

Floating Catalyst CVD Method
for Controllable Synthesis of
Single- and Double-walled Carbon
Nanotubes

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Where am I from?



Main Directions at my Division

- Synthesis, Properties and Applications of Carbon Nanotubes and Non-Carbon Nanostructures
 - Carbon Nanotubes
 - Non-Carbon Nanostructures
- New Materials for Clean Energy Applications
 - Energy storage materials
 - Solar energy materials
- Exploration of Hydrogen Storage Materials
- Fabrication and Applications of High-performance Carbon Materials

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- **Synthesis of CNTs by Floating Catalyst CVD (SWNTs, DWNTs, MWNTs)**
- **Structural Control of SWNTs and DWNTs**
 - The effect of sulfur, carrier gas, and carbon feeding rate
 - Synthesis of CNTs with narrow diameter distribution
- **Growth mechanism of SWNTs/DWNTs by FCCVD**
- **Concluding remarks**

Potential Applications of CNTs

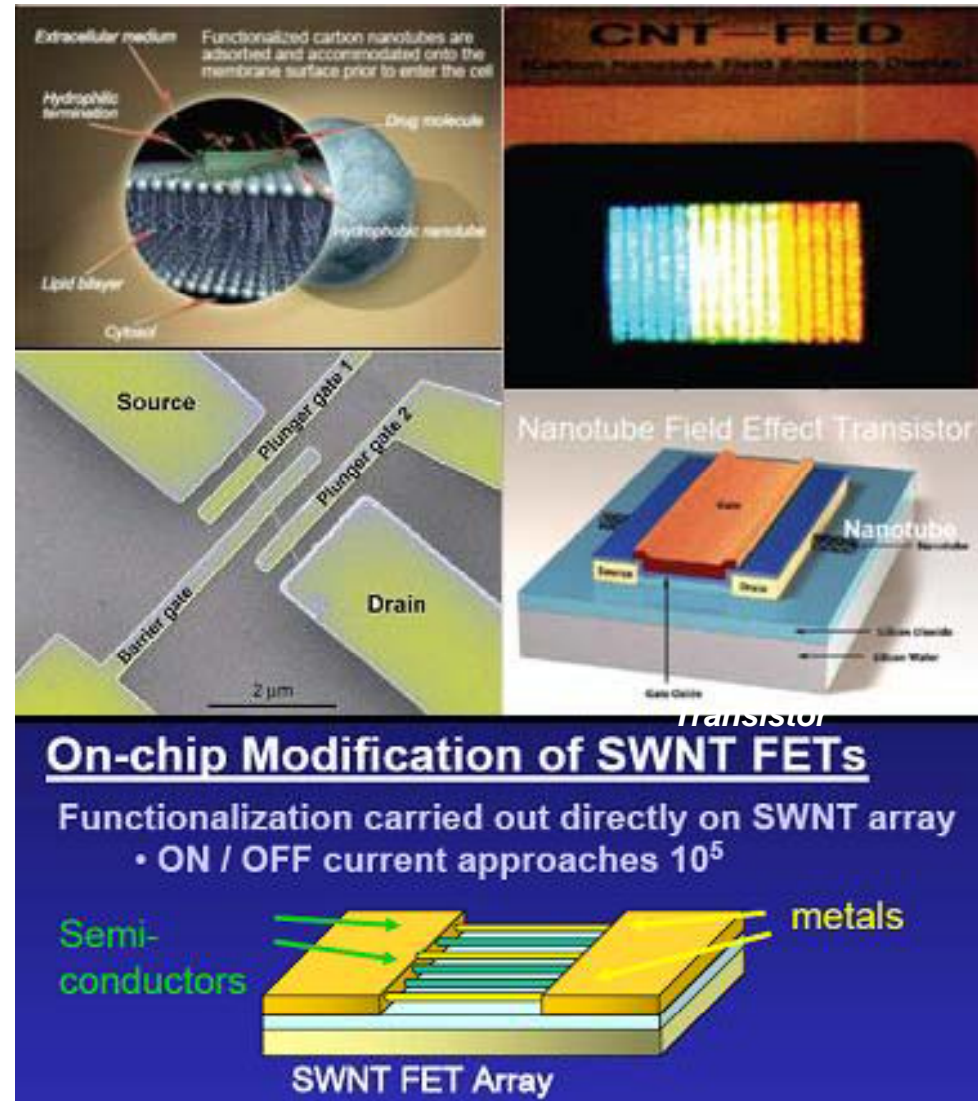


Large Scale

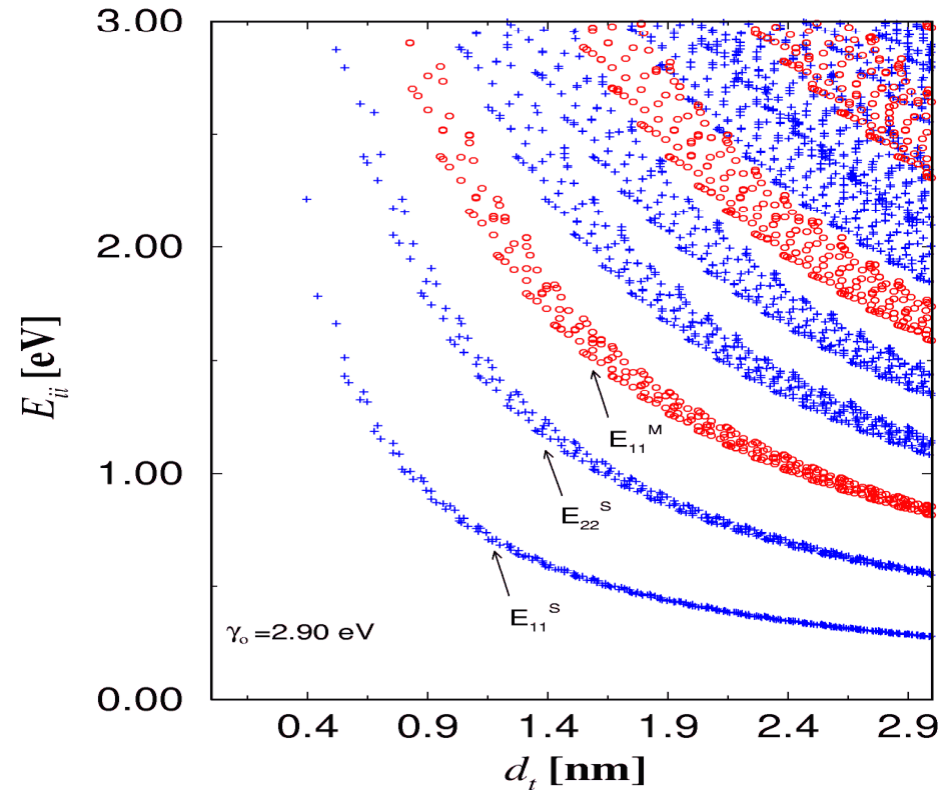
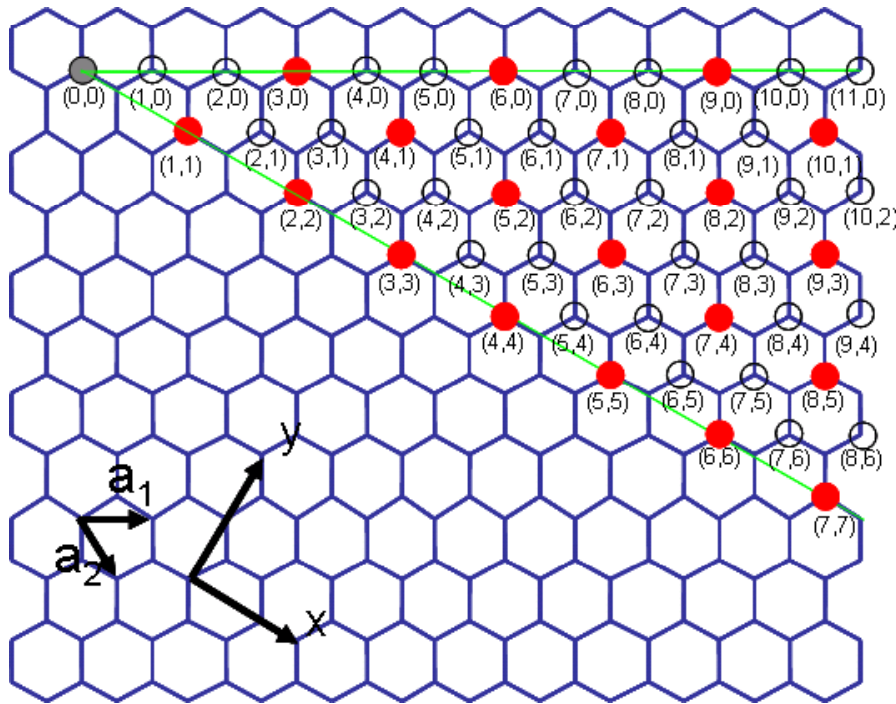
- ✓ Field emitters
- ✓ Energy storage
- ✓ Composites

Individual

- ✓ Electronic devices
- ✓ STM/AFM tips
- ✓ Sensors



Electronic Structure --- Structural Control



$$n - m = \begin{cases} 3p & \text{metal} \\ 3p \pm 1 & \text{semiconductor} \end{cases}$$

$$E_{gap} \propto 1/r$$

R Saito et al., Appl. Phys. Lett. 60(1992) 2204 .

R Saito et al, Phys. Rev. B 61(2000) 2981.

Challenges for CNT Synthesis



- **Development of low-cost, large-scale processes for the synthesis of high-quality CNTs**
- **Control over the structure and electronic properties of CNTs**
- **Control over the location and orientation of CNTs on a flat substrate**
- **Development of a thorough understanding of the growth mechanism of CNTs**

Pioneered Methods for SWNT Synthesis



Arc Discharge Method

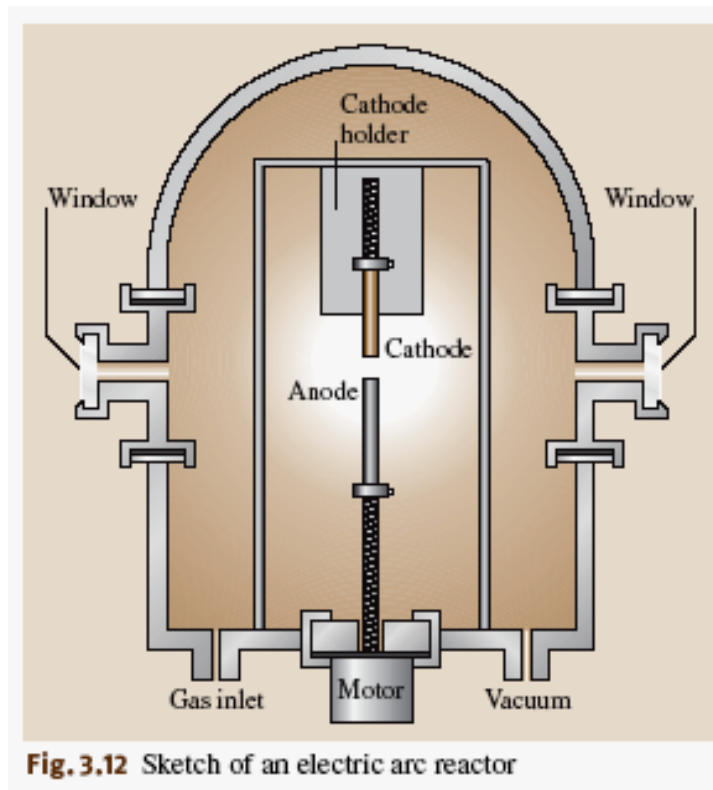
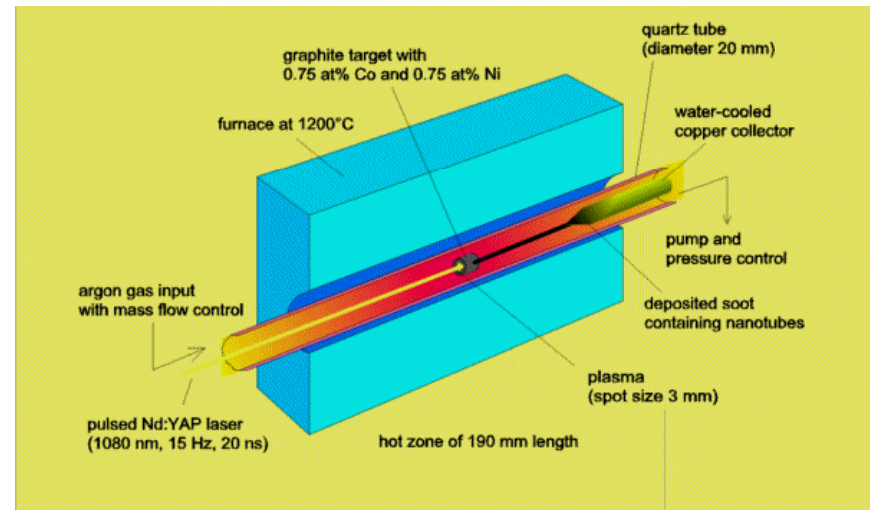


Fig. 3.12 Sketch of an electric arc reactor

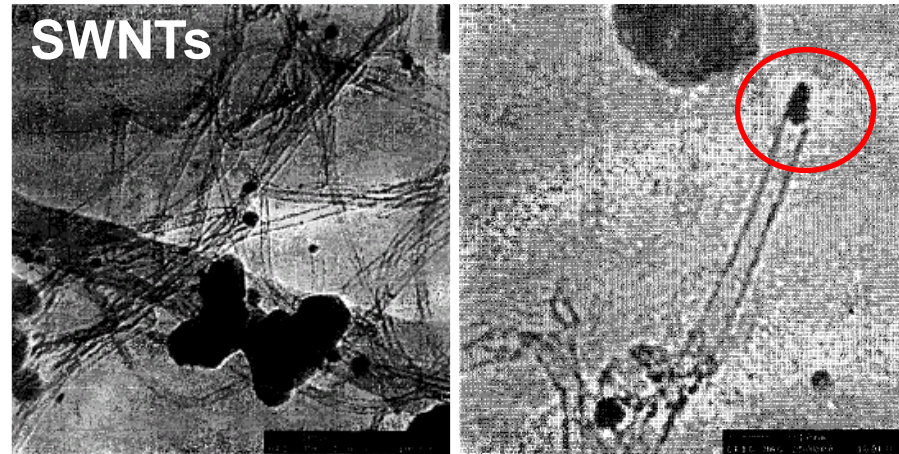
Developed by S Iijima
(Nature 1993)

Laser Ablation Method



Developed by RE Smalley group
(A Thess et al, Science 1996)

Growth of SWNTs by CVD method

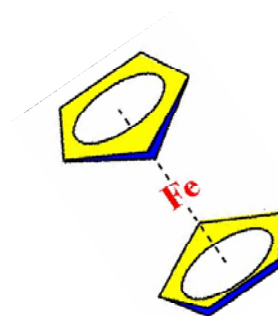


H.J. Dai, et al., Chem. Phys. Lett. 1996

Large scale:

- Carbon supply
- Catalyst supply
- Reaction time
- ...

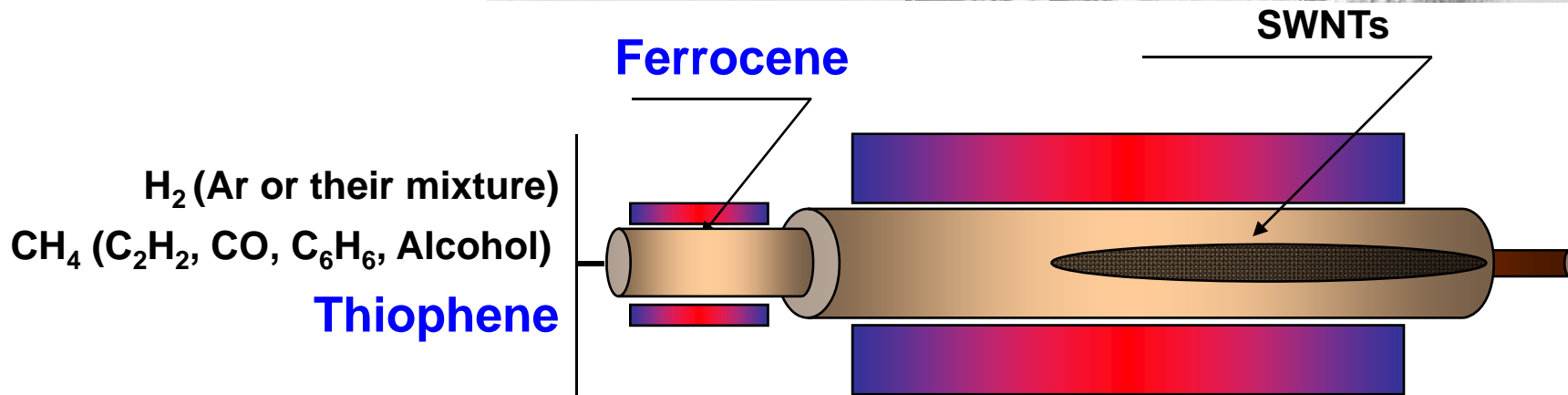
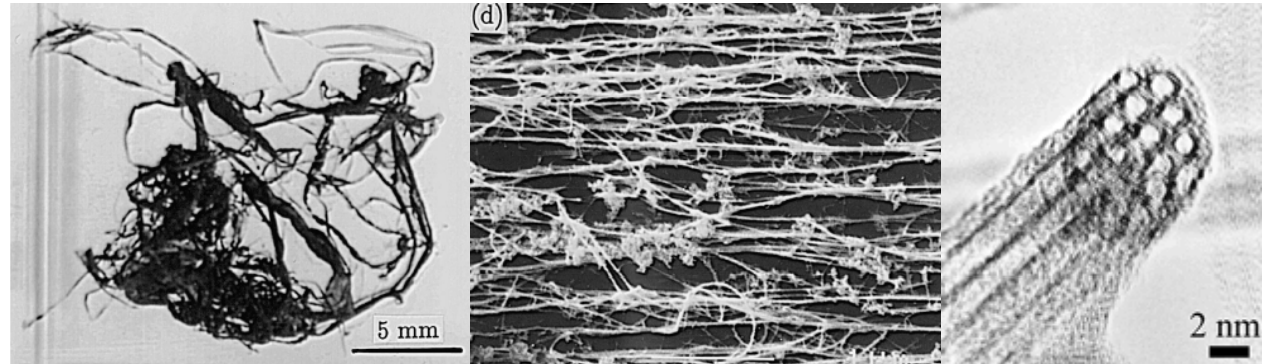
← **Ferrocene**



Floating Catalyst CVD Method (FCCVD)



1998

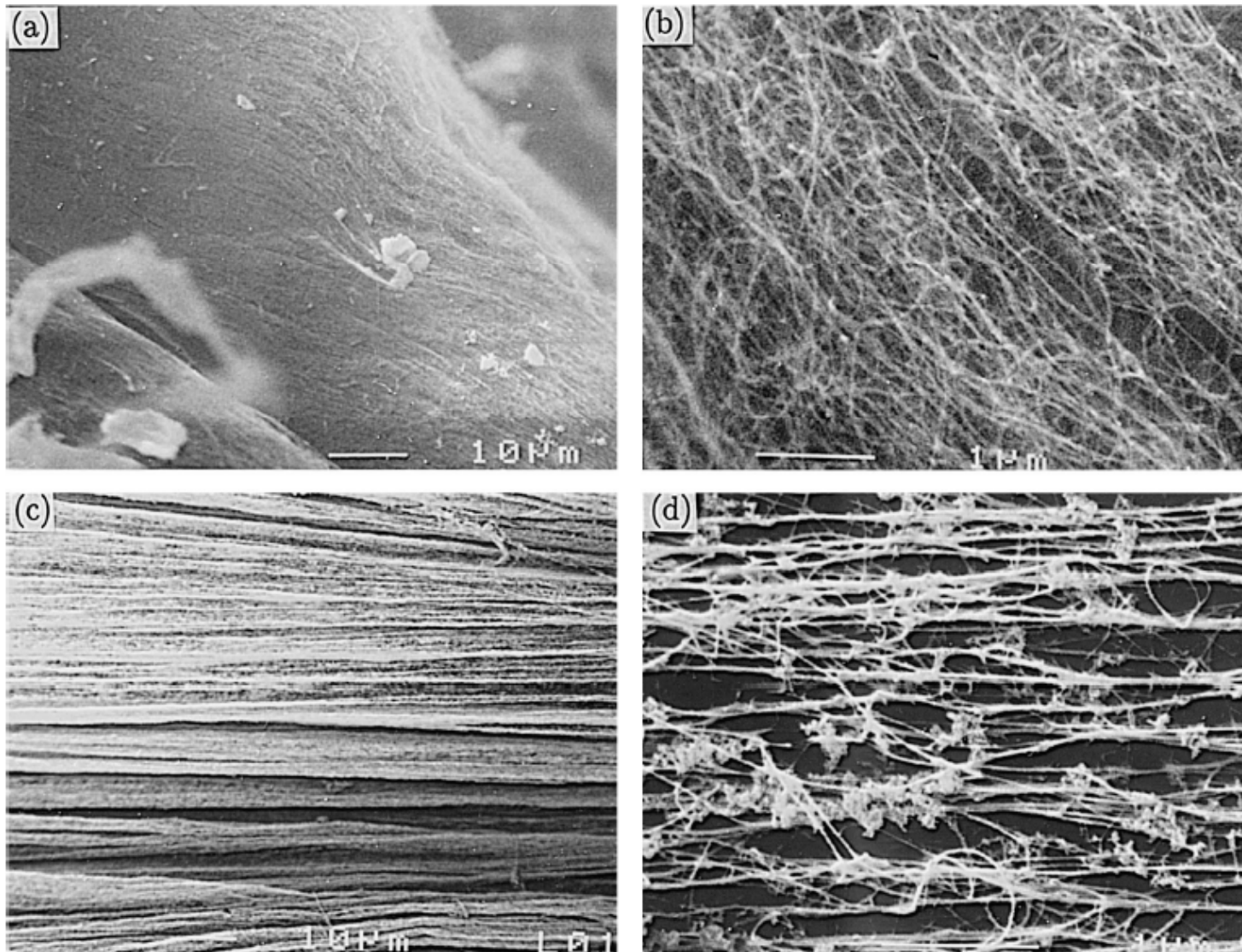


- ✓ *Potential for continuous preparation*
- ✓ *Possibility of structural control*
- ✓ *Low cost, high purity*
- ✓ *Simple post-treatment*

HM Cheng et al., Appl. Phys. Lett. 72 (1998) 3282.

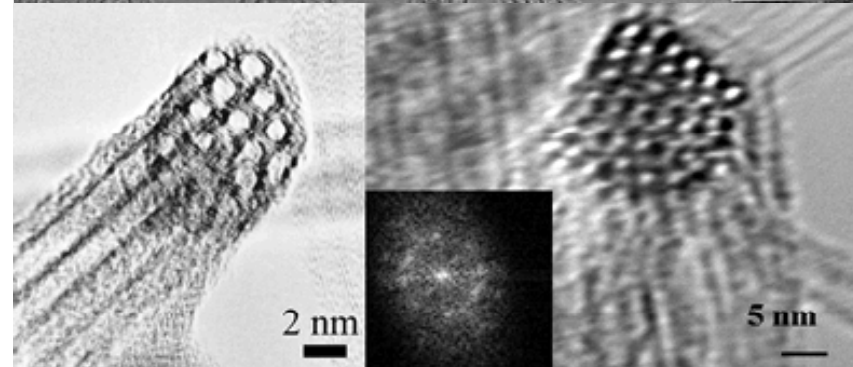
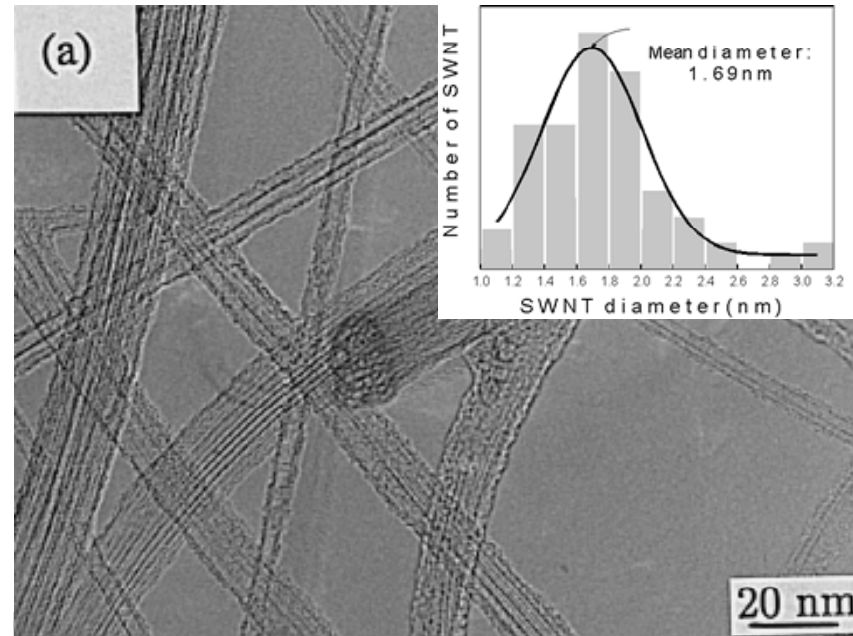
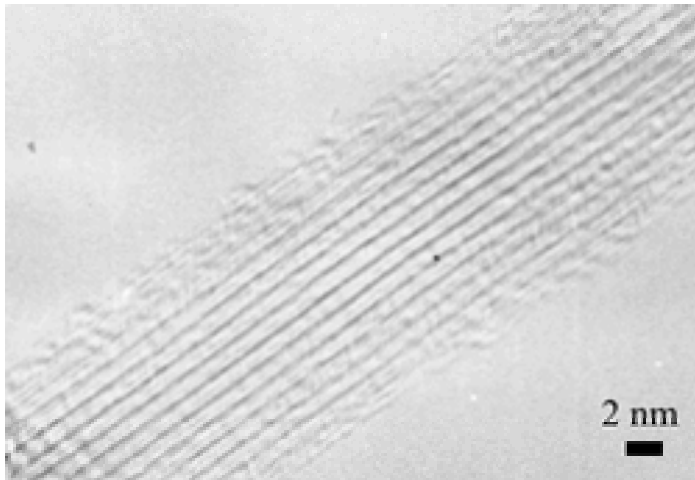
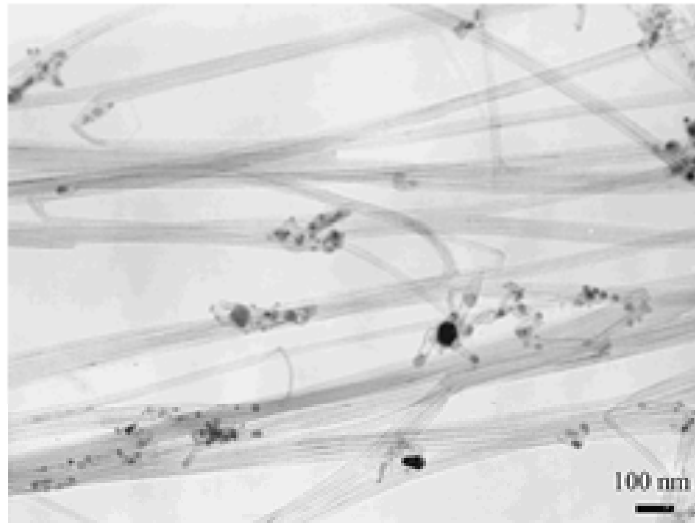
HM Cheng et al., Chem. Phys. Lett. 289 (1998) 602.

SWNTs by FCCVD

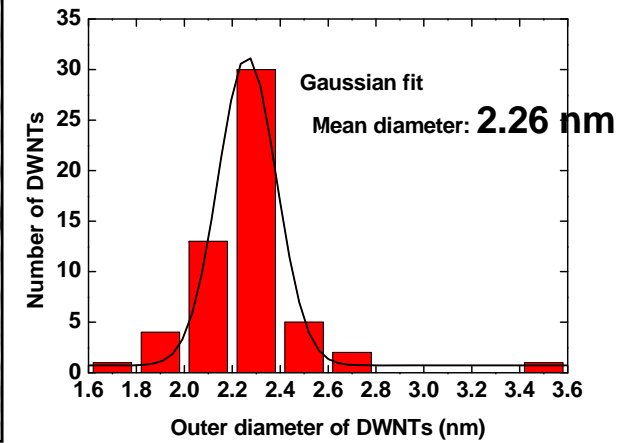
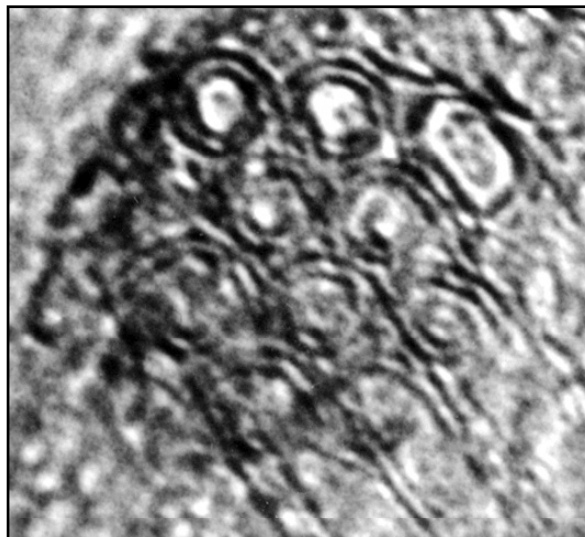
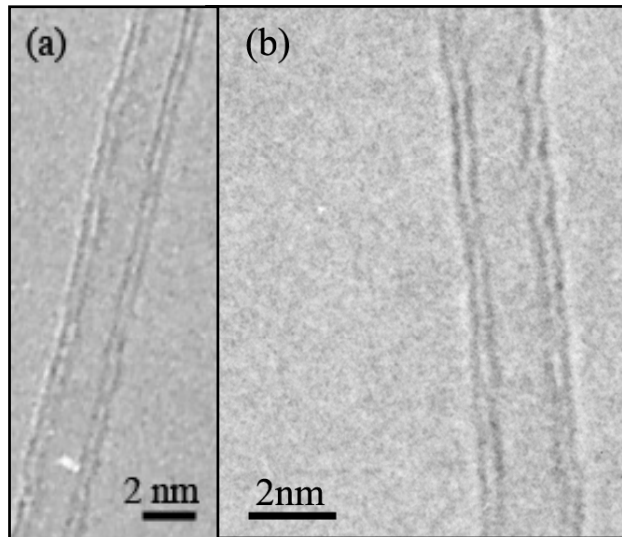
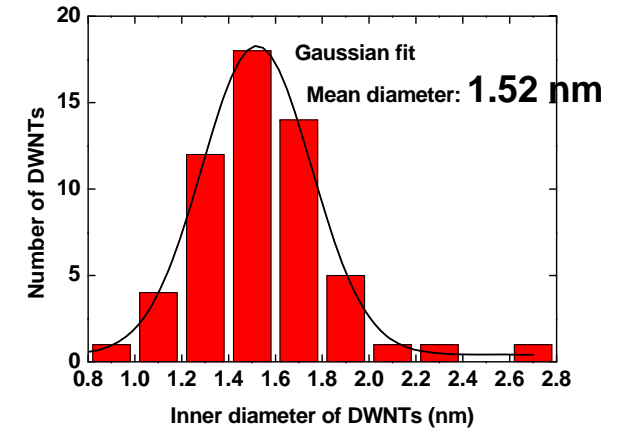
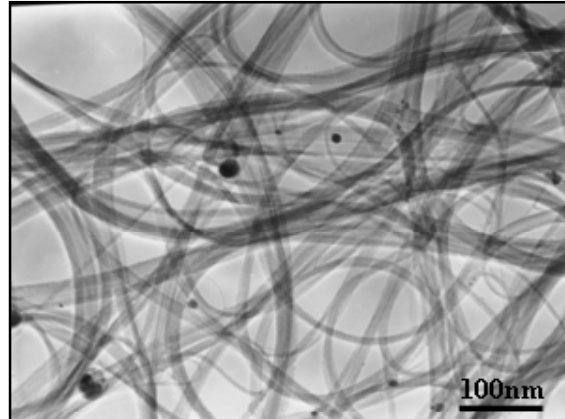
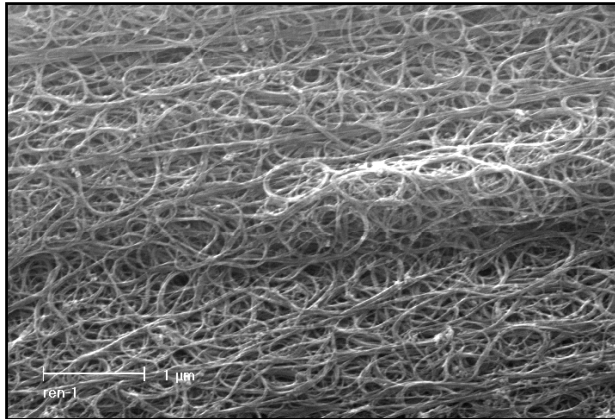


HM Cheng et al., Chem. Phys. Lett. 289 (1998) 602.

TEM Images of the SWNTs by FCCVD



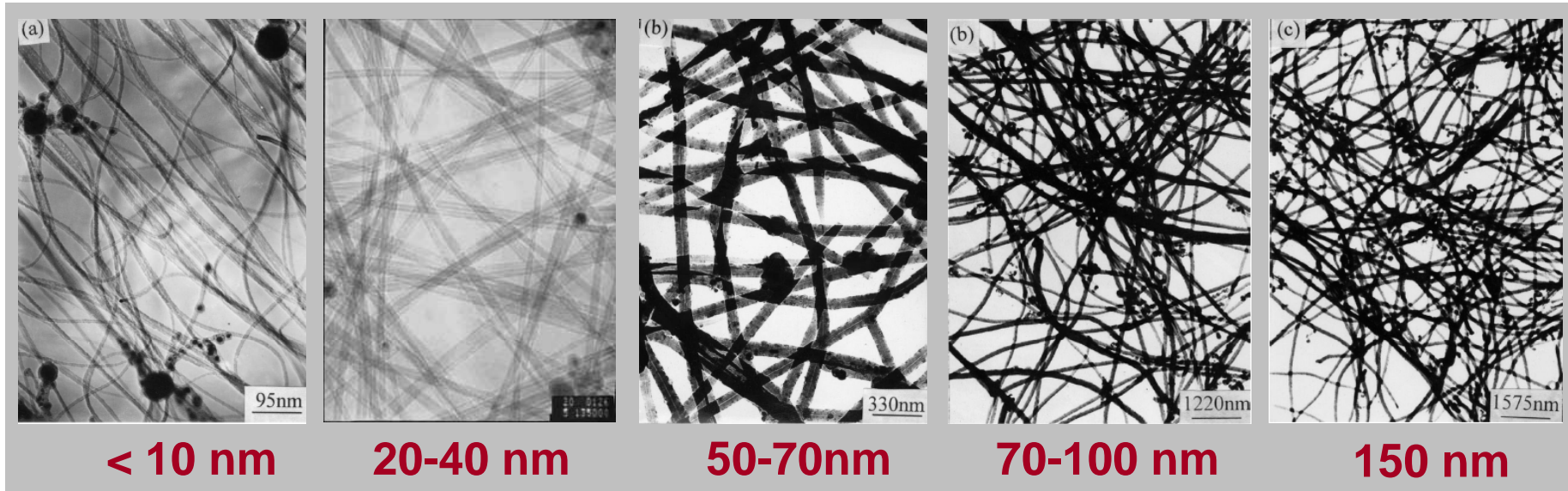
Synthesis of DWNTs by FCCVD



> 70%

CNFs/MWNTs

with Different Diameter and Wall Thickness



- ✂ Carbon feeding rate
- ✂ Catalyst particle size
- ✂ Sulfur concentration

YY Fan, HM Cheng et al., Carbon 38 (2000)789.

YY Fan, HM Cheng et al., Carbon 38 (2000) 921.

YY Fan, HM Cheng et al., J. Mater. Res. 13 (1998) 2342.

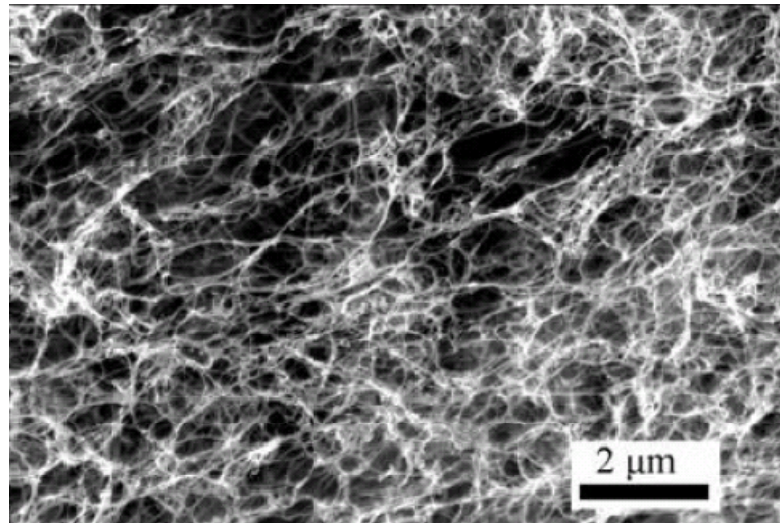
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The Effect of Sulfur-- Necessary?

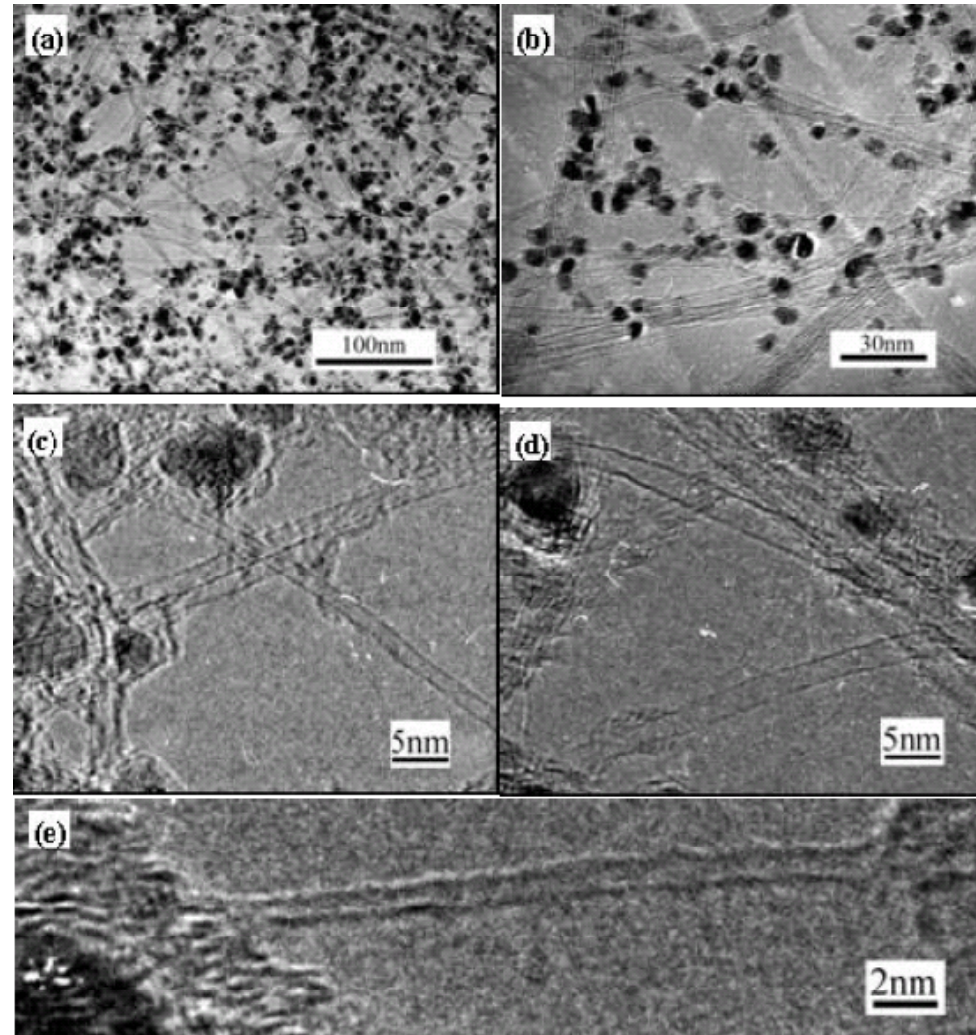


Ferrocene & Argon

Without the addition of sulfur
Without additional carbon



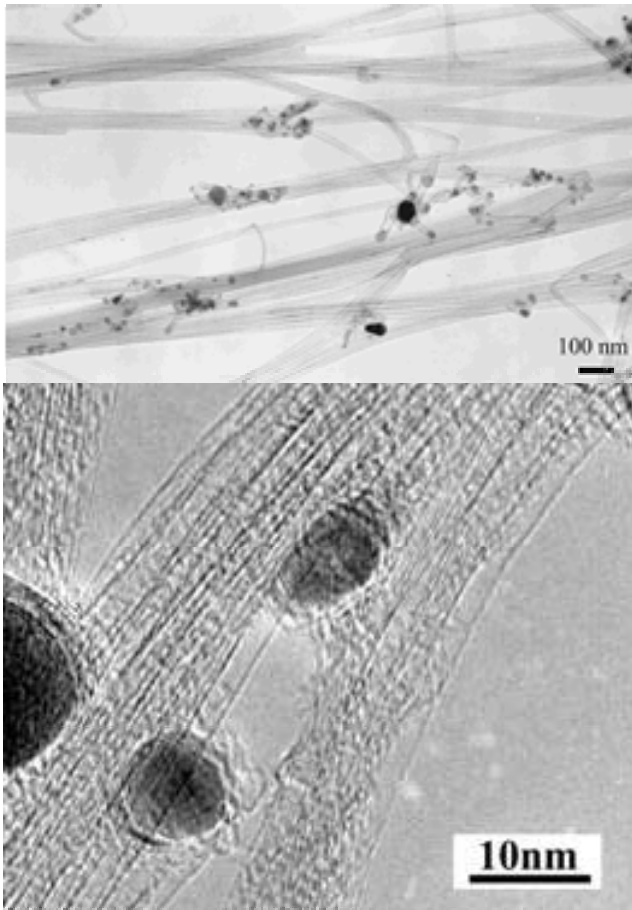
- Low productivity



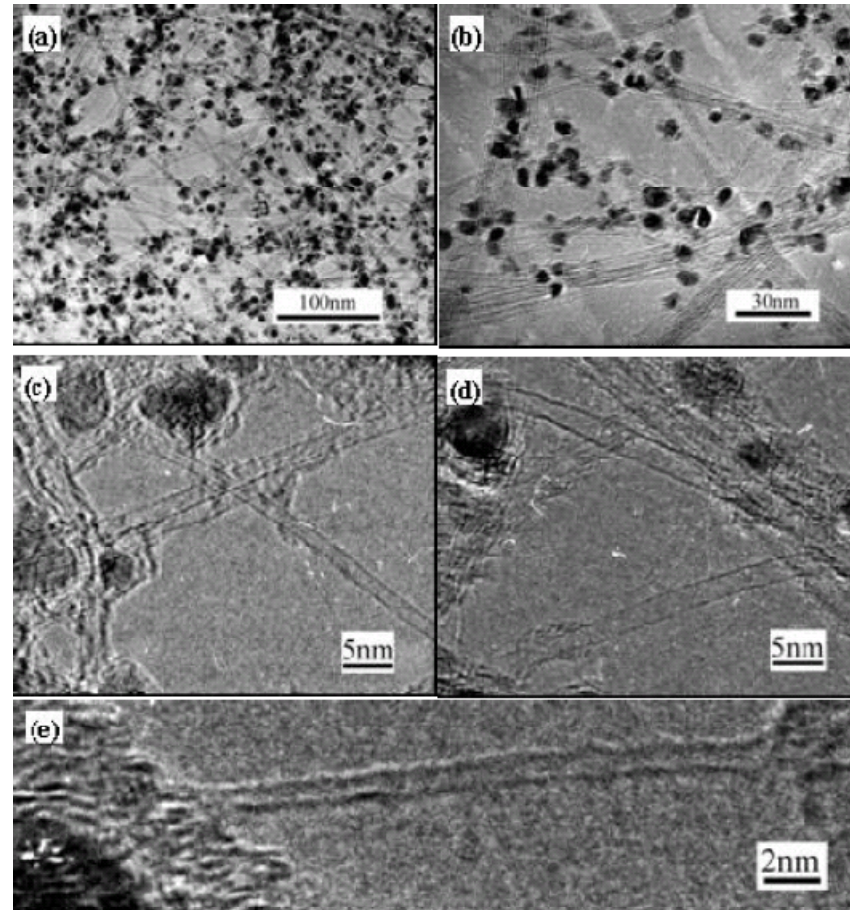
The effect of Sulfur on the Purity and Quality of SWNTs



with sulfur

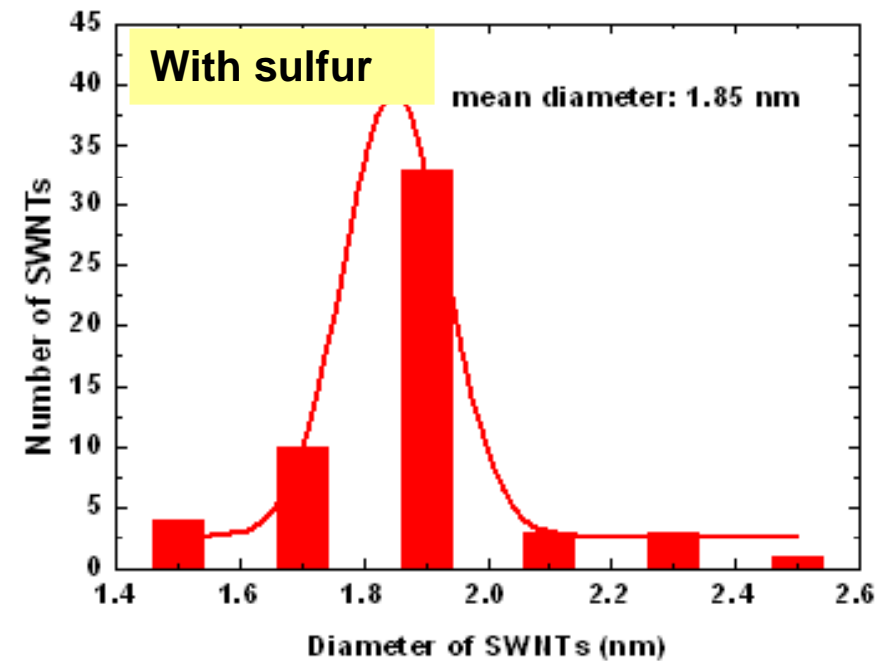
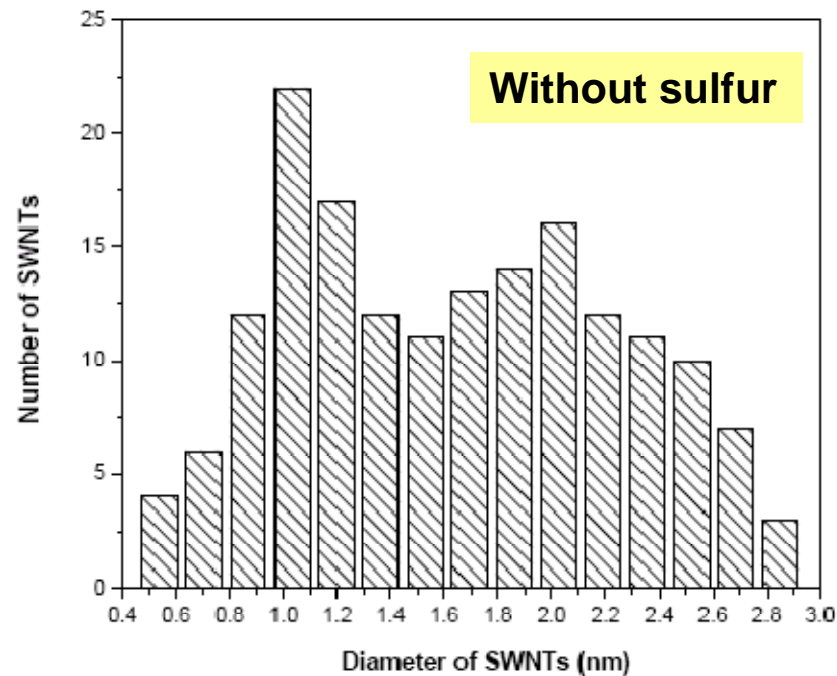


without sulfur



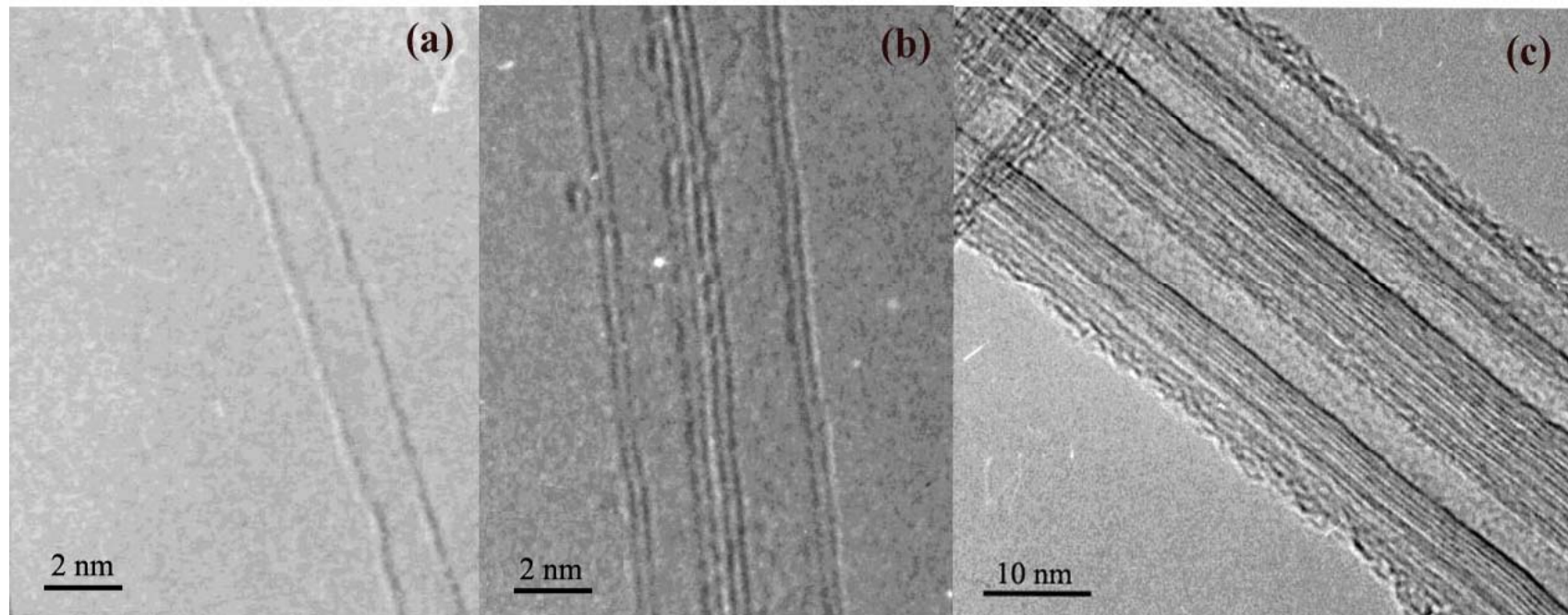
- Higher purity
- Higher quality and narrower distribution

The Effect of Sulfur on the Diameter Distribution of SWNTs



- **Broad diameter distribution!**

The Effect of Sulfur on Diameter and Shell Number



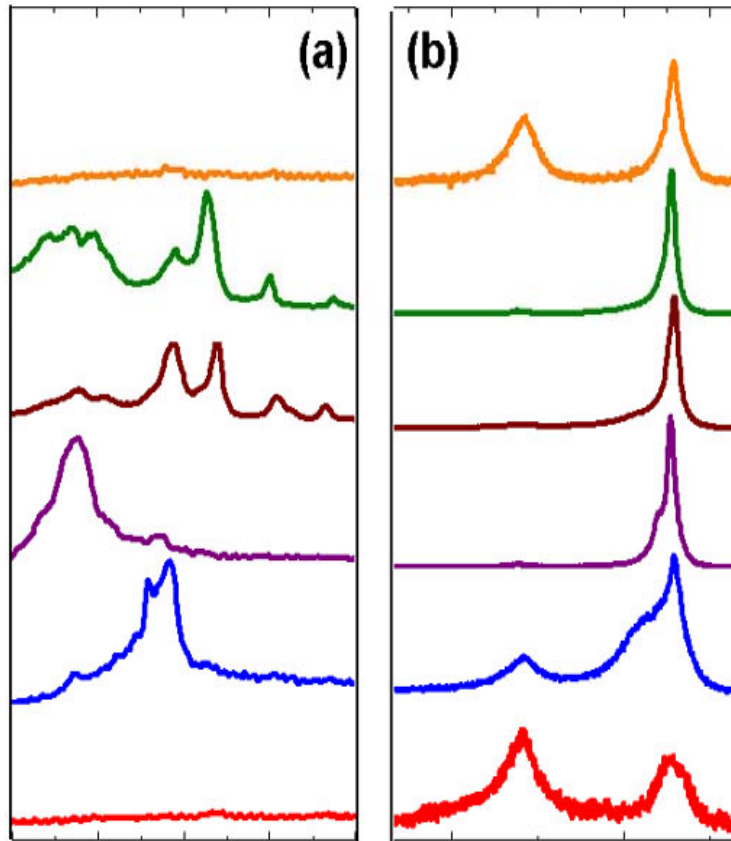
Sulfur addition increasing

WC Ren, HM Cheng et al., J. Nanosci. Nanotech. 6 (2006) 1339.

Sulfur plays an important role in the structural control (diameter and shell number) of CNTs

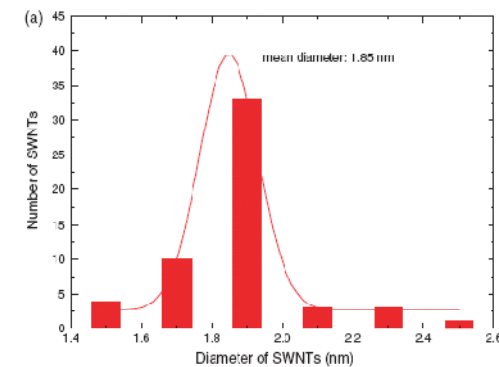
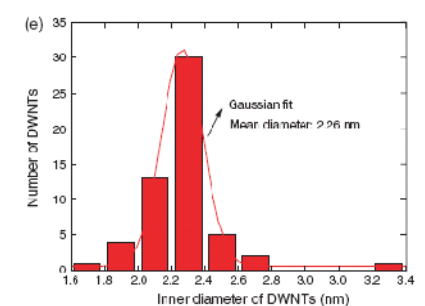
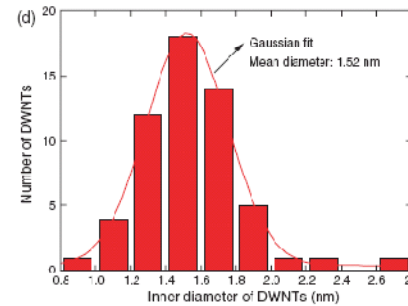
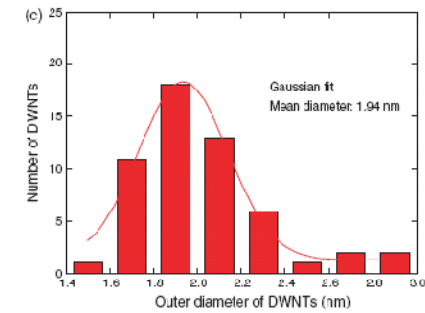
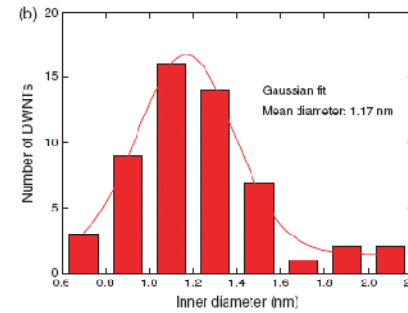


$$\omega = A_1/d_t + A_2$$

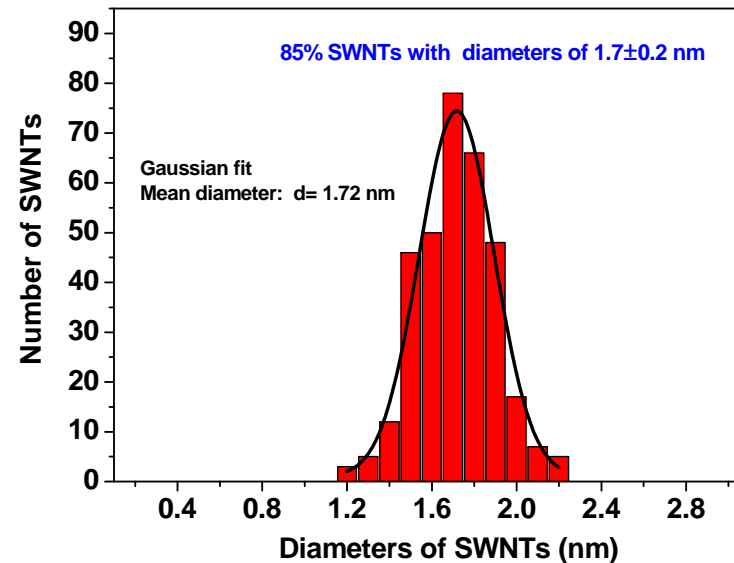
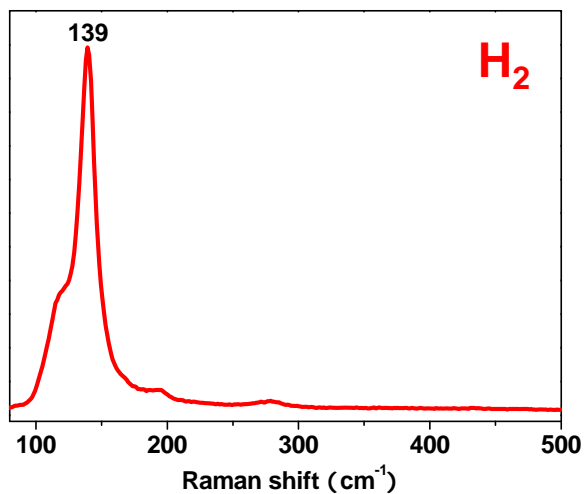
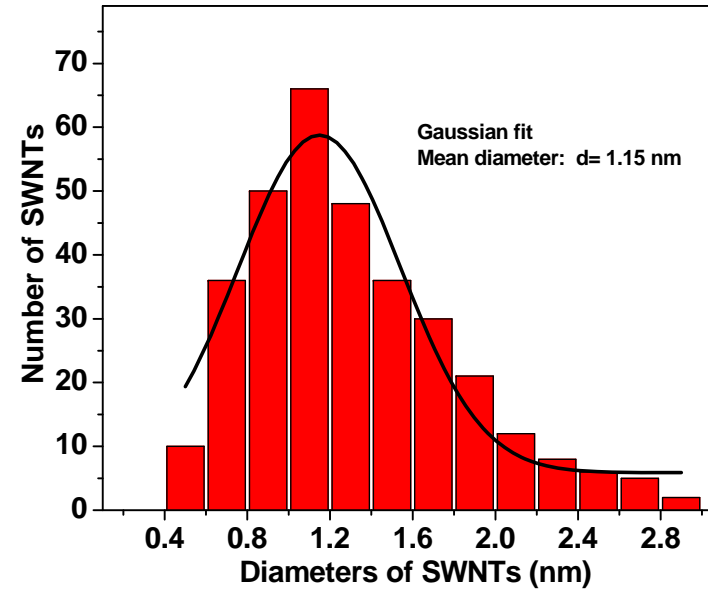
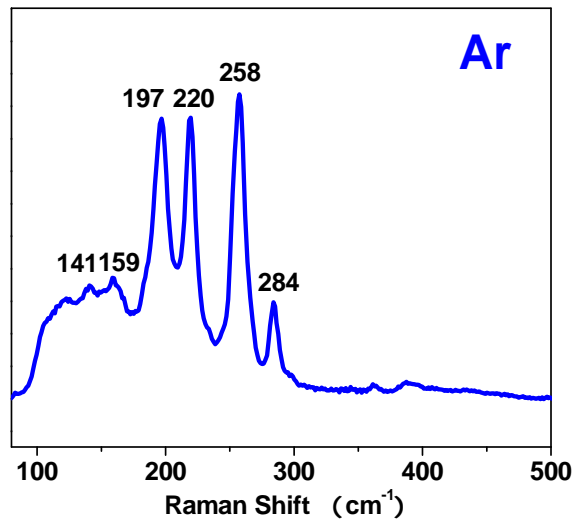


100 150 200 250 300 1200 1500
Raman shift (cm⁻¹)

Sulfur addition increasing



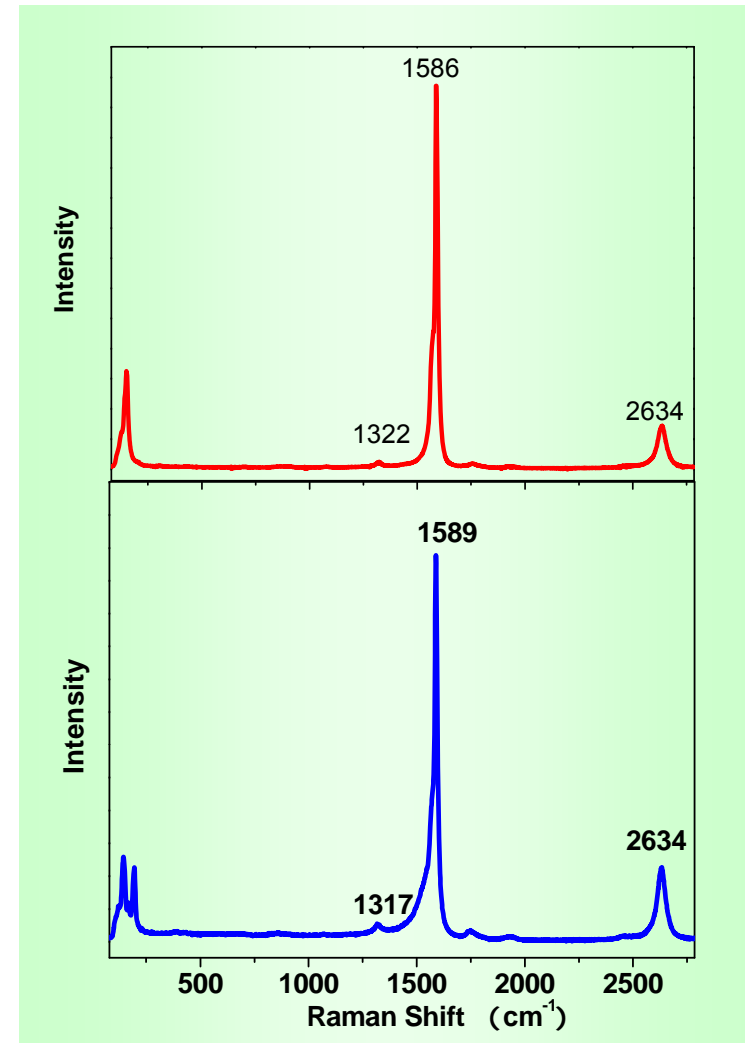
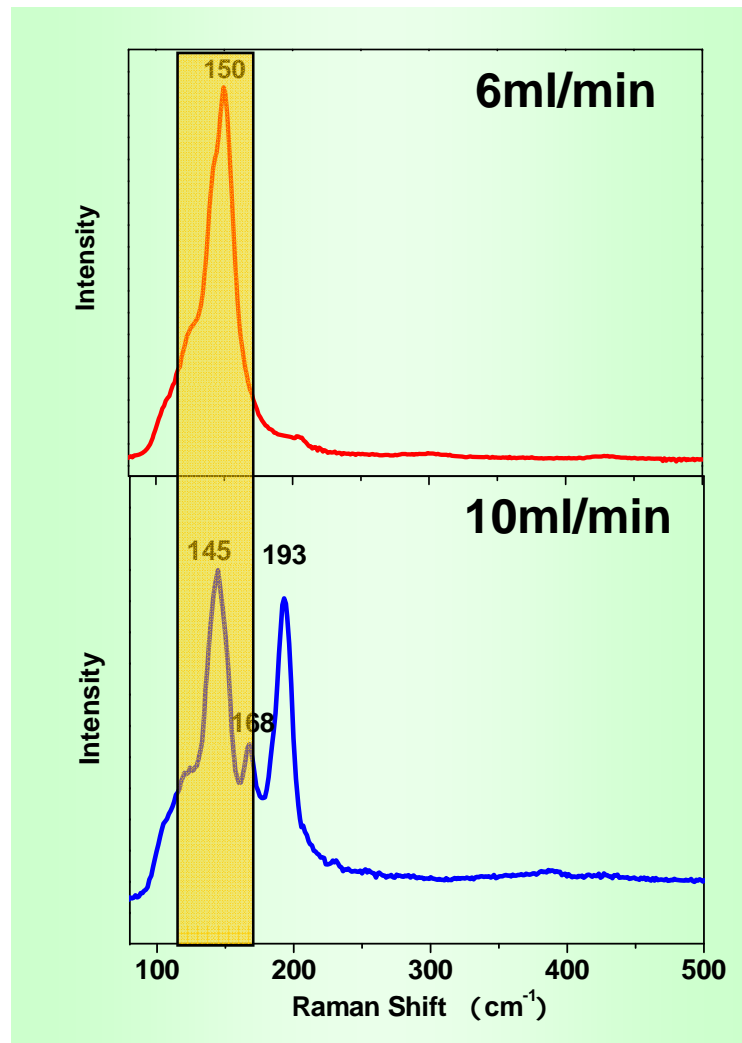
Hydrogen is beneficial to the synthesis of Diameter Narrowly-distributed SWNTs



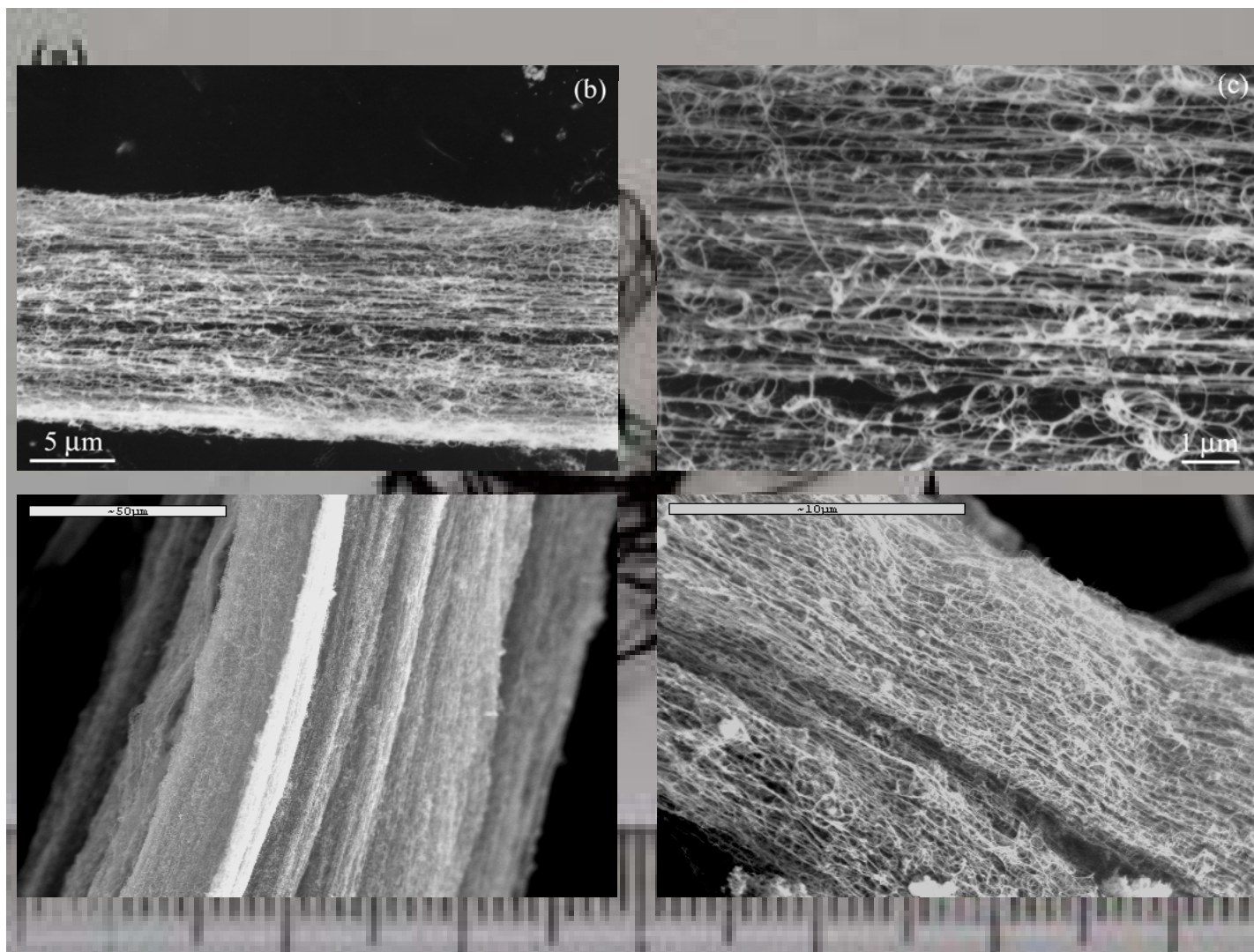
Low carbon feeding rate is beneficial to the synthesis of Narrowly-distributed SWNTs



Carbon source: Methane



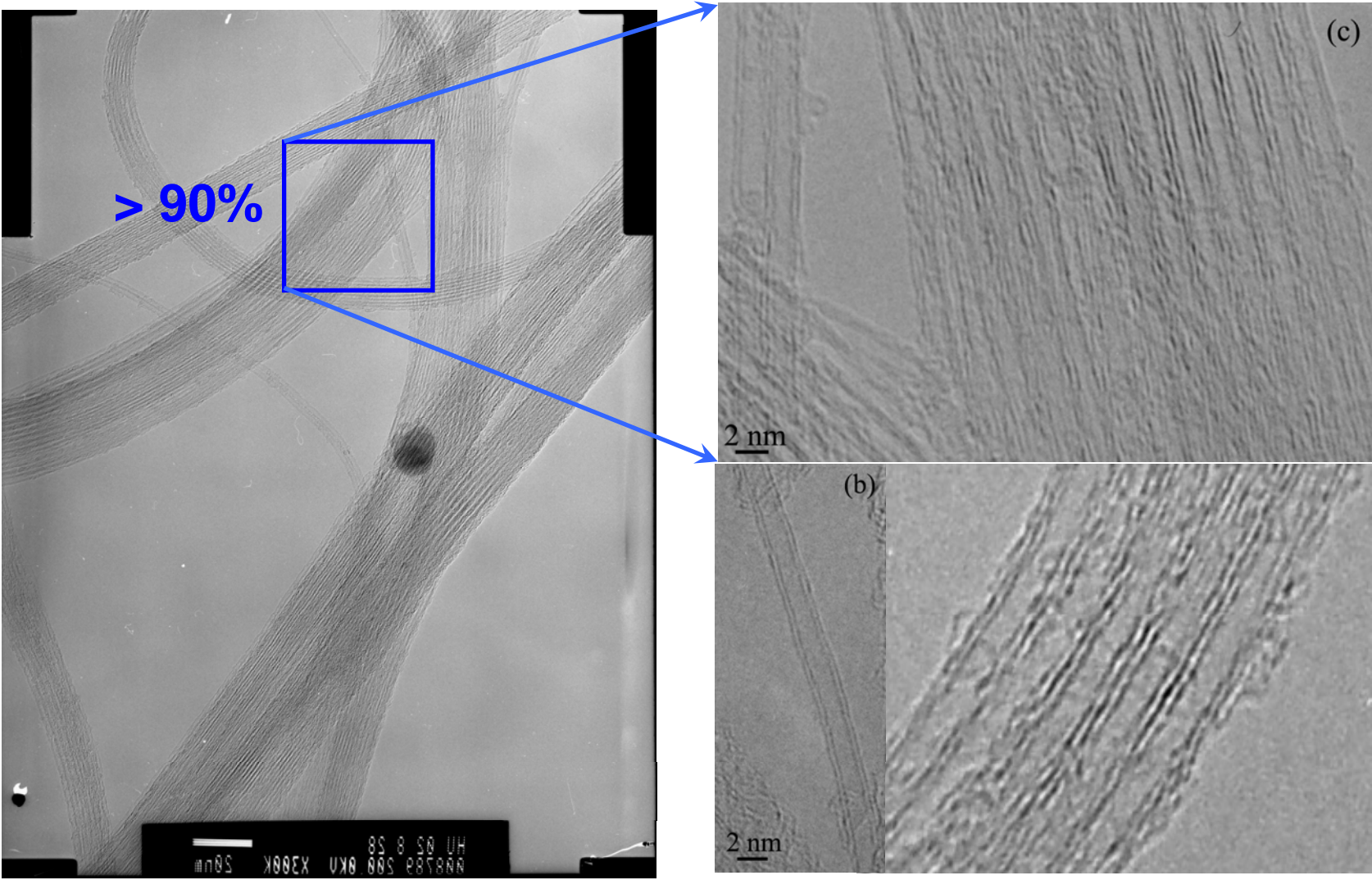
Aligned DWNT ropes by FCCVD



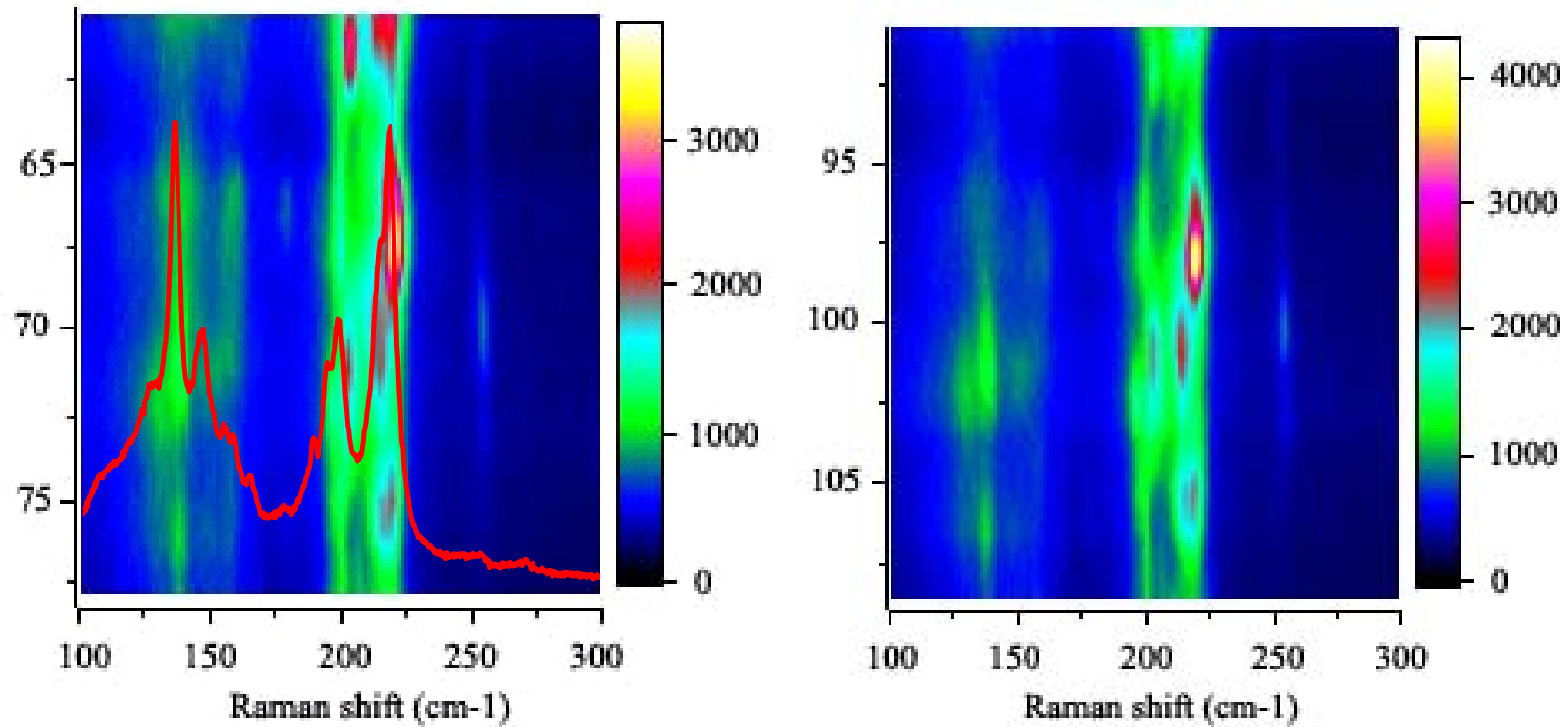
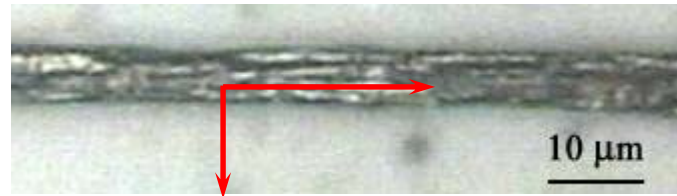
Typical HRTEM Images of DWNTs



Outer diameter: 1.7-2.0 nm Inner diameter: 1.0-1.3 nm



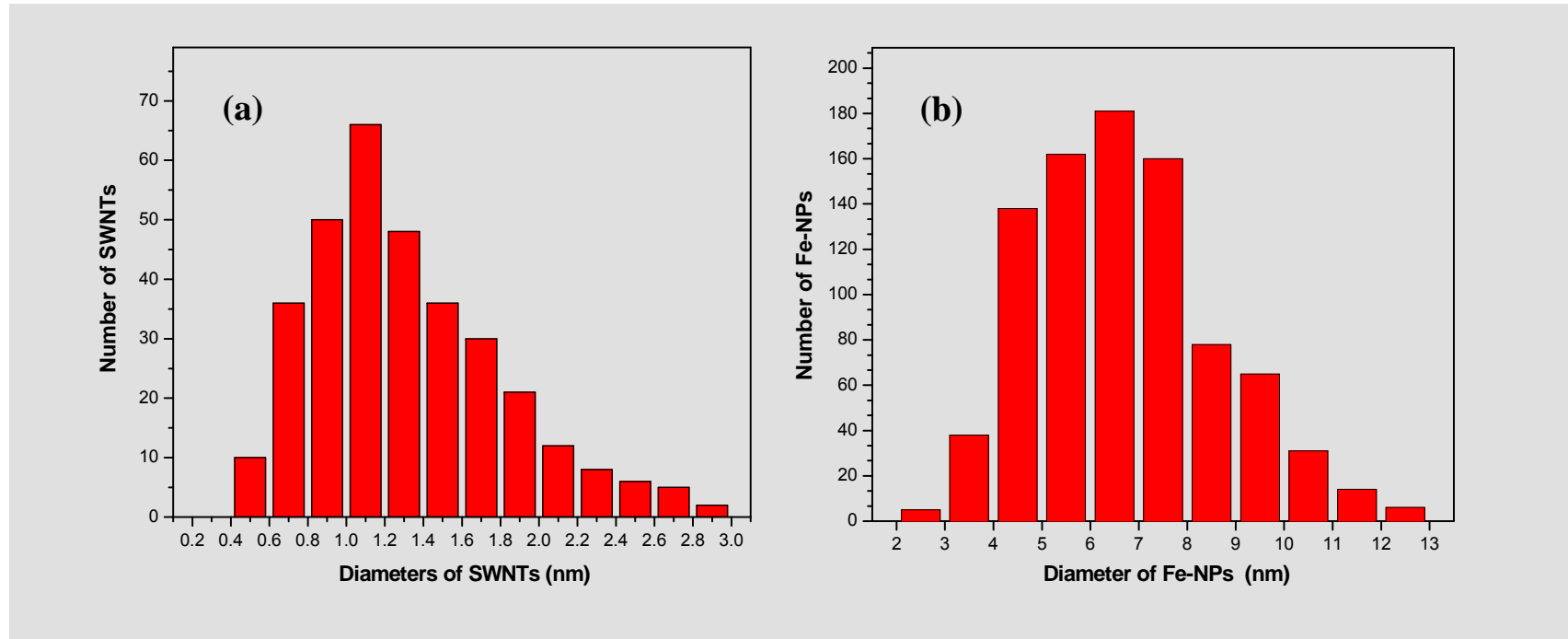
RBM Mapping of DWNT Ropes



Narrow diameter distribution

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Structural Correlation between SWNTs and the Attached Catalyst Particles

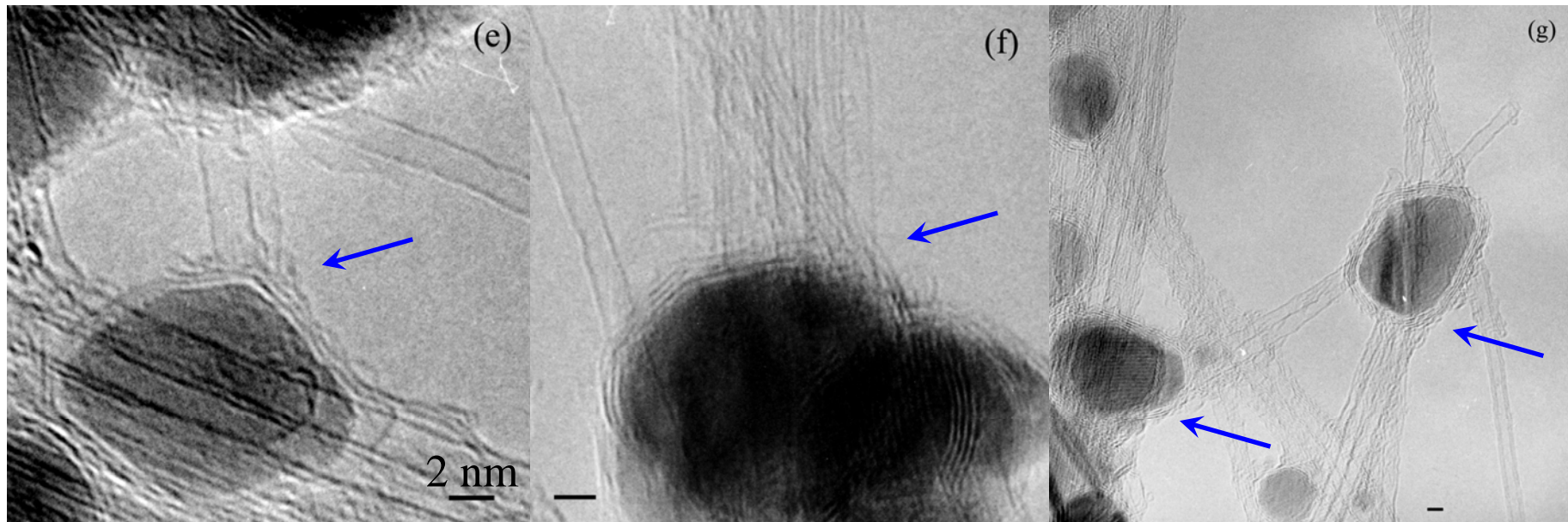


- The size of catalyst particles : > 5nm
- The diameters of SWNTs or DWNTs: < 3 nm (in general)
- SWNTs growth on the localized region of the surface of catalyst



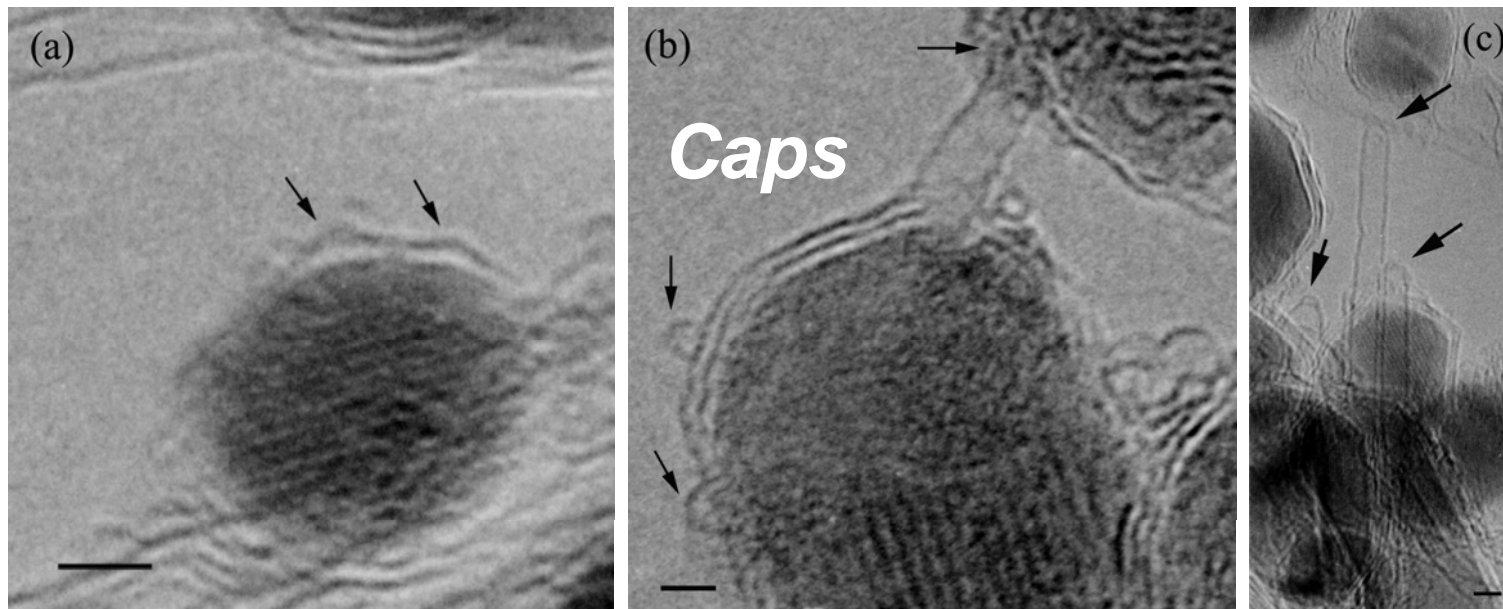
Localized nucleation on big catalyst particles

Structural Correlation between SWNTs Bundles and the Attached Catalyst Particles



Localized nucleation on big catalyst particles

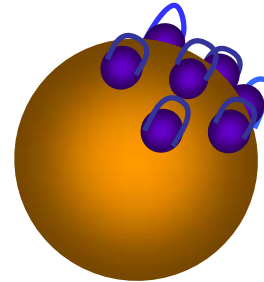
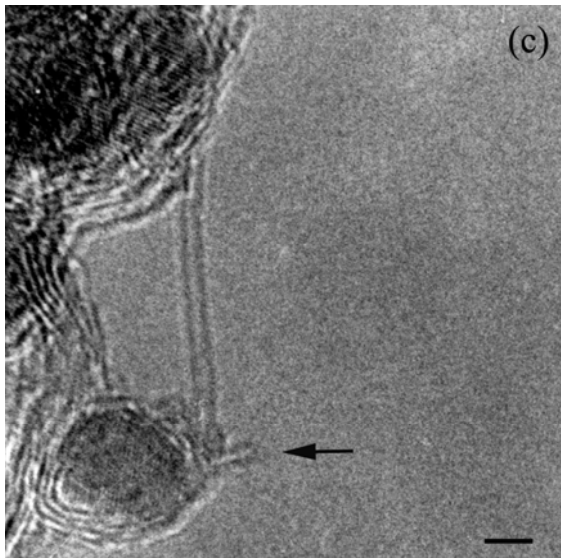
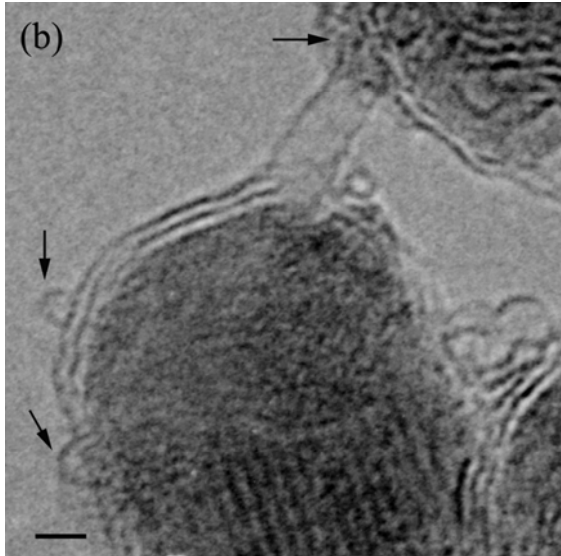
Tip Structure of SWNTs at the Initial Nucleation Stage



⊕ Formation of the cap structure

- Bending of graphite islands on the localized zone of the surface of catalyst particles

Role of Sulfur on the Formation of the Small Caps



VLS growth mechanism

— Precipitation of carbon from the localized liquid zone

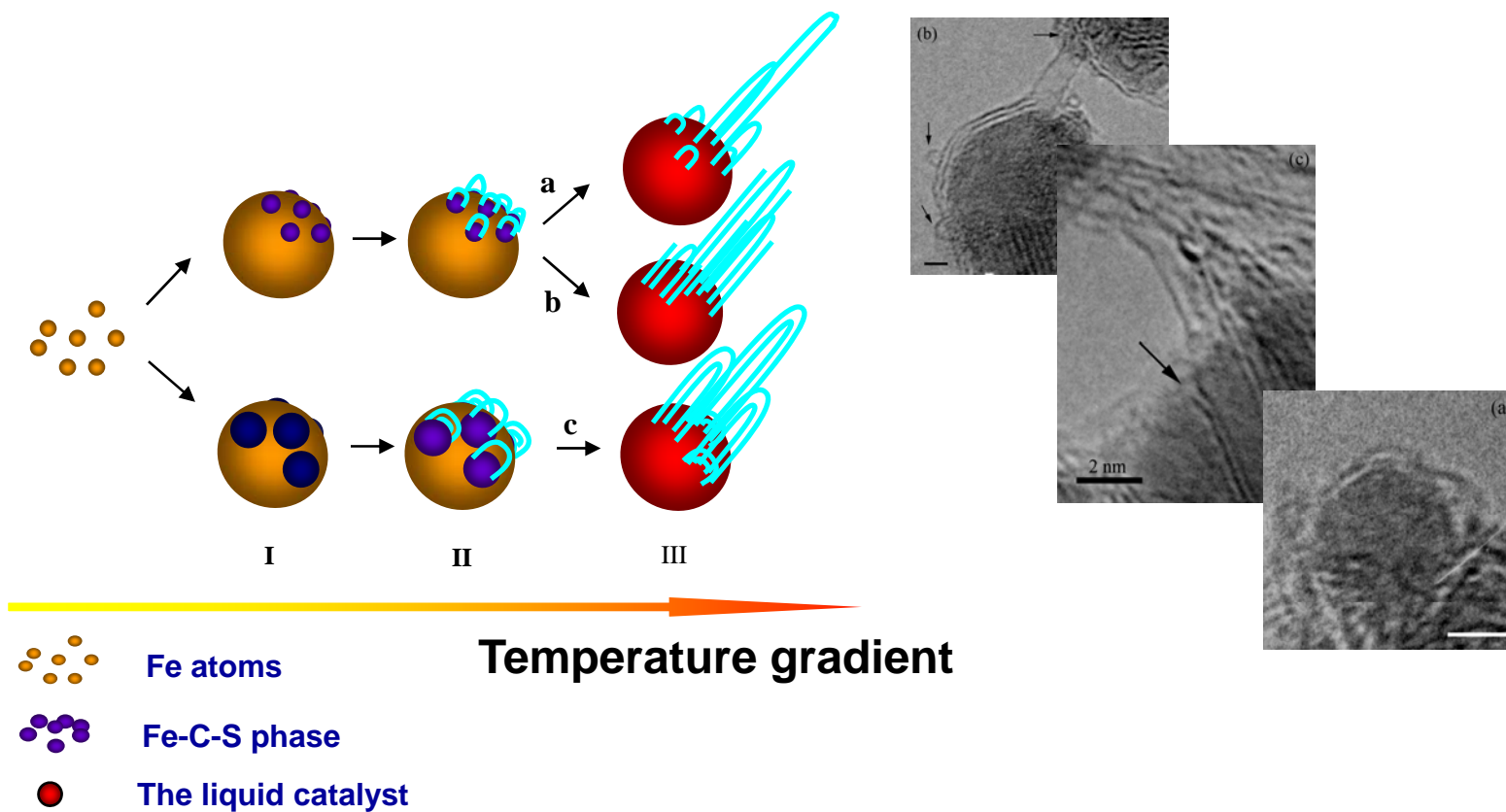
The role of sulfur

- **Decreasing melting point of localized zone**
 - Key point for the localized nucleation (the diameter of CNTs is closely correlated with the addition amount of sulfur)
- **Enhancing the decomposition of carbon sources**
 - Inhibit the continuous extending of graphite islands
- **Introduction of defects in the graphite islands**
 - Enhance the bending of graphite islands and consequently nucleation

Proposed Growth Model



Sulfur-assisted localized nucleation at low temperature



Concluding Remarks



- **Developed a floating catalyst CVD method for the synthesis of SWNTs and DWNTs**
- **Attempted the diameter and shell number control of CNTs**
- **Obtained SWNTs and DWNTs with narrow diameter distribution**
- **Proposed a localized nucleation model for the growth of SWNTs and DWNTs by FCCVD**

Acknowledgment



- Dr. Wencai Ren
- Dr. Feng Li
- Mr. Qingfeng Liu
- Mr. Bilu Liu

- Prof. M Dresselhaus at MIT

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中华人民共和国科学技术部
Ministry of Science and Technology of the People's Republic of China



中国科学院
WWW.CAS.CN

- JSPS for supporting this visit

**Thank you very much
for your attention!**

