Growth Modes and Chiral Selectivity of Single Wall Carbon Nanotubes

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ONERA and CNRS - Chatillon





A number of very promising and exciting paper have been published concerning SWNT



Too difficult for the moment ...

Redu

Focus on simpler, easier to reproduce results

Close interaction between experiments and theory / computer simulations DON 14 Fei Peng¹,

Arrays of horizontal carbon nanotubes of controlled chirality grown using designed catalysts Nature 2017

Shuchen Zhang¹*, Lixing Kang^{1,2}*, Xiao Wang^{3,4}, Lianming Tong¹, Liangwei Yang¹, Zequn Wang¹, Kuo Qi⁵, Shibin Deng¹, Qingwen Li², Xuedong Bai⁵, Feng Ding^{3,4,6} & Jin Zhang¹

Understanding CVD synthesis of SWNT

... using « simple » catalysts (Ni, Co, Fe)

State of catalyst nanoparticle under CVD conditions

• Thermodynamics of isolated NPs + C / Phase diagrams / Nucleation

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Tangential and perpendicular growth modes

- Understand why ? Can we control them ?
- o Selectivity In perpendicular mode
- Role of tube/NP lateral interaction in tangential mode



Perpendicular mode : $R_d = \frac{D_{Tube}}{D_{NP}}$



Growth results from gradient of μ_C

- μ_c^s is imposed on NP surface by thermochemistry of feedstock decomposition
- Once a tube is formed, it acts as a carbon sink, hence μ_C^f is fixed and lower than μ_C^s



Snoeck *et al. J. Catal.* 1997, *169*, 240–249. Abild-Pedersen *et al. Phys. A. B* 2006, *73*, 1–13.



Zhu et al., Small 2005

- Smaller NPs and tubes (1-4 nm)
- Experimental investigation are difficult
- Surface and interface become important
- Computer simulations are essential to understand mechanisms

Computer simulation tools

Parameterized **tight binding model** 4th moment approximation for **Nickel + Carbon**, now also for Platinum + Carbon

Amara et al.Phys. Rev. B 73, 113404 (2006)Phys. Rev. B 79, 014109 (2009)J. H. Los et al.Phys. Rev. B 84, 085455 (2011)

Grand Canonical Monte Carlo simulations

to focus on thermodynamics



 Surface energy
 Ni (111) : 1.35 J/m^2

 Ni (100) : 1.64 J/m^2

 Ni clusters

 FCC 201 atoms : 2.10 J/m^2

 FCC 405 atoms : 2.05 J/m^2

 Liquid 405 atoms : $2.0 - 1.0 \text{ J/m}^2$

 (depending on C fraction)

Bulk solubility in agreement with experiments



Computer simulation tools

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to focus on thermodynamics





Wetting of Ni+C nanoparticles on graphene

Bulk Ni , Fe, Co : Naidich et al. 1971 (a) θ, deg 405 Ni 120 ri0 100 90 80 70 60 50 18 2,1 24 27 30 03 0.6 0,9 12 15 о (b) C,wt.% Ŋî 44.63 405 Ni +11 % C 180 1000 K 160 1400 K Contact angle (°) 140 120 100 (c) 80 60 0.00 0.10 0.15 0.25 0.05 0.20 405 Ni + 24 % C Carbon fraction inside cluster (%) Contact angle increases (tendency to dewet graphene layer) for carbon rich Ni nanoparticles

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1400 K

Calculate « sorption » isotherms:

- Mole fraction of carbon inside Ni NP, as a function of C chemical pot.
- At different temperatures
- For different nanoparticle sizes



Carbon solubility in Nickel Nanoparticles



Grand Canonical Monte Carlo calculations

Diarra Phys. Stat. Sol. 2012; PRL 2012

Carbon solubility in nanoparticles: effect of temperature



Solubility limits increase with T Pressure to reach this solubility limit also increases with T Explains **pressure threshold** for nucleation of SWNT

• Cf : in situ Raman during SWNT growth M. Picher *et al.* Nano Letters (2009), 9 (2), 542–547

Phase diagram for Ni₈₀₇ + C nanoparticle



I : homogenous liquid

- II : carbon segregation from homogenous liquid
- III: carbon segregation from core / shell NP
- IV : solid core / liquid shell ... different from bulk !
- V: solid solution

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Phase diagram for Ni₈₀₇ + C nanoparticle





- Core / shell stucture instead of liquid-solid coexistence
- Much deeper eutectic point
- (1000 K ~ 60% Tm of pure Ni)
- Carbon segregation from crystalline NPs only at very low T (850 K ~ 50% Tm of pure Ni)

Magnin PRL 2015

How would these NPs look like in a TEM ?



Config F: ~ 1 disordered layer

Config K: ~ 2/3 disordered layers

Images calculated on one single configuration, with parameters for a state of art TEM.

In solid core / disordered shell structures, the outer shell is hardly seen,

NPs appear smaller and crystalline

Relevance to SWNT synthesis ... nucleation



Nucleation possible beyond saturation line

Either on a liquid NP, saturated with C, or on essentially solid NP, with a disordered surface

Nucleation has been studied by other groups:



Feng Ding J. Phys. Chem B 2004



Neyts JACS 2011

Chirality control at nucleation step ? Highly debated ...

During growth Nanoparticle is in contact with SWNT



Isolated NP is Core / Shell



Contact of Nanoparticle with Nanotube



% C in nanoparticle should shift to **saturation line** If possible (size, state of NP) Ni wets inner Nanotube



Complex thermodynamic system requires computer simulation ...

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Catalyst / tube Interfacial properties

Wetting of **Nickel** on C sp² wall strongly depends on %C dissolved

0 % C : Nickel wets the tube



Different behavior for Platinum

0 % C : Pt does not wet the tube



mol

10 % C : partial wetting



23 % C : complete dewetting



Different wetting properties will lead to different growth

modes
$$R_d = \frac{D_{Tube}}{D_{NF}}$$

that depend on

- Nature of catalyst :Ni, Fe, Co ... Pt, CoW, Mo₂C, WC ?
- Nature of C precursor (CO vs CH₄)

Fixed chirality, different carbon fractions

Tube chirality (10, 7)



Tendency for dissolved C atoms (pink) to avoid tube lip and inner space

Similar to what we saw for graphene on Ni : *Depletion of C in Ni subsurface, once graphene layer is formed*

Unexpected behavior of carbon metal interfaces with Ni (Co, Fe)

Subsurface interstitials most favorable to incorporate individual Carbon atoms on free Ni surface

BUT



Depletion of dissolved C close to graphene wall ... confirmed by *in situ* XPS Weatherup *et al.* JACS **136**, 13698 (2014) Benayad *et al. J. Phys. Chem. C* **117**, 4727 (2013)



Quantitative analysis of Monte Carlo data: SWNT/NP diameter ratio





TEM performed after synthesis (Maoshuai He, Aalto Univ. + LEM/ONERA)



In situ TEM during growth : Jens Kling, Lili Zhang, J. B. Wagner (DTU Denmark)

Can we control SWNT growth modes ?

Idea is to control metal – carbon interfacial properties. How can this be achieved ?

1 Use surfactant

• Has been done : Windle + others : adding Sulfur or ...

2 Temperature changes during growth

• Yao et al. : Nat. Mat. 2007; J. Phys. Chem. C 2009

3 Control carbon fraction in catalyst

- Experimentally : icosahedral versus f.c.c. Au nanoparticles He *et al., Nanoscale* **2015**, *7*, 20284–20289.
- Numerically, thanks to our Tight Binding model Aguiar et al., submitted to Carbon (<u>arXiv:1702.06742</u>)

4 Control via gas phase : ambient, feedstock

- Chenguang Lu and Jie Liu; J. Phys. Chem B 2006; Thurakitseree ACS Nano (2011)
- Alternating CH₄ and CO feedstocks

5 Use bimetallic catalyst ?

• Not very clear yet

CVD on Au : growth when FCC, no growth when icosahedral

Expt^{al} work : Maoshuai He, E. Kauppinen + Aalto group, Annick Loiseau

As prepared Au NPs are Icosahedral



After 2h @ 800°C in air

- some NPs transform into FCC (top)
- some remain Icosahedral (bottom)

CVD on Au : growth when FCC, no growth when icosahedral

CVD growth with CO at 1 atm and T ranging from 600°C to 800°C



800 C 600 C FCC Au nanoparticles promote SWNT growth Icosahedral NPs are encapsulated by C and don't grow tubes

Can we explain why ?

Change C solubility in Nanoparticle

Thanks to Tight Binding model, it's easy to change heat of C solution in metal ...

Key parameter

Heat of solution of C atom in bulk metal, Driven by (carbon p-metal d) energy level difference

$$\begin{aligned} (\varepsilon_{\rm p} - \varepsilon_{\rm d}) &= -2.7 \text{ eV} \qquad \Delta H_C^{\infty} = + \textbf{0.3 eV} \qquad \textbf{Ni}^+ \sim \textbf{Fe} \\ (\varepsilon_{\rm p} - \varepsilon_{\rm d}) &= -4.2 \text{ eV} \qquad \Delta H_C^{\infty} = + \textbf{0.5 eV} \qquad \textbf{real Ni} \\ (\varepsilon_{\rm p} - \varepsilon_{\rm d}) &= -5.2 \text{ eV} \qquad \Delta H_C^{\infty} = + \textbf{1.0 eV} \qquad \textbf{Ni}^- \\ &\quad + 2.0 \qquad \textbf{Cu} \\ &\quad + 4.0 \qquad \textbf{Au} \end{aligned}$$



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Hu et al. J. Phys. Chem. Lett. 2015, 3263 26

Why FCC Au NPs work and Icosahedral don't ...







Icosahedral 309





• icosahedral NP :

Adsorption isotherm for is shifted for system with lower C solubility

C incorporation more difficult

- Pressure range where only fcc NP are activated for growth
- C goes in subsurface for fcc structure, enabling sp² cap lift-off
- C remains on surface for ico Au, leading to encapsulation

He et al. Nanoscale 2015, 7, 20284

Growth sequence with Nickel





Grand Canonical MC simulations 1200 K, μ C = -6.20 eV/C

Carbon dimers are taken out of the NP, because larger %C dissolved Long chains are formed, detached from surface Tendency to dewet and detachement of NP Alltogether, rather messy growth ... with defects

Growth sequence with Nickel with reduced carbon solubility





Grand Canonical MC simulations 1200 K, μ C = -6.20 eV/C

Jmol

Shorter chains, in contact with metal when pure, avoiding contact with Ni+C dissolved Tendency to encapsulate NP, because of more wetting tendency Less defects, slower, more controlled growth

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Control via gas phase : ambient, feedstock

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Growth modes controlled by carbon fraction dissolved in catalyst



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Reversibly tuning growth modes

Alternating 1min CO, 1 min $CH_4 \Rightarrow$ nanotube junctions with large diameter difference

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Т ()



reversible ... !

Experiments by Maoshuai He



Narrow width distribution for thin segments : perpendicular mode



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Near armchair chiral preference in **perpendicular** growth mode ...





Maoshuai He et al. Nanoscale 2012, 7394-7398.



CO is more active than CH₄ to carburize NP

- Perpendicular mode with Fe
- \circ also with CoMo ?

Can we explain near armchair selectivity?



Perpendicular mode Line contact only !

Tangential growth mode ?

Different growth modes can be observed, and tuned by experimental conditions







Driving force for rotation ? Yakobson proposed dimer incorporation at « cosy corner », thus supporting his spiral growth model ...

Growing a Carbon Nanotube Atom by Atom: "And Yet It Does Turn"

Mickaël Marchand,[†] Catherine Journet,[†] Dominique Guillot,[†] Jean-Michel Benoit,[†] Boris I. Yakobson,[‡] and Stephen T. Purcell^{*,†}

NANO LETTERS 2009 Vol. 9, No. 8 2961-2966

Idea : slide a piece of Nickel inside a SWNT ... and see what happens

ReaxFF Molecular Dynamics à 300 K. **Constant bias force along z axis for Ni atoms**. One C atom fixed For appropriate parameters, **rotation of the metal inside the tube**. Blue atom follows a zig-zag line







Jmol

(6, 6)

Jmol



(6, 5)



(10,0)

Jmol

Main findings

Carbon interaction with metal

- Surfaces / nanoparticles
- Size dependent phase diagram of Ni+C nanoparticles

Downshift of eutectic point Solid core / disordered shell

Growth modes identified (Fiawoo PRL 2012), but now, understood

- Large carbon fraction in NP \Rightarrow perpendicular growth
- Low carbon fraction in NP \Rightarrow tangential growth

Growth modes can be tuned by

- Carbon solubility in metal catalysts
- Carbon feedstock nature and decomposition (μ_C^s)

Growth modes may play role in selectivity

- Perpendicular mode : line contact with NP, near armchair selectivity possible
- Tangential mode : line + surface contact with NP ... still to be explored

Thank you for your attention !

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Radboud Univ. Nijmegen

LEM - CNRS and ONERA



GRAPHENE FLAGSH



« In theory, there is no difference between practice and theory in practice, there is »





(base ball player and famous coach)

Model validation: carbon solubility in bulk Ni



Monte Carlo calculations in **osmotic**^(*) ensemble N_{Ni}, μ_c , Pext (=0), T fixed to account for lattice expansion upon C incorporation

^(*) J. Am. Chem. Soc. **2008**, 130, 14294–14302



Calculated solubility limit below 5% in crystal agrees with experiment

Carbon solubility in nanoparticles

Adsorption thresholds **O O** depend more strongly on temperature for smaller nanoparticles

Tuning growth conditions could be more difficult for smaller NPs and SWNTs ?

Diarra *et al.*, Phys. Stat. Sol. 249, No. 12, 2629–2634,2012



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From adsorption isotherms to phase diagram ...

How to *quantitatively* define phase boundaries ? Orientational order parameter **S** :

P. Steinhardt *et al.* Phys. Rev. B, 28, 2, 784–805, 1983

crystalline (S > 0.85)

liquid or amorphous (S < 0.35)



Size dependent phase diagram for Ni-C nanoparticles



Red, green, blue areas are solid core / liquid shell

As compared to bulk, eutectic shifted to lower Temperature and larger %C Liquidus lines shifted to large %C for larger NPs

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Thermodynamic modeling of tube / NP interface



Gibbs energy of system, as a function of NP size, temperature, fraction of C dissolved in NP

$$\begin{aligned}
\mathcal{G}(x_c) &= \mathcal{G}_M^{out}(x_c^{out}) + 4\pi \ R^2(x_c^{out}) \ \gamma_M(x_c^{out}) \\
&+ \mathcal{G}_M^{in}(x_c^0 = 0) + 2\pi r \ h(x_c^{out}) \ [\gamma_{C-M}(x_c^0 = 0) - \gamma_C] \\
&+ \mathcal{G}_{cnt} \ + 2\pi r \ [\tau_C(\theta) - \tau_{C-M}(x_c^{out}, \theta)].
\end{aligned}$$

Without graphene



Subsurface : Binding energy -8.21 eV

Subsubsurface : Binding energy -7.31 eV

With graphene overlayer



Subsurface : Binding energy -6.79 eV

Subsubsurface : Binding energy -7.77 eV

Moors et al. ACS Nano, 2009, 3 (3), 511-516

Weatherup *et al.* JACS. **136**, 13698 (2014)

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Dissolution energy calculations : DFT

DFT calculations

- VASP code (PAW; GGA; spin-polarized,...)
- o 6 atomic planes (9 Ni atoms)
- Graphene (18 C atoms)

Graphene - Ni distance varied :

d(A)	ΔΕ (1-2) (eV)		
3.50	-0.90		
2.00	-0.10		
1.80	+0.31		



When graphene is closer to surface, subsurface carbon atoms are less stable than sub-subsurface

Tight Binding model is qualitatively correct

Graphene – Ni interaction

Adhesion of graphene layer weaker when C is dissolved close to Ni surface

Young Dupré :

$$1 + \cos\theta = \frac{W_{adh}}{\gamma_{(Ni+C)}}$$
$$\Theta = 90^{\circ} \rightarrow W_{adh} = \gamma$$

$$\Theta = 180^{\circ} \rightarrow W_{adh} = 0 !$$

Explains dewetting of Ni NPs on graphene, when stuffed with carbon





Statistics on 92 isolated SWNTs



There is **no** correlation between SWNT lengths and chiral angles.





Tangential mode : short tubes
Perpendicular long tubes
He, M. *et al.* Carbon *N.* **2017**, *113*, 231–236.

CH₄ Fe/MgO experiment Smaller NP : tangential Larger NP : perpendicular

Smaller NP, that sustain tangential growth, get more easily encapsulated (deactivated) than larger ones ?

Carburization efficiency of CO and CH₄ on Fe



CO: 1.5 x 10⁻⁴ mol/cm² ^s bar

Hosmani, S. S. et al. An Introduction to Surface Alloying of Metals. *Springer* **2014**.

- Decomposition of CO on Fe is much easier than CH₄
- The carburization rate of CO is 2 orders of magnitude higher than CH₄

With CO, Fe nanoparticles are saturated with C \rightarrow perpendicular mode with CH₄, variable fraction of C, depending on NP size \rightarrow all growth modes observed Christophe Bichara

CO favors growth of near-armchair SWNTs with small diameters



CH4 mixed growth modes CO perpendicular mode

data Maoshuai He

Ding ... Bolton NanoLett 2008





In perpendicular growth conditions ...

Tube / metal NP bonds are stronger for zigzag. According to Ding/Bolton, the energy gained by reconnecting a cut tube to a metal NP is larger for Fe

Larger absolute values of (ZZ-Arm) will favor zigzag, What would be the role of an alloy ?

Using their DFT calculations ...

Metal	Armchair	ZigZag	ZZ-Arm
Au	0,9	1,63	0,73
Pd	1,49	2,35	0,86
Со	1,79	2,66	0,87
Ni	1,76	2,71	0,95
Cu	1	2	1
Fe	1,61	2,73	1,12

DFT calculations of edge energies



Adhesion energies calculated by : Ding, F.*et al. Nano Lett.* **2008**, *8*, 463–468.



For Ni, Co Fe :

- $\circ~~$ E_A and E_z are in 0.2-0.5 eV/bond range
- \circ E_z always > E_A
- Is it always like this ?

Calculation of edge energy

Approximation : chiral probabilility depends on :

- Edge armchair or zizgag bonds
- Tube curvature energy

Liu et al. Phys. Rev. Lett. 2010, 105, 235502.





DFT calculations C + Ni

Edge energy A/2 + B = Energy cost of cutting tube

+ Energy gain by reconnecting it to metal NP + Δ = difficult to evaluate by DFT

55

Qualitative model for chiral selectivity in perpendicular mode

$$E_{Tot} = E_{Edge} + E_{Curv} = 2 m E_A + (n-m)E_Z + \alpha (n+m)(n^2 + m^2 + nm)^{-1}$$

Parameters (E_A, E_Z) might be calculated by DFT, or simply used as **toy model**



We can get either :

- near armchair selectivity for reasonable (E_A , E_Z)
- o no selectivity
- o near zigzag selectivity for unrealistic parameters