## 分子動力学法による金属内包フラーレン生成シミュレーション

Molecular Dynamics Simulations of the Formation of Metal-Containing Fullerene

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**Abstract.** The growth process of metallofullerene was studied by using the molecular dynamics method. Based on DFT (density functional theory) calculations of various forms of small clusters  $MC_n$  and  $M_n$  (M = La, Sc, Ni), multi-body classical potential functions for M-C and M-M interactions were constructed with the Morse-type term and the Coulomb term as functions of coordinate number of a metal atom. The clustering process starting from 500 isolated carbon atoms and 5 metal atoms was simulated under the controlled temperature condition at  $T_c = 3000$  K. When La atoms were applied, the stable open-cap structure surrounding the La atom resulted in the lanthanum-containing caged cluster. For Sc-C system, the host carbon clusters were not affected so much as the La-C case due to the weaker Coulomb interaction, and the Sc atom was encapsulated in the host cage at the final stage of the growth process. For Ni-C system, the precursor clusters were also similar to those in Sc-C system, although the Ni atom finally stayed on a face of large ring of the caged structure.

# **1** Introduction

After the discovery of  $C_{60}$  by Kroto *et al.* [1], macroscopic amount of empty fullerene[2,3], metallofullerenes [4-7], higher fullerenes [8] and carbon nanotubes [9] were successively produced and isolated. Recently, the high quality generation of single-wall nanotubes (SWNTs) [10,11] has demonstrated new possibilities of applications of this material. Although fullerene is now recognized as an attractive new material, the formation mechanism of these symmetric hollow caged structures is still unknown, because the generation techniques of fullerene [2,3] were discovered almost accidentally.

We have performed molecular dynamics simulations of the clustering process of carbon atoms to investigate the fullerene formation mechanism [12,13], and the temperature dependence of the cluster structures was observed [12]. In addition, we have demonstrated the formation of perfect  $C_{60}$ structure by giving sufficient collision-free annealing time, and examined the time and temperature scale of the annealing process through the reaction rate of the network transformations. Based on these results, a new formation model of empty fullerene including the temperature effect was proposed [13].

In this paper, the formation process of metallofullerene was studied using the similar molecular dynamics simulations. Inspite of possible interesting applications of metallofullerene, it is still difficult to obtain macroscopic amount of sample because of extremely low yield of generation. In order to find the optimum generation condition, it is inevitable to understand the formation mechanism. According to experimental studies, the metal atoms such as La, Y, Sc, (Ca) and lanthanides can be enclosed inside the carbon cage, and the preferred carbon cage structure depends on the metal. On the other hand, Ni, Co, or Fe, which are not experimentally assigned to be encapsulated in the fullerene cage, are required to generate the SWNTs [10,11]. Here, the effects of these metal atoms on the carbon cluster growth process are still unknown. In this paper, The difference of the growth processes among La, Sc and Ni containing systems were studied to investigate the effect of metal atoms.

# 2 Method

The potential function among carbon atoms was the same as our previous reports [12-14]. For the purpose of modeling the metal-carbon and metal-metal potential function appropriate for the MD simulation, the binding energy and charge state of various forms of small clusters  $MC_n$  and  $M_n$ (M: La, Sc, Ni) were calculated. Here the density functional theory based on the Becke's three-parameter exchange functional [15] with the Lee-Yang-Parr correlation [16] (B3LYP) was applied with the effective core potentials derived from the LANL2DZ basis from Gaussian 94 [17]. The semiempirical calculation results [18] were also used for the Ni-Ni interaction.

Metal-carbon multi-body potential functions were constructed as functions of carbon coordinate number of a metal atom. The total potential energy was expressed as the sum of binding energy  $E_b$  as follows.

$$E_b = V_R + V_A + V_C \tag{1}$$

$$V_{R} = f(r_{ij}) \frac{D_{e}}{S-1} \exp\left\{-b\sqrt{2S}(r_{ij} - R_{e})\right\}$$
(2)

$$V_{A} = -f(r_{ij}) \cdot B^{*} \frac{D_{e}S}{S-1} \exp\left\{-b\sqrt{2/S}(r_{ij} - R_{e})\right\}$$
(3)

$$V_{C} = -f(r_{ij}) \frac{e^{2}}{4pe_{0}} \frac{c_{C}c_{M}}{r_{ij}}$$
(4)

Here,  $r_{ij}$ ,  $V_R$  and  $V_A$  denote the distance between metal *i* and carbon *j*, Morse-type repulsive and attractive terms, respectively. The Coulomb term  $V_C$  is applied only to the La-C and Sc-C interactions that were calculated to be strongly

**Table 1.** Potential parameters for metal-carbon interactions.

	D <sub>e</sub> (eV)	S	<b>b</b> (1/Å)	$R_{e}$ (Å)	<i>R</i> 1 (Å)	R <sub>2</sub> (Å)	b	d	<i>k</i> <sub>1</sub>	k <sub>2</sub>
La-C	4.53	1.3	1.5	2.08	3.2	3.5	0.0854	-0.8	0.0469	1.032
Sc-C	3.82	1.3	1.7	1.80	2.7	3.0	0.0936	-0.8	0.0300	1.020
Ni-C	3.02	1.3	1.8	1.70	2.7	3.0	0.0330	-0.8	-	-

Table 2. Potential parameters for metal-metal interactions.

	S	<b>b</b> (1/Å)	D <sub>e1</sub> (eV)	D <sub>e2</sub> (eV)	CD	R <sub>e1</sub> (Å)	R <sub>e2</sub> (Å)	$C_R$	<i>R</i> ₁ (Å)	R <sub>2</sub> (Å)
La-La	1.3	1.05	0.740	2.64	0.570	3.735	0.777	0.459	4.0	4.5
Sc-Sc	1.3	1.4	0.645	1.77	0.534	3.251	0.919	0.620	3.5	4.0
Ni-Ni	1.3	1.55	0.74	1.423	0.365	2.520	0.304	0.200	2.7	3.2

ionic due to the electron transfer from the metal to carbon atoms.

The coordinate number of the metal atom  $N^{C}$  is defined using the cut-off function f(r), and both the additional term  $B_{ij}^{*}$  and electric charge *c* are expressed as functions of the coordinate number. Here, the effect of the angle among bonds is ignored.

$$f(r) = \begin{cases} 1 & (r < R_1) \\ \left(1 + \cos\frac{r - R_1}{R_2 - R_1}\right) / 2 & (R_1 < r < R_2) \\ 0 & (r > R_2) \end{cases}$$
(5)

$$N^{\rm C} = 1 + \sum_{\operatorname{carbon} k(\neq j)} f(r_{ik})$$
(6)

$$B^{*} = \left\{ 1 + b(N^{C} - 1) \right\}^{d}$$
(7)

$$c_{\rm M} = 3 - \exp(-k_1 N^{\rm C} + k_2)$$
,  $c_{\rm C} = c_{\rm M} / N^{\rm C}$  (8)

The potential parameters are shown in Table 1, and the total potential  $E_b$  and Coulomb term  $V_C$  of La, Sc and Ni for  $N^{C}=5$  and  $N^{C}=15$  are demonstrated in Fig. 1. The Coulomb interaction of La-C is much stronger than that for Sc-C.

Metal-metal interactions were constructed in the same formula as in eq. (1). In this case, the equilibrium binding energy  $D_e$  and the bond length  $R_e$  are expressed as direct functions of the metal coordinate number  $N_{ij}$  instead of using the additional term  $B^*$  in eq. (3).

$$N^{M_{i}} = 1 + \sum_{\text{metal } k(\neq j)} f(r_{ik}), N_{ij} = (N^{M_{i}} + N^{M_{j}})/2$$
(9)

$$D_e(N_{ij}) = D_{e1} + D_{e2} \exp\{-C_D(N_{ij} - 1)\}$$
(10)

$$R_e(N_{ij}) = R_{e1} - R_{e2} \exp\{-C_R(N_{ij} - 1)\}$$
(11)

Thus, the Coulomb term  $V_C$  always gives repulsive force. The potential parameters are shown in Table 2, and the shapes for dimers are shown in Fig. 1.

The temperature control method is also the same as our previous report [12]. where the translational, rotational and vibrational temperatures of the system were independently controlled every 0.1 ps by simple velocity scaling so that the difference between the control temperature  $T_c$  and each temperature was reduced to 60 %. Verlet's method was adopted to integrate the differential equation of motion.

## **3 Results and Discussions**

### 3.1 Lanthanum containing system

Five hundred carbon atoms and 5 La atoms in gas phase with random positions and velocities were distributed in a 342 Å cubic box with full periodic boundary condition. The system was controlled toward a control temperature  $T_c$  of 3000 K. These parameters correspond to the condition in which  $C_{60}$  and  $C_{70}$  caged clusters were obtained in the simulation without including metal atoms [12].



**Fig. 1.** Metal-carbon potential function  $E_b$ , Coulomb term  $V_C$  and potential function of M<sub>2</sub> for: (a) La-C, (b)Sc-C and (c) Ni-C.



**Fig. 2.** Growth process of La attached clusters: (a)  $La@C_{73}$  and (b)  $La@C_{17}$ .

Fig. 2 shows the growth process of typical La attached clusters in the simulation; (a) a La containing caged cluster La@C<sub>73</sub> observed at t = 3000 ps, and (b) a La attached cluster LaC<sub>17</sub> observed at t = 1600 ps. The vertical width and horizontal length denote the cluster size and time, respectively. For example, in Fig. 2(a), the LaC<sub>5</sub> and C<sub>13</sub> cluster independently existed each other, coalesced at about 530 ps, and the LaC<sub>19</sub> was formed after the addition of a carbon atom at about 550 ps.

When the cluster was smaller than LaC<sub>5</sub>, short carbon chains surrounded the lanthanum atom (fan-type structure [19,20]) as shown in Fig. 2(b). When it grew larger than LaC<sub>6</sub>, the lanthanum atom attached on the monocyclic carbon ring, and positioned in the center of the monocyclic ring at LaC<sub>15</sub>. The host monocyclic ring changed to a polycyclic ring and the lanthanum atom attached on it around LaC<sub>17</sub>, where the Coulomb attractive force induced curvature to the host poly-cyclic ring. The host annealed to a curved graphitic sheet when it grew as large as LaC<sub>19</sub> as shown in Fig. 2(a), and extended the structure until about LaC<sub>35</sub>, resulted in the formation of a stable open-cap structure. The cluster grew larger with closing the open-cap structure, however, the number of carbon atoms was not enough to close the open-cap at about  $LaC_{50}$ . In this case, a collision with a large cluster of C<sub>20</sub> prevented gradual growth and resulted in the formation of a caged cluster larger than La@C<sub>71</sub>, and the La atom was almost encapsulated in the carbon cage.

Considering the difference of time and temperature scale between the real phenomena and simulation [13], these hollow caged structures could have sufficient collision free annealing interval to form more sophisticated structures.

Fig. 3 shows the collision process of other two La attached clusters. In this case, two lanthanum atoms could not attach on the same face of the flat structure during the coalescence process due to the Coulomb repulsion, and that resulted in the encapsulation of only one La atom.

### 3.2 Scandium containing system

Another clustering process using Sc atoms was simulated under the same condition as the previous section. Fig. 4



Fig. 3. Collision of La attached clusters.

shows the growth process of a ScC<sub>55</sub> observed at t = 4000 ps. A first remarkable difference of the process from La-C system is apparent for the structures of MC<sub>n</sub> (20<n<40) because of the weaker Coulomb force. The cluster annealed to the 3-dimensional open cage structure around ScC<sub>43</sub>, where the scandium atom moved around open edge. The Sc atom slipped into the caged structure just before closing at around Sc@C<sub>54</sub>.

In addition to this final stage of encapsulation, the flat structures around  $ScC_{35}$  maybe related to the possibility of catching another Sc atom, which is in good agreement with experimentally observed prominence of di-metallofullerene for Sc-C system.

### 3.3 Nickel containing system

Nickel atom, which is not experimentally assigned to be encapsulated in the fullerene cage so far, is also examined. As shown in Fig. 5, the growth process is very similar to that for Sc attached cluster. However, at the final stage, the Ni atom preferred to attach at the large defect of the caged structure such as large rings of more than 7 or 8 membered rings, and frequently moved in and out of the carbon cage. Fig. 6 shows the similar motion also observed for the larger caged cluster of NiC<sub>78</sub> obtained for another Ni atom.

Moreover, when two Ni atoms were enclosed in the host cage as shown in Fig. 6 (b), the Ni atoms remained inside the cage more stably than the case of single Ni atom, and that can be a hint of a new endohedral metallofullerene.



Fig. 4. Growth process of a Sc attached cluster Sc@C<sub>55</sub>.



Fig. 5. Growth process of a Ni attached cluster NiC<sub>50</sub>.

### 4 Conclusions

Multi-body potential functions of metal-carbon and metalmetal system were constructed based on the MO calculations of small MC<sub>n</sub> and M<sub>n</sub> (M: La, Sc, Ni) using the density functional theory. By applying the potential function to the molecular dynamics simulation, the clustering processes starting from randomly distributed carbon and metal atoms were simulated and the formation process of metal attached caged carbon clusters were examined. When La atoms were applied, the stable open-cap structure surrounding the La atom resulted in the lanthanum-containing caged cluster. For Sc-C system, the Sc atom was encapsulated in the host cage at the final stage of the growth process. For Ni-C system, the Ni atom finally stayed on a face of large ring of the caged structure.

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**Fig. 6.** Structures of Ni attached cage clusters: (a) NiC<sub>78</sub> and (b) Ni<sub>2</sub>C<sub>54</sub>.

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