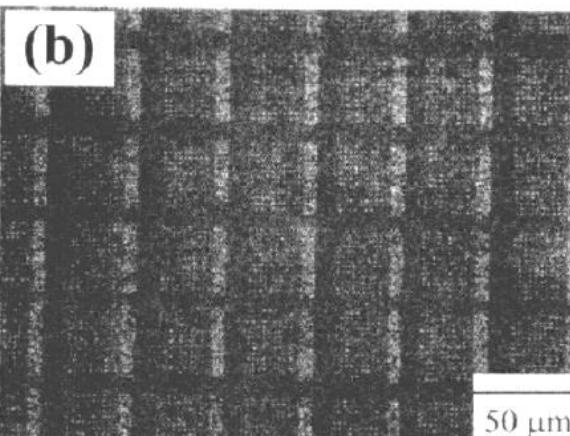
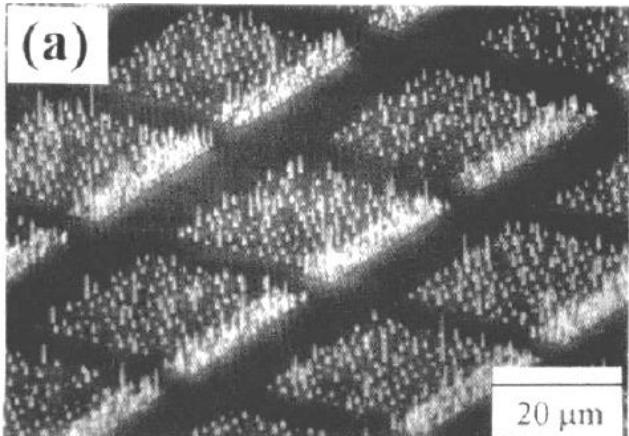
1-20 Torr acetylene, ammonia  
Ni (on display glass of Corning Inc.)  
Tungsten filament coil  
Plasma generator  
Estimated sample temperture <666°C  
10min – 5h

Z. P. Huang et al. Appl. Phys Lett.  
73(1998)3845.

# Site selective growth of MWNTs

Z. F. Ren

Appl. Phys. Lett. 75, 1086(1999)



# Metal-free synthesis of MWNT

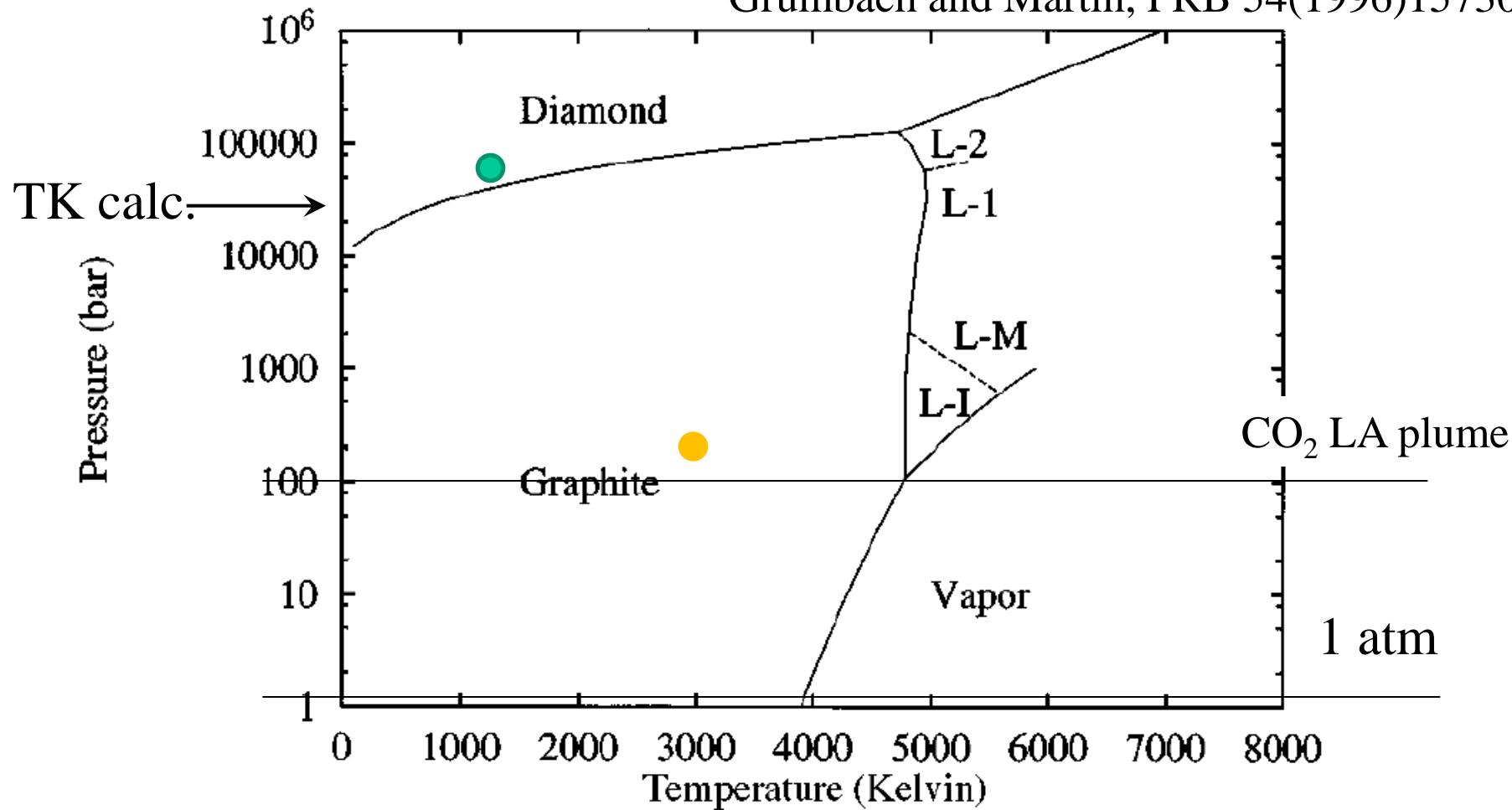
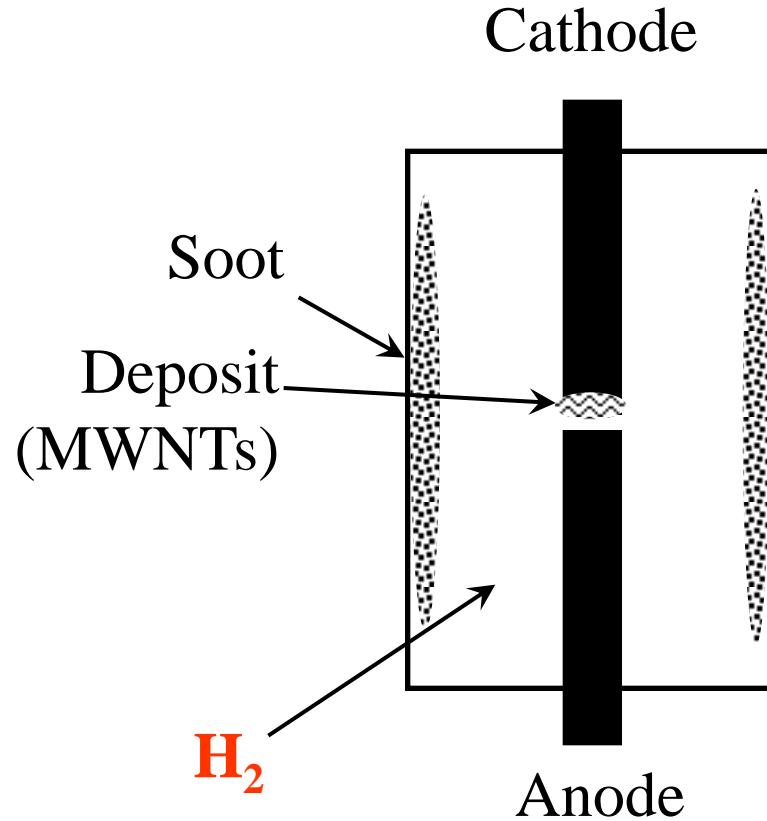


FIG. 1. Phase diagram of carbon at low pressures. Solid lines indicate phase boundaries for which some experimental evidence exists (Ref. 14). Dashed lines indicate theoretically proposed phase boundaries: liquid insulator ( $L-I$ ) to liquid metal ( $L-M$ ) (Ref. 15) and graphitelike liquid ( $L-1$ ) to diamondlike liquid ( $L-2$ ) (Ref. 16).

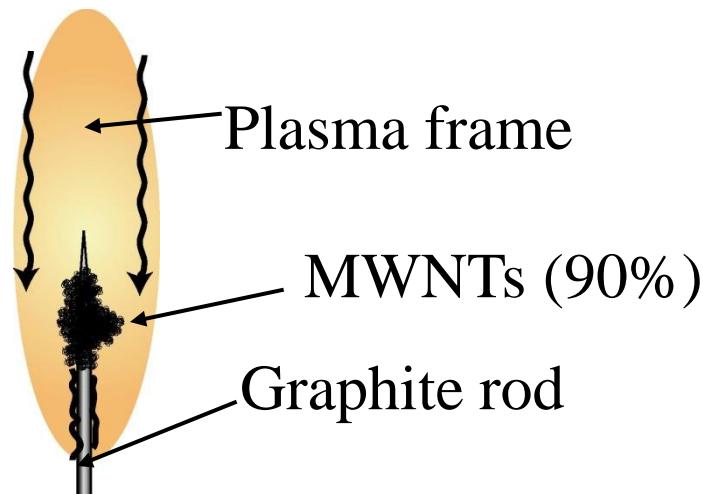
# Arc discharge with graphite rods in H<sub>2</sub> atmosphere.



Anode evaporation rate:	10 mg/s
Yield (a-C soot or cathode deposits):	50%
Purity of MWNTs at cathode:	Low

# Evaporation of graphite with HF plasma torch in Ar/H<sub>2</sub> atmosphere

Ar(50 L/min)  
H<sub>2</sub>(20L/min)



- No electric fields
- Ions

Evaporation rates of graphite, yields, and purity.  
HF plasma method produces high-quality MWNTs.

Methods	Anode evaporation	Yields	Purity
Arc discharge	10 mg/s (cathode deposit)	< 30%	Low
HF plasma	<b>0.005 mg/s</b>	<b>~100%</b>	<b>95%</b>

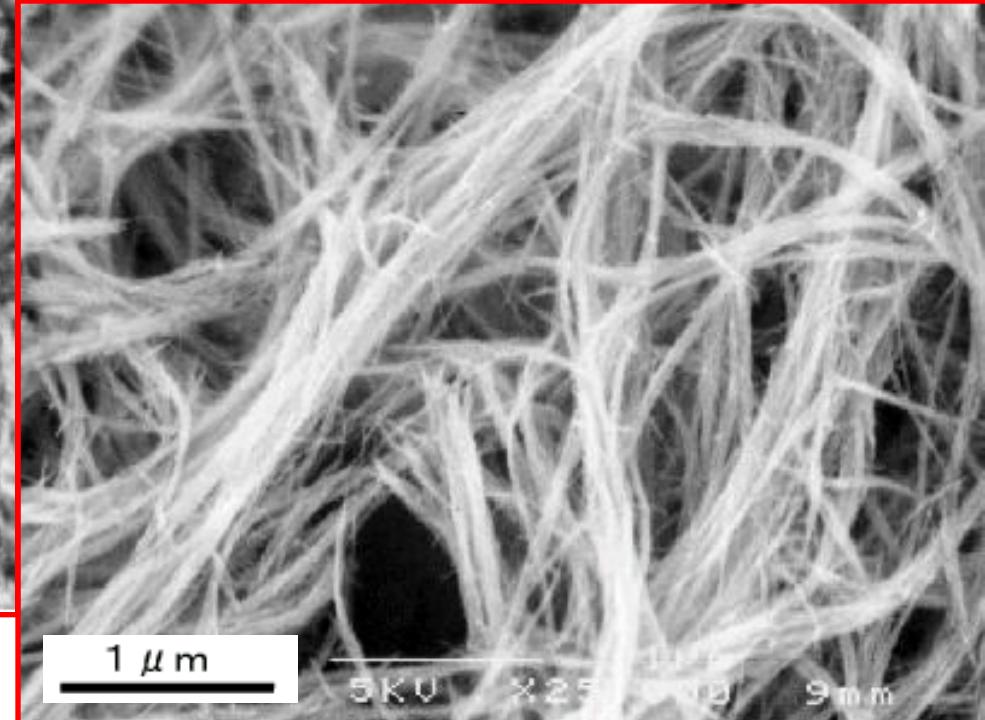
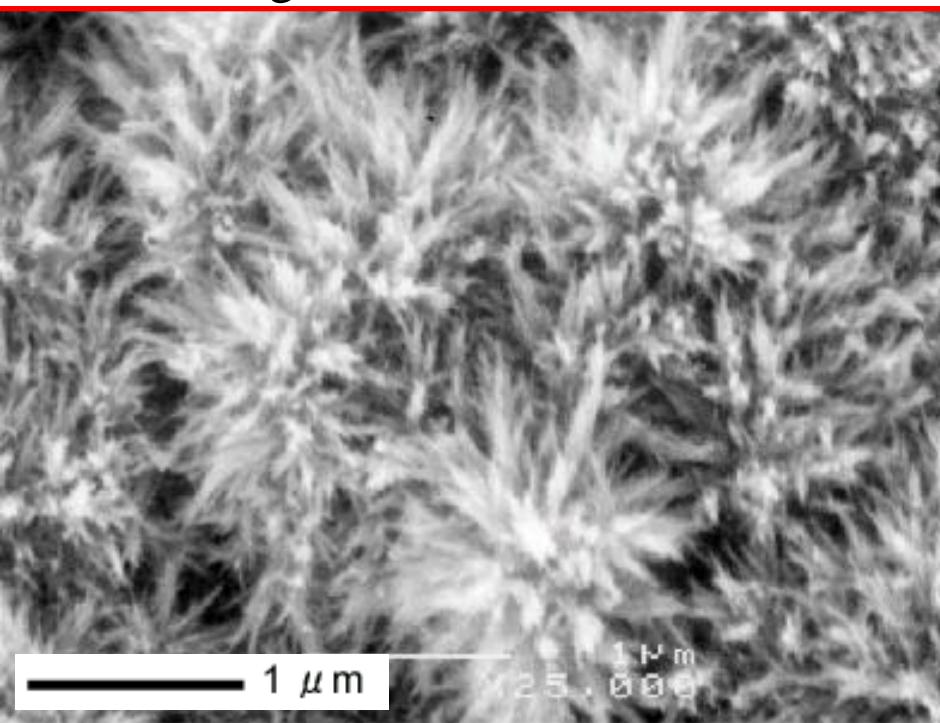
# Ultimate MWNTs

A. Koshio, M. Yudasaka, S. Iijima  
*Chem. Phys. Lett.* **356**(2002)595.

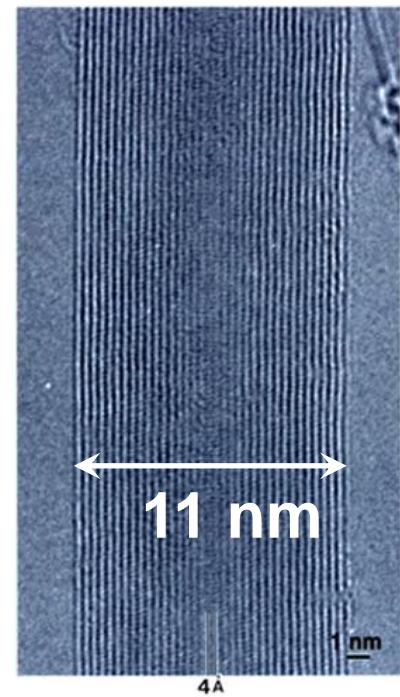
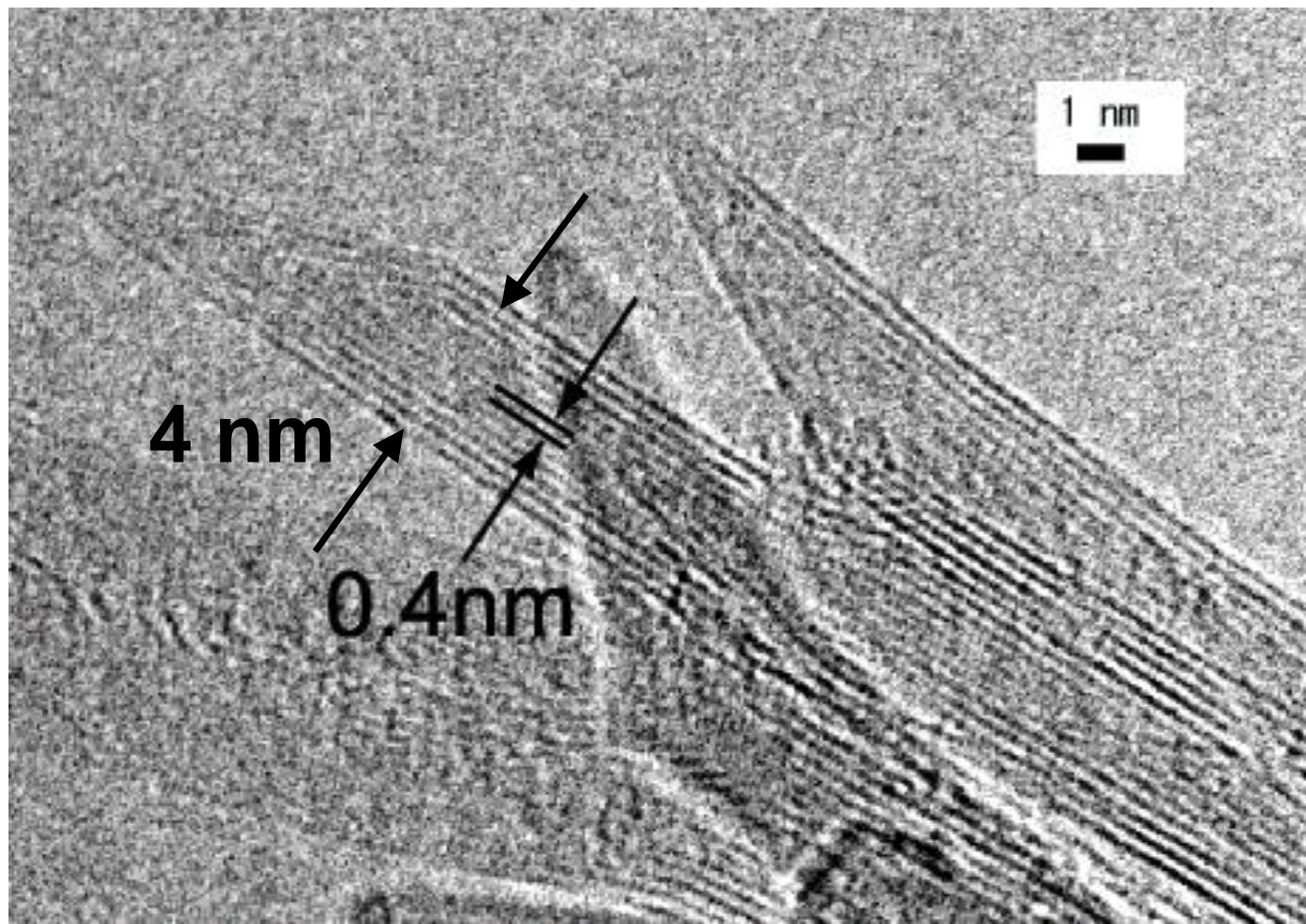
$\varphi(\text{in})$ : 0.4 nm,  $\varphi(\text{out})$ : 4 nm  
length : micrometer order

- Fully-Packed
- Highly graphitized
- Outside-diameter selective
- 95%-Purity
- Large scale production

$\varphi(\text{in})$ : 0.4 nm,  $\varphi(\text{out})$ : 20 nm  
length :~10 μm

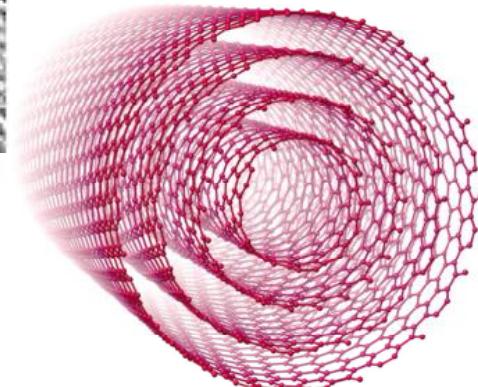


# Concentric MWNTs with small outside-diameters

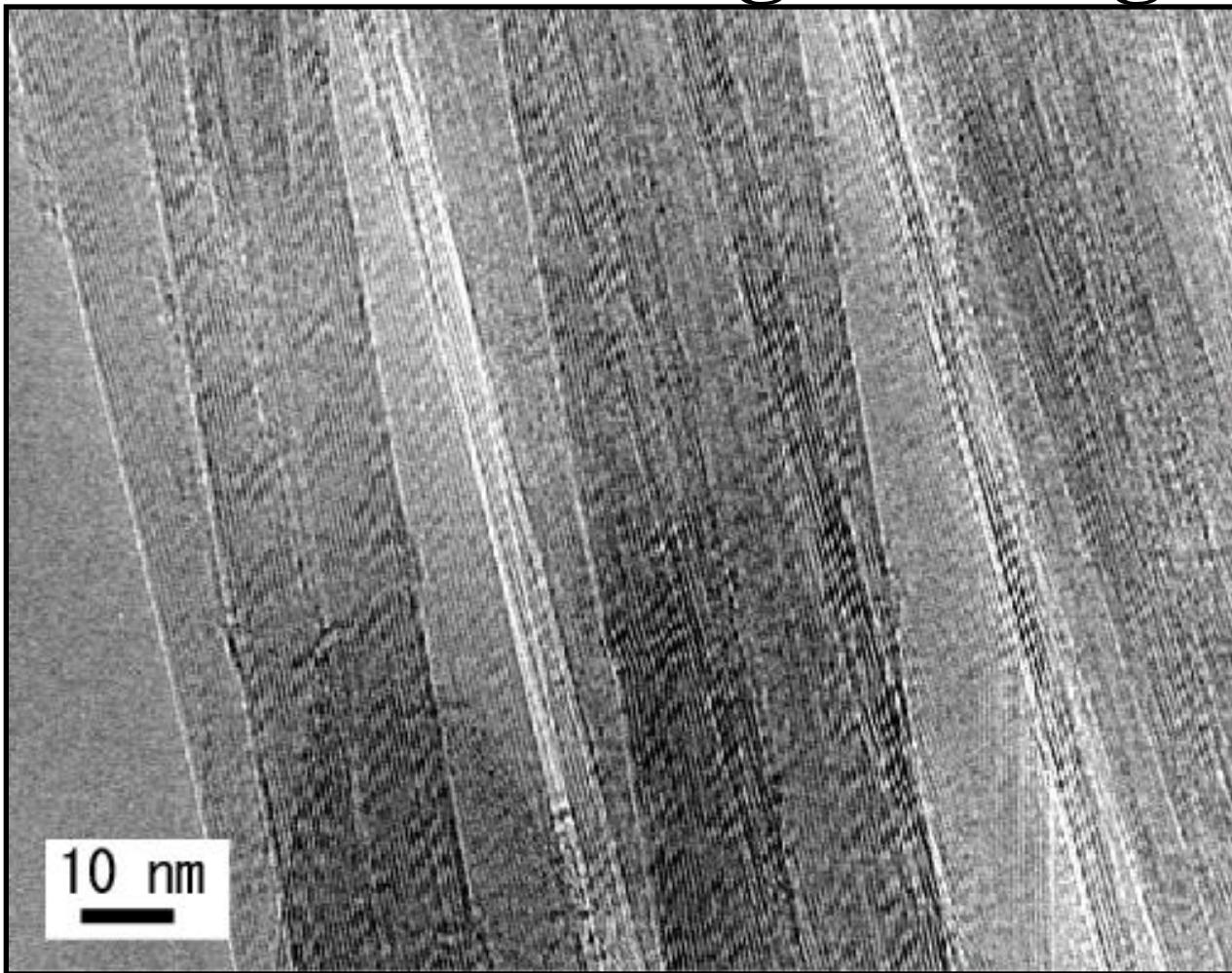


Qin et al. *Nature*  
408(2000)408.

A. Koshio, M. Yudasaka, S. Iijima  
*Chem. Phys. Lett.* 356(2002)595.



# A TEM image of long bundles



Diameters: ~20 nm  
(~30 layers)

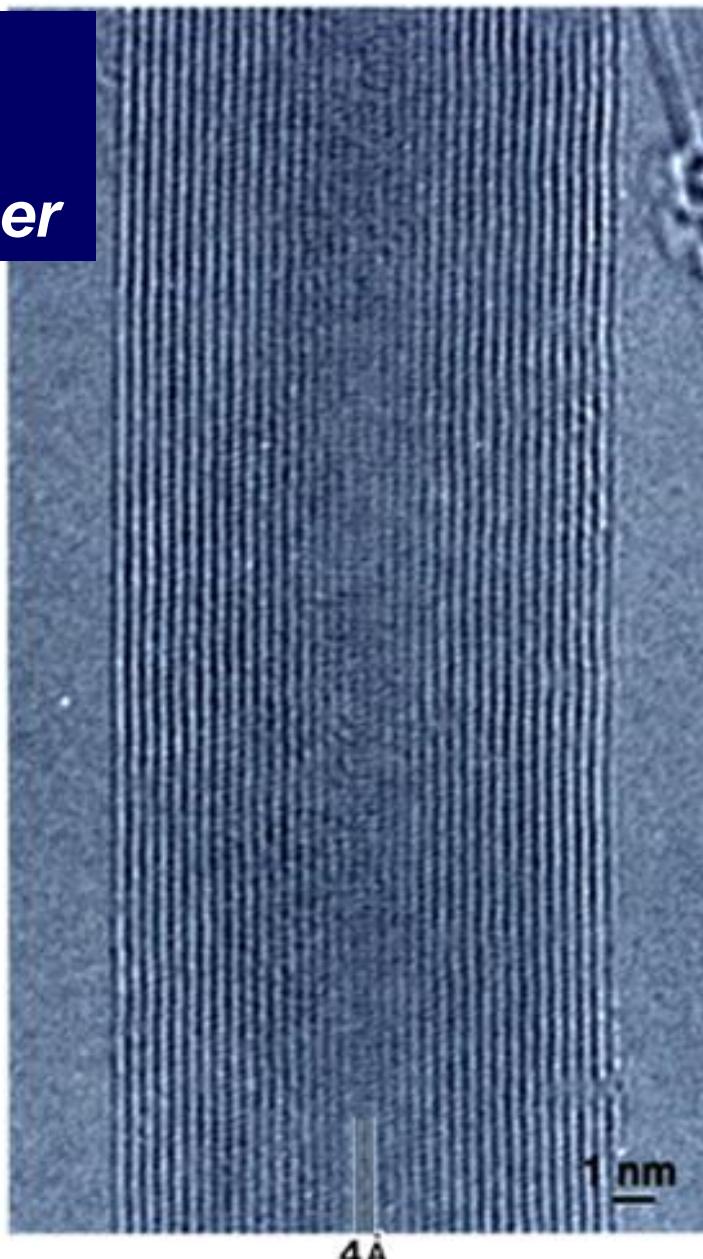
# 最高密度のMWNT: Densist MWNT or Densist Nanografiber

A little formed by  
arc-discharge in H<sub>2</sub>.



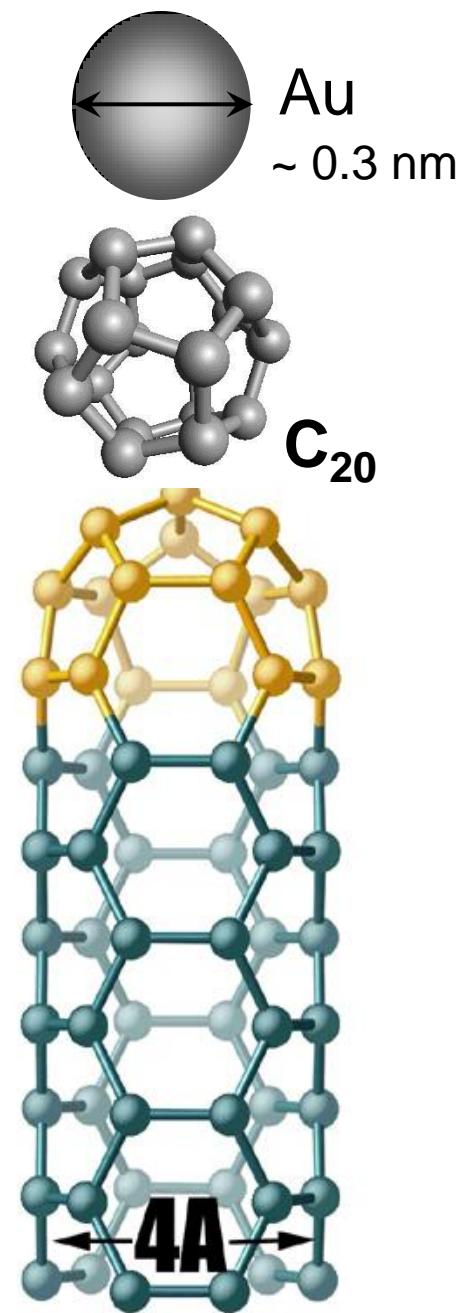
Purity of about 95%  
available by HF plasma.

Koshio, Yudasaka, Iijima  
Fullerene Symposium 2001



Qin, et al.

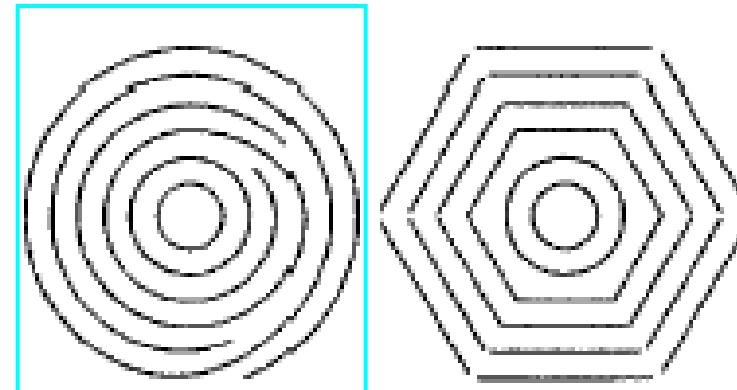
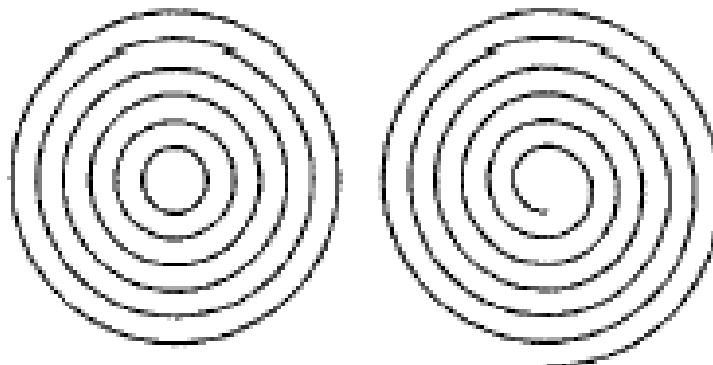
Nature 408, 50 (2000).



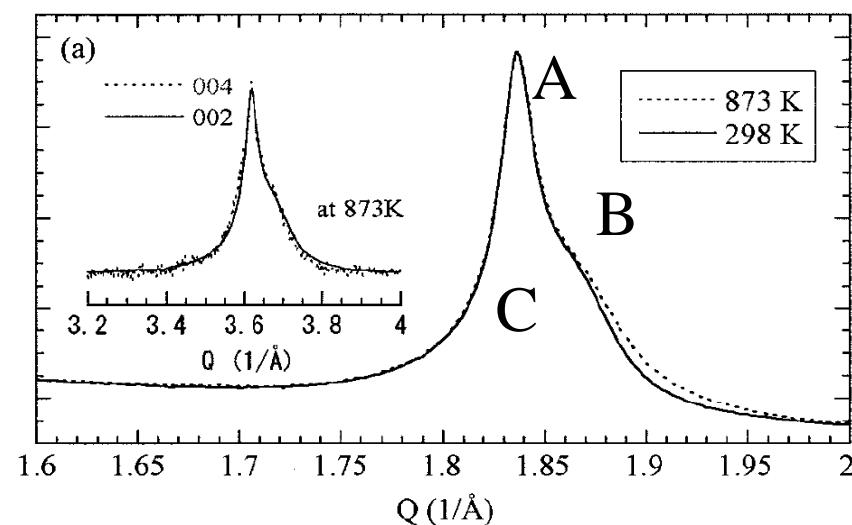
## An XRD study on MWNTs (Arc discharge, H<sub>2</sub>, No metal)

Thin MWNTs: “Concentric (Russian doll)” type

Thick MWNTs: “Scroll” and/or “Concentric”, “Polygonal”

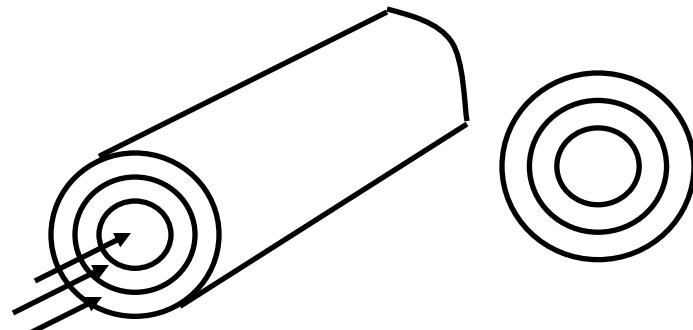


(Amelinckx et al.)

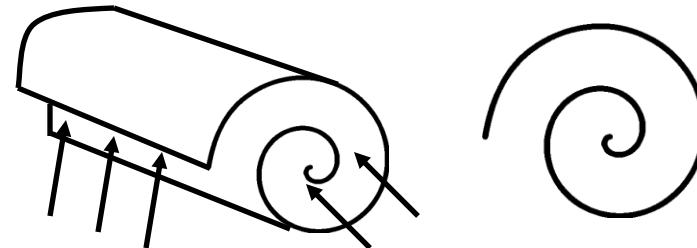


Thermal-expansion coefficients  
 A:  $2.6 \times 10^{-5} \text{ K}^{-1}$  (graphitic impurity)  
 B:  $2.5 \times 10^{-5} \text{ K}^{-1}$  (jelly role parts)  
 C:  $1.6 \times 10^{-5} \text{ K}^{-1}$  (concentric parts)

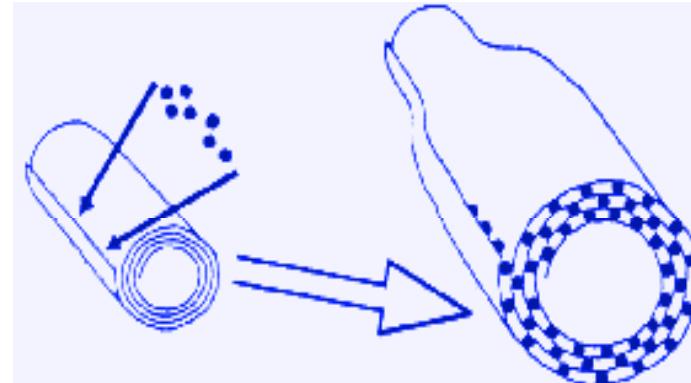
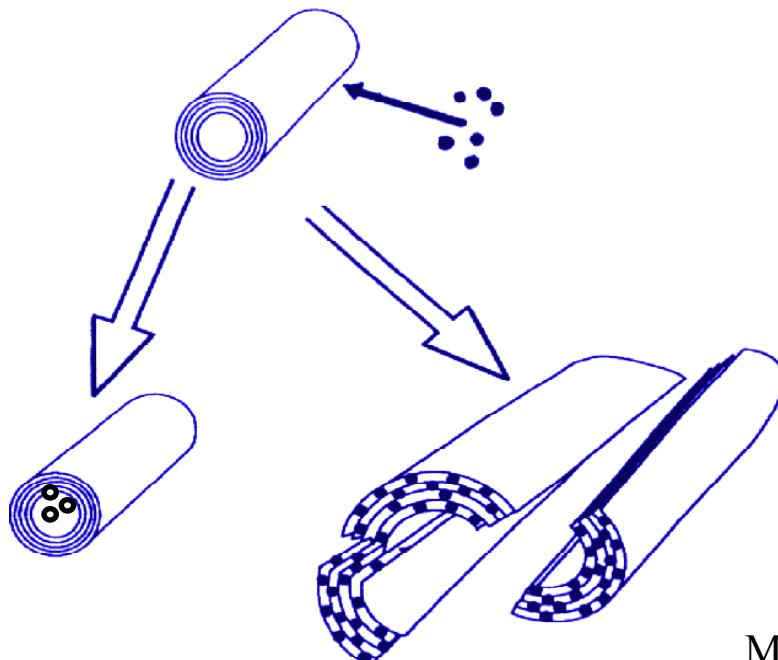
# Structures of Multi-Wall Carbon Nanotubes



Russian-doll type  
(CCVD)



Scroll type  
(Arc discharge without  
metal catalysts ? )



Mordkovich et al. "Supercarbon" (Eds. Yoshimura, Chang)  
Springer, 1998, p.107.

# Intercalation is possible for scroll-type MWNTs

Mordkovich et al. "Supercarbon" (Eds. Yoshimura, Chang)  
Springer, 1998, p.107.

