



## Growth of Single-Walled Carbon Nanotubes on Surface with Controlled Structures

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The University of Tokyo, 1<sup>st</sup> Nov, 2010, Tokyo

#### **Introduction of Single-walled Carbon Nanotubes**





#### A Scalable CVD Synthesis of High-Purity SWNTs with Porous MgO as Support Materials



Support: MgO; Catalysts: Fe; Carbon Source: CH<sub>4</sub>

J. Zhang et. al., J Mater Chem, 12(4): 1179-1183, 2002; Carbon, 40(12): 2282-2284, 2002; Carbon, 40(14): 2693-2698, 2002

## Surface Growth of SWNTs by CVD

#### **Growth Process:**



#### **Questions:**

- 1. Growing SWNTs on Surface Directly with Controlled Density, Position and Orientation
- **2. Growing SWNTs on Surface with Controlled Diameter**
- 3. Growing SWNTs on Surface with Controlled Metallic and Semiconducting Properties
- 4. Growing SWNTs on Surface with Controlled Chirality

#### **Controlled CVD Growth of SWNTs on Surface**







J. Phys. Chem. B. 2004, 108, 12665

J. Phys. Chem. B, 2005, 109, 10946 J. Phys. Chem. C, 2008, 112, 8319



J. Am. Chem. Soc. 2005,127,17156



J. Am. Chem. Soc. 2005, 127, 8268. J. Phys. Chem. B, 109 (2005) 2657-2665

## **Challenges for the Application of Carbon Nanotubes in Future Device**

#### 1) How to achieve a structure-controlled synthesis of nanotubes?

- Diameter
- Lattice geometry (armchair, zigzag, chirality)
- Semiconduting or Metallic Nanotubes
- 2) How to fabricate a desired device structure ?
  - Controlled surface growth
  - Manipulation
- **3) What architecture should the nanotube device have ?**
- 4) How to integrate trillions of individual nanotube devices?

#### **Our strategy towards SWNTs-based CMOS chips** - Axial Band Structure Engineering • Direct CVD growth **Photocatalytic cutting** • Transfer-printing Substrate-induced local deformation Organic/inorganic modulating layer **Cloning growth Diameter-tuning growth** m/s sorting or chemical conversion Chinese patent : ZL 2006 1 0113214.5

ZL 2006 1 0113212.6

#### Catalytic CVD Growth of SWNTs Arrays on Surface



#### Lattice Directed Growth vs. Gas Flow Directed Growth



#### Outline

#### Controlling Morphology of SWNTs on Surfaces

#### Direct Growth of Semiconducting SWNT Arrays





Second Tim



Growth with Controlled Chiralities



## **Controlling Morphology of SWNTs on Surfaces by Combing the Two Growth Modes**

## **Growth of serpentine SWNTs**



•The spacing between two parallel sections is mainly determined by the landing rate of the ultralong SWNT.

•The landing rate can be controlled by cooling rate.

Therefore, the folding density of serpentine SWNTs can be controlled by cooling rate.

#### Serpentine SWNTs grown at different cooling rates













T from 975°C to 775 °C.

The slower the cooling speed, the higher the tube folding density.

#### **High Performance SWNT-FET with Identical Chirality**



J. Zhang, et. al., Adv. Mater. 2009, 21, 4158-4162. (Inter Front Cover Paper).

#### The highest density we have achieved



High density of SWNTs make it possible to observed various weak second-order Raman from SWNTs.

#### **Grow SWNT cross-bars in one batch**



#### Interaction between Cu, Fe Catlysts and Quartz Surface



a) and b) illustrate the interaction between Cu, Fe nanoparticles and surface of quartz, the red balls represent oxygen atoms. c) and d) High-magnification SEM image of the lattice assisted SWNTs catalyzed by Cu and Fe. e) and f) Results of gas flow directed growth of carbon nanotubes where Cu and Fe are used as catalysts respectively.

#### **SWNT Cross-bars and Its Potential Application**

Low temperature favors for lattice oriented growth mode and high T for gas flow directed growth mode. With a moderate 930-950°C, crossbar can be grown.



J. Zhang et. al., J. Phys. Chem. C. 2009, 113, 5341-5344 (cover)



## **Direct Growth of Semiconducting SWNT Arrays by UV Irradiation Assistance CVD**

## Separation of s-SWNTS and m-SWNTs after Growth



A. Hirsch, Angew. Chem. Int. Ed., 2002 M. S. Arnold, Nature Nanotech., 2006 M. Zheng, Nature Mater., 2003



#### Why s-SWNTS and m-SWNTs can be Separated



When a reactant comes near the SWNT, the electron can transfer from the metallic SWNT, but cannot transfer from the semiconducting SWNT. After that, the metallic SWNT can be damaged and the semiconducting SWN still survived .



J. Zhang, et. al., J. Phys. Chem. C 112, 3849, (2008)

#### Setup of Our Home-Made CVD System



#### SEM images of SWNTs arrays under different irradiation time



When UV beam acted on the substrate, the density of the SWNT array decreased obviously. From above, the shorter the irradiation time, the longer and denser the SWNTs were. If we continued increasing the irradiation time or the irradiation intensity, SWNTs would become shorter and shorter, and disappeared eventually



(A) Sketch map of the comparison experiment for SWNT growth with and without UV irradiation. (B)/(C) SEM image of the growth result without/with UV irradiation. (D) Raman spectrum for part B with 514.5 nm excitation. (E)/(F) Raman spectrum for part A with 514.5/632.8 nm excitation. The metallic SWNTs signals were collected in the yellow rectangle while the semiconducting SWNTs signals were collected in the blue rectangle separately for all the three spectra.





Raman spectra demonstrated an amazing result that almost 100% SWNTs were semiconducting.

Electrical measurement data showed that 21 out of 22 SWNTs (~95%) were semiconducting.

J. Zhang et al., J. Am. Chem. Soc., 2009, 131, 14642-14643



## Tuning Diameters of SWNTs by Temperature-oscillation CVD growth

#### **Controlling Diameters of SWNTs**

#### **By Catalyst Particle**



#### J. Phys. Chem. B. 2002,106, 2429-2433

**By Carbon Feeding** 



J. Phys. Chem. B. 2006, 110, 20254-20257

#### **Our Approach: Tune the Diameter of SWNTs by Temperature**



#### **Micro-Resonance Raman Spectrum of Individual** SWNTs



#### A power tool for both the atomic and electronic structure of SWNT !

#### **Constant-temperature CVD**







#### SWNTs Grown by one Time Temperature Oscillated CVD (From 900°C to 950°C)



#### SWNTs Grown by one Time Temperature Oscillated CVD (From 950°C to 900°C)







Metallic-Metallic Nanojunction

#### **Controlled Thinning of SWNTs via Temperature Step-Up**



#### **Controlled Thickening of SWNTs via Temperature Step-Down**



#### **Tube Diameter from Wide to Narrow Distribution**

![](_page_34_Figure_1.jpeg)

J. Zhang et. al., J. Phys. Chem. C, 2009, 113(30),13051-13059

#### Multiple Intratube Nanojunctions by Repeating Temp. Oscillation

![](_page_35_Figure_1.jpeg)

Six intramolecular junctions were induced by three temperature oscillations between 950°C and 880°C during CVD. (A) shows the scheme of temperature oscillation with time; (B) is an SEM image of several parallel ultralong SWNTs grown during the temperature oscillation; (C) shows Raman RBM peak positions along a SWNT, each peak corresponds to a time period in (A).

J. Zhang et. al., Nature Materials, 2007, 6, 283

![](_page_36_Picture_0.jpeg)

But carbon nanotubes could, in the future, provide more than just thermal management, they could form the basis of electronic devices themselves. To realize such a goal, it will be necessary to find a means of creating intramolecular junctions in a controlled manner. Researchers from Peking University, China and Los Alamos National Laboratory claim to be able to form single-walled carbon nanotube (SWNT) intramolecular junctions simply by varying growth temperature [Yao *et al.*, *Nat. Mater.* (2007) **6**, 293].

It is currently accepted that the size of the catalytic particle used to grow a nanotube determines its diameter. However, the Chinese researchers observe that the diameter of a SWNT varies with growth temperature during catalytic CVD, even with the same catalytic particle. The results show that if the growth temperature is increased from 900°C to 950°C, the diameter of the SWNT decreases by ~4%. Conversely, when the growth temperature is decreased, the SWNT diameter increases. With the change in nanotube diameter comes a change in chirality and, hence, bandgap. However, if the growth temperature is held constant, the researchers observe nanotubes of uniform diameter.

"These strategies provide a potential approach to grow SWNT intramolecular junctions at desired locations, sizes, and orientation," says Jin Zhang of Peking University. If such a simple method could reliably produce SWNT intramolecular junctions, it could be a significant step toward next-generation, carbonnanotube-based electronic circuits and devices.

![](_page_37_Picture_0.jpeg)

# Cap-engineering for Growing SWNT with Controlled Chiralities

#### **Growth Mechanism of SWNT**

![](_page_38_Figure_1.jpeg)

## 1. Cap formation on catalyst

2. Carbon atoms bound to the cap and form a SWNT

The cap structure determines the structure of the formed SWNT.

However, it is hard to control the cap structure by controlling the structure of catalyst nanoparticles.

It follows the Vapor – Liquid – Solid (VLS) Mechanism

#### **Our Strategies ----- Cap-engineering**

Based on the concept of SWNTs Cloning, using a open end SWNT or opened  $C_{60}$  as seed/cap to grow SWNT. The structure of the open end SWNT or opened  $C_{60}$  determines the structure of the formed SWNT.

#### **Open-end SWNT as seed/cap**

**Opened C**<sub>60</sub> as seed/cap

![](_page_39_Figure_4.jpeg)

Vapor-Solid Growth Mechanism / Open-End Growth Mechanism

## **Our experimental scheme**

![](_page_40_Picture_1.jpeg)

**Original "seed" catalyst** 

![](_page_40_Figure_3.jpeg)

![](_page_40_Picture_4.jpeg)

#### **Second growth**

![](_page_40_Figure_6.jpeg)

#### **Cloning SWNTs on Quartz Surface**

![](_page_41_Figure_1.jpeg)

**Before growth** 

After growth

![](_page_42_Figure_0.jpeg)

#### **Cloning SWNTs on SiO<sub>2</sub>/Si Surface**

![](_page_43_Picture_1.jpeg)

#### **Before growth**

#### After growth

![](_page_44_Figure_0.jpeg)

AFM and Raman evidence for the diameter & chirality maintenance

J. Zhang et al., Nano Lett., 2009, 9, 1673

#### **Open-End Growth Mechanism**

![](_page_45_Figure_1.jpeg)

## Using C<sub>60</sub> cap to grow SWNTs

![](_page_46_Picture_1.jpeg)

### **Experiments**

![](_page_47_Figure_1.jpeg)

#### **Growing SWNTs Using Opened C<sub>60</sub> as Seeds: Growth Result**

![](_page_48_Figure_1.jpeg)

#### **Evidence of SWNTs Grown from Opened C<sub>60</sub>**

![](_page_49_Figure_1.jpeg)

Morphological a SWNT grown from opened  $C_{60}$  and after heat treatment

![](_page_49_Figure_3.jpeg)

![](_page_49_Figure_4.jpeg)

SEM image of a SWNT grown from opened  $C_{\rm 60}$  and XPS of the SWNTs sample

![](_page_49_Figure_6.jpeg)

Water treatment is very important for SWNTs growth!

#### **Different thermal oxidation temperature**

![](_page_50_Figure_1.jpeg)

(a)-(e) SWNTs grown from fullerendione using thermal oxidation temperatures of 300, 350, 400, 450 and 500 °C respectively. (f) SWNTs grown from pristine  $C_{60}$  using an oxidation temperature of 500 °C

# Diameter Distribution of SWNTs grown by using Opened $C_{60}$ caps

![](_page_51_Figure_1.jpeg)

Diameter distribution of SWNTs grown from fullerendione under different oxidation conditions (measured from AFM).

J. Zhang et al., Nano Lett., 2010, 10, 3343

#### **Possible Growth Mechanism**

![](_page_52_Figure_1.jpeg)

The caps from C<sub>60</sub> has a discreet diameter distribution.

The formed SWNTs are expected to have a discreet diameter distribution.

![](_page_53_Picture_0.jpeg)

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#### **Carbon nanotubes: Perfect clones** hed online 29 June 200

Although methods for producing single-walled carbon nanotubes have advanced considerably in recent years, growing nanotubes with specific structures remains difficult. Nanotubes can be semiconducting or metallic in character depending on the orientation of carbon hexagons within the structure, and controlling this behavior is crucial for future nanoscale electronic applications.

Now, Jin Zhang and colleagues<sup>1</sup> from Peking University in China have devised a novel method for the growth of single-walled carbon nanotubes that allows precise control of the nanotube properties. Using seeds cut from existing nanotubes, the researchers grew new extensions that retained the original structure perfect 'clones' of the parent segments (Fig. 1).

Working with ultralong single-walled carbon nanotubes grown by chemical vapor deposition, Zhang's group first covered the nanotubes with a lithographic mask. Then, using electron-beam lithography and oxygen plasma ion etching, the researchers prepared short, open-ended segments of the nanotubes as seeds. These small sections were the remove any impurities.

To grow new nanotube extensions, a stream of gas containing carl over the seed segments. Using atomic force and scanning electron team observed new growth of several micrometers in length exter Raman spectroscopy confirmed that the newly grown nanotube se original seed structure.

According to Zhang, growing the nanotube clones required accurate a mixture of acetylene and methane gases. "We used acetylene to decomposition of methane gas into carbon radicals. If we didn't use growth temperature was below 945 °C, no amplified growth occu

Instead of requiring an external additive, the growth mechanism di the open ends of the nanotubes themselves as catalysts. The seed the nanotube structure, and any new growth via carbon radicals re

The researchers reported that, on a quartz substrate, over 40% of produced new cloned seaments. "We think if the open-ended sear the growth efficiency would be greatly improved," says Zhang. Nanofluidics meets

Zhang and colleagues are now undertaking large-scale growth trials	nanoplasmonic sensing Posted: Jul 17th, 2009
carbon nanotube clones.	European debate on human

#### Reference

1. Yao, Y.,<sup>1</sup> Feng, C.,<sup>1</sup> Zhang, J.<sup>1</sup>\* & Liu, Z.<sup>1</sup> "Cloning" of single-walled ca growth mechanism. *Nano Lett.* **9**, 1673–1677 (2009). | <u>article</u> | Desertec - a nanotechnology-enabled bold vision for an energy revolution Posted: Jul 13th, 2009

#### Author affiliation

1. Beijing National Laboratory for Molecular Sciences (BNLMS), Key Laboratory f FluidFM: Combining AFM and nanofluidics for single cell of Nanodevices. State Key Laboratory for Structural Chemistry of Unstable and applications Chemistry and Molecular Engineering, Peking University, Beijing 100871, China \*E-mail: jinzhang@pku.edu.cn lovel electrostatic coupling ethod to make quantum dot

![](_page_53_Picture_17.jpeg)

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le DNA sequencing io-interface nanosensor visually eports damage to a material

Schematic illustration of a single walled carbon nanotube clone. ( proving solid oxide fuel cells nanostructured electrolyte These findings by Zhang, a professor of chemistry and molecular avers Aug 6th, 2009 Laboratory for Molecular Sciences, and his colleagues Zhongfan I be not only helpful to understand the formation mechanism of sing

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"The cloned nanotubes usually grew as much as a few micromete amplified growth was 4.6  $\mu m$ " says Zhang. "We thought that if the the substrate such as the open-end SWCNTs are suspending, th

While this strategy works in principle, the growth efficiency of clo Easy fabrication of carbon anoscrolls could speed their use mechanism are problems which still need to be solved nanotechnology applications

"We have measured more than 600 short seed segments and fou around 9%" says Zhang. "This yield can be greatly improved up to substrate

Nano-C, Inc. Fullerenes, Carbon Nanotubes, PCBM and Other Derivatives

(Nanowerk Spotlight) Notwithstanding the tremendous amount of

conventional separation techniques inefficient

special (n, m) indices SWCNTs.

'Cloning' might solve the chirality control issue

< >

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The key point of SWCNT cloning is to verify the duplicate SWCN1 parent SWCNTs. Through atomic force microscopy and micro res characterization the team determined that the parent nanotube ar same structure

turn the controllable growth of SWCNT with identical chirality into

Moreover, this growth mechanism might be an effective way for cl

sheets. Furthermore, large scale cloning of single chirality SWCN

The scientists reported their findings in the March 13, 2009 online

Single-Walled Carbon Nanotubes via Open-End Growth Mechanis

For their technique, Zhang's team first grew ultralong (several tens

substrate. The nanotube length is only limited by the substrate si the growth time. Then, the ultralong SWCNTs were cut into short

lithography (EBL), oxygen plasma ion etching, and lift-off, which c

In a third step, the SWCNT 'clones' were grown from the open-end

end seeds in a chemical vapor deposition (CVD) furnace to first g

functionalized SWCNTs, which could help expose the active open

and the stencil in the second growth

and C\_H, carbon source

According to Zhang, the open-end SWCNT catalyst (seed) is mor catalysts for the growth of SWCNTs.

"Firstly, it can not congregate at high growth temperate. The meta congregate at high temperature which will widen the diameter of S carbon radicals will directly add to the open-end and thus the chir the same structure as the parent SWCNTs. Thirdly, our results s structures might act as a template for the formation of SWCNTs By Michael Berger. Copyright 2009 Nanowerk LLC

![](_page_53_Picture_34.jpeg)

Single-wall ca Commercial s home » current content » research highlights » Carbon nanotubes: Capped for growth

Published online 0000-00-00 00:00:00

#### Carbon nanotubes: Capped for growth carbon nanotubes, the synthesis of single-walled carbon nanotube still has not been achieved. Current production methods for carbo

#### different diameter, length, chirality and electronic properties, all pa blended with some amount of amorphous carbon. The separation properties remains a technical challenge. Especially SWCNT sort High-temperature exidation reactions open fullerenes to seed carbon nanotube growth composition and chemical properties of SWCNTs of different type

Single-walled earbon nanotubes (SWNTs) are poised Using the concept of cloning, scientists in China have discovered to play central roles in future nanoelectronic devices, but it remains necessary to develop "We found that an open-end single-walled carbon nanotube, which methods to reliably control their structures. Even grown via an open-end growth mechanism," Jin Zhang tells Nanov the parent tubes - the 'seeds' - have the same chirality." small changes in the width of the tubes or the orientation of carbon atoms can alter the conductivity of SWNTs, determining whether they behave as a semiconductor or metal. Now, in a collaboration between Peking University in China and the Samsung Advanced Institute of Technology in Korea, Jin Zhang, Jae-Young Choi, Zhongfan Liu and co-workers1 have developed a 'cap engineering' method that generates SWNTs with well-defined diameters

![](_page_53_Picture_40.jpeg)

of a single-walled carbon nanotube from an onened fullerene can (inset), and a scanning electron microscopy image of the prepared nanotubes

Typical SWNT syntheses rely on the formation of hemispherical carbon-based nanostructures, or

'caps', on metal nanoparticle catalysts at high temperature. These caps initiate the growth of nanotubes, and also define their final structure. The extreme conditions required for synthesis, however, make it difficult to generate caps of uniform size. "We think that if the SWNTs can be grown from existing carbon caps through a vapor - solid or open-ended growth mechanism, the structure of SWNTs could be controlled." says Zhang

The team developed a method using fullerenes ( $C_{60}$ ) and derivatives called fullerendiones, which can open to form caps with predetermined diameter. After depositing these hall-shaped carbon structures on a quartz substrate, the team cracked opened the carbon - carbon bonds by high-temperature oxidation. Treating the caps with water eliminated any amorphous carbon from the structure, and subsequent heating removed oxygen-containing groups. The activated caps were finally exposed to ethanol at 900 \* C, which induced the growth of uniform SWNTs (Fig. 1). Ethanol releases carbon radicals that are added directly to the cap ends, resulting in the growth of nanotubes by an openended growth mechanism

The researchers found that the exidation temperature was crucial in determining the diameter of the fullerene-derived caps, and therefore for controlling the SWNT structure. Higher temperatures caused the breakage of more carbon - carbon bonds, giving smaller caps and resulting in thinner SWNTs. The team also observed that at lower temperatures, the oxidation produced oxygen-bridged 'opened fullerenes' that further coalesced into larger caps, leading to larger-diameter nanotubes.

"We are now working on controlling the chirality of SWNTs during growth using this cap engineering approach," says Liu.

#### Reference

Yu, X.,<sup>1</sup> Zhang, J.,<sup>1</sup>\* Choi, W.M.,<sup>2</sup> Choi, J.-Y.,<sup>2</sup> Kim, J.M.,<sup>2</sup> Gan, L.<sup>1</sup> & Liu, Z.<sup>1</sup>\* Cap formation engineering: from opened C<sub>60</sub> to single-walled carbon nanotubes. *Nano Lett.* **10**, 3343 (2010). article

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1. Center for Nanochemistry, Beijing National Laboratory for Molecular Sciences, State Key Laboratory for Structural Chemistry of Unstable and Stable Species, Key Laboratory for the Physics and Chemistry of Nanodevices, College of Chemistry and Molecular Engineering, Peking University, Beijing 100871, China 2. Samsung Advanced Institute of Technology, Nongseo-Dong, Giheung-Gu, Yongin, Gyeonggi-Do 446-712, Korea

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![](_page_53_Figure_54.jpeg)

![](_page_53_Picture_55.jpeg)

![](_page_53_Picture_56.jpeg)

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![](_page_54_Figure_0.jpeg)

Although it is still difficult to make a precise control of the diameter, chirality and local band structure of single-walled carbon nanotubes, there exists a big space for further efforts.

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![](_page_55_Picture_4.jpeg)

# Welcome to Peking University