

Are interfaces good or bad for thermal management?

Mediocre carbon nanotube composites and
ultra-low thermal conductivity solids

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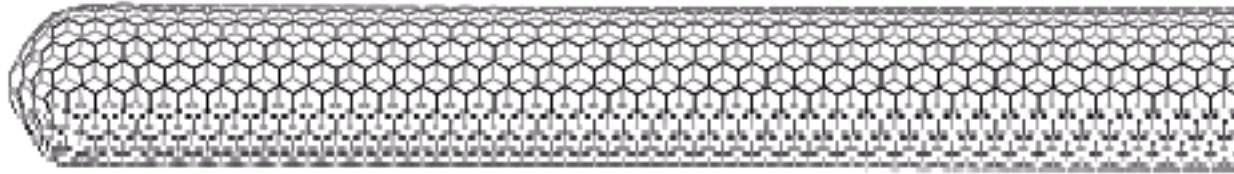
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Carbon Nanotube Composites

The best fiber spoiled by the interface

Carbon Nanotube Composites and Transport

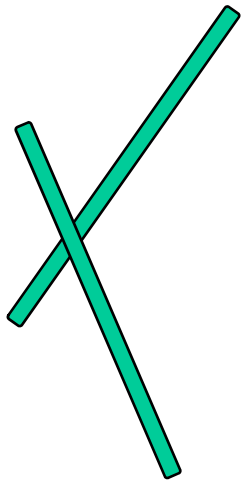


- Carbon Nanotubes

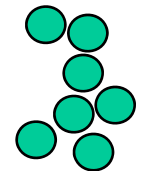
- Pure (defect free) are excellent heat conductors (3000 W/mK) and depending on the tube chirality may be electrically conductive or semiconductive
- Very high aspect ratio fibers (~1000) should lead to a great enhancement of composite thermal and electrical conductivity at low volume fraction, due to low percolation threshold
- Experimental results do not confirm all of these these predictions. For example, thermal conductivity increase is significant, but not as high as expected.

Fibers and Percolation

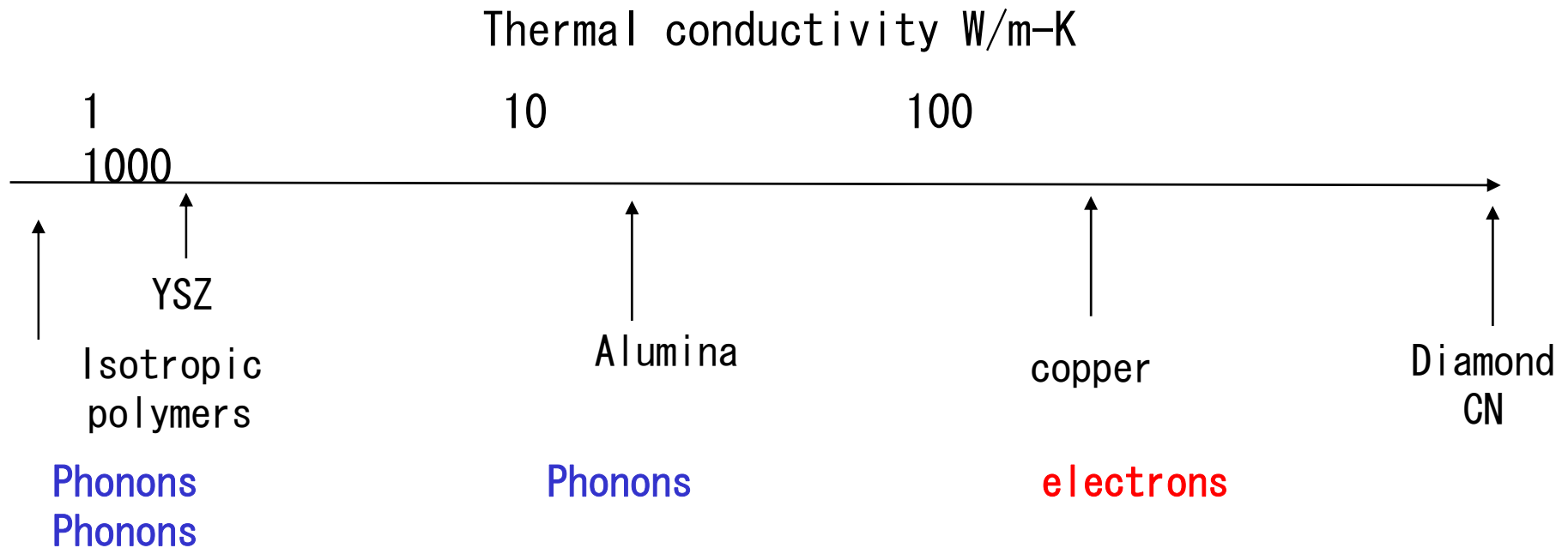
- When a continuous path along the carbon nanotubes is created, i.e. the percolation threshold is exceeded, a sharp increase in transport characteristics is expected
- To percolate each fiber has to be, on average, in contact with ~ 2+ other fibers.



- At the percolation threshold: Number of contacts/fiber $\sim L V_{\text{fiber}}$
 $\sim \text{constant}$
- $V_c \sim 1 / L$ - the percolation threshold proportional to the inverse of the aspect ratio
- For spheres $V_c \sim 30\%$ volume, for carbon nanotube composites V_c can be of the order of **0.1%**



Conductivity Matrix vs. Fiber



Electrical conductivity

Cu - $\sigma = 5 \cdot 10^5 \text{ (}\Omega\text{cm)}^{-1}$

diamond - $\sigma = 10^{-10} \text{ (}\Omega\text{cm)}^{-1}$

$$\frac{\sigma_{\text{conductor}}}{\sigma_{\text{insulator}}} = \frac{10^{15} \text{ - electrical conductivity}}{10^4 \text{ - thermal conductivity}}$$

Electrical Transport – Puzzle # 1

- Percolation threshold, V_c , is 0.3% – indeed very low

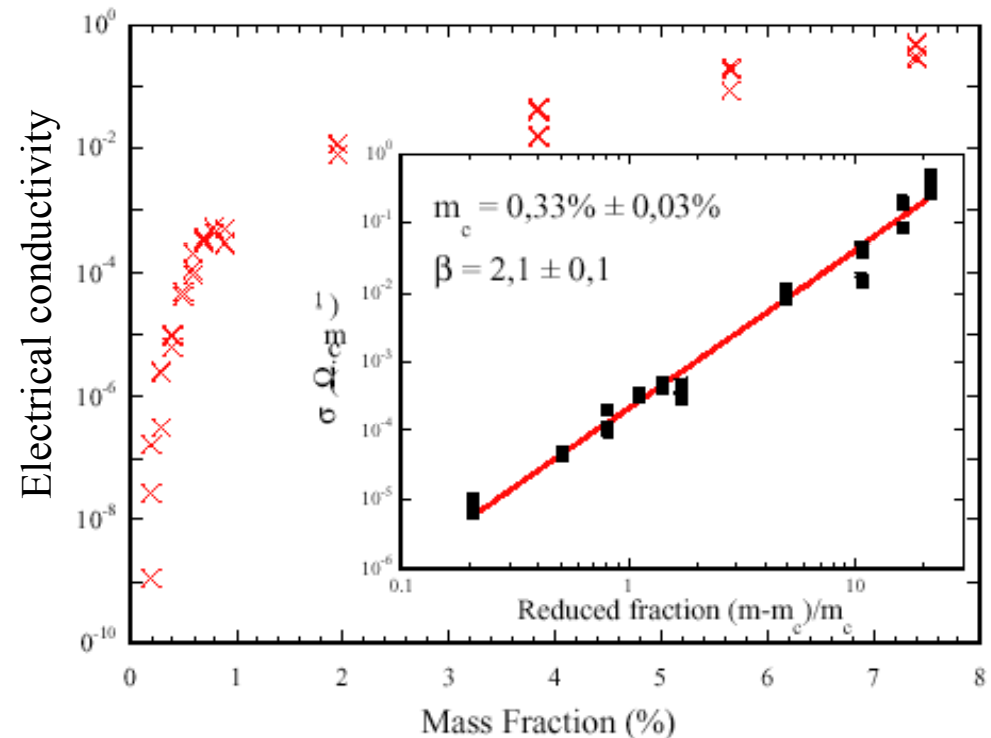
- Above the percolation threshold conductivity, σ , exhibit universal scaling $\sigma \sim (V - V_c)^\alpha$

with $\alpha \approx 2$ – just percolation in 3 dimensions

- But why the percolation threshold scaling law holds up to

$$\frac{V}{V_c} = 100 \text{ ?!}$$

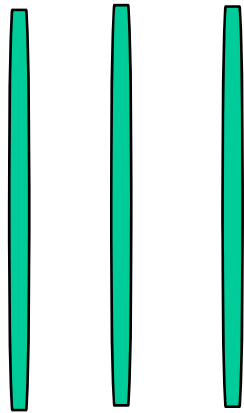
Electric transport properties and percolation in carbon nanotubes / PMMA composites



J-M Benoit, et al. Mat. Res. Soc. Symp. Proc. Vol. 706 (2002)

Simple Rule of Mixtures for Thermal Conductivity

Highly conductive fibers in a low conductivity matrix well above the percolation threshold



$$k_{\text{comp}} = V_{\text{tube}} k_{\text{tube}} \langle \cos^2 \theta \rangle$$

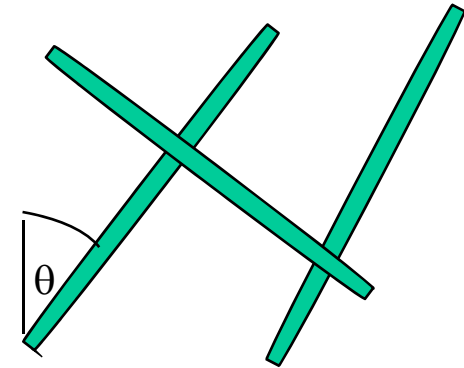
k_{comp} - composite conductivity

V_{tube} - volume fraction of tubes

$$k_{\text{comp}} = V_{\text{tube}} k_{\text{tube}}$$

for $k_{\text{tube}} = 3000 \text{ W/m-K}$,
 $V_{\text{tube}} = 0.01$

$$k_{\text{comp}} = 30 \text{ W/m-K}$$



$$k_{\text{comp}} = V_{\text{tube}} k_{\text{tube}} \frac{1}{3}$$

$k_{\text{matrix}} = 0.2 \text{ W/m-K}$ and

$$k_{\text{comp}} = 10 \text{ W/m-K}$$

increase!!

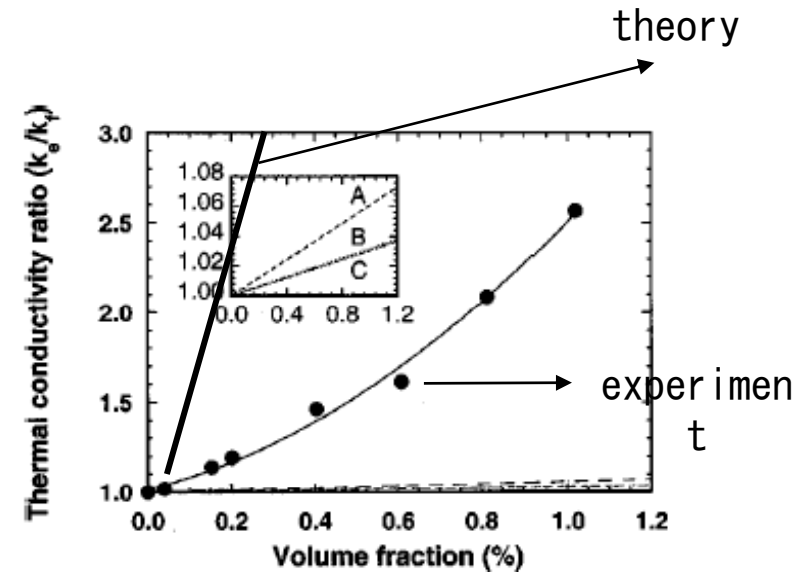
fifty-fold

Thermal Transport Puzzle # 2

- Actual increase at 1% volume fraction is only 2-3 fold rather than 50 fold
- Nothing special happens at the percolation threshold
- Thermal conductivity increases are non-linear in fiber volume fraction
- Possible explanations

→ Intrinsic tube conductivity compromised by defects

→ Interfacial resistance of the tube-matrix interface. $\longrightarrow J_Q = \sigma_K \Delta T$



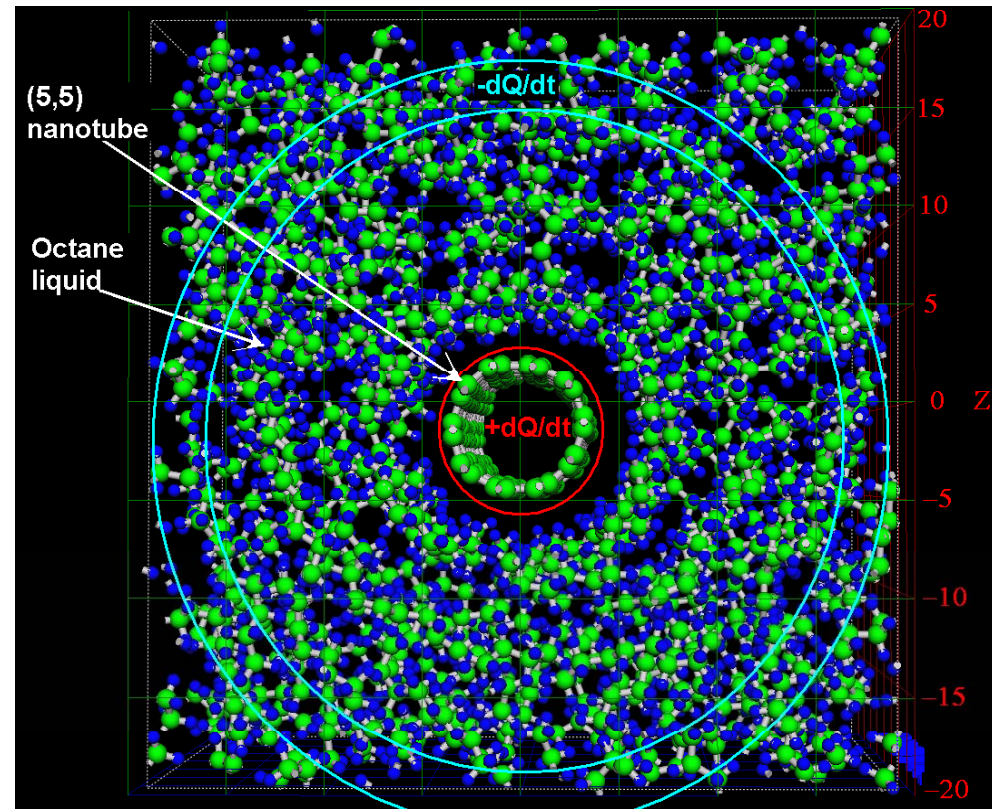
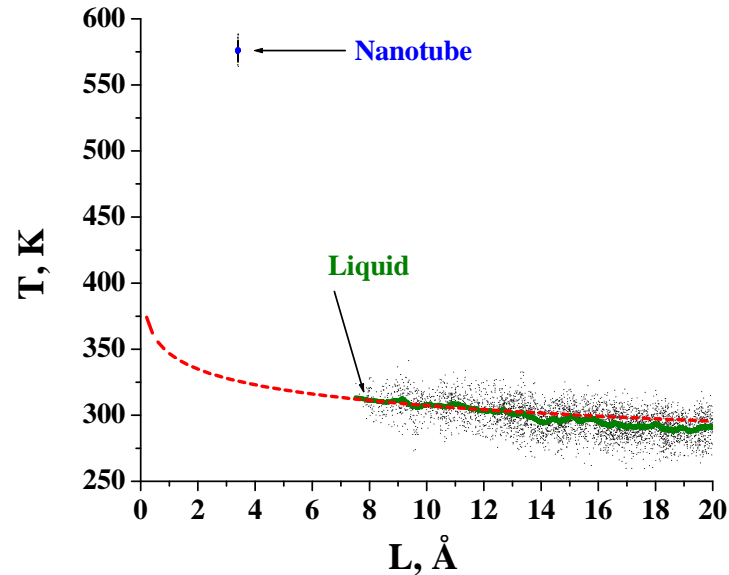
S-U. Choi, *at al.*, Appl. Phys. Lett. **79**, 2252–2254 (2001).

Nanotube – Matrix Heat Transfer: Simulation

Constant flux simulations

1. Pour the heat to the tube and remove from the liquid
2. Monitor the temperature profile

Constant heat flux 5×10^{-8} W; heat sink from $L = 18$ to $L = 20$ Å



$$\sigma_K = \frac{J_Q}{\Delta T} = \frac{dQ/dt}{A\Delta T}$$

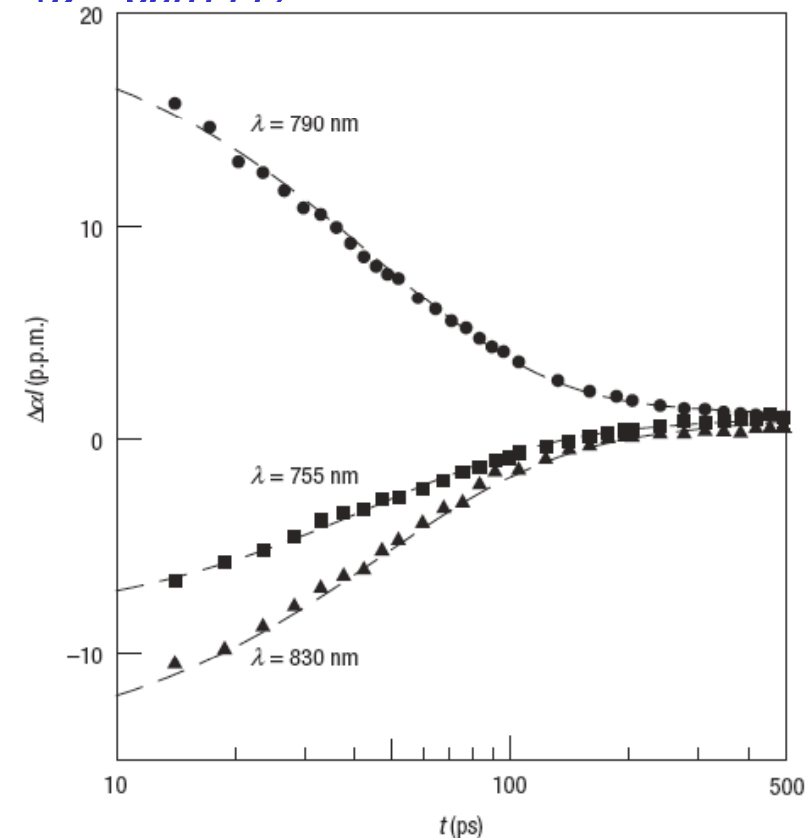
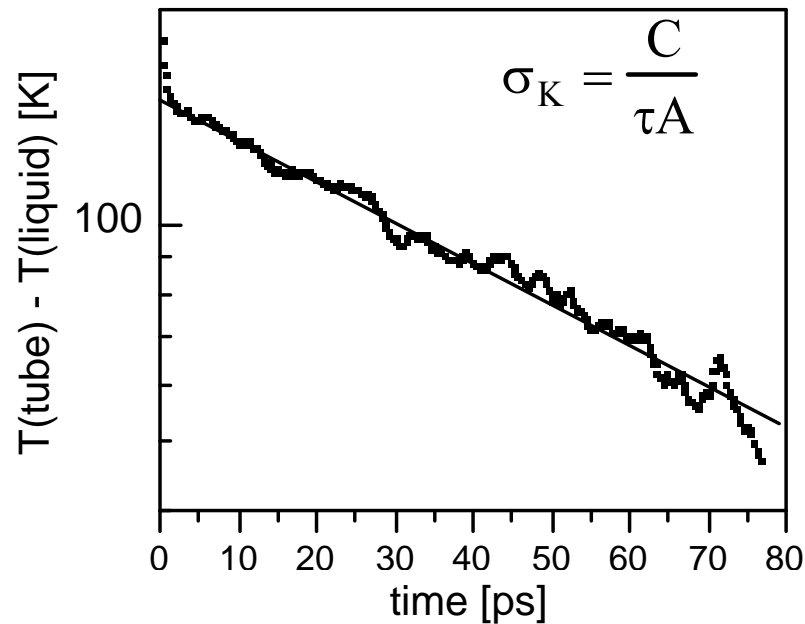
- Most of the temperature drop at the interface
- Interfacial conductance, $\sigma_K \sim 25$ M W/K-m²

Relaxation Simulations and Experiment

MD Simulations

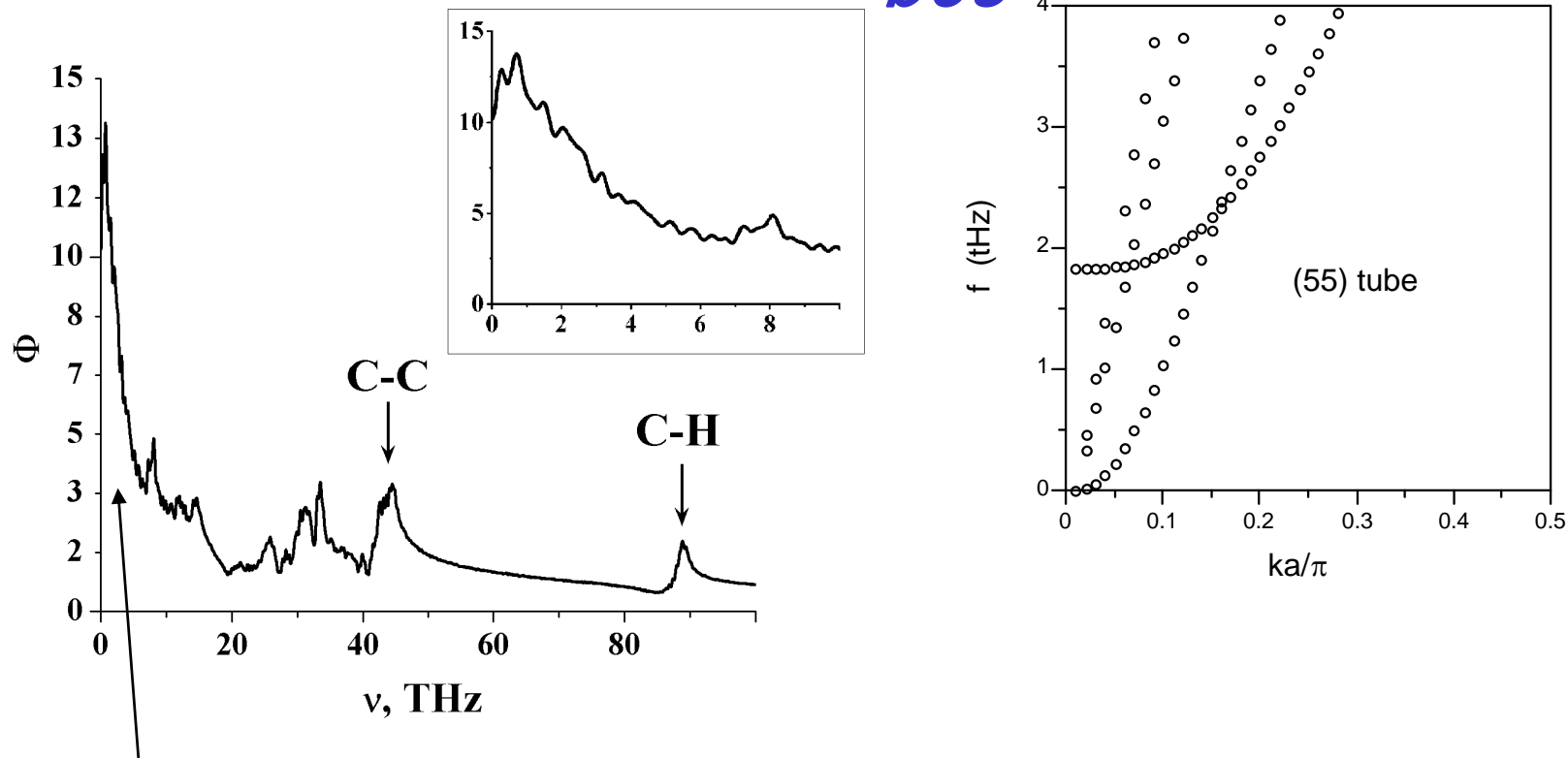
Laser pump and probe

experiment (D Cahill)



- Exponential decay
- Relaxation time $\sim 40\text{-}70$ ps
- Interfacial conductance, $\sigma_K \sim 10\text{-}20$ M W/K-m²

Vibrational Modes in Liquid and Nanotubes

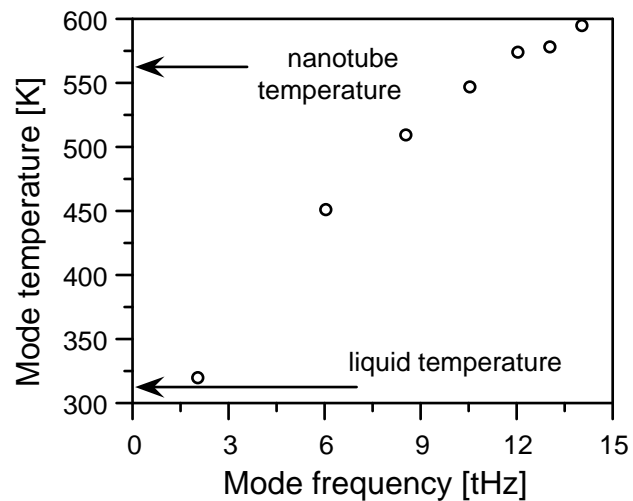


- Liquid has low frequency modes associated with weak dispersion forces between liquid molecules. The same forces act between liquid and nanotube walls
- Carbon nanotubes have a small number of low frequency modes associated with bending and squeezing. Only these modes can couple strongly with liquid.

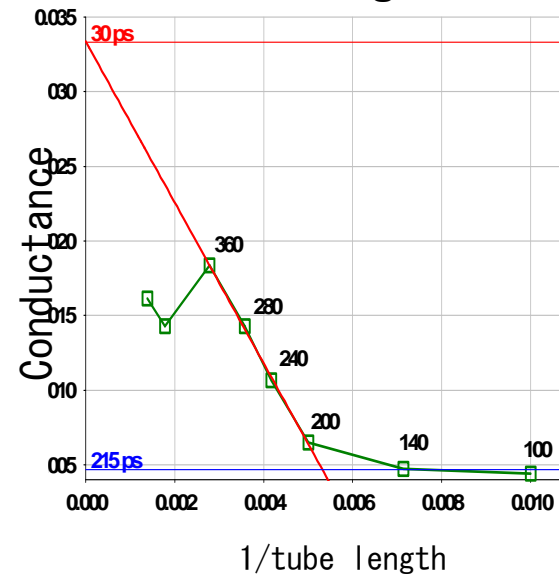
Weak harmonic coupling between liquid and carbon nanotubes leads to large thermal interfacial resistance - the same for nanotube-polymer composites

Mechanism of the Heat Flow

“Temperature of bending modes”



Conductivity dependence on length



Lowest frequency bending mode

$$\omega = \frac{(4.73)^2}{L^2} \sqrt{\frac{IE}{\rho A}}$$

- Only few low frequency bending modes are effective in coupling with liquid

So What About Nanotube Based Composites?

- Equivalent matrix thickness interfacial resistance, h_M , is defined as the thickness of the matrix over which the temperature drop is the same as the temperature drop at the interface.

$$h = \frac{\text{matrix conductivity}}{\text{interfacial conductivity}} = \frac{k}{\sigma_K}$$

- For interfacial conductance, $\sigma_k = \sim 1.0 \times 10^7 \text{ W/K-m}^2$ and low conductivity matrix, $k = 0.2 \text{ W/K-m}$

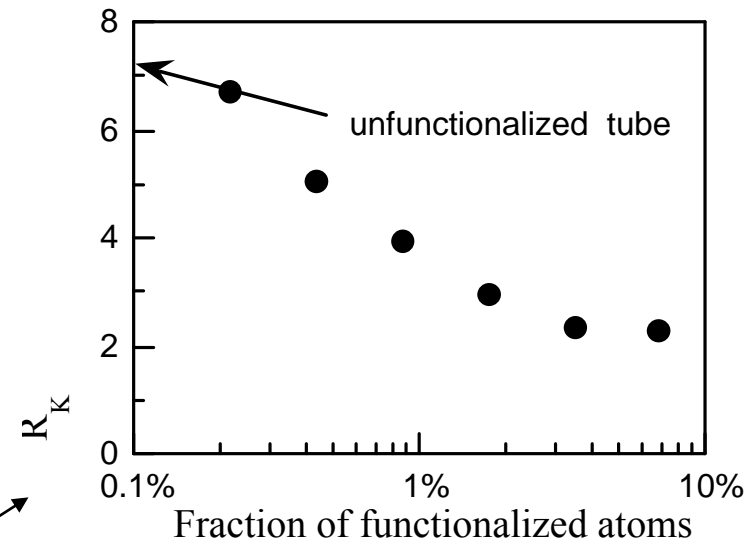
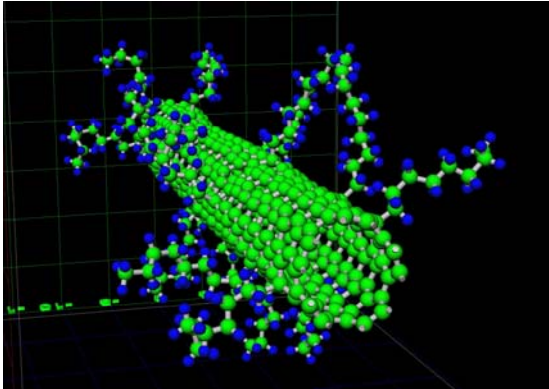
$$h_M = 20 \text{ nm}$$

- Equivalent tube length, h_{CNT} , ($k = 3000 \text{ W/K-m}$)

$$h_{CNT} \sim 0.3 \text{ mm}$$

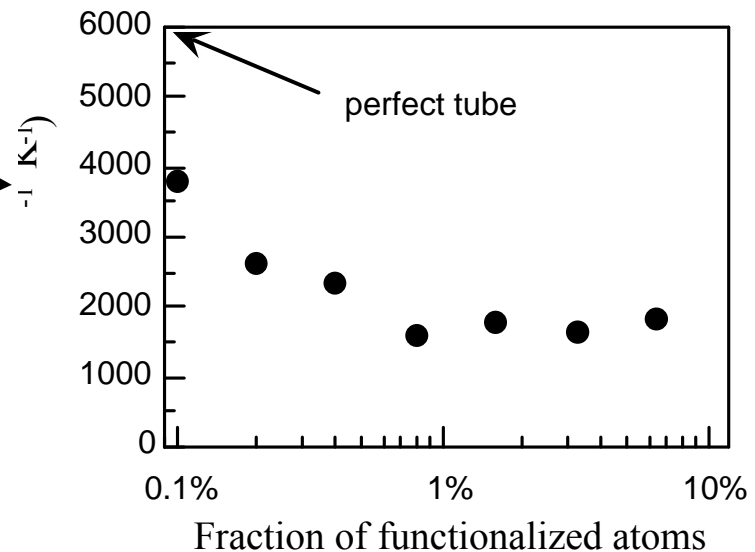
In CN composites, interfacial resistance plays a major role in determining effective heat flow

Chemical Functionalization



- Functionalization reduces interfacial resistance

- But, the points of chain molecule attachments act as scattering centers for heat carrying phonons thus reduce tube axial conductivity

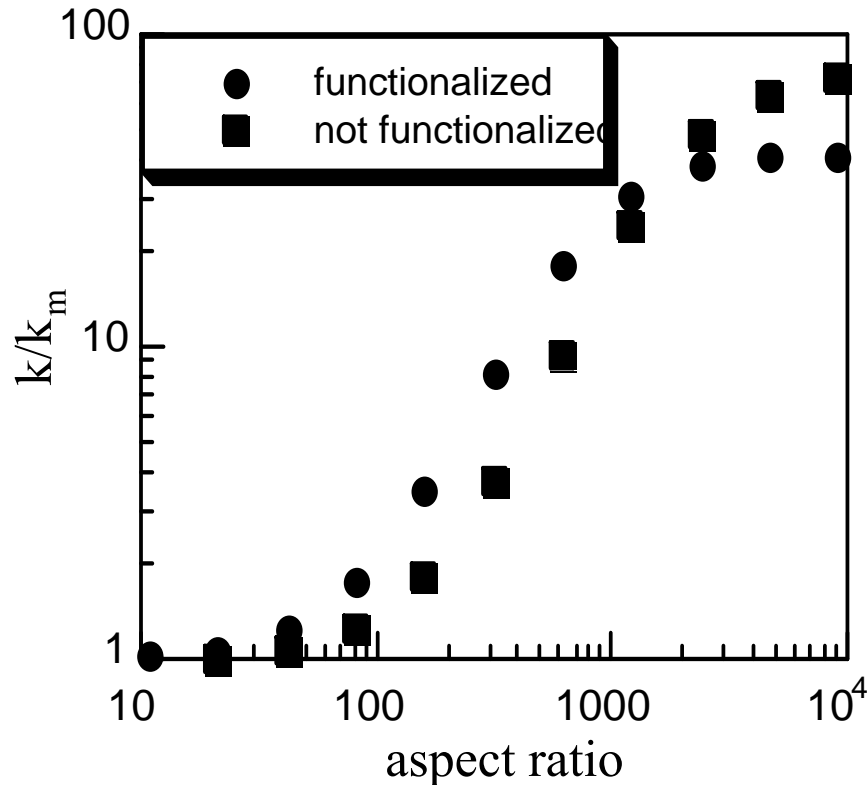


Net Effect on Composite Conductivity

$$L_c \approx \sqrt{r_{tube} k_{tube} R_K}$$

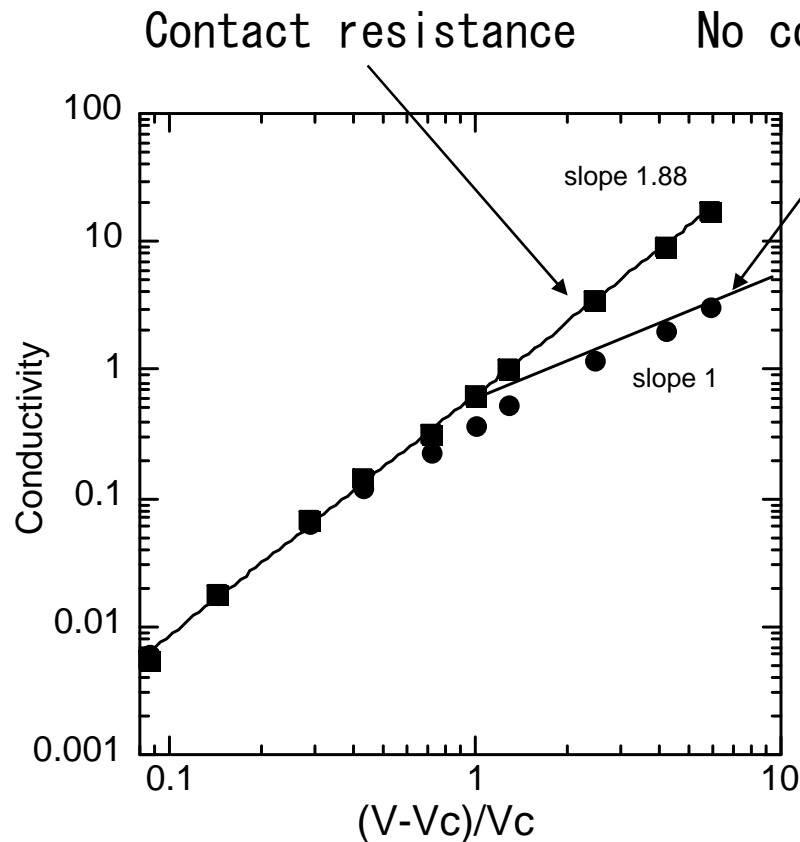
$L_c/r = 100$ for functionalized tubes

$L_c/r = 200$ for unfunctionalized tubes



- L_c gives the typical distance over which the heat can be carried by one tube
- Tubes much shorter than L_c do not contribute much to the composite conductivity due to surface resistance and relatively small surface area
- Tubes much longer than L_c are always strongly coupled to the matrix and composite conductivity is not sensitive to boundary resistance
- For tube length comparable with L_c , composite conductivity can be improved by chemical functionalization

Conductivity on Percolating Tube Networks



- Monte-Carlo (MC) simulations of diffusion of “carriers” on a network of tubes
- MC move rules mimic either contact resistance or bulk resistance
- Carrier mobility, μ , proportional to the carrier diffusion constant. The conductivity, σ , is given by

- Near the percolation threshold for both mechanisms $\sigma \sim \mu V \sim (V-V_c)^2$
- For bulk resistance above $\sim V = 2V_c$, $\sigma \sim V$
- For contact resistance percolation threshold power law appears to persist

Electrical vs. Thermal Percolation

- At macroscopic level thermal and electrical transport are described by the same equations.
- For example in the steady state temperature satisfied the Laplace Equation

$$\Delta T = 0$$

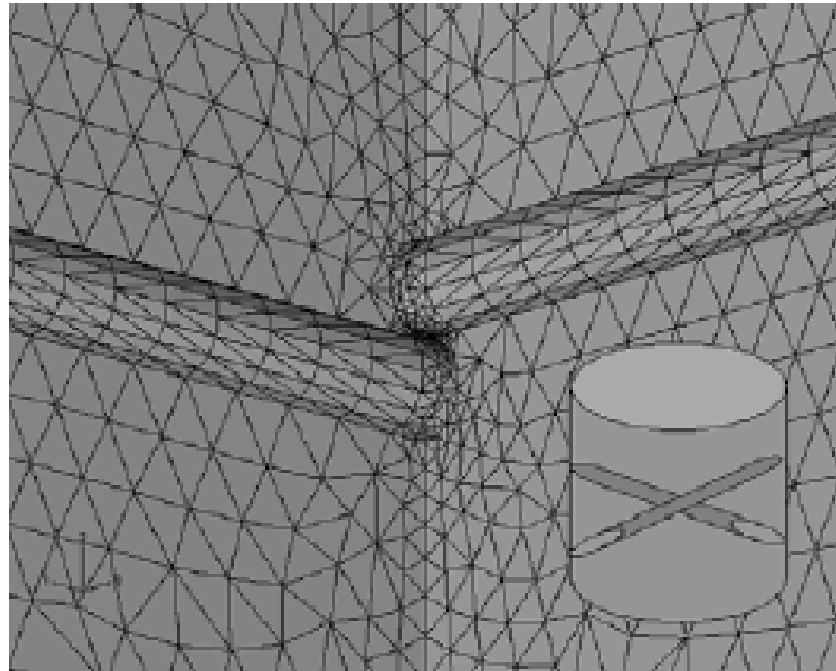
- The flux continuity condition at the matrix-fiber interface

$$-J_Q = k_m \frac{\partial T_m}{\partial \mathbf{n}} = k_f \frac{\partial T_f}{\partial \mathbf{n}}$$

- The electrical transport is described by the same equations, T is replaced with potential and thermal conductivity with electrical conductivity

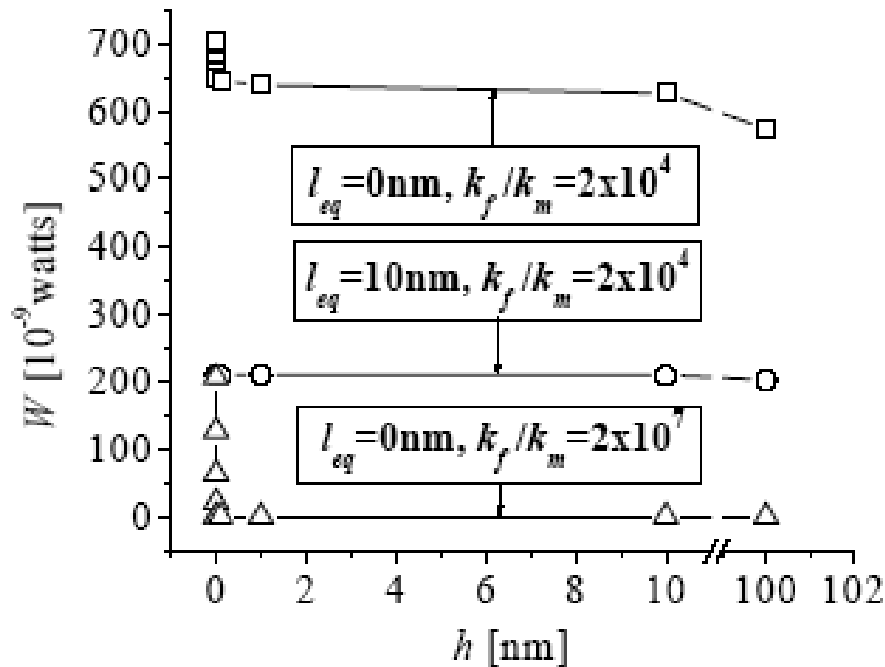
Finite Element Calculations

- *Heat flow between two fibers*



- *Ends of one fiber kept at higher temperature than the other – the rest of boundaries are adiabatic (no heat flux across the interface)*

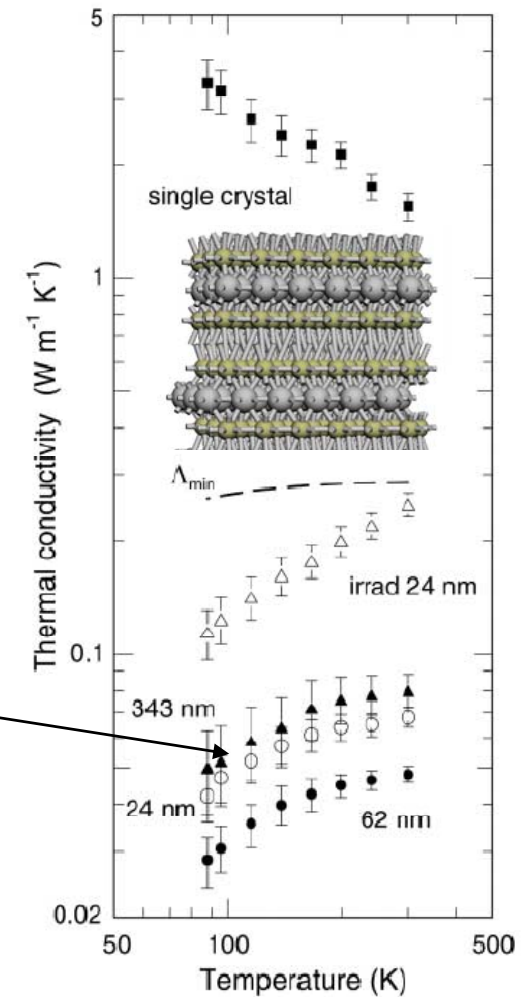
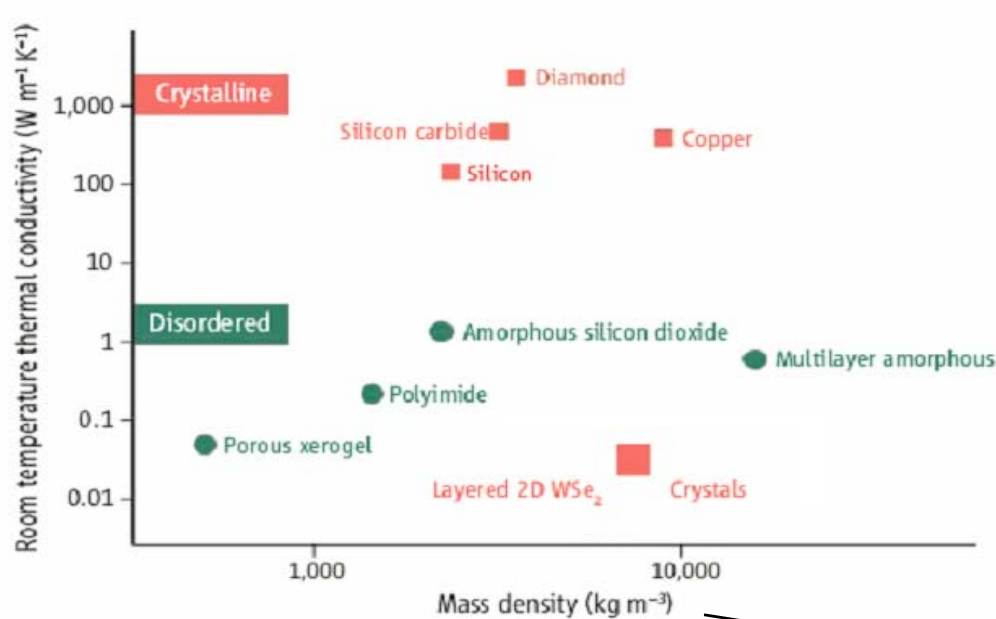
Heat Flow Rate vs. Tube separation



- Relatively small fiber to matrix conductivity ratio and interfacial thermal resistance completely eliminates the effect of fiber-fiber contacts
- For the electrical conductivity problem larger ratio of fiber to matrix conductivity leads to electrical percolation

*Ultra-low Thermal
Conductivity of
Layered
Materials*

Below the amorphous material limit



Thermal conductivity below Einstein limit

Why it is so low?

- Stacking disorder ?
- Grain boundaries ?

C. Chiritescu, D. G. Cahill, et al., *Science*. 315, 353(2007).

Model structures

A B A B A B A B A B

QuickTime™ and a
TIFF (Uncompressed) decompressor
are needed to see this picture.

Perfect crystal

A C A B C B A B A B

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Stacking disorder

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Grain boundaries

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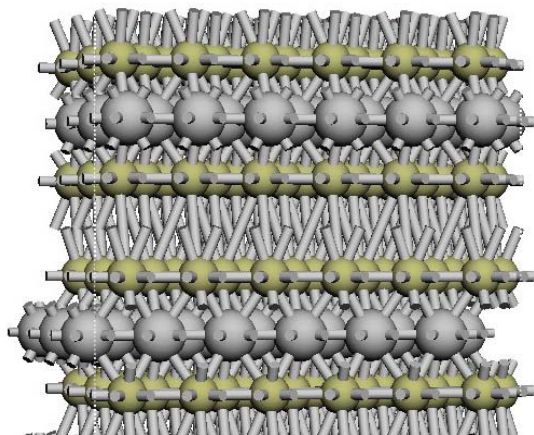
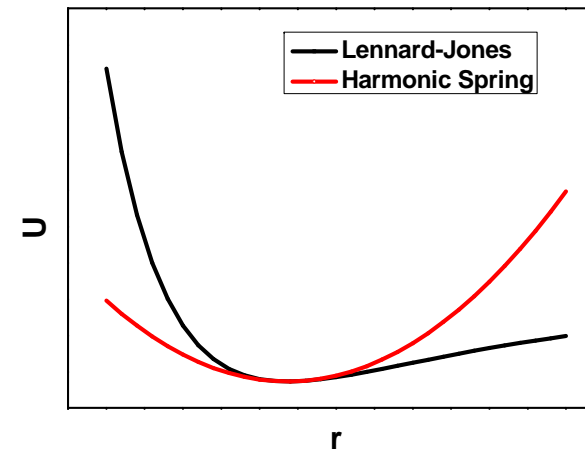
Mass disorder

Model interactions

Lennard-Jones potential

$$U(r) = 4\varepsilon \left[\left(\frac{\sigma}{r} \right)^{12} - \left(\frac{\sigma}{r} \right)^6 \right]$$

Harmonic springs



Two sets of ε and σ parameters are used

- Within WSe₂ sheet: $\varepsilon = 0.455$ eV and $\sigma = 2.31$ Å
- Between layers: $\varepsilon = 0.04$ eV and $\sigma = 3.4$ Å

Molecular dynamics results

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Perfect crystal and stacking disordered structures show strong film thickness dependence not observed in experiment

Structures with grain boundaries show lower conductivity and weaker size dependence

Phonon Localization and Polarization

Perfect crystal

← Low frequency phonons
are delocalized and
polarized

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are needed to see this picture.

← Higher frequency
phonons are delocalized
but not well polarized

Phonon Localization and Polarization

Stacking disorder

← Low frequency phonons
are delocalized and
polarized, same way as
in perfect crystal

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← Higher frequency
phonons can be localized
or delocalized but they
do not matter much for
thermal transport

Phonon Localization and Polarization

Grain boundary

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← Low frequency phonons
are weakly localized
and weakly polarized



Conductivity reduction and
weak size dependence

← Higher frequency
phonons can be localized
or not and are not
polarized

Phonon Localization and Polarization

Mass disorder

Even low frequency
modes are localized



Ultimate conductivity
reduction and essentially
no size dependence

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Thermal conductivity of a
dense solid below that of
still air

Interfacial Perspective

**Interfacial conductance from
relaxation and equilibrium simulations**

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are needed to see this picture.

$$G \approx 25 \text{ MW/m}^2\text{-K}$$



Effective conductivity

$$\kappa = G \times d$$

$d = 1 \text{ nm}$ - grain boundary
size

$$\kappa \approx 0.025 \text{ W/m-K}$$

Actual conductivity

$$\kappa \approx 0.05 \text{ W/m-K}$$

Collective aspect of the
vibrational heat transfer is
responsible for the
difference

Layered Crystals – Conclusions

Stacking disordered is insufficient to lead to ultra-low thermal conductivity which is due to the fact that low frequency phonons are still polarized and carry heat over large distances

Nanostructuring (introduction of grain boundaries) depolarize and partially localize phonons leading to amorphous-like thermal transport and ultra-low thermal conductivity which can be also understood in terms of the interfacial thermal resistance.

Introducing mass disorder leads to complete localization of phonons and further reduction of thermal conductivity to level below that of conductivity of still air.