

Science and Technology of Advanced Multifunctional Nanocarbons for Vacuum Microelectronics

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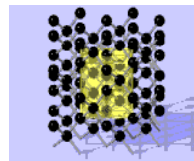
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University of Missouri-Columbia, MO 65211-2300

**E-mail: guptas@missouri.edu*

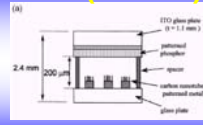
<http://www.mizzou.edu/~guptas>



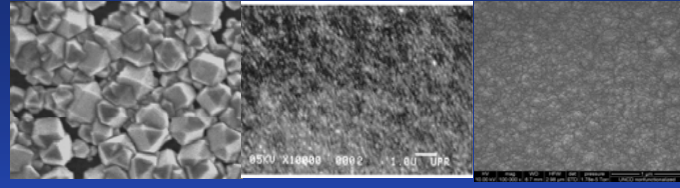
U. Tokyo Visit - Dec. 26, 2007 @ 2.00 PM



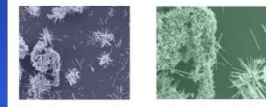
APL (2005/6)



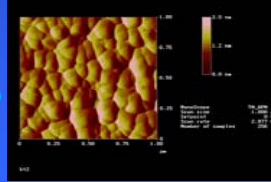
Architect



MRS (2006)



Semiconducting oxide nanostructures are quite exciting materials and used as piezoelectric materials for several technological applications. Zinc oxide, ZnO nanostructures were deposited by thermal CVD technique using powder precursors on Si substrate under Ar/O₂ atmosphere. These images show needle-like nanostructures with sizes ranging 50-150 nm.



C-based materials:
CVD diamond,
nanodiamond, UNCD,
Nanotubes

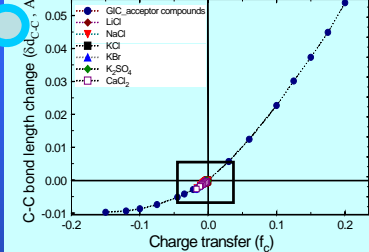
E-ceramics &
semiconducting
oxide: ABO₃,
ZnO; Si & GeNW

Conducting
polymers
nanostructures
+ CNTs
(nanocomp.)
MRS (2006)

NT based
electrochemical
applications;
supercapacitors;
actuators



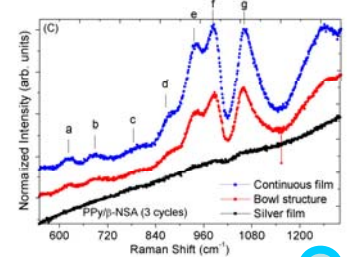
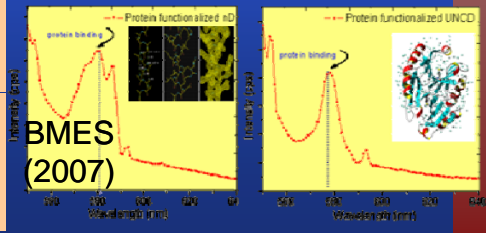
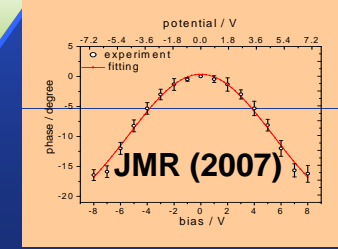
JAP (2004/6)



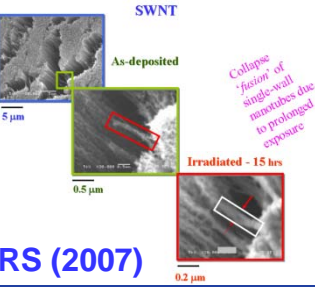
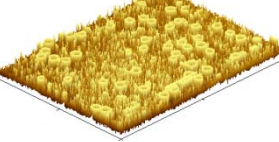
Research Nuggets

Irradiation-
induced surface
modifications in C
nanomaterials: e⁻,
γ-irradiated BDD

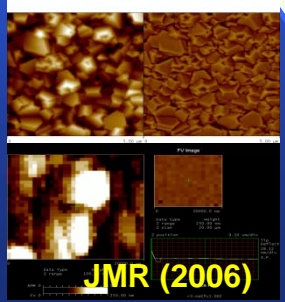
UNCD & B/S/N-
doped diamond –
EFM, nano-
mechanics, bio-
functionalization/
sensing



APL (2006)

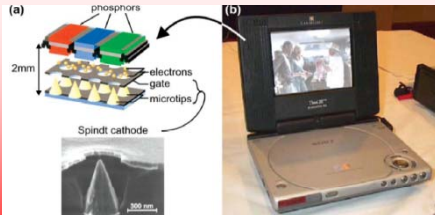


JRS (2007)



JMR (2006)

FEDs; DVD monitors

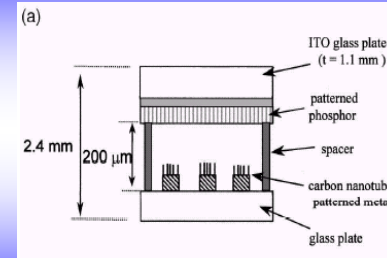


Sony

Portable TV monitors



Samsung, 6 inches video
Motorola 15" / 38"
CNT FED
CEA LETI 2005



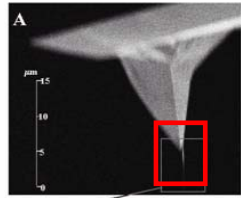
Architect

Array

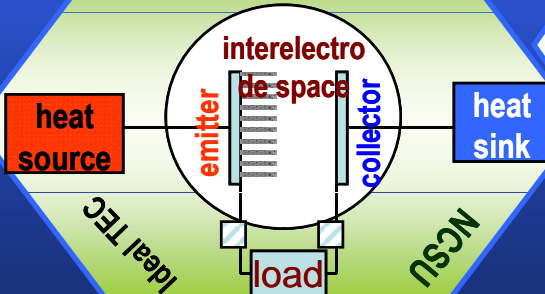
CNT- Vacuum Microelectronics MOTIVATION

SEM, STM & AFM Tip

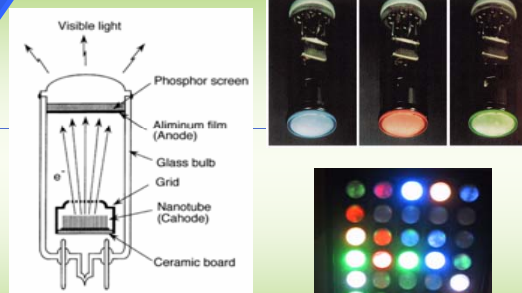
Dai H. et. al. 02
Jonge et. al. 02



Vacuum TEC

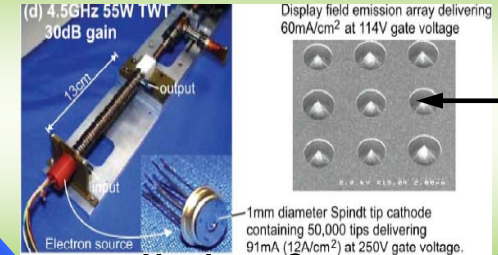


Vacuum tube



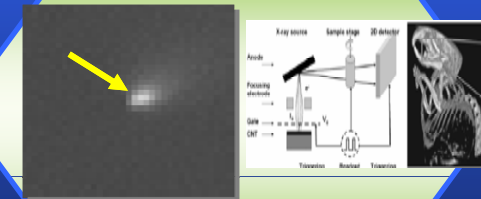
NEC, Japan

TWT- MW Tubes



Northrup-Grumman
Binh, France

Miniaturized X-ray source

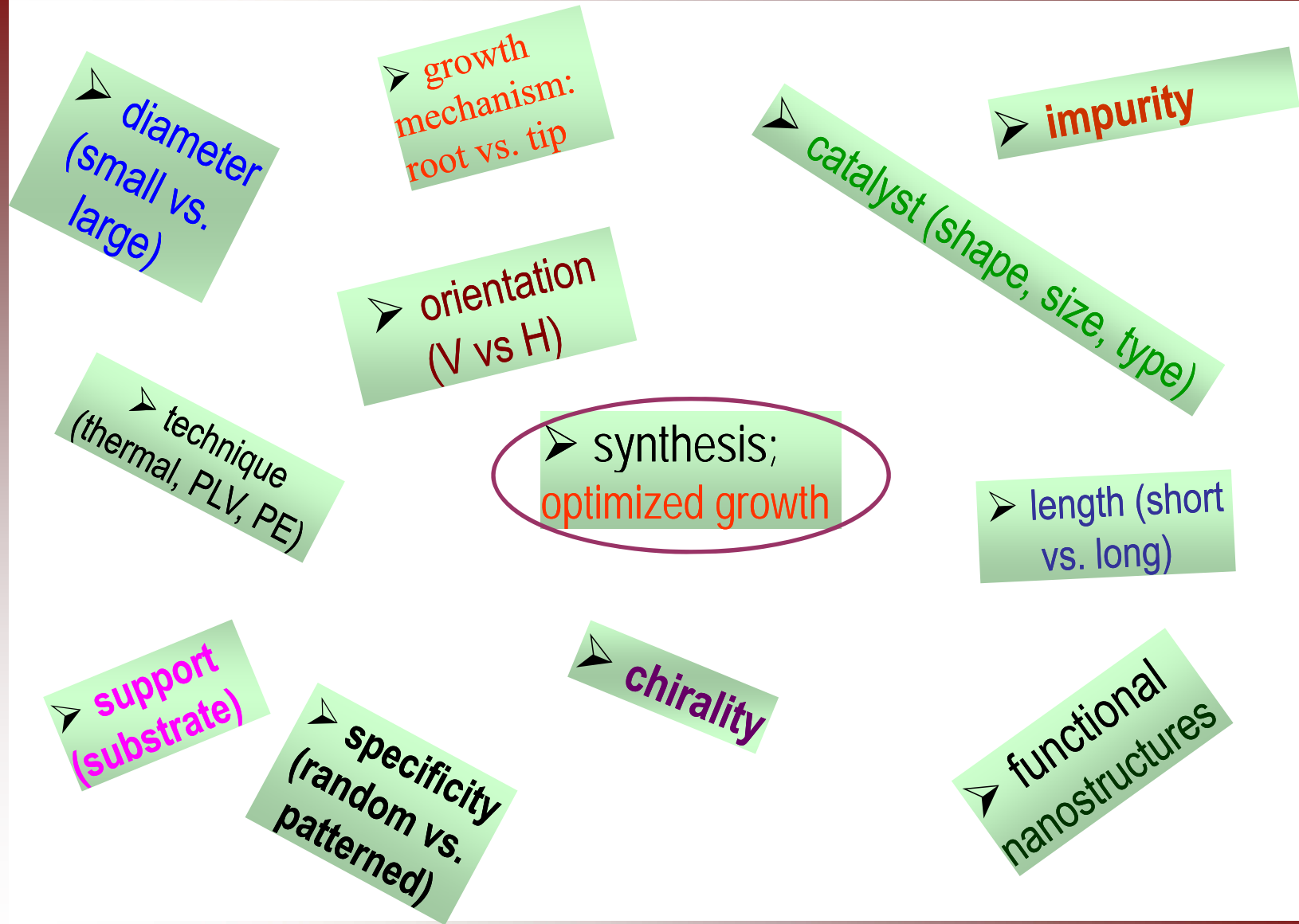


NCSU & UNC-CH
O. Zhou



*VACNTs Films Using MPACVD: Single-/Double- and
Multiwalled; Synthesis and Characterization*

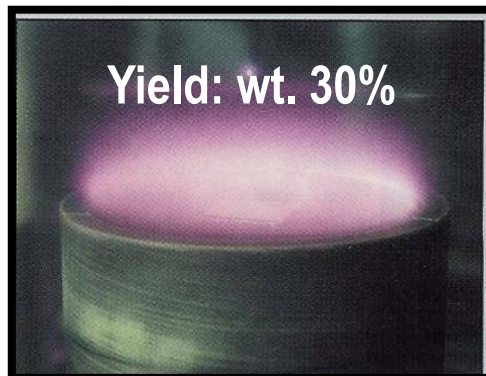




Growth Techniques

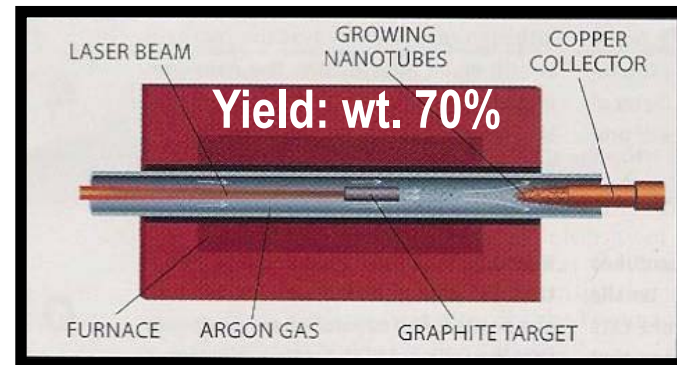
Ways to make Nanotubes:

Zap, Bake or Blast

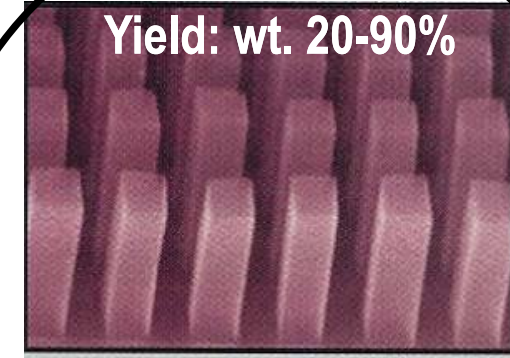


Yield: wt. 30%

[A big spark- DC arc discharge @NEC, Japan - Iijima]



A laser blast @
Rice: Smalley



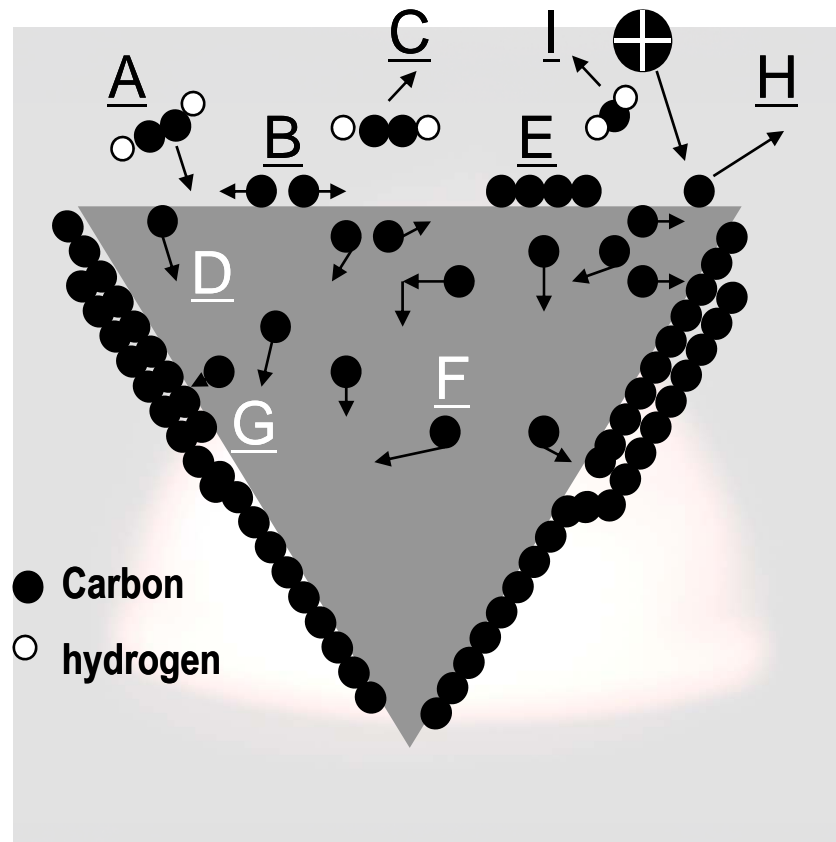
A hot gas-CVD @ Duke,
Stanford, Cornell, and
Japan - Endo

Thermal, Plasma-enhanced CVD -
DC, RF, ECR, MW

Physico-chemical processes

Processes and characteristic energies in nucleation and growth on surfaces

'kinetic versus thermodynamic control'



A: *arrival* of excited species to the surface

B: catalytic *dissociation*

C: *departure* of undissociated molecules

D: *solution* of C into the catalyst

E: *formation* of C film on the catalyst surface

F: *diffusion* of C through or around the catalyst particle

G: *incorporation* of C atoms into a growing graphene layer

H: *sputtering* due to ion bombardment

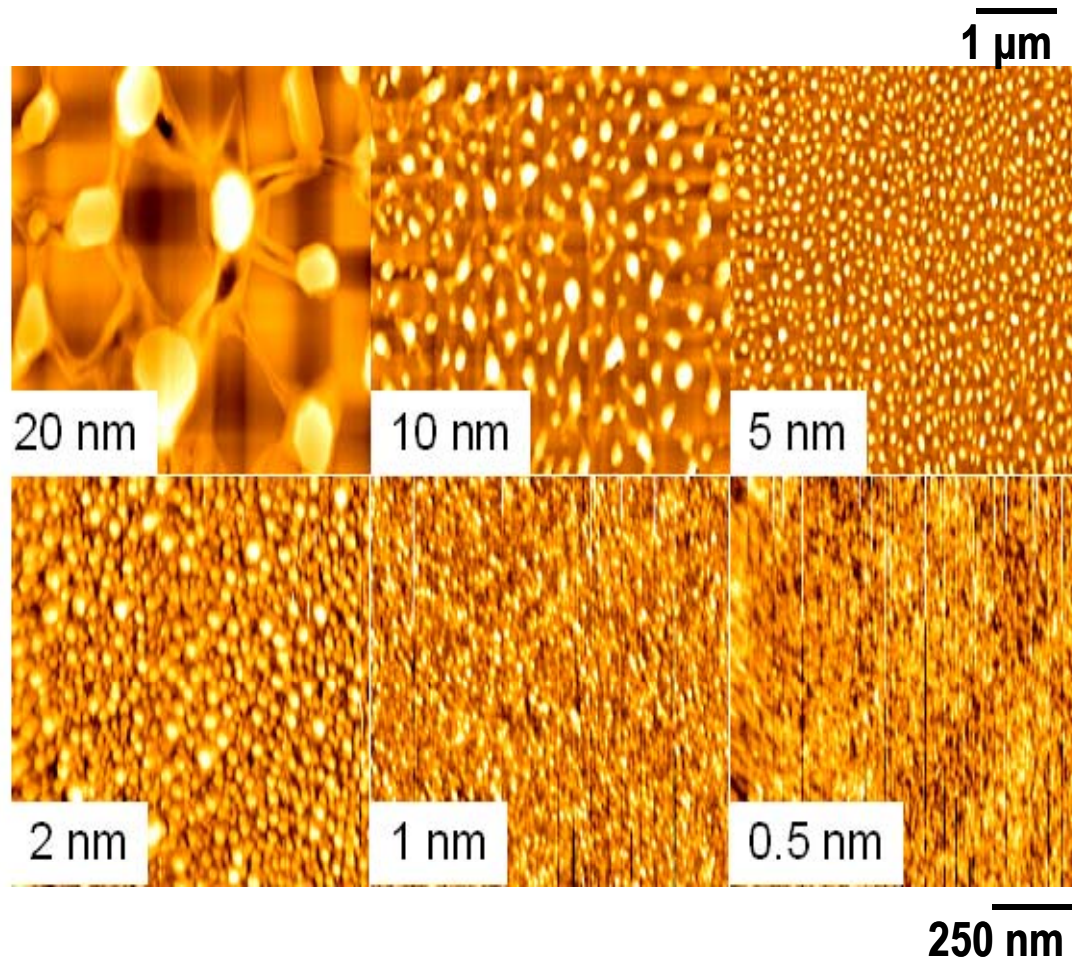
I: chemical *etching* & mechanical force due to interaction of a conducting cylinder with high electric field

Processes at the catalyst nanoparticle in a CVD environment

Variation of Fe island size by changing the initial thickness of Fe film

Results I

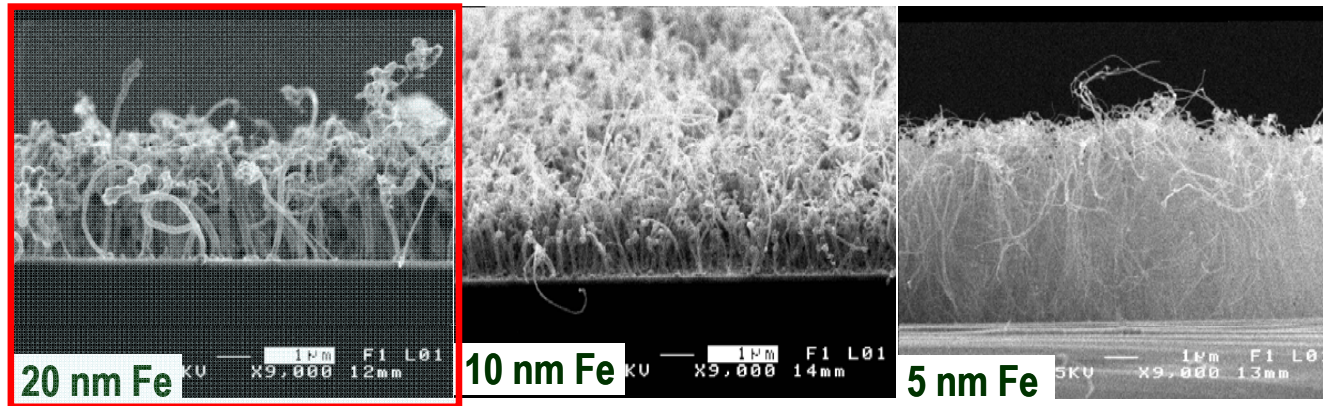
AFM images revealing the topography of annealed (@ 850 °C for 10 mins) Fe films with different thicknesses



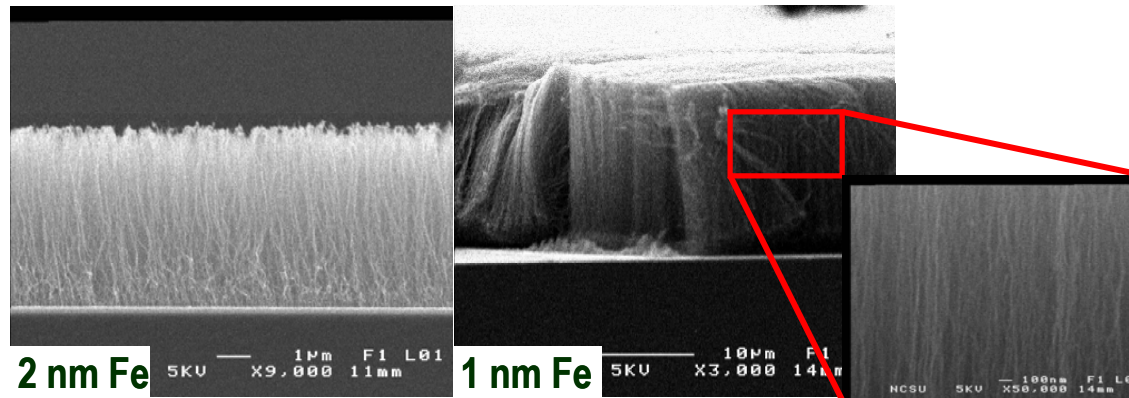
Fe film thickness (nm)	Island size (nm)
20-80	200-600
10	100-200
5	60-120
2	30-60
1	30-50
0.5	10-15
0.3	10-15

Diameter controlled growth of CNTs by MWCVD

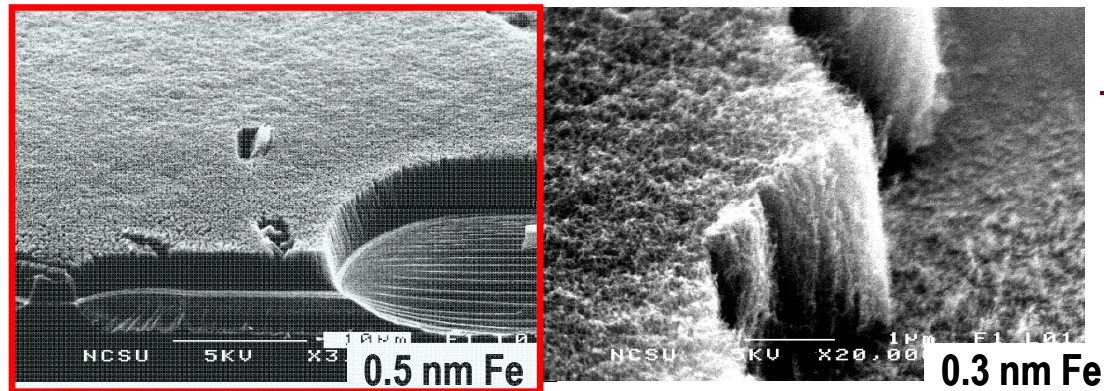
SEM images of vertically aligned CNT films on different thickness of Fe catalyst layer exhibiting an apparent morphological variation



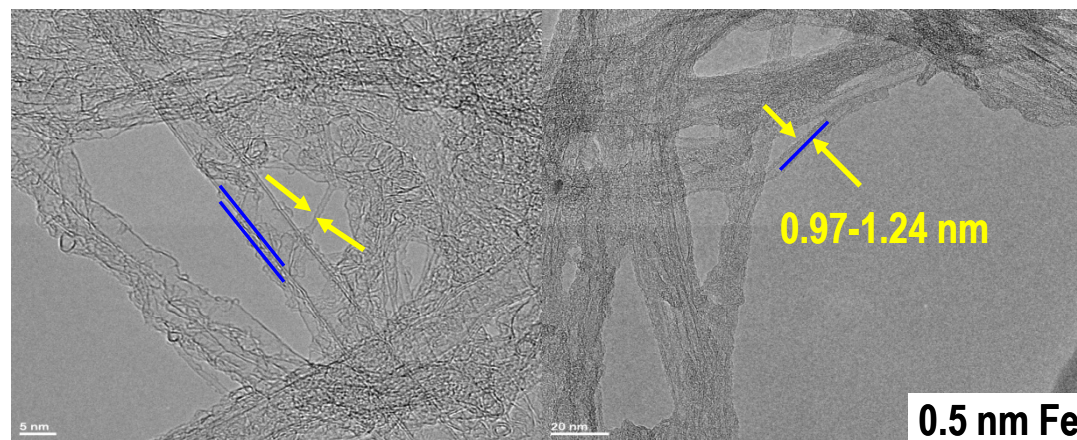
Diameter of nanotubes decreases as Fe film thickness is reduced from 20 to 1 nm. High areal density ($\sim 10^{13-14}/\text{cm}^2$), less impurity, and better alignment of films are achieved.



HOWEVER, is it possible to grow VA SWNTs ?



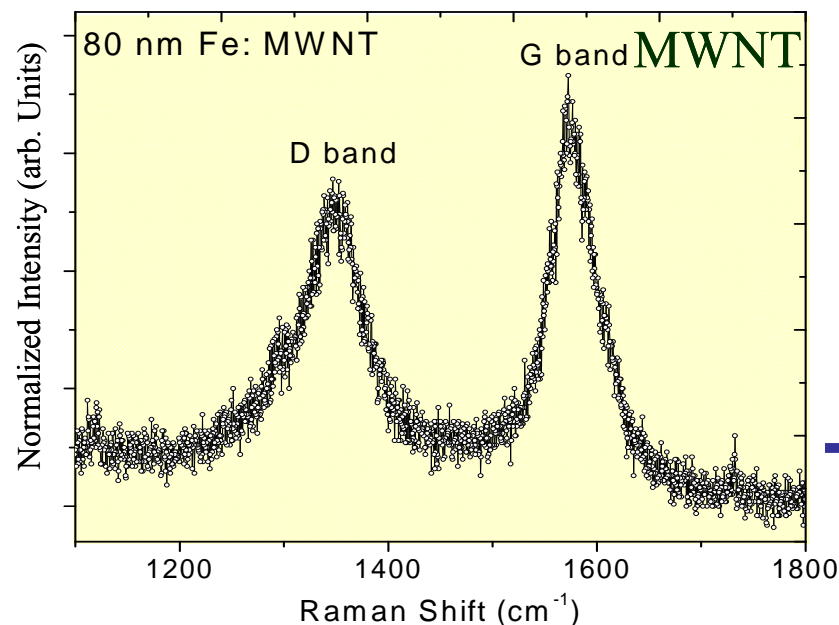
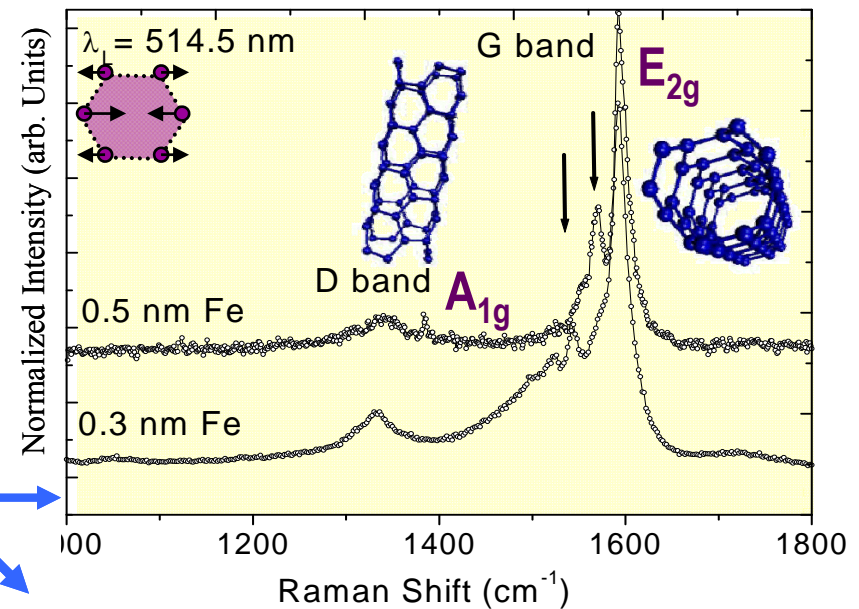
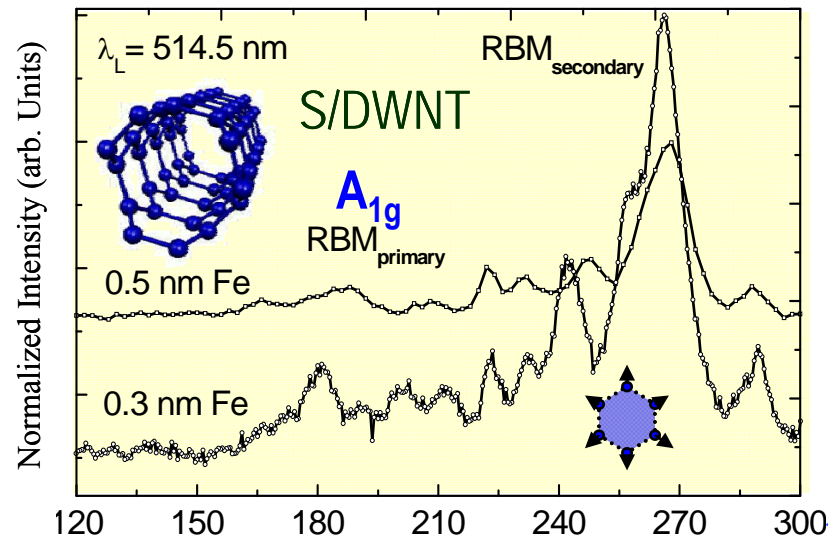
SEM



HRTEM

Single- and double walled NTs obtained by continuous reduction of Fe layer thickness (0.3 - 0.5 nm) in conjunction with relative high growth temperature (~ 850 - 900 ° C) and fast growth times (30 - 60 secs).

vis Raman spectra of Single-/double- and multiwall CNT films

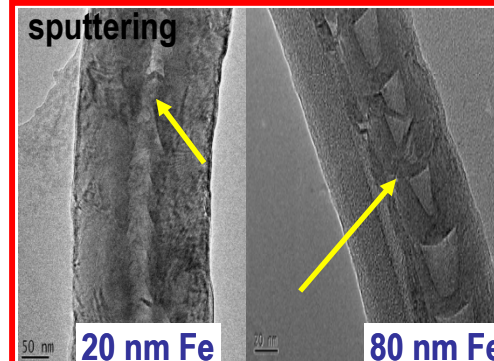
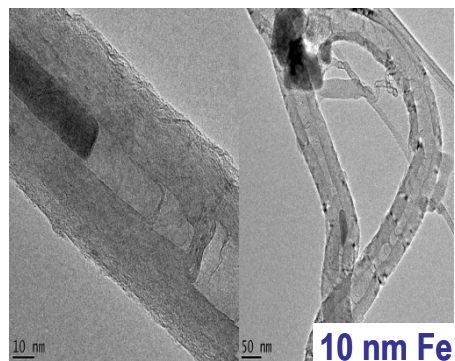
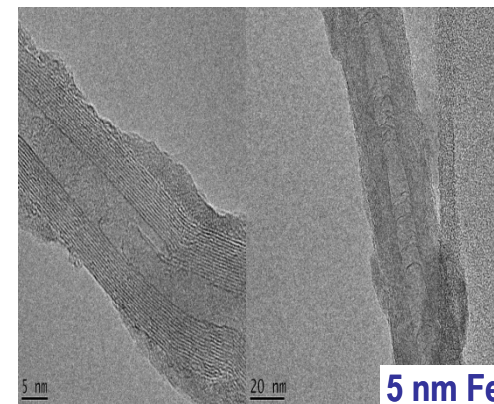
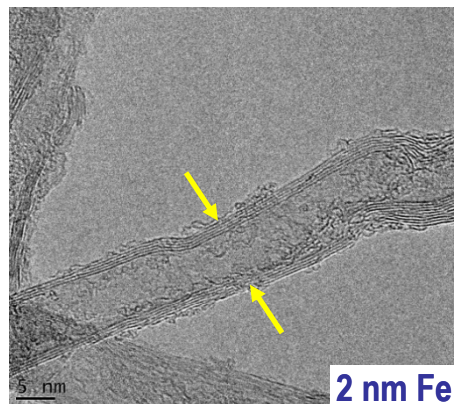
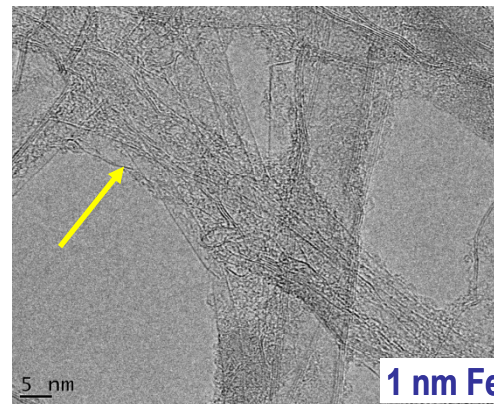
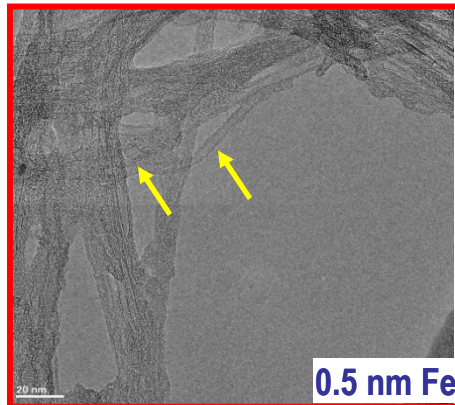


$d_t \sim 234/\omega_{\text{RBM}}$
 0.86 nm (inner) & 1.24 nm (outer)
 $I_D/I_G \sim 0.12$ (measure of degree of disorder - *purity*)

Invariably absence of RBM and G band splitting in MWNTs unlike $I_D/I_G > 0.8$ SWNTs

Internal structural transition of NTs probed using HRTEM: From hollow and bamboo-like to fiber-like

As diameter of nanotubes increases because of increasing Fe layer thickness, the number of tube walls (or shells) increases *i.e.* from single and double to multiwalled. An internal structural transition occurred from hollow- to bamboo-like at Fe thickness of ~ 5 nm.



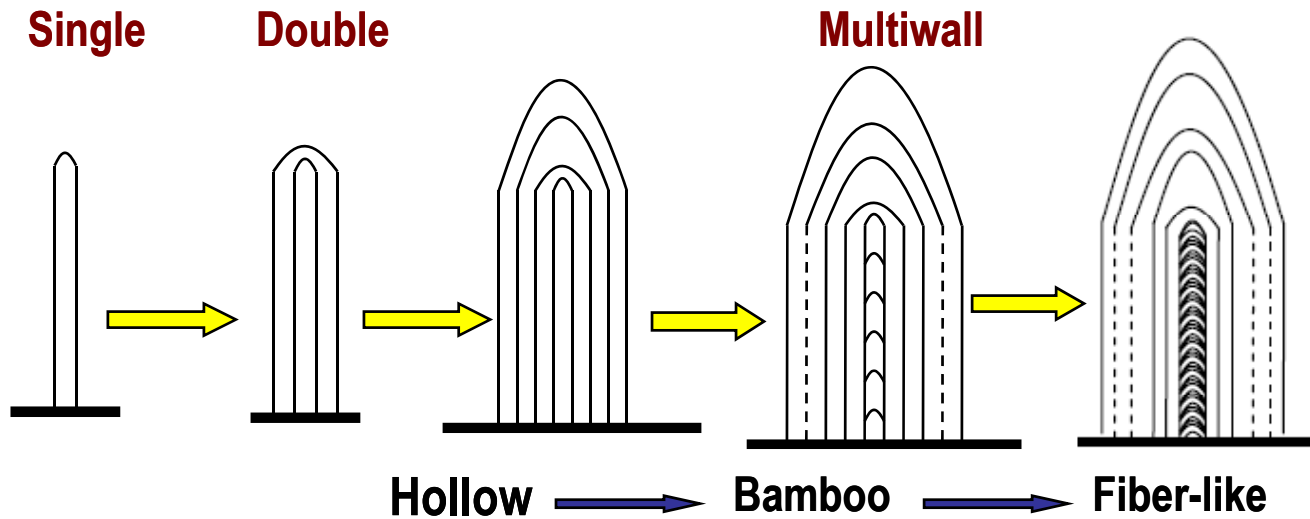
hollow;
single walled

hollow;
multiwalled

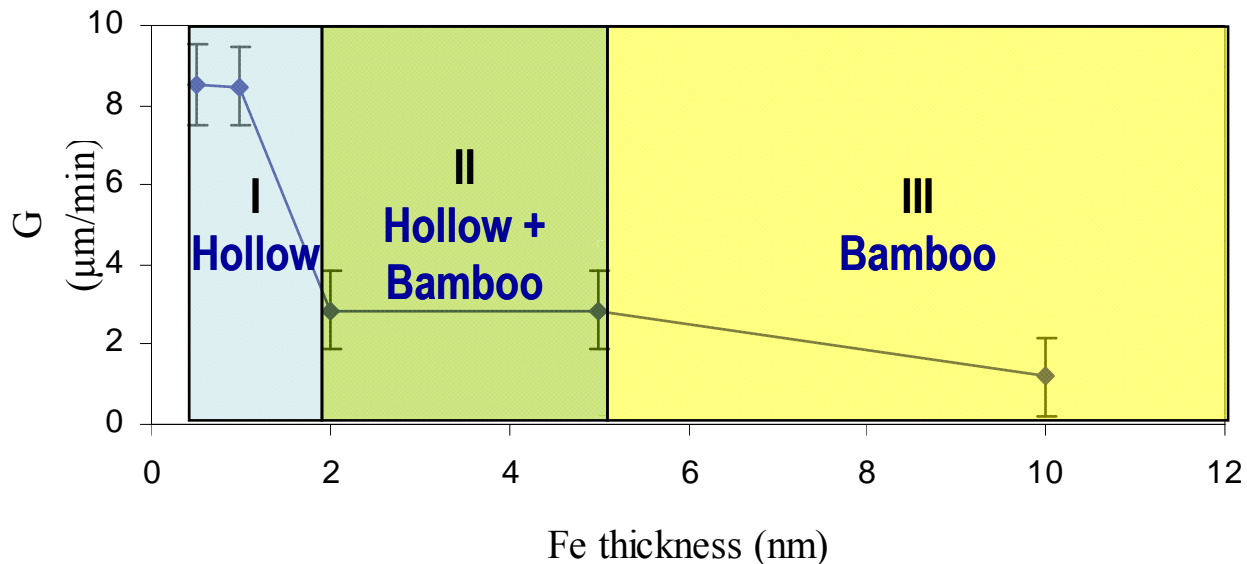


bamboo- &
fiber-like;
multiwalled

Internal structure transition contd...

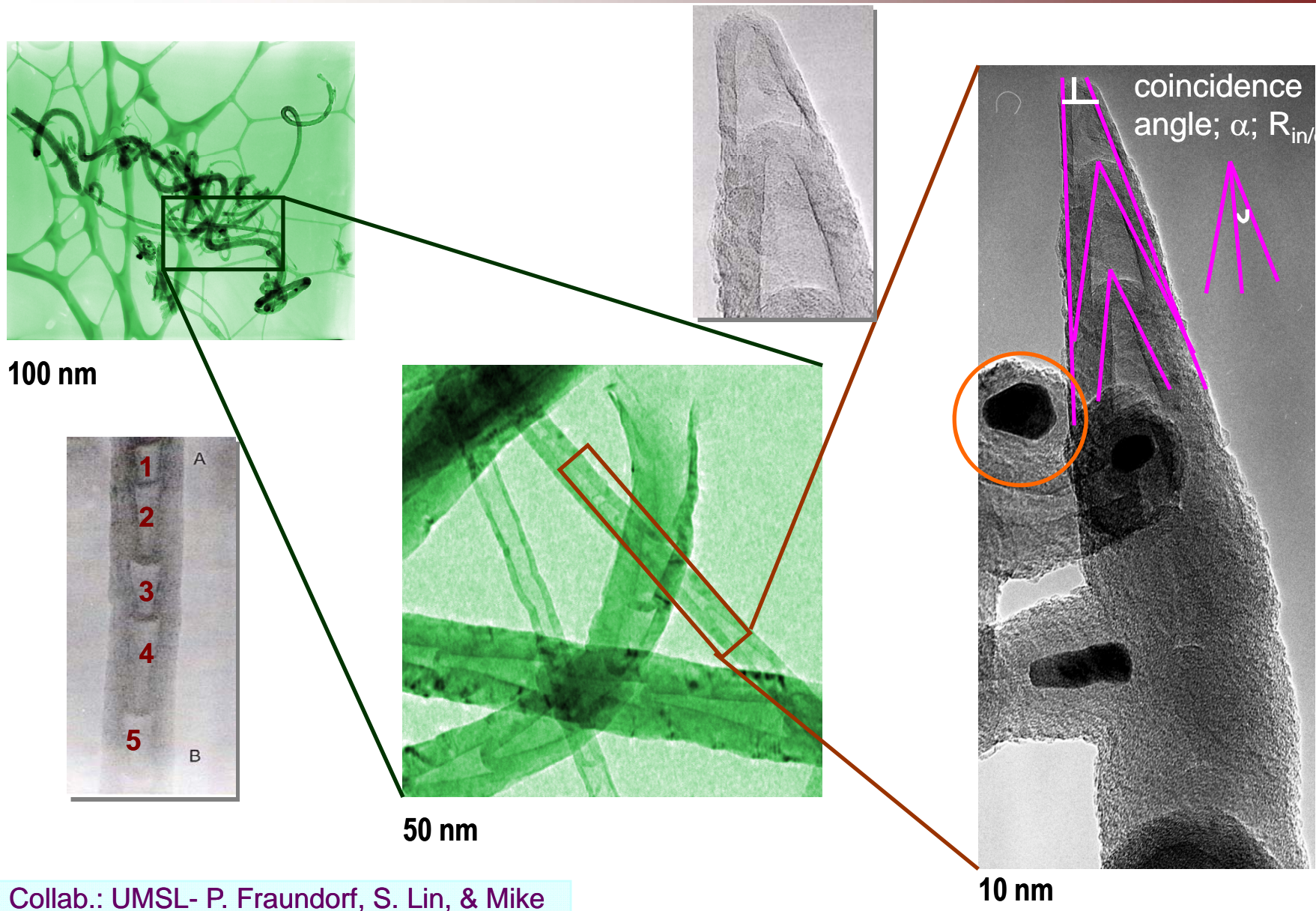


Variation of Growth rate ($\mu\text{m}/\text{min}$) with Fe layer thickness (nm)



Thermodynamically speaking, surface diffusion is predominant for small size catalyst particles - may be due to large surface to volume ratio - which promotes tube outer wall formation over inter-wall structure resulting in internal structure transition from hollow to bamboo-like.

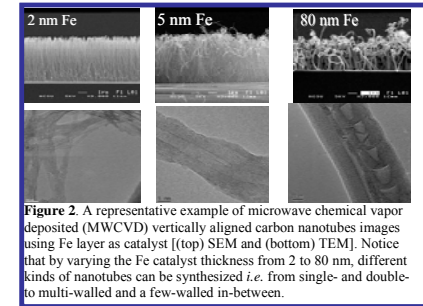
Bamboo-like MWNT HRTEM Images: Chirality and growth mechanism



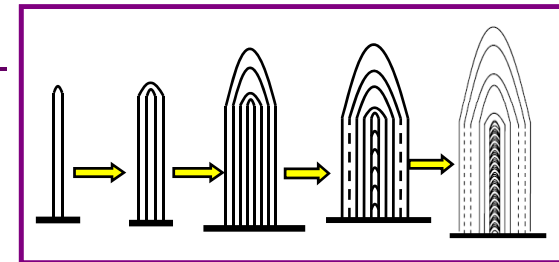
Collab.: UMSL- P. Fraundorf, S. Lin, & Mike

Summary: Part I

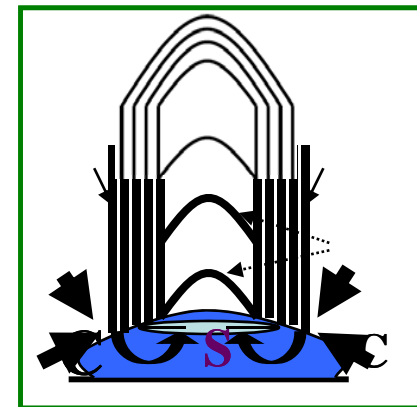
- All carbon creatures: Small or big - S/DW and MW nanotubes i.e. diameter controlled VA CNT growth by MWCVD was achieved

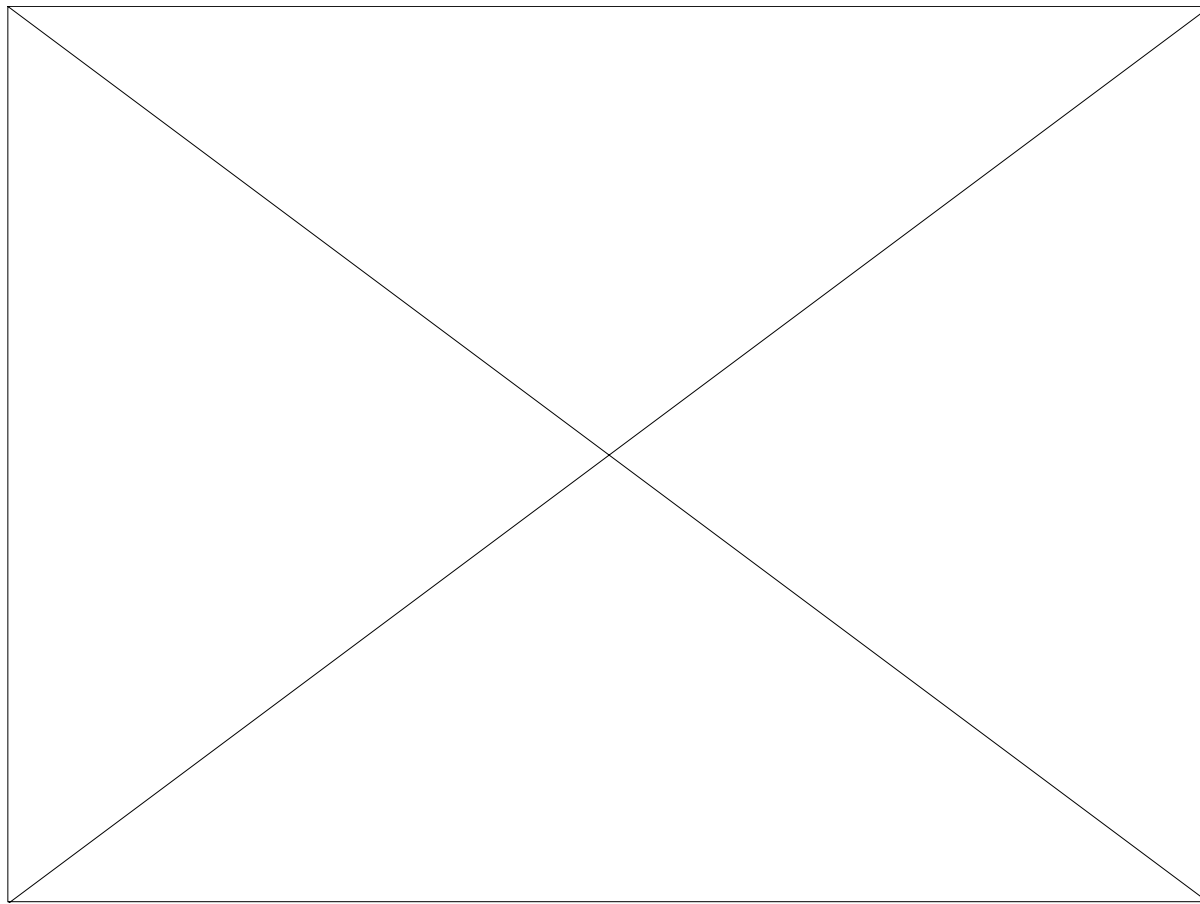


- Internal structure transition from hollow to bamboo- and fiber-like in NTs was probed as a function of Fe thickness using HRTEM



- Growth model to describe the internal structure transition in terms of surface versus bulk diffusion was proposed



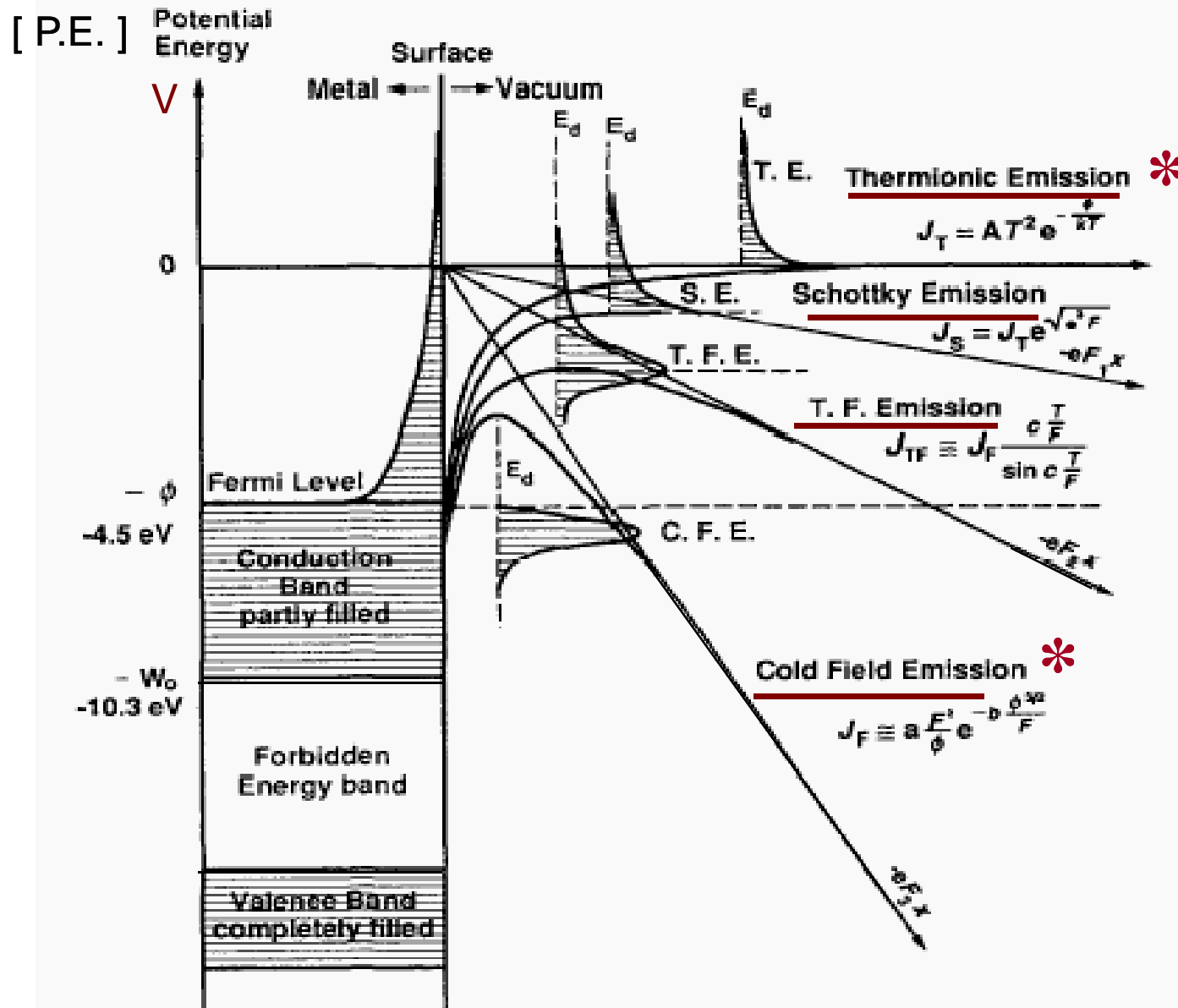


<http://materials.ecn.purdue.edu/%7Emdasilva/CNTs.shtml>

Comparison of Solid-State and VME Devices

Properties	Solid-State	VME
Current density	10^4 - 10^5 A/cm ²	$\sim 2 \times 10^3$ A/cm ²
Voltage	> 0.1 kV	> 10 V
Structure	Solid/Solid interface	Solid/Vacuum
Electron transport		
Medium	Solid	Vacuum
Ballistic	< 0.1 μm , LT	100% Ballistic
Coherence	$L < 0.1 \mu\text{m}$, $t < 10^{-13}$ s @ RT	$L \gg 0.1 \mu\text{m}$, $t \gg 10^{-13}$ s
Lens Effect	Difficult	Easy
Noise		
Thermal	Random motion of carriers	Comparable
Flicker	Surface/interface effects	Worse
Shot	Fluctuation in generation/recombination rates of carriers	Comparable
Electron energy	< 0.3 eV	Several to 1000 eV
Cutoff frequency	< 20 GHz (Si) < 100 GHz (GaAs)	< 100-500 GHz
Power	Small	Large
Radiation hardness	Poor	Excellent
Temperature sensitivity	-30 - + 50 °C	< 500 °C
Fabrication/materials	Well established	Not yet

Electron Emission Processes

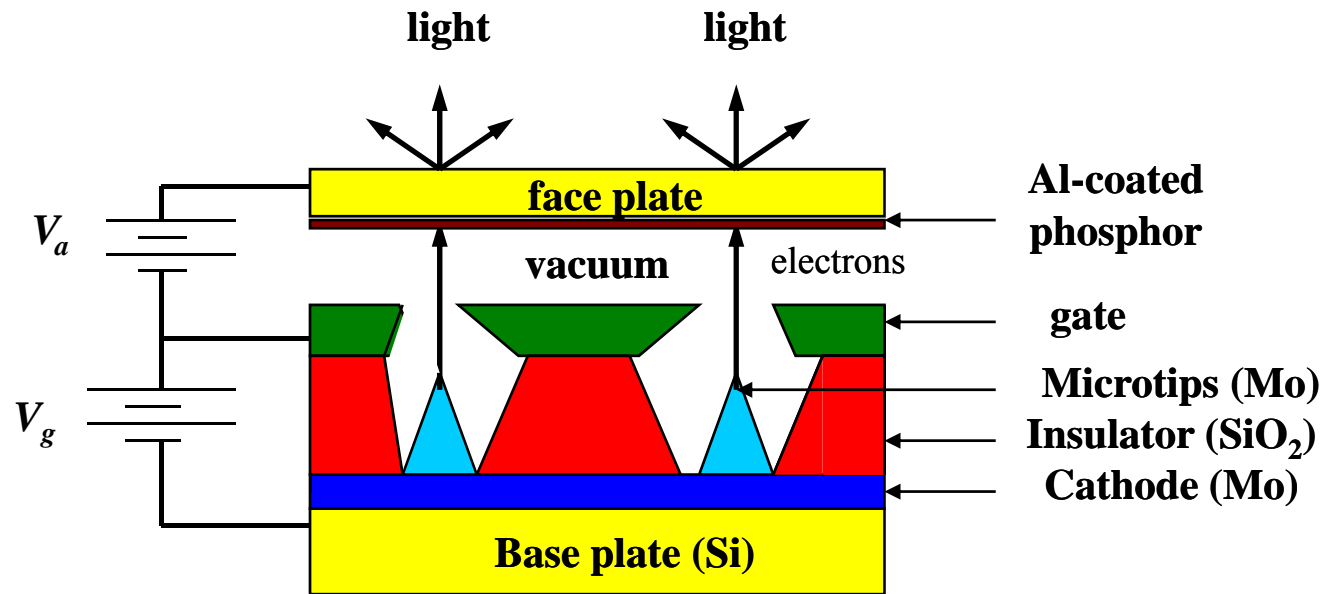


Thermionic Emission

- Materials: Tungsten, LaB₆
- Low brightness
- High temperature operation (1800 - 2700K)
- Large energy distribution
- Size of source: Macroscopic
- High power required

Field Emission

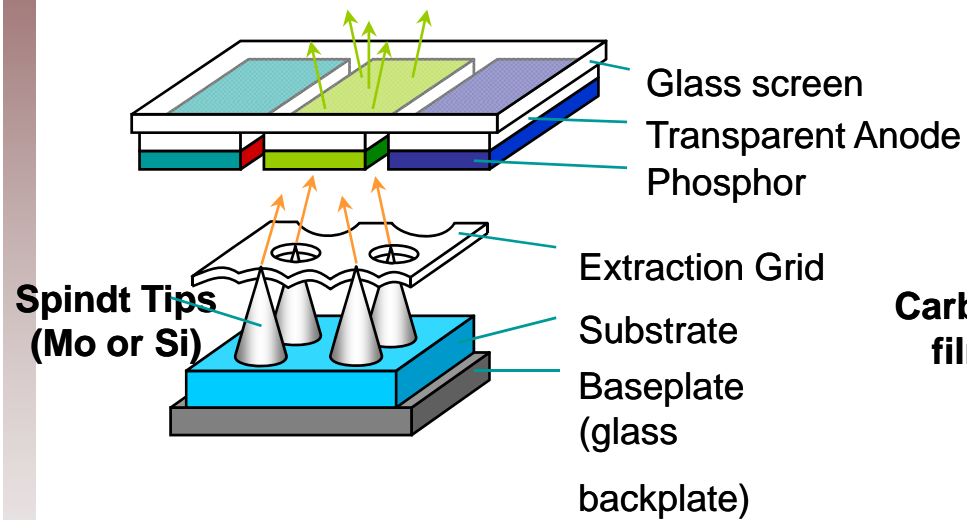
- Materials: Molybdenum, Silicon, Diamond, n-C etc.
- High brightness
- Room temperature operation
- Narrow energy distribution
- Size of source: Microscopic
- High voltage may be required



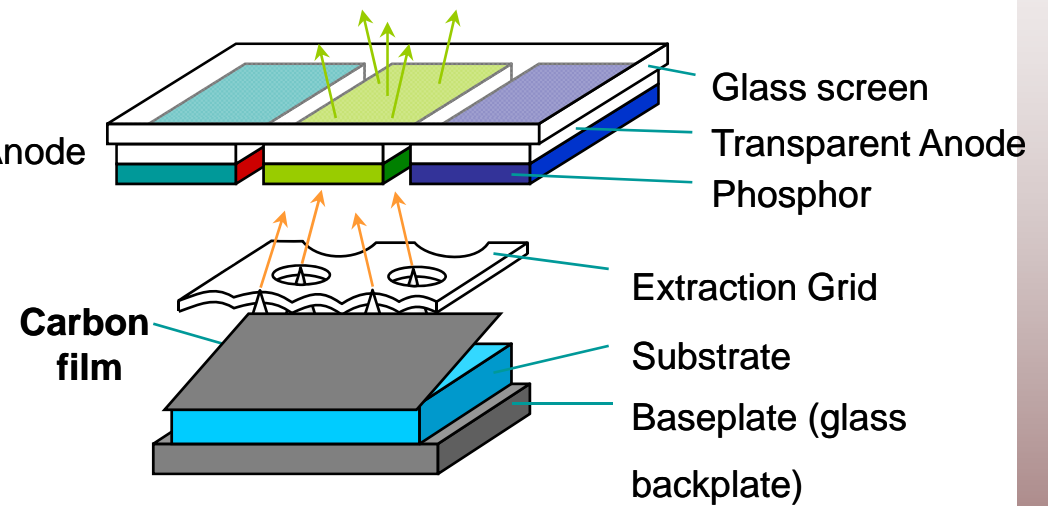
Metal tips: Spindt tips (1976)

Birth of Vacuum Microelectronics (VME)

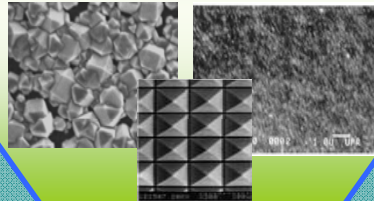
FEDs: A) Spindt tips



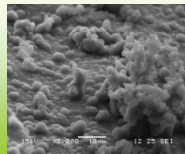
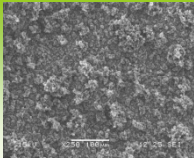
B) Carbon film cathodes



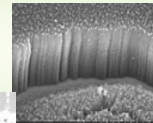
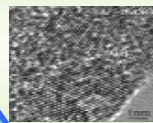
CVD diamond;
poly → micro → nano



CP; *Organic VME*



UNCD, Nanotubes

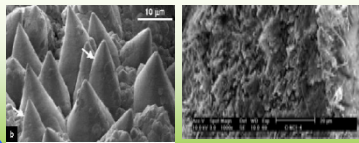


MW

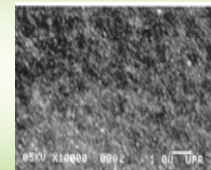
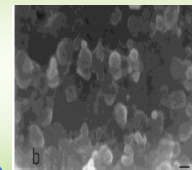
SW

*Material Pool:
Advanced
multifunctional
carbons as cold
cathodes*

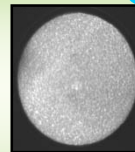
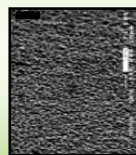
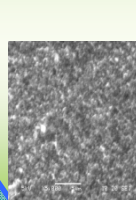
Graphite cones &
hybrid systems; ta-C
/ CNT



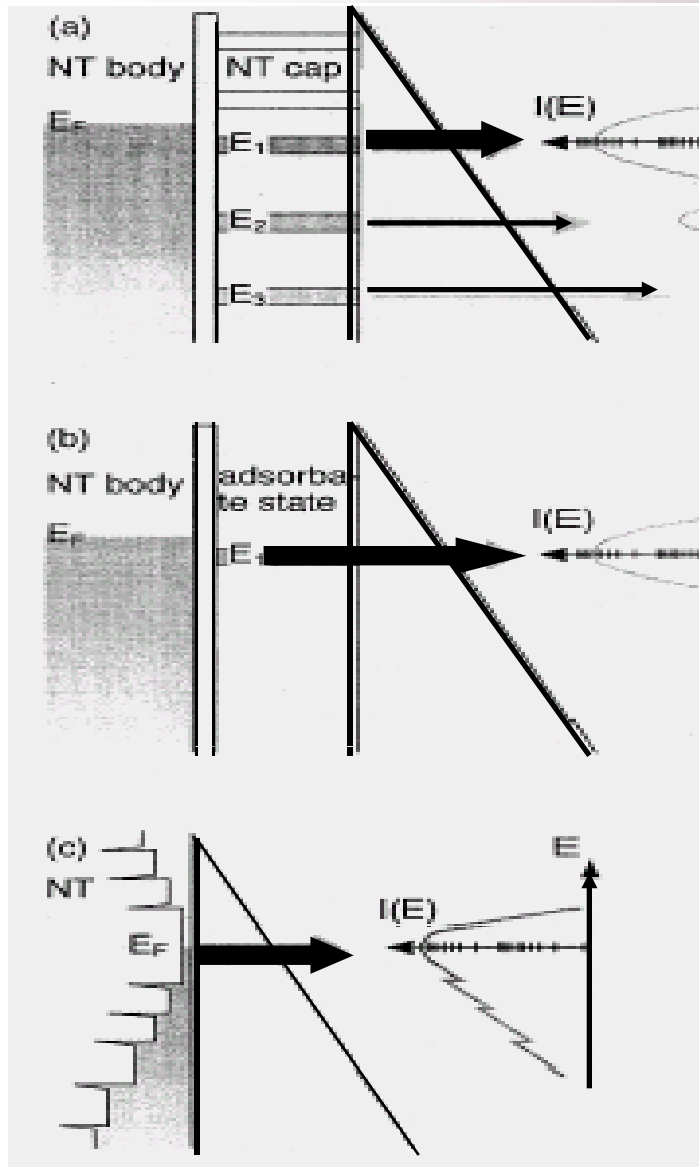
Nanocomposites



B/S/N - doped
diamond



EFE Models: Carbon nanotubes



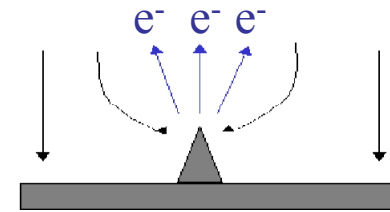
a) tip enhancement
(geometrical)

[high aspect ratio
structures; $\beta = h/r$]

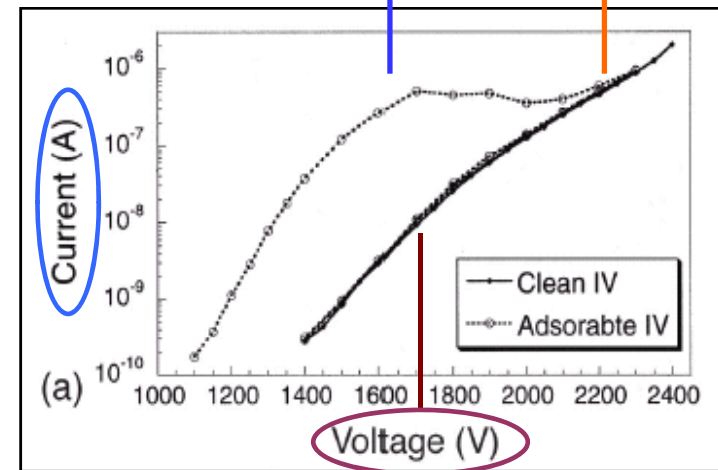
b) adsorbate
resonant
tunneling

c) metallic
SWNT DOS

at the tip



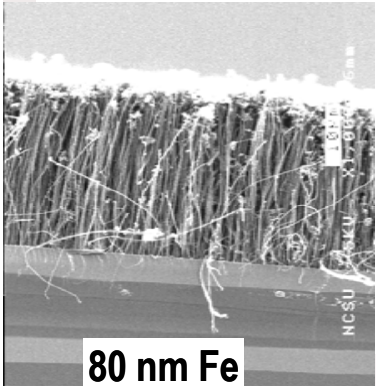
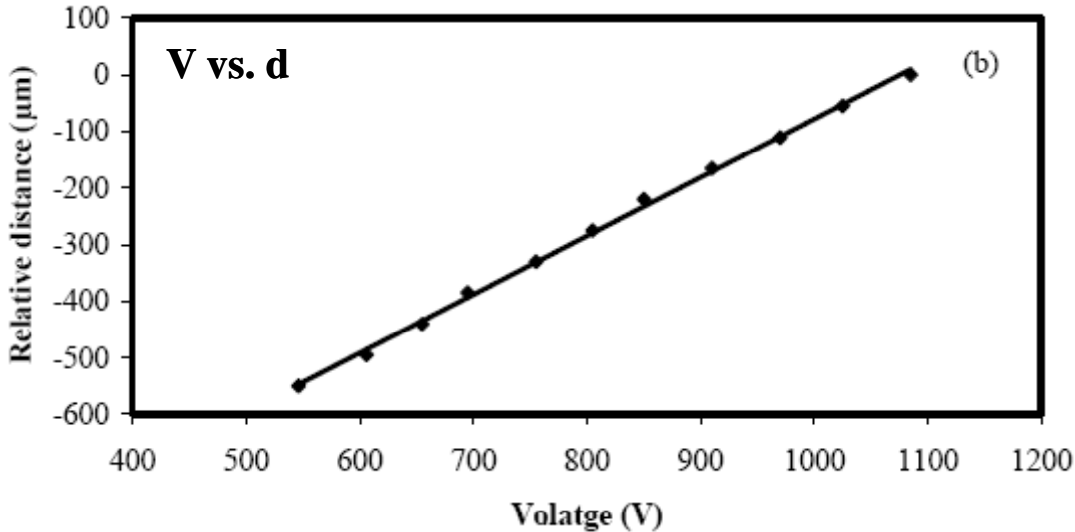
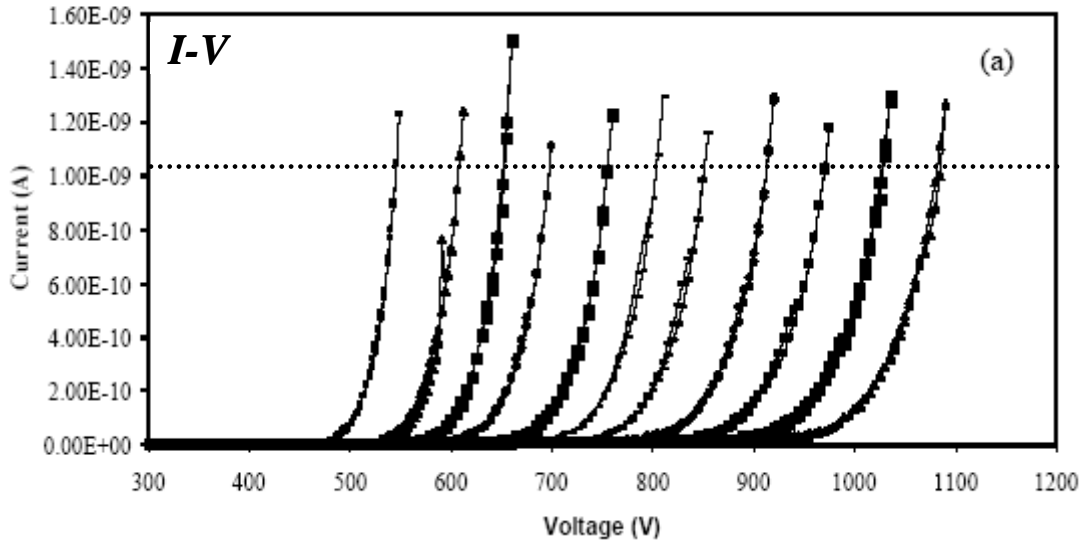
Adsorbate Field-induced
desorption



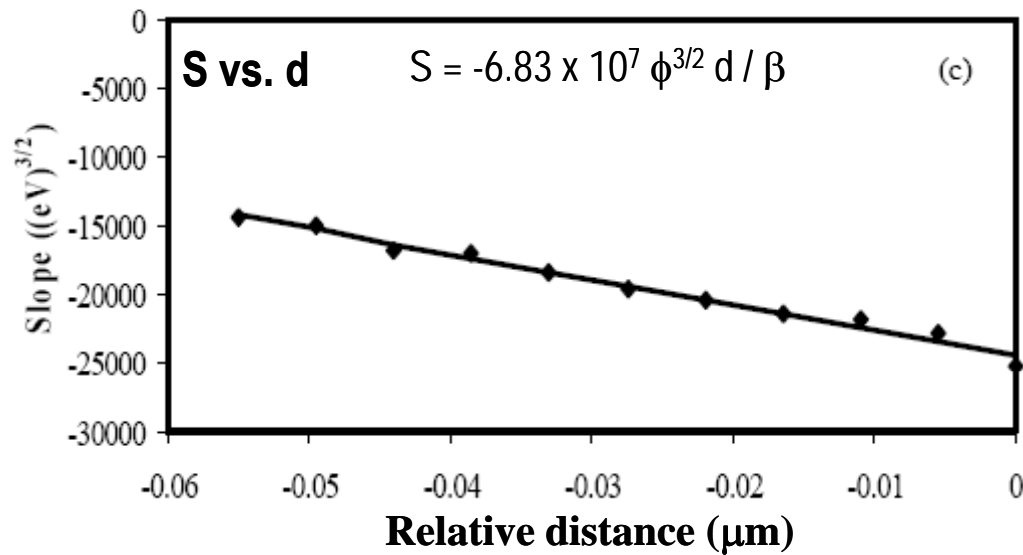
Clean

***I-V* characteristics and Imaging: Single- *versus* Results II**
multiwalled nanotubes

Field Emission Results: MW nanotubes



Field Emission contd...



Slope = -1.84×10^5 (eV)^{3/2}

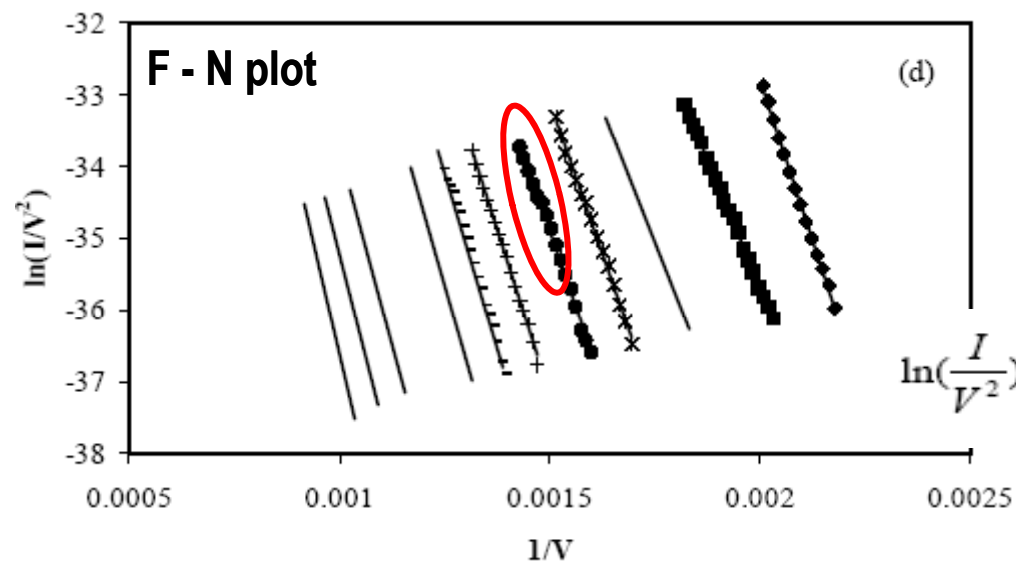
$\phi = 5.0$ eV

$\beta = 4140$

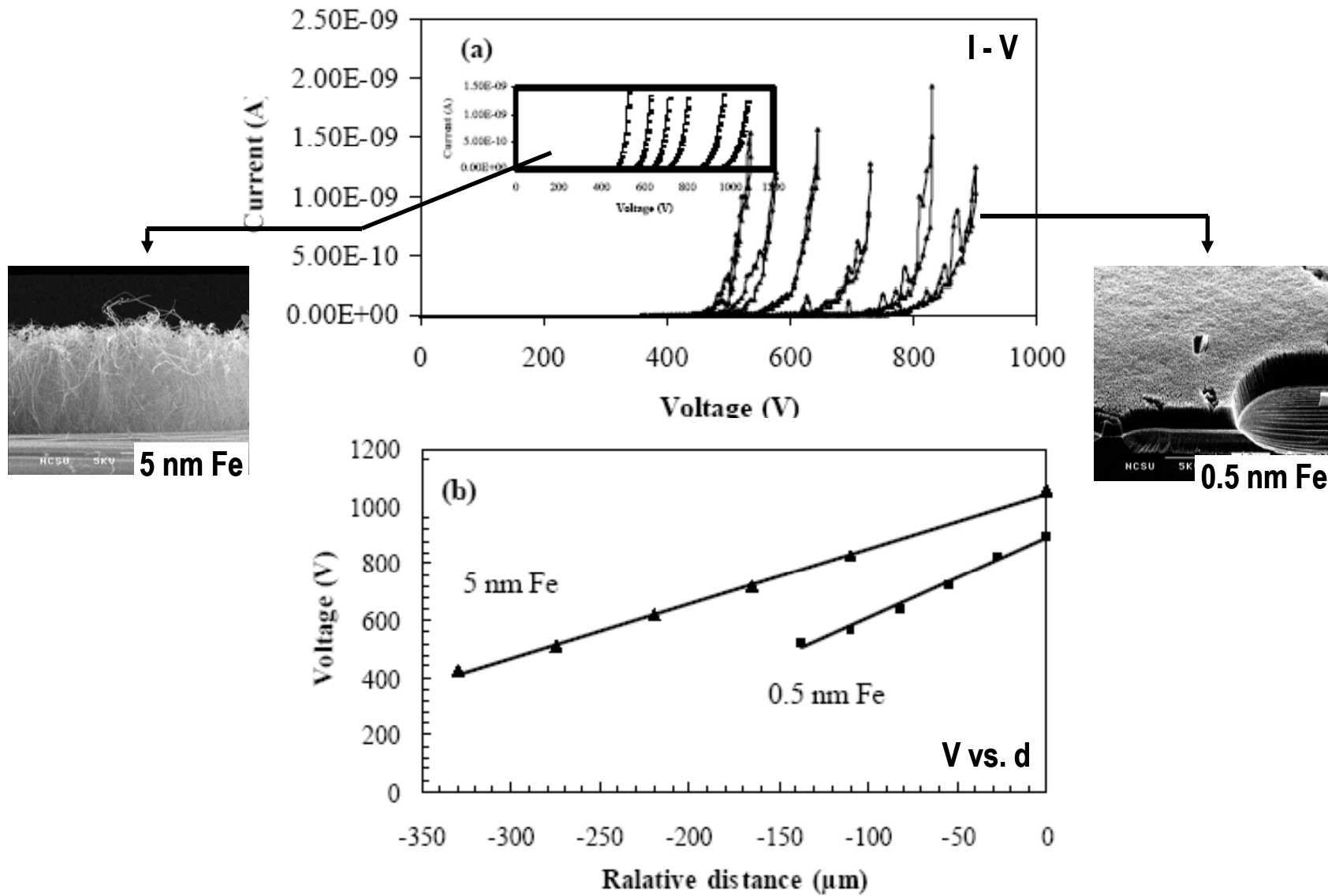
$E_{thr} \sim 1$ V/μm

$V_{max} = -3.79 E^{1/2}$ eV

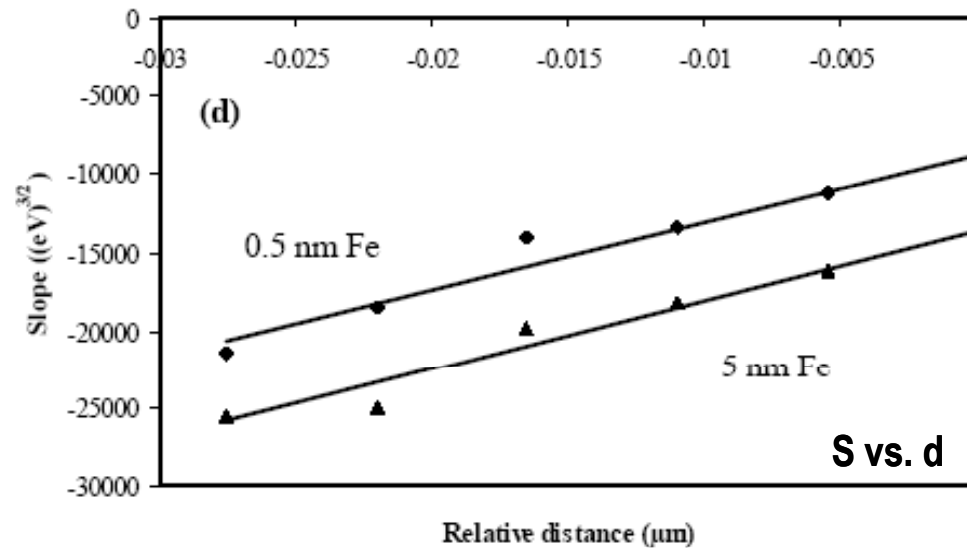
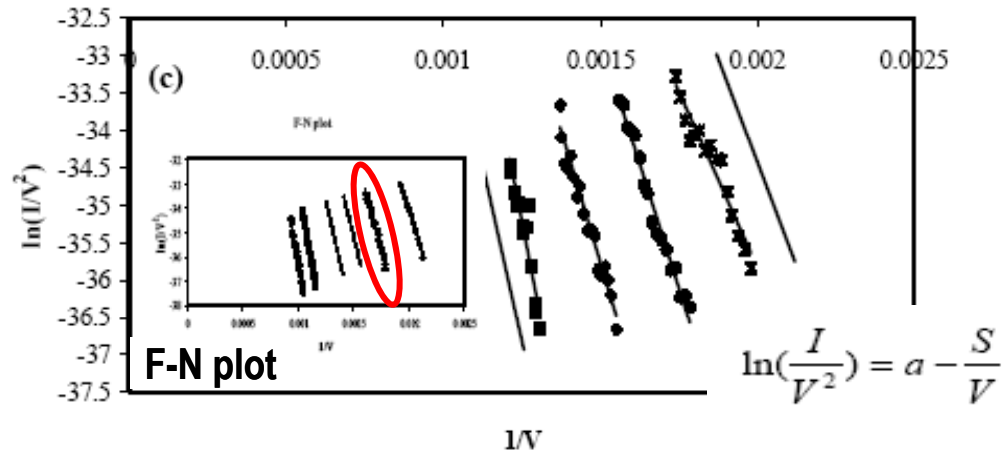
(lowering barrier by almost 2.4 eV)



Field Emission Results: S/DW nanotubes



Field Emission Results: S/DW nanotubes



Slope (S) = -1.84×10^5
(eV)^{3/2}

$\phi = 5.0$ eV

$\beta = 1700$

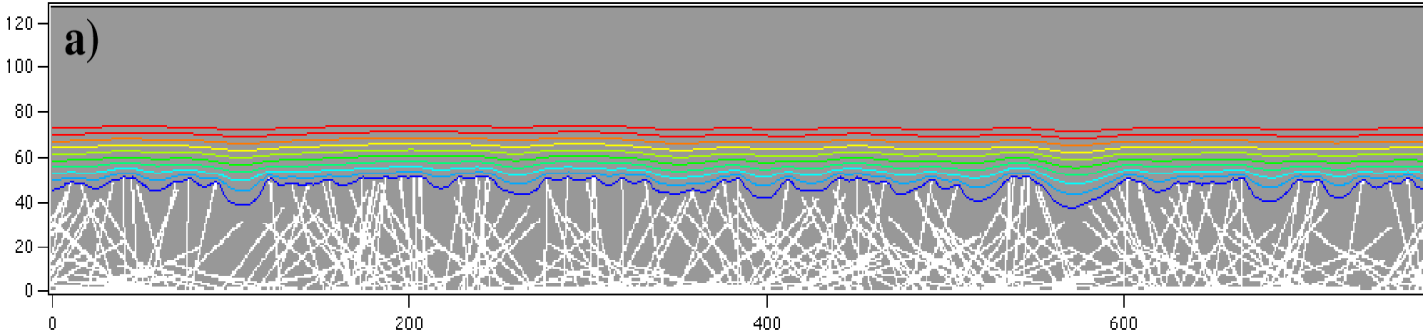
$E_{thr} \sim 2 - 5$ V/μm

$V_{max} = -3.79 E^{1/2}$ eV

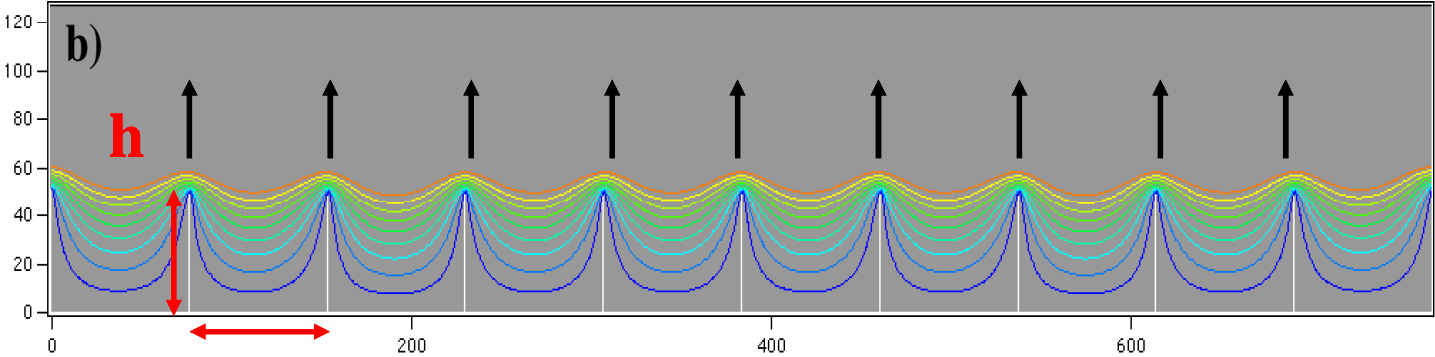
(lowering barrier by almost
2.6 eV)

(for both 0.5 and 5 nm Fe
films)

Discussion: Simulation of electric field distribution: CNTs



a) densely packed random arrangement of nanotubes

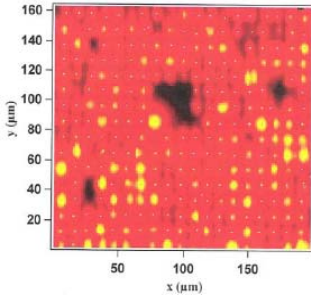


b) regularly spaced single nanotubes

$d > h$

$$N(F) = N_0 \exp(-b/F)$$

$$\sim N(\beta) = N_0 \exp(-\beta/\beta_0)$$



Distribution of beta

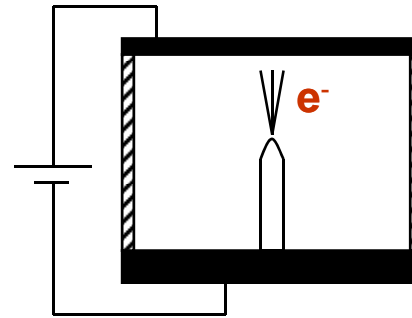
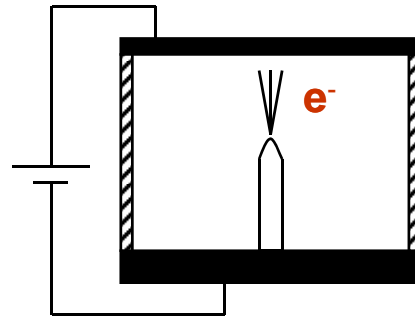
Robertson *et. al.* JVST A (2000).

Parallel-Plate Field Emission Measurements

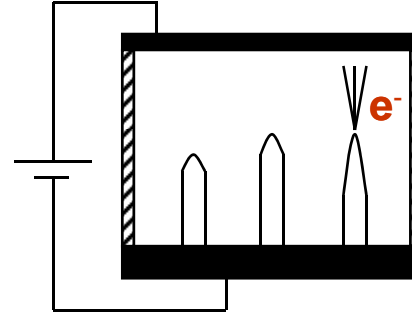
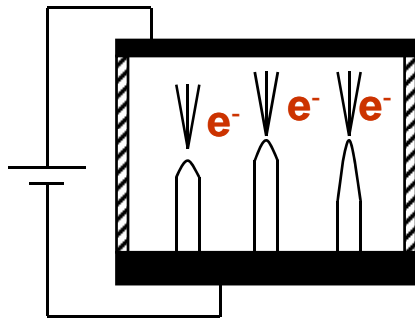
Wishful Thinking

Reality

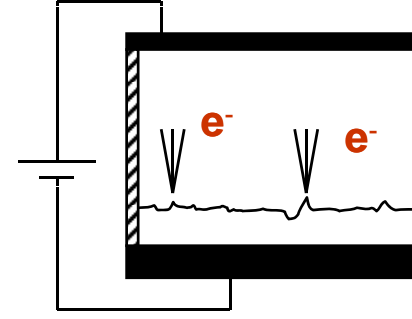
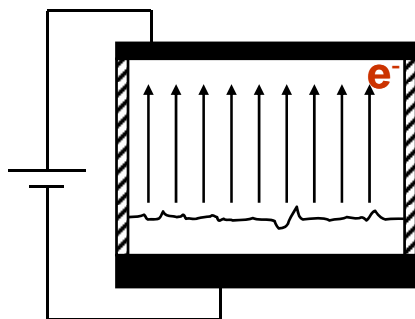
Single Tip



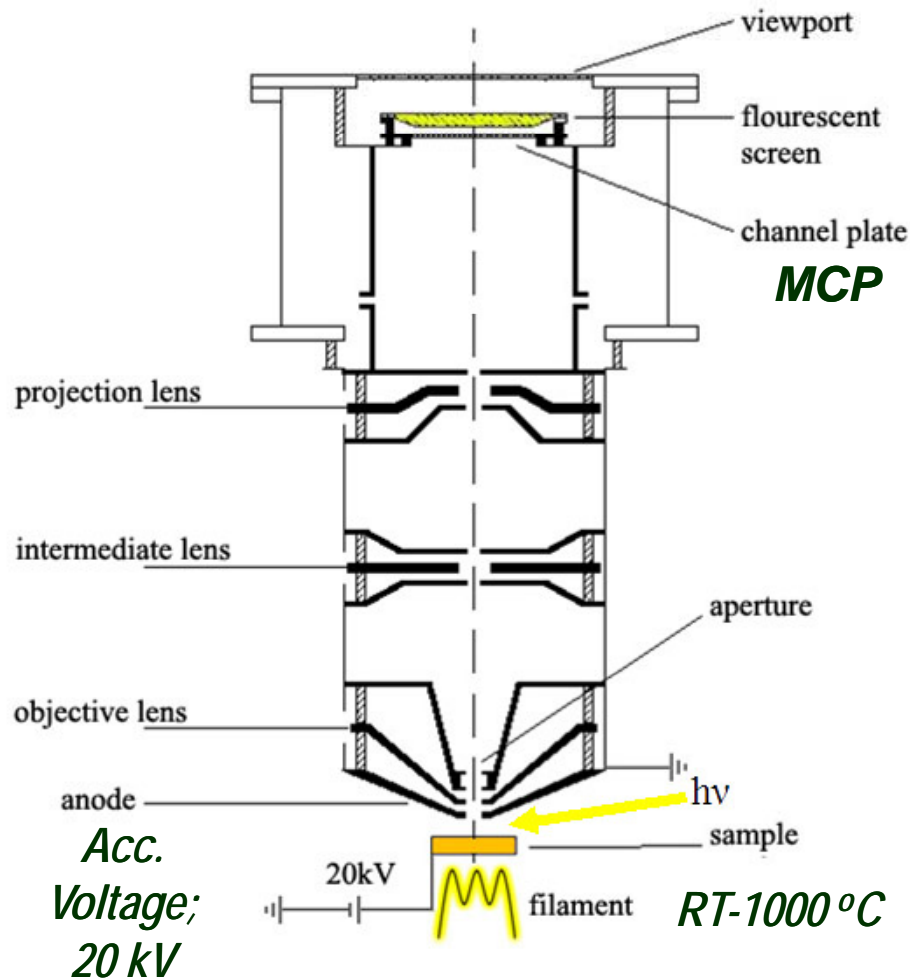
Array of Tips



Thin Film



Detecting Electron Emission: Electron Emission Microscopy



NCSU + Duke
OK-4 FEL

Modes of operation:

Photo Electron Emission Microscopy (*PEEM*)

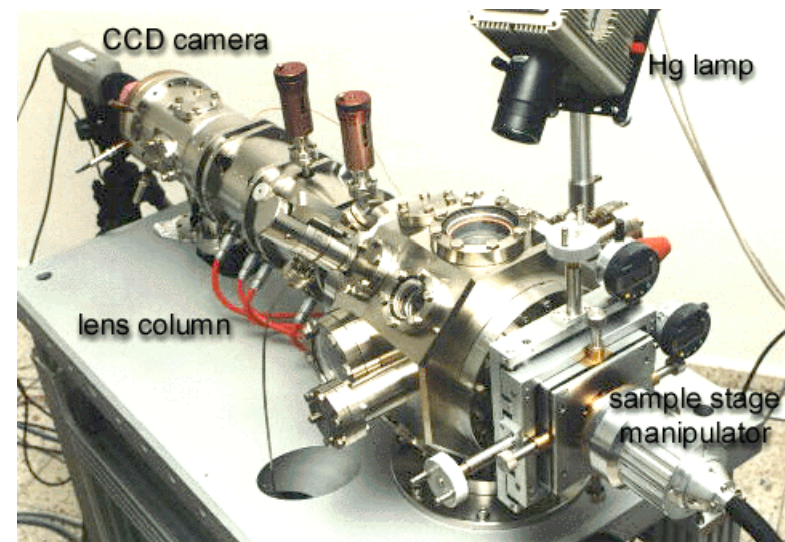
Excitation with UV light source

* Field Electron Emission Microscopy (*FEEM*)

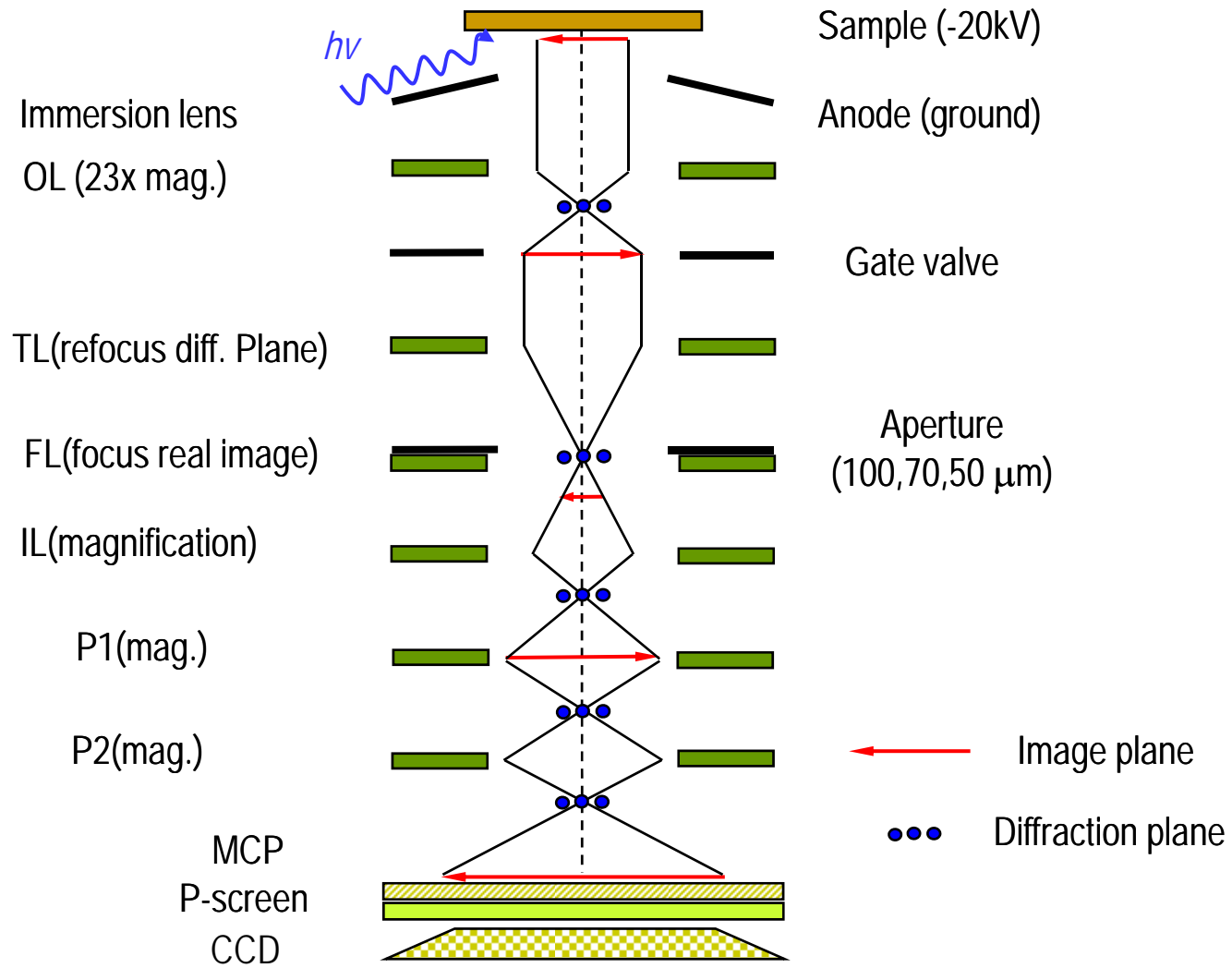
Turn off the UV light source

* Thermionic Field Electron Emission Microscopy (*T-FEEM*)

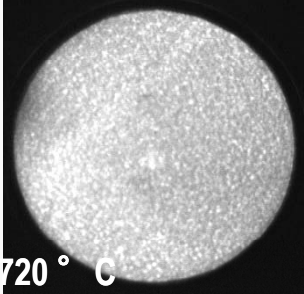
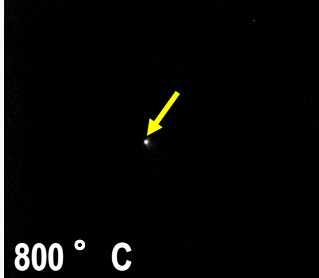
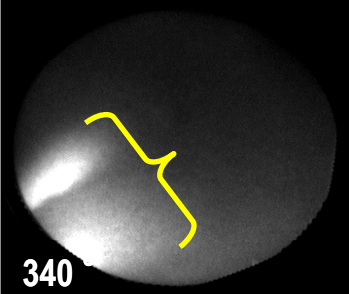

Temperature dependence (no light source)



PEEM Lens column

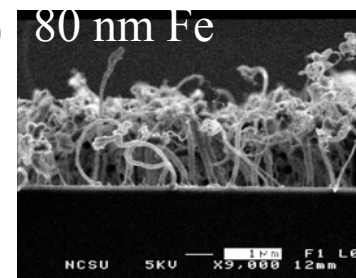
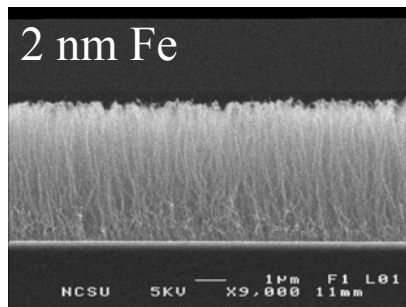
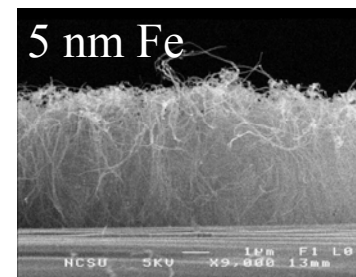
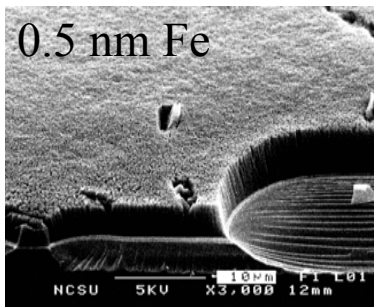
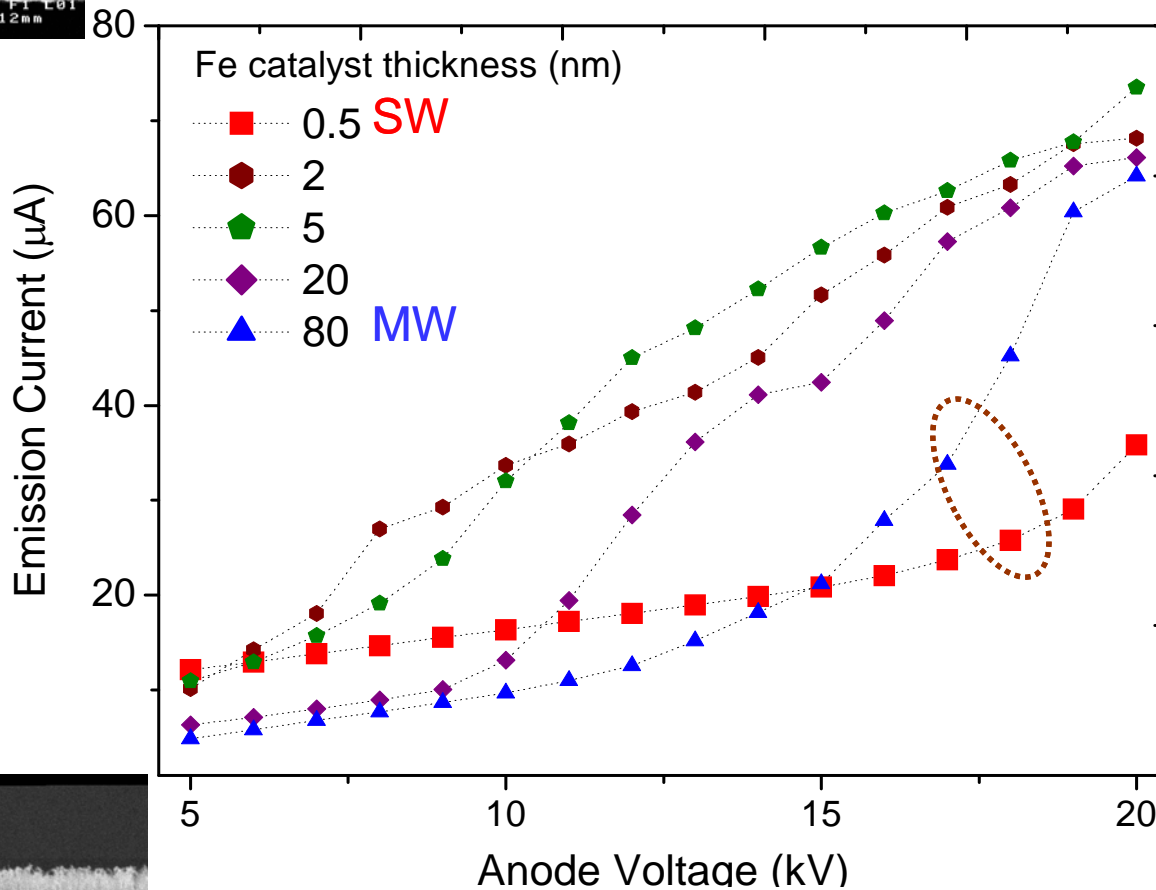


Comparison of electron emission: nano-engineered cold cathodes

<i>N-doped Diamond</i>	<i>Undoped nanocrystalline diamond</i>	<i>S-doped nanocrystalline diamond</i>	<i>Vertically aligned Carbon Nanotubes</i>
 <p>720 ° C</p> <p>150 μm FoV</p>	 <p>800 ° C</p> <p>150 μm FoV</p>	 <p>340 ° C</p> <p>150 μm FoV.</p>	 <p>RT</p> <p>150 μm FoV</p>
<ul style="list-style-type: none"> • Uniform emission • Temperature dependence • NEA surface • Spatial connectivity <p>Background</p>	<ul style="list-style-type: none"> • Non-uniform emission • Weak temperature dependence - • Field enhancement • Electronic states • weak spatial connectivity 	<ul style="list-style-type: none"> • Non-uniform emission (localized) • Temperature dependence + Field enhancement • S-related states electronic states • Spatial connectivity • low temperature thermionic emitters & thermionic energy converters 	<ul style="list-style-type: none"> • Non-uniform emission • Temperature dependence + adsorbates • Intrinsic behavior (geometrical) • low temperature thermionic emitters & thermionic energy converters

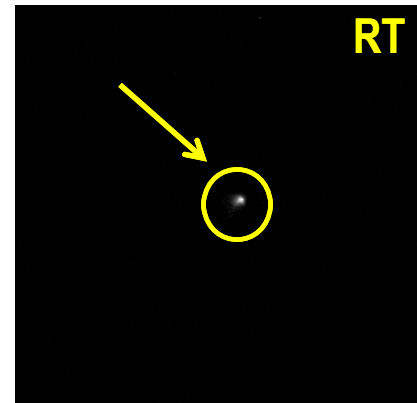
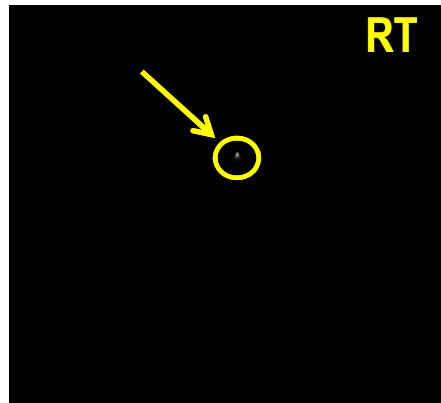
Nanotube diameter dependent electron field emission

I - V curves @ RT

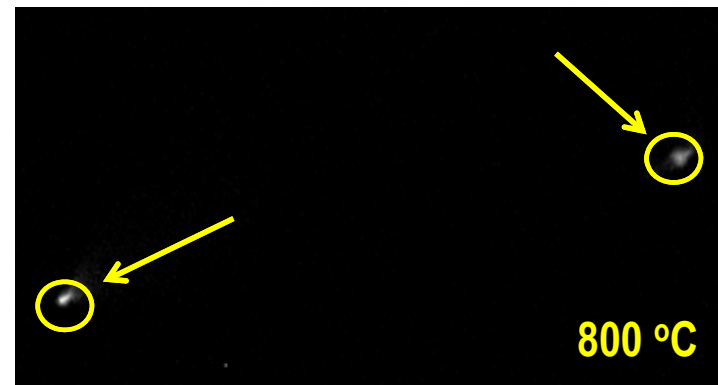
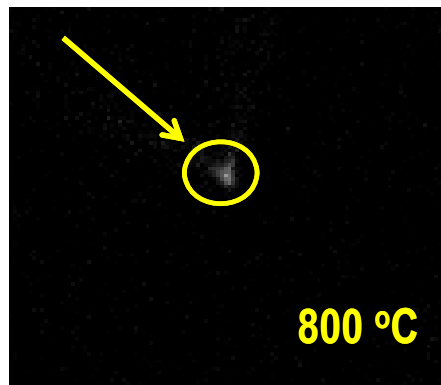


Thermionic dependent electron emission imaging: A comparison

SW versus MW Nanotubes

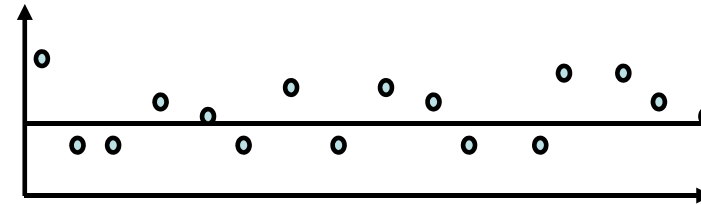
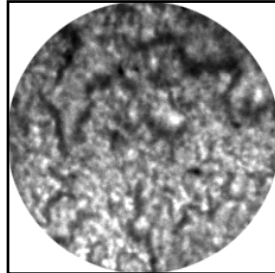
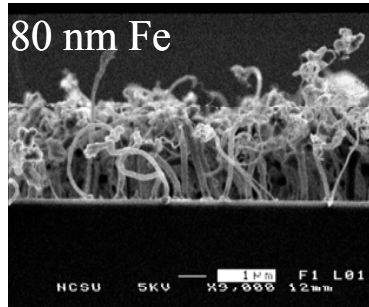


More than one emission site appear in MW than SW NTs with increasing temperature

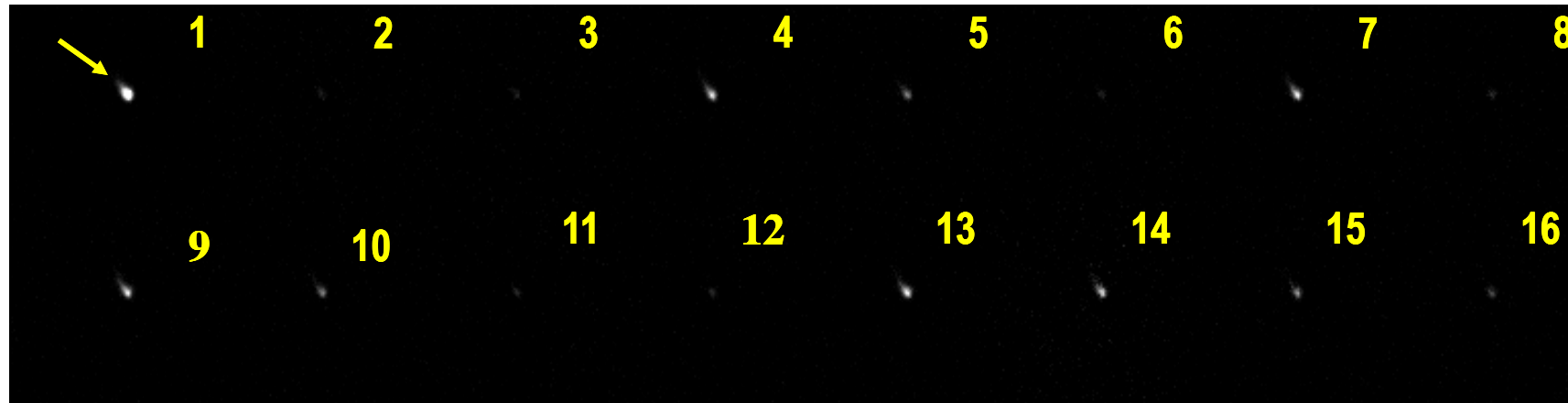


Emission Intensity Fluctuation - Flicker Phenomenon

I. MW carbon nanotubes

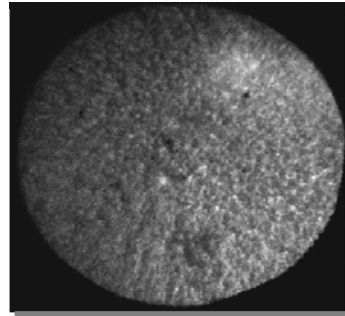
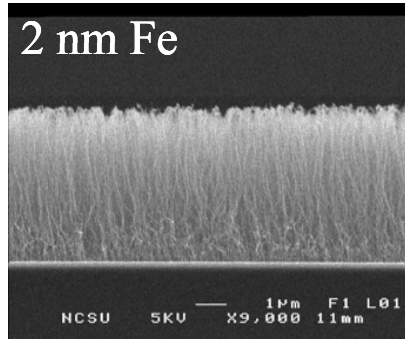


CNT film on 80 nm Fe catalyst layer

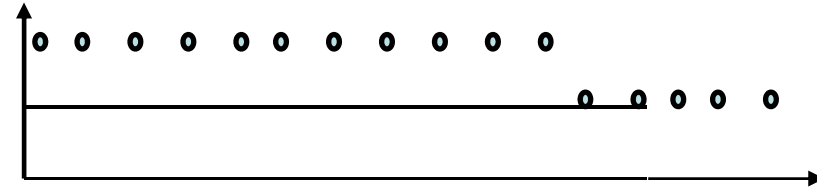


1.10 kV MCP, 1.4×10^{-8} Torr, $50 \mu\text{m}$ FoV (clipped from $150 \mu\text{m}$)
Microscopy snapshots @ 100°C

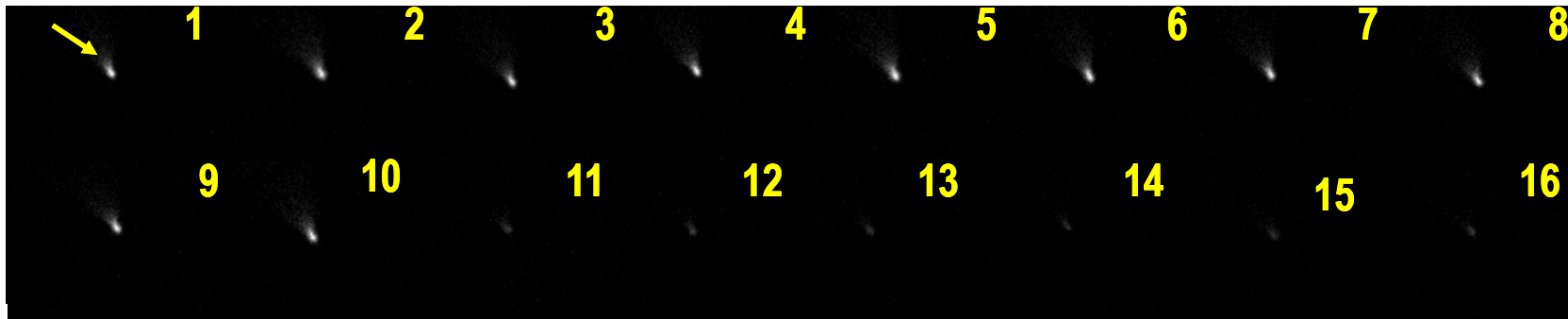
II. SW carbon nanotubes



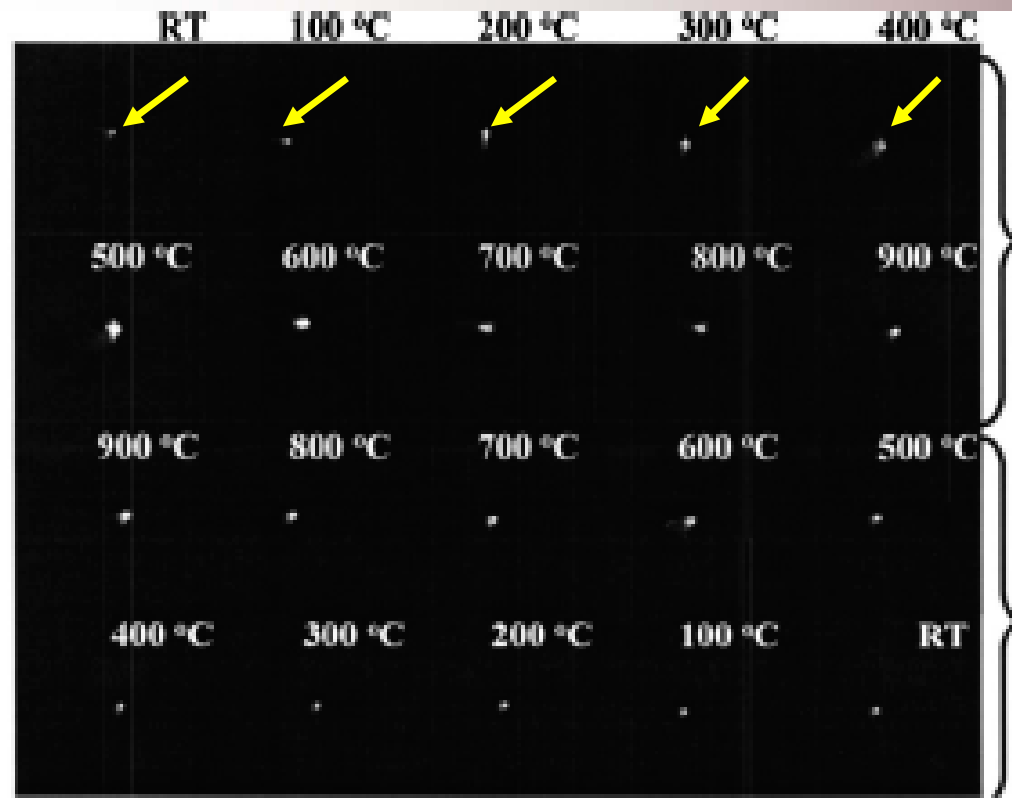
PEEM



CNT film on 2 nm Fe Catalyst Layer

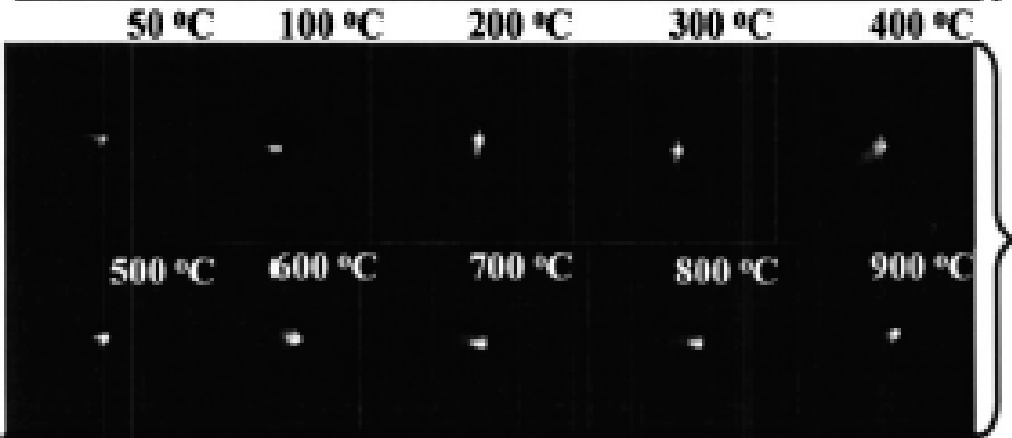


0.85 kV MCP, LLL Camera Gain, 1.4×10^{-8} Torr, approx. 150 µm FoV, 950° C



Up
sweep
I

Down
sweep
I



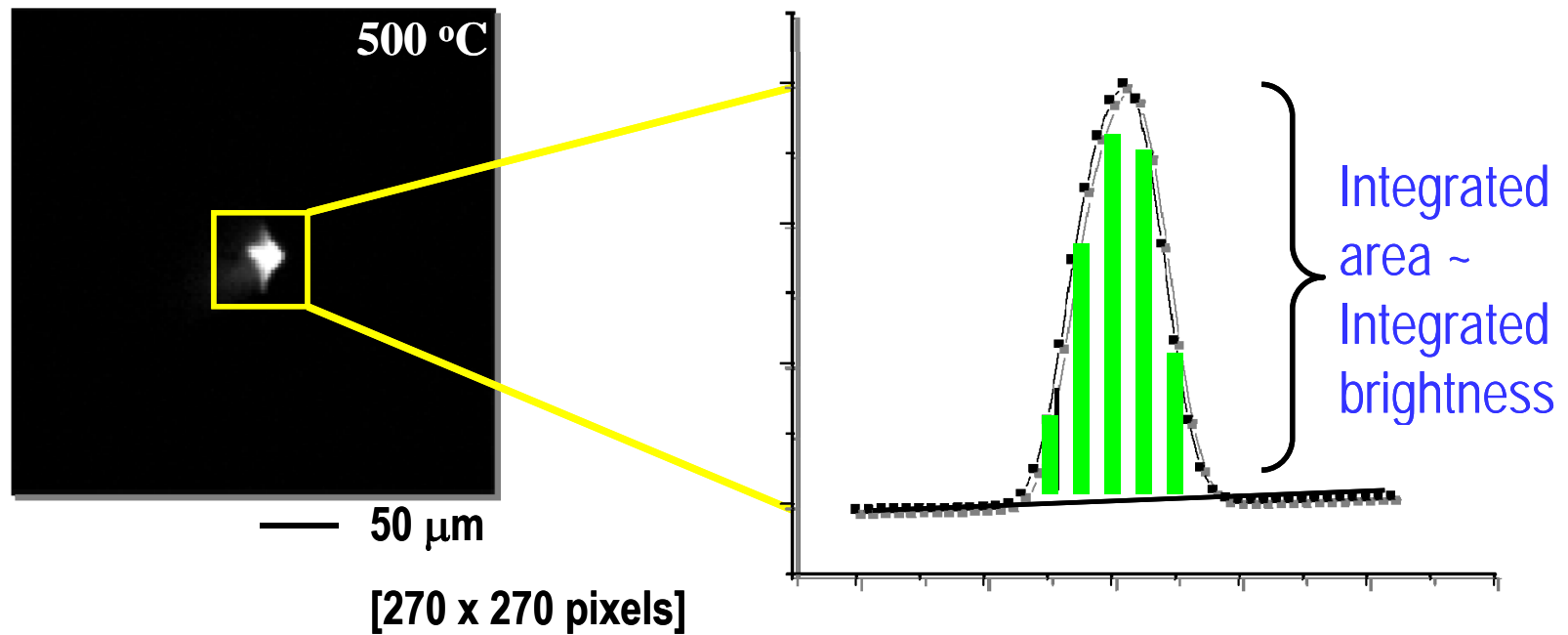
Up
sweep
II

50 μm FoV

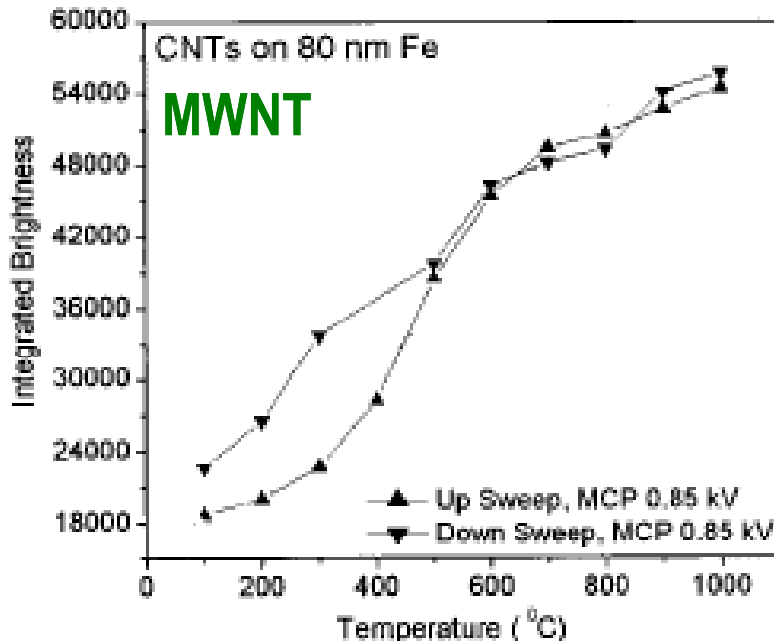
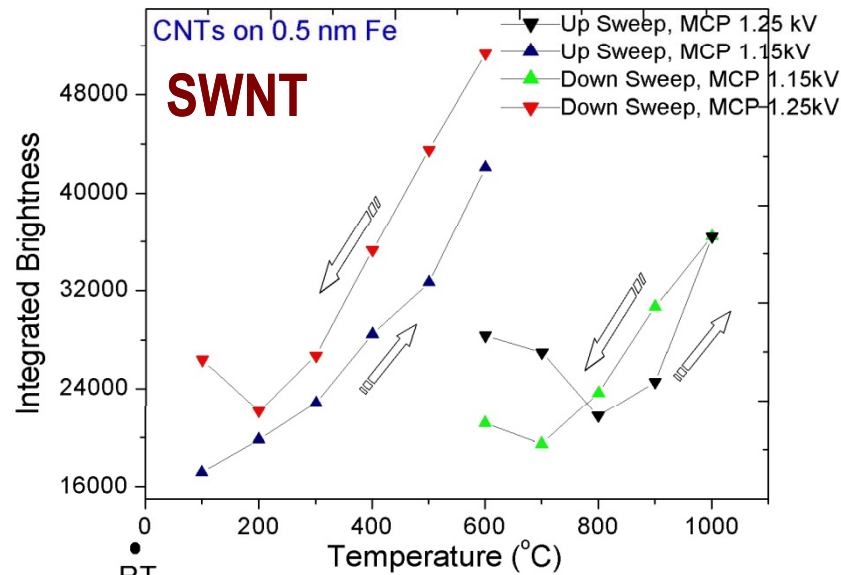
*Role of adsorbates:
thermal adsorption/
desorption*

Predictable but weak temperature dependence *i.e.* the emission intensity increased from room temperature up to 900 °C and decreased on down sweep with a little hysteresis. In addition, it demonstrates the role of adsorbates in field emission enhancement. This is because after thermal cleaning (or desorption) of the surface of the emitter, states due to adsorbate do not dominate as effectively.

Sampling → Histogram → Integration



Variation of Integrated Brightness: Temperature Sweep



Increasing Temperature...

- ▶ increasing number of tunneling electrons
- ▶ some tunneling from thermally excited states
- ▶ very few are emitted from crossing the barrier

$$j_{\text{Tot}} = j_{\text{FE}} + j_{\text{TE}_{\text{ex}}} + j_{\text{TE}}$$

$$j_{\text{FE}}(E) = \frac{C_1 E^2}{\Phi} \exp\left(-\frac{C_2 \Phi^{3/2}}{E}\right)$$

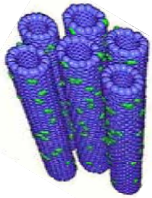
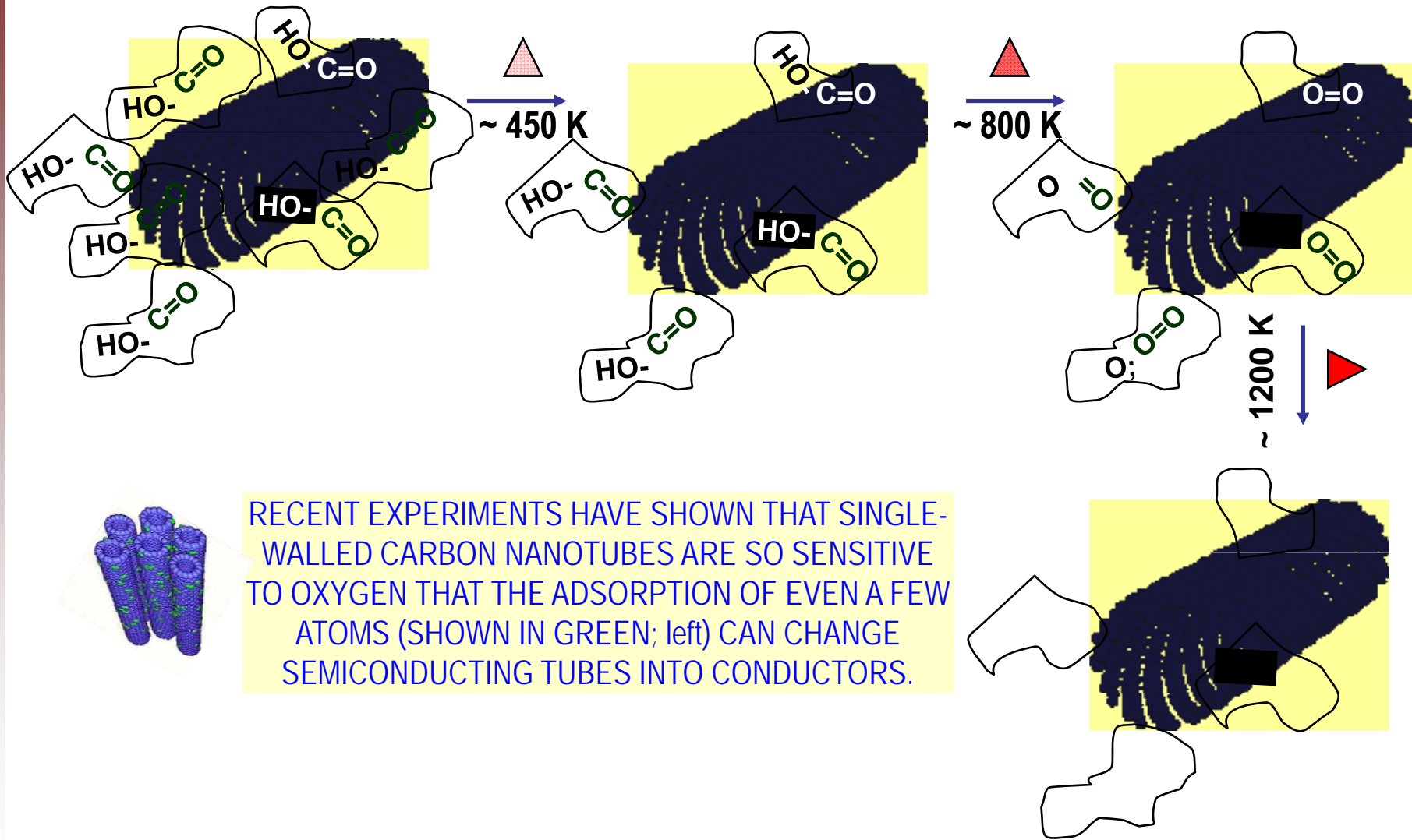
$$j_{\text{TE}}(T) = \frac{4\pi m_e e}{h^3} (kT)^2 \exp\left(-\frac{\Phi}{kT}\right)$$

$$j_{\text{TFE}}(T, E, \phi) = j(o, F, \phi) \left(\frac{\pi k_B T / d}{\text{Sin}(\pi k_B T / d)} \right);$$

where $d = 9.3 \times 10^{-9} E / \phi^{1/2}$

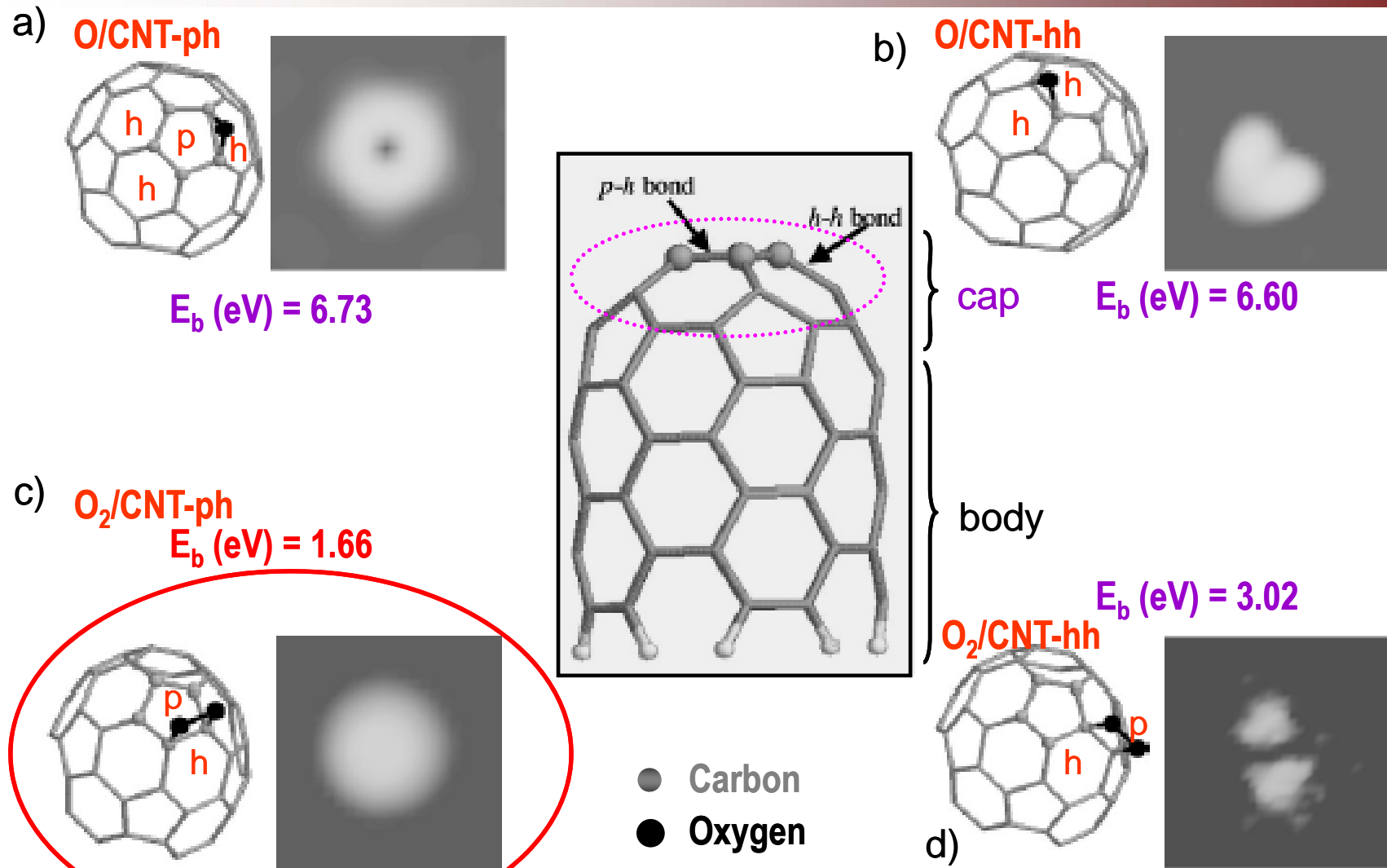
For moderate fields, the temperature contribution is minimal: $j_{\text{TFE}} = j_{\text{FE}}$ (1.02-1.27) for 300-1200 K.

contd... Thermally desorbed 'n' adsorbed species



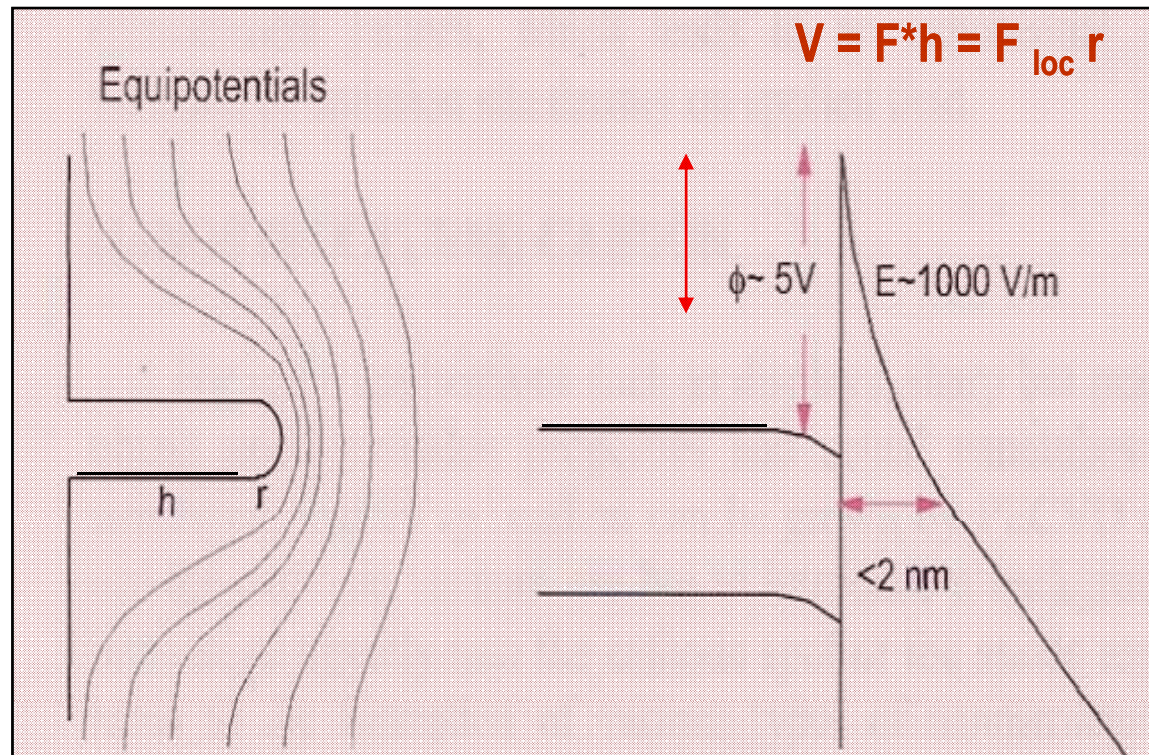
RECENT EXPERIMENTS HAVE SHOWN THAT SINGLE-WALLED CARBON NANOTUBES ARE SO SENSITIVE TO OXYGEN THAT THE ADSORPTION OF EVEN A FEW ATOMS (SHOWN IN GREEN; left) CAN CHANGE SEMICONDUCTING TUBES INTO CONDUCTORS.

Interpretation in terms of 'O_x' adsorbate specie at the NT cap

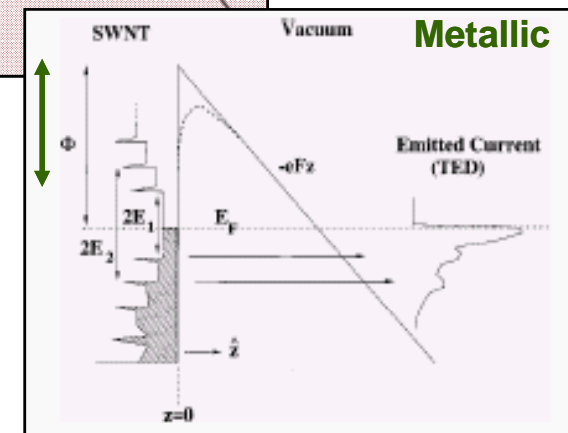
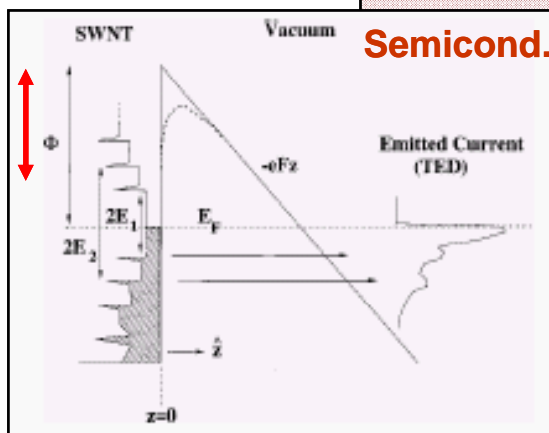


$$E_b \text{ (eV)} = - E (\text{O}_x / \text{CNT}) + E (\text{CNT}) + E (\text{O}_x); x = 1 \text{ or } 2$$

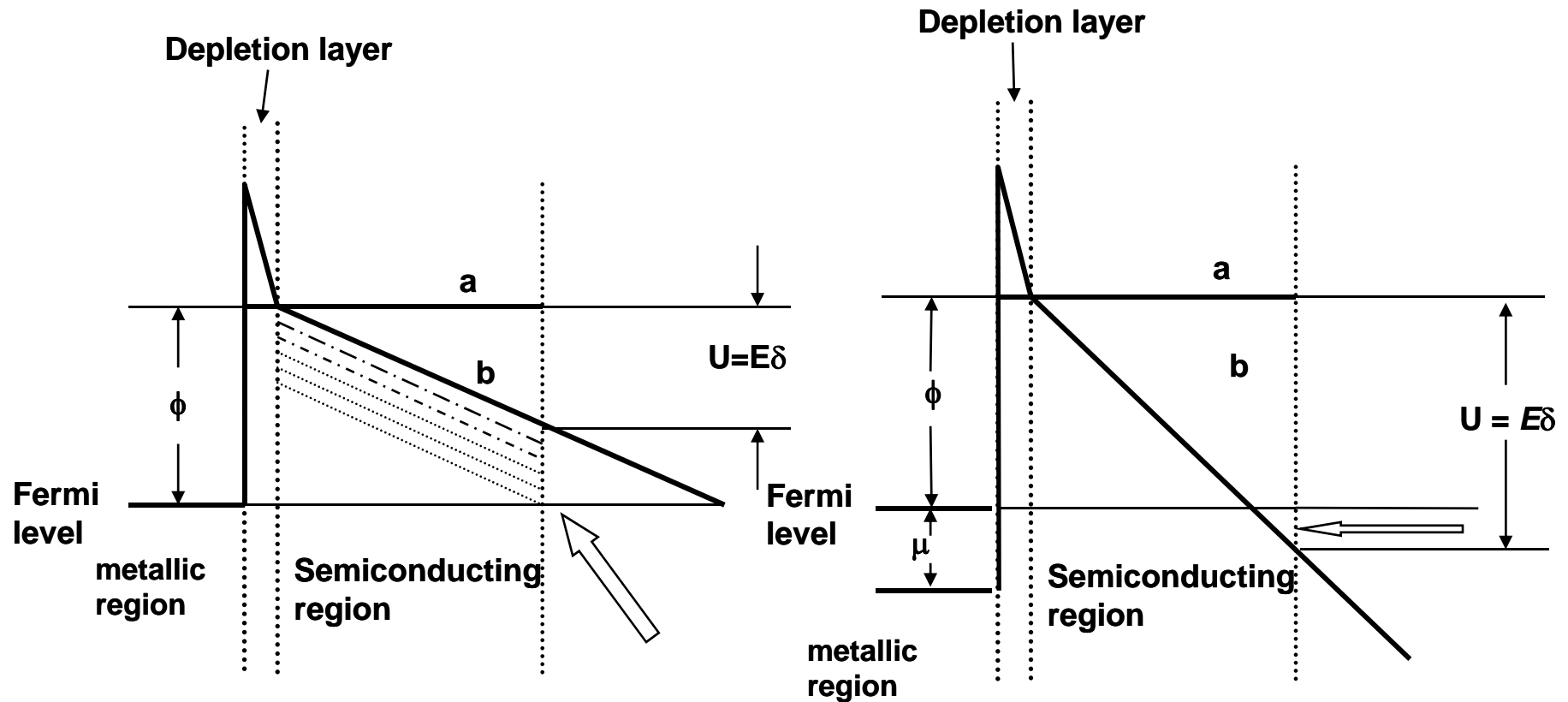
Interplay of β , Real (local) work function, and barrier height



$$V_{max} = -3.79 F_{loc}^{1/2} \text{ eV}$$



Two-process Model: Electron Field Emission mechanism in Carbon Nanotubes

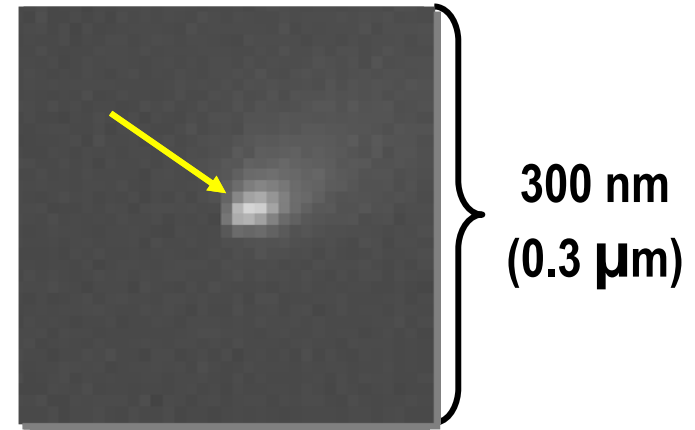
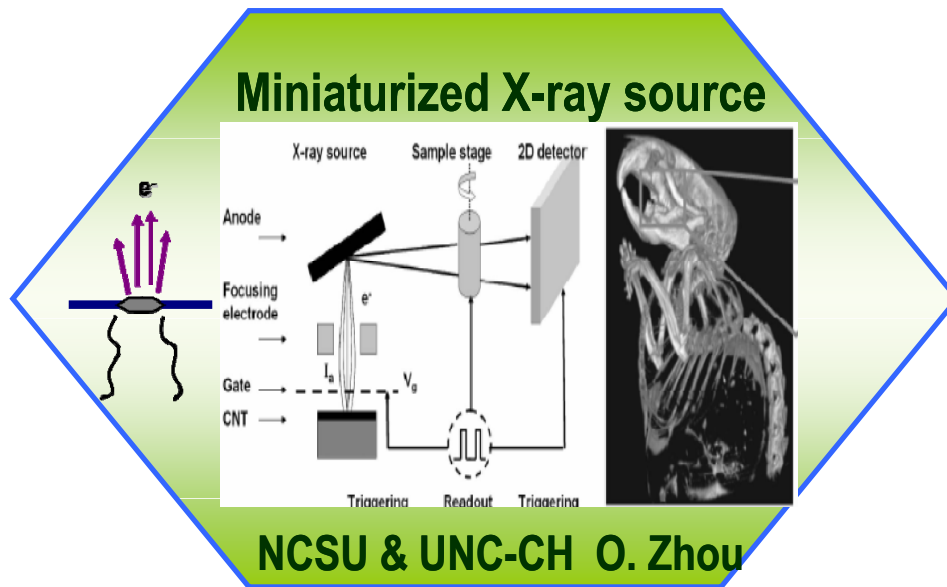


$$k(E) = \begin{cases} \exp\left(-\frac{\mathcal{G}-U}{\varepsilon_0}\right) & \mathcal{G}-U > 0 \\ 1 & \mathcal{G}-U \leq 0 \end{cases}$$

Bright emission sites

Application

These ultra bright electron emission sites which can be used in micro electro mechanical systems (MEMS) or (NEMS) for gas sensing application and as an intense miniaturized X-ray source.

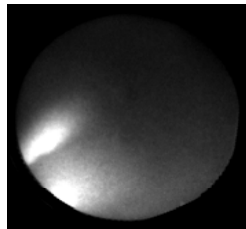
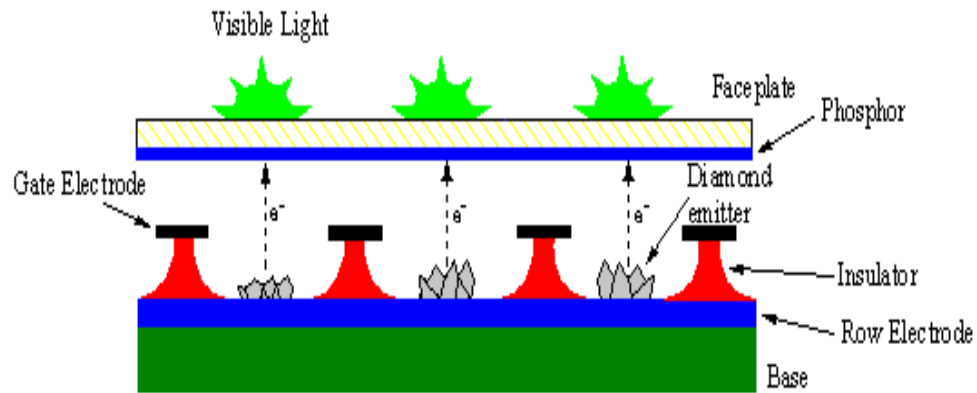


1.100 kV
dia. < 16 nm
 $J \sim 10^4 \text{ A/cm}^2$

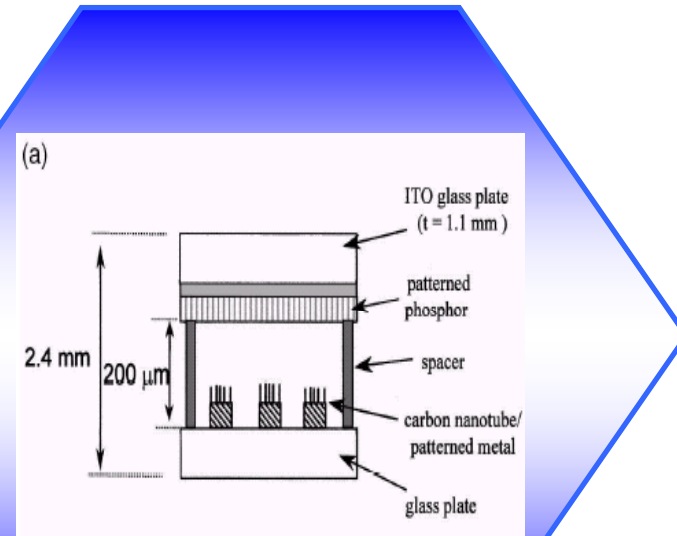
An emission microscope image of the electron emission from a single site on a nanocrystalline diamond surface.

Comparison/Conclusion

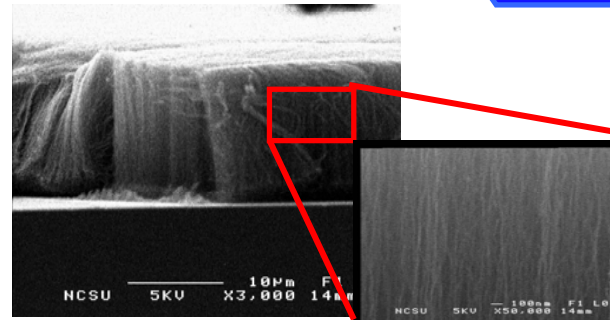
Diamond-based ❌



CNT-based ✓



Architect



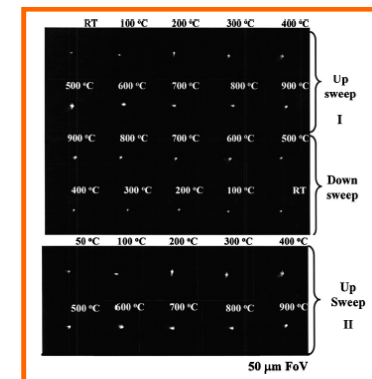
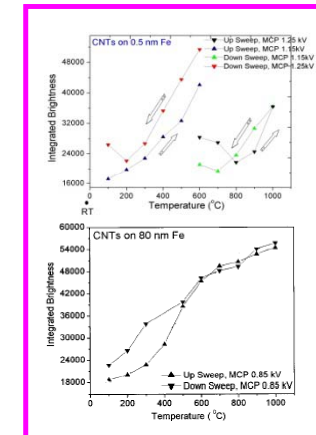
Summary: Part II

- A contrasting comparison between SW and MW nanotubes is made

- **Vacuum nanoelectronics**

Role of adsorbates in enhancing the field emission from carbon nanotubes is demonstrated: Temperature dependent electron emission imaging (T-FEEM)

- Thermionic component to field emission, from nanotubes was also suggested, though weak (~ 15 %), which is proposed to use as a thermionic energy converter (TEC).



$$j_{\text{TFE}}(T, E, \phi) = j(o, F, \phi) \left(\frac{\pi k_B T / d}{\text{Sin}(\pi k_B T / d)} \right);$$

where $d = 9.3 \times 10^{-9} E / \phi^{1/2}$

For moderate fields, the temperature contribution is: $j_{\text{TFE}} = j_{\text{FE}} (1.02-1.27)$ for 300-1200 K.

However, my crystal ball is not good enough to see
which application(s) will really make it!

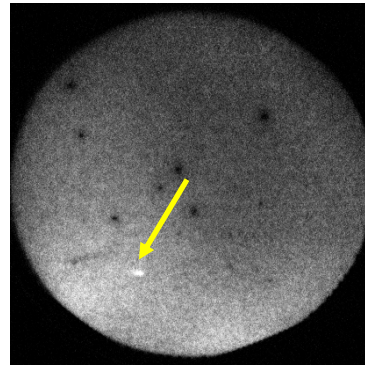
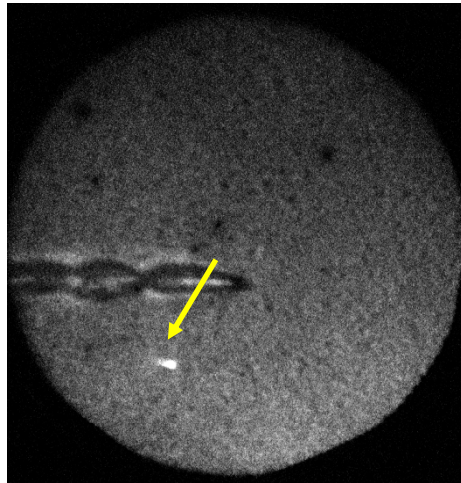
?

Stay tuned...

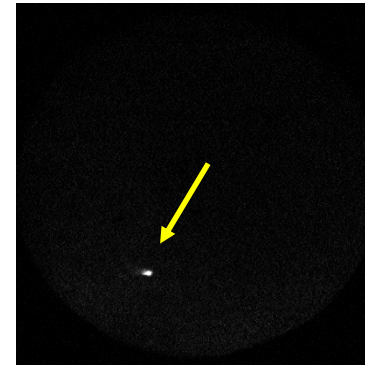
Field electron emission microscopy (T-FEEM): CNTs

Field emission measurements at various temperatures

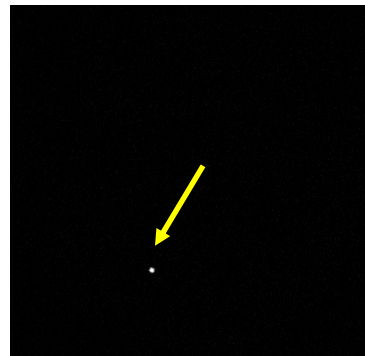
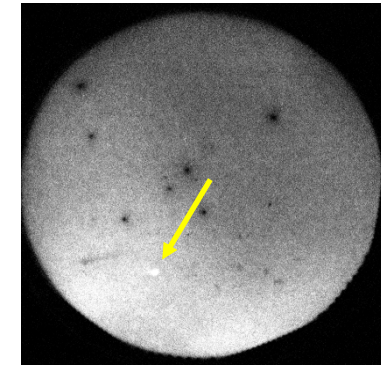
PEEM



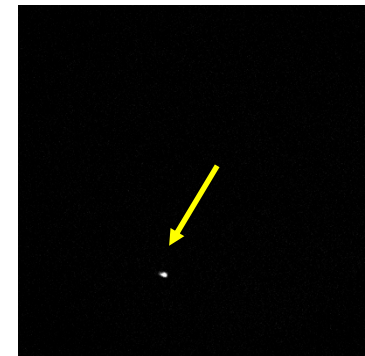
25 ° C



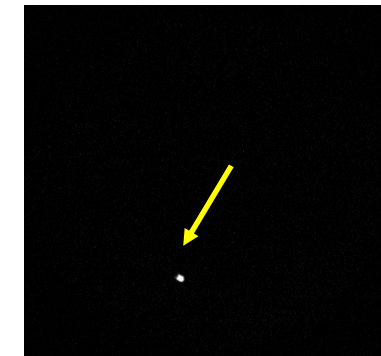
90 ° C



150 ° C



200 ° C



300 ° C

[Pressure changes from 6.6×10^{-9} to 8.1×10^{-7} as temperature increases

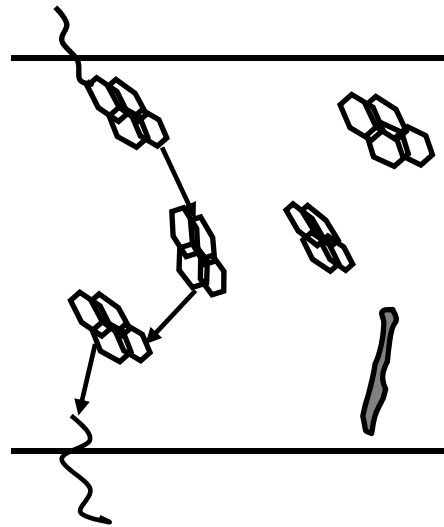
FoV: 20 μ m and channel plate voltage of 1.55 kV]

- Not many emission sites
- Role of adsorption on field emission
- Intensity fluctuations - flicker

Gupta et. al. DRM submitted 2004

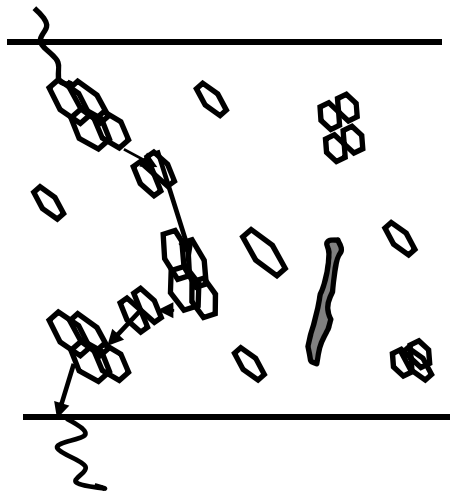
Microstructural Variation as a function of Nanostructuring

Un-doped



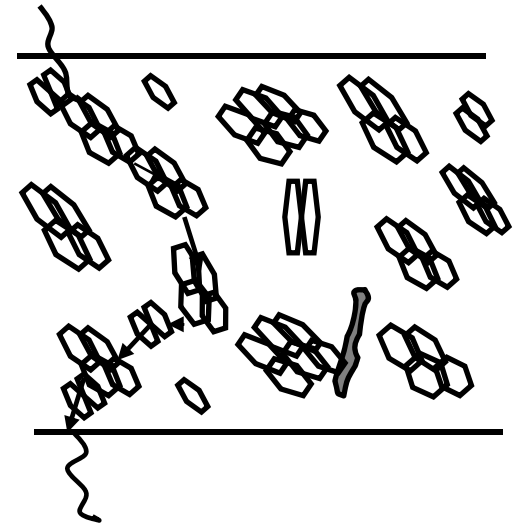
Large hopping distance - low spatial or interconnectivity

Irradiated



Introduction of clustering - reducing hopping distance

Doped



Re-ordering due to increase in cluster size - further reduction in hopping distance